

ACCEPTED MANUSCRIPT • OPEN ACCESS

Landscape-scale cropping changes in the High Plains: Economic and environmental implications

To cite this article before publication: Steven Rosenzweig *et al* 2019 *Environ. Res. Lett.* in press <https://doi.org/10.1088/1748-9326/ab5e8b>

Manuscript version: Accepted Manuscript

Accepted Manuscript is “the version of the article accepted for publication including all changes made as a result of the peer review process, and which may also include the addition to the article by IOP Publishing of a header, an article ID, a cover sheet and/or an ‘Accepted Manuscript’ watermark, but excluding any other editing, typesetting or other changes made by IOP Publishing and/or its licensors”

This Accepted Manuscript is © 2019 The Author(s). Published by IOP Publishing Ltd.

As the Version of Record of this article is going to be / has been published on a gold open access basis under a CC BY 3.0 licence, this Accepted Manuscript is available for reuse under a CC BY 3.0 licence immediately.

Everyone is permitted to use all or part of the original content in this article, provided that they adhere to all the terms of the licence <https://creativecommons.org/licenses/by/3.0>

Although reasonable endeavours have been taken to obtain all necessary permissions from third parties to include their copyrighted content within this article, their full citation and copyright line may not be present in this Accepted Manuscript version. Before using any content from this article, please refer to the Version of Record on IOPscience once published for full citation and copyright details, as permissions may be required. All third party content is fully copyright protected and is not published on a gold open access basis under a CC BY licence, unless that is specifically stated in the figure caption in the Version of Record.

View the [article online](#) for updates and enhancements.

1
2
3 **Landscape-scale cropping changes in the High Plains: Economic and environmental**
4 **implications**
5
6

7 Steven T. Rosenzweig^{ab*} and Meagan E. Schipanski^a
8
9

10 ^aDepartment of Soil and Crop Sciences, Colorado State University, Fort Collins, CO, 80523,
11
12 USA
13

14 ^bGeneral Mills, 9000 Plymouth Ave N, Golden Valley, MN 55427
15
16

17 *Corresponding author, steven.t.rosenzweig@gmail.com
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Accepted Manuscript

Abstract

A global transformation in semi-arid cropping systems is occurring as dryland (non-irrigated) farmers in semi-arid regions shift from crop rotations reliant on year-long bare fallows, called summer fallow, to more intensively cropped systems. Understanding the rate of cropping system intensification at the landscape scale is critical to estimating the economic and environmental implications of this movement. Here, we use high-resolution satellite data to quantify dryland cropping patterns from 2008 to 2016 in the US High Plains. We use these estimates to scale up our previous field-level research in this region on soil carbon, herbicide use, yields, and profitability. Over the 9-year study period, the High Plains witnessed a profound shift in cropping systems, as the historically dominant wheat-fallow system was replaced by more intensified rotations as the dominant systems by land area. Out of the 4 million hectares of non-irrigated cropland in the study area, this shift coincided with a 0.5 million-hectare decline in summer fallow and a concurrent increase in alternative (non-wheat) crops. We estimate that, from 2008 to 2016, these patterns resulted in a 0.53 Tg (9%) increase in annual grain production, 80 million USD (10%) increase in annual net farm operating income, substantial reductions in herbicide use, and an increase in C sequestration that corresponds to greenhouse gas reductions of 0.32 million metric tons of CO₂ equivalents per year (MMTCO_{2e} yr⁻¹). We project each of these implications to a scenario of potential maximum 100% intensification and estimate that, relative to 2016 levels, herbicide use would be reduced by more than half, grain production would increase by 25%, net operating income would increase by 223 million USD (26%), and greenhouse gases would be reduced by an additional 0.8 MMTCO_{2e} yr⁻¹. The scale of cropping intensification in the High Plains and its environmental and economic impacts has important

1
2
3 implications for other regions undergoing similar transformations, and for policy that can either
4 support or hinder these shifts toward more sustainable cropping systems.
5
6
7
8
9

10 **Introduction**

11
12
13
14 A global transition in semi-arid cropping systems is underway, with implications for the
15 environment and economies of semi-arid regions [1, 2]. Semi-arid regions constitute 20% of
16 Earth's land surface and are home to nearly 1 billion people [3, 4]. These large and growing
17 populations have placed pressure on dryland (non-irrigated) farms in semi-arid regions and the
18 natural resources on which they depend. As much as 40% of agricultural land globally suffers
19 from severe soil degradation [4], and dryland soils are especially vulnerable due to water
20 limitations that constrain crop productivity and exacerbate soil erosion [5]. Crop production and
21 soil health are further constrained in semi-arid dryland cropping systems by a common practice
22 of a year-long fallow period called summer fallow, where no crops are grown and weeds are
23 controlled. While summer fallow reduces risk of crop failure by accumulating precipitation in the
24 soil, decades of crop rotations with frequent summer fallow have led to degraded soils and
25 management systems dependent on large and increasing amounts of chemical inputs [6, 7].
26
27 However, over the last several decades, technological advancements such as no-till have enabled
28 farmers to intensify cropping systems by replacing summer fallow with a crop, which has the
29 potential to reverse or slow these detrimental economic and environmental trends.
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50

51 Typically, agricultural intensification is supported by a greater use of chemical inputs. For
52 example, the observed doubling in global agricultural production from the 1960s to the 1990s
53
54
55
56
57
58
59
60

1
2
3 coincided with almost a 7-fold increase in nitrogen (N) inputs and a 3-fold increase in pesticide
4 use, with cascading effects for the environment and human health [8-11]. In contrast, data from
5 on-farm and research station studies have shown that semi-arid cropping intensification can
6 increase crop production and residues returned to the soil while reducing chemical inputs [12,
7 13]. As a result, reducing summer fallow frequency can simultaneously contribute to desirable
8 environmental and economic outcomes. However, the extent of recent intensification patterns,
9 and the potential impacts of broader adoption, have not been characterized at regional and
10 broader landscape scales.
11
12
13
14
15
16
17
18
19
20
21
22
23

24 The transformation in semi-arid cropping systems has been documented in several regions
25 around the world, including Australia, Canada, and the Northern Great Plains [1, 2, 14], but the
26 extent of these trends has not been quantified in the High Plains of the US – an important grain
27 growing region in the Western Great Plains including the states of Colorado, Kansas, and
28 Nebraska. Since the Dust Bowl in the 1930s, most dryland farmers in the High Plains have
29 grown a rotation of winter wheat and summer fallow to mitigate the risk of farming in a highly
30 variable and dry climate. However, many no-till farmers are transitioning from wheat-fallow to a
31 mid-intensity rotation (e.g. wheat-corn-fallow), and some have even eliminated summer fallow
32 completely through diverse crop rotations, a practice called continuous cropping. Continuous
33 cropping likely provides a greater environmental and economic benefit relative to mid-intensity
34 and wheat-fallow [12, 15], but previous estimates of intensification have only been derived from
35 changes in individual crop or fallow acreages, and thus we lack an estimate of cropping systems-
36 level changes. For example, Hansen *et al.* [14] report a reduction in summer fallow acres by 70%
37 in the US Northern Great Plains since 1990, and Maaz *et al.* [2] report similar reductions in
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 several Canadian provinces, suggesting that millions of hectares are being intensified. Better
4 understanding the extent and degree of cropping system intensification could provide
5 policymakers with information about the economic and environmental implications of these
6 transformations, and place these shifts into the broader context of global cropping system
7 intensification.
8
9
10
11
12
13
14
15
16

17 Agricultural communities across the United States, including those in the High Plains, are facing
18 significant economic pressures. Over half of US farm households lose money on their farm
19 operations each year, and most farmers today cannot survive without off-farm income and
20 government subsidies [16, 17]. Over the past several decades, farmers have increasingly paid
21 more for inputs like equipment and chemicals, while commodity prices have remained stagnant
22 or declined, a phenomenon called the “double squeeze” [18]. Given the pressure on profits,
23 summer fallow creates an economic burden due to the high cost of herbicides required to
24 maintain weeds in no-till systems, and gross incomes that are constrained by an entire year
25 without crop production. However, by increasing precipitation use efficiency, total grain
26 production can be enhanced by 60-75% in intensified systems relative to wheat-fallow [13], and
27 substantial herbicide reductions can be achieved through increased plant competition with weeds
28 and the ability to rotate different herbicides in intensified systems [7, 19]. Additionally,
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Rosenzweig *et al.* [12] found that, despite achieving greater crop production, continuous farmers in the High Plains apply similar amounts of nitrogen fertilizer as traditional wheat-fallow farmers while cutting herbicide use in half, leading to 80% greater net operating income (i.e., gross profit minus operating expenses, not including fixed costs). Intensification, and especially continuous cropping, can enable greater crop production without increases in fertilizer because the added

1
2
3 plant diversity and carbon inputs enhance soil fertility and beneficial soil microbial populations
4 [12]. Thus, the intensification of cropping systems may provide an opportunity to act on both
5
6 sides of the double squeeze – to reduce inputs while enhancing crop production.
7
8
9

10
11
12 Due to the large amounts of land dedicated to dryland crop production, even proportionately
13
14 small shifts in cropping patterns can translate to significant environmental impacts [20]. Scaling
15
16 field-level estimates of C sequestration to the landscape level can inform US and international
17
18 climate policy aimed at increasing terrestrial C offsets [21]. Parton *et al.* [22] estimated that the
19
20 Great Plains region (which encompasses the High Plains) only accounts for 5% of US
21
22 agricultural greenhouse gas emissions, but also found that this region has the potential to become
23
24 a net C sink through the adoption of sustainable agricultural technologies, particularly through
25
26 reduced tillage. Intensified cropping systems increase soil organic carbon (SOC) beyond the
27
28 effects of reducing tillage through greater crop-derived C input to soil [23]. The analysis by
29
30 Parton *et al.*, however, did not include the C sequestration benefits of cropping system
31
32 intensification, and thus may have underestimated the region's potential to mitigate climate
33
34 change.
35
36
37
38
39
40
41

42 We conducted a spatial analysis of cropping patterns in the High Plains from 2008-2016 to
43
44 answer three questions: 1) To what extent is cropping system intensity increasing in the High
45
46 Plains? 2) Which crops are replacing summer fallow and where? 3) What are the implications of
47
48 observed intensification in the High Plains for C sequestration, grain yield, herbicide use, and net
49
50 farm incomes? Assessing cropping system intensification patterns and potential implications in
51
52
53
54
55
56
57
58
59
60

the High Plains will generate insights that will help us understand the broader semi-arid cropping transition occurring around the world.

Methods

Study area

The study area consists of the main semi-arid dryland cropping counties in the High Plains states of Colorado, Kansas, and Nebraska. Counties were selected if a majority of the area receives less than 500 mm average annual precipitation, estimated using rasterized data from PRISM [24]. Additionally, all selected counties had at least 2000 acres in dryland wheat production as of 2016. The study period (2008-2016) contained both wet and dry years relative to the 30-yr average for the region (Table 1).

Table 1. Average annual precipitation over the study region by year.

Year	30-year average	2008	2009	2010	2011	2012	2013	2014	2015	2016
Precipitation (mm)	432	442	569	462	479	266	421	498	605	471

Data

All crop acreage and crop rotation analysis was performed using the Croplands Data Layer (CDL) accessed through CropScape provided by the National Agricultural Statistics Service (NASS). The CDL is a geo-referenced raster data layer using a combination of satellite imagery and extensive agricultural ground truthing [25]. The CDL contains agricultural land cover data

1
2
3 for the contiguous United States, and has been used to estimate crop acreage, crop rotations, and
4 rotational diversity over time [26-29]. CDL maps for Colorado, Kansas, and Nebraska for the
5 years 2008 to 2016 were analyzed using arcGIS. CDLs from 2008 and 2009 were generated at 56
6 m resolution, and were reprocessed to a 30 m resolution to match the resolution of the other
7 years.
8
9
10
11
12
13
14
15
16

17 The CDL has low accuracy in classifying non-agricultural land-uses according to Croplands Data
18 Layer metadata, and thus the 2011 National Land Cover Database (NLCD) was used to mask-out
19 non-agricultural classes and grasslands, as others have done to isolate croplands specifically (e.g.
20 Plourde *et al.* [29]). Spatial irrigation data was used to mask-out irrigated acreage in Colorado
21 using data from 2010 (and 2015 and 2016 only for the Republican River Basin) available from
22 Colorado Decision Support Systems through the Colorado Department of Natural Resources
23 [30]. Nebraska drylands were isolated by masking irrigated lands using data from 2005 available
24 from the Nebraska Department of Natural Resources [31], and similarly for Kansas using data
25 centered around 2007 provided by the Kansas Applied Remote Sensing Program [32].
26
27
28
29
30
31
32
33
34
35
36
37
38
39

40 *Crop acreage*

41
42 Crop pixel counts were converted to acres then hectares. We compared CDL estimates of crop
43 acreage to Farm Service Agency (FSA) data from 2011 to 2016 [33]. Our estimates of crop
44 acreage were always below those reported by FSA, but accuracy varied by crop. Accuracies in
45 this study were high for major crops like corn (97%), winter wheat (92%), and sorghum (84%).
46
47 Accuracies were lower for minor crops like millet (71%) and peas (42%), suggesting that there is
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5 While we removed much of the grasslands from the dataset using the NLCD, we found that
6 many fallow acres were incorrectly classified as grasslands or open space in 2008, but
7
8 classification improved gradually through subsequent years. Others have encountered similar
9
10 confusions between grasslands and croplands [34], necessitating a means of correctly identifying
11
12 these classes. We obtained more accurate assessments of fallow land (92% accuracy compared to
13
14 FSA data) by classifying any fallow, grassland, or open space classes as fallow only if they were
15
16 rotated with other crop classes.
17
18
19
20
21
22
23

24 *Crop rotations*

25
26 Cropping system intensities were assessed in 3-year sequences, and new rasters were generated
27
28 denoting the 3-year cropping system intensity for each pixel. Crops were divided into three
29
30 classes: winter wheat, fallow (including grassland and open space classes rotated with crops as
31
32 previously discussed), and other crops. Crop-fallow was designated as all sequences with 2
33
34 occurrences of fallow or a wheat-fallow-wheat sequence, mid-intensity was designated as all
35
36 sequences with 1 occurrence of fallow, and continuous was designated as all sequences with 0
37
38 occurrences of fallow. Sequences with 3 occurrences of fallow were classified as grassland or
39
40 open space, and removed from subsequent analysis. The rasters of cropping system intensity
41
42 were compared for each 3-year rotation window to produce maps of changes in cropping system
43
44 intensity through time. Crop footprint maps were developed for each 3-year rotation window by
45
46 including pixels if they grew a particular crop at any point in the 3-year period.
47
48
49
50
51
52
53

54 *Soil carbon sequestration, herbicide use, grain production, and net income analyses*
55
56
57
58
59
60

1
2
3 Recent studies measured the differences in SOC, annualized grain yield, and input use between
4 the different cropping system intensities in the High Plains across 96 farm fields representing the
5 geographic extent of the study area examined here [12, 15]. Data used for the spatial and regional
6 analysis in this study include least-squared means and standard errors generated by statistical
7 models of cropping system intensity and significant soil, management, and climate covariates
8 (Table 2). Sampling procedures for each metric are described in Rosenzweig *et al.* [12, 15]. We
9 obtained regional assessments of C sequestration, herbicide use, annualized nitrogen fertilizer
10 use, grain production, and net operating income by multiplying the least-squared means and
11 standard errors for each cropping system by the number of hectares in each cropping system for
12 each year. We predicted theoretical maximum grain production, net operating income, C
13 sequestration, and theoretical minimum herbicide usage based on a scenario of 100% adoption of
14 continuous cropping practices by multiplying the mean value for continuous cropping systems
15 (Table 2) by the total number of dryland acres in the study region in 2016. While our maximum
16 values assume that the systems are managed without tillage, our estimates predict only the
17 potential benefits due to cropping intensification and do not account for any additional potential
18 benefits due to shifting from till to no-till management.
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 2. Summary values used to scale up implications of cropping system intensity to the landscape level based on soil sampling and management records from 96 no-till farm fields across the study region. Data are model-generated least-squared means \pm SE. Letters represent significant differences between cropping system intensities ($\alpha=0.1$). PET=Potential evapotranspiration. Adapted from Rosenzweig *et al.*, [12,15].

	Wheat-fallow	Mid-intensity	Continuous
Glyphosate Herbicide (kg AE/ha/yr)	1.79 \pm 0.28a	1.42 \pm 0.15ab	0.93 \pm 0.25b
2,4-D Herbicide (kg AE/ha/yr)	0.82 \pm 0.10a	0.47 \pm 0.05b	0.15 \pm 0.08c
Dicamba Herbicide (kg AE/ha/yr)	0.17 \pm 0.03a	0.14 \pm 0.02b	0.05 \pm 0.03c
Annualized Nitrogen Fertilizer (kg N/ha/yr)	30 \pm 5b	48 \pm 3a	30 \pm 4b
Annualized Grain Yield (Mg/ha/yr)	1.27 \pm 0.11b	1.85 \pm 0.11a	2.03 \pm 0.20a
Annualized Net Income (\$/ha/yr)	147 \pm 36b	250 \pm 24a	264 \pm 39a
SOC stock (Mg/ha)	10.65 \pm 0.41b	11.10 \pm 0.38ab	12.30 \pm 0.42a

Results

Overall, we found substantial levels of cropping system intensification from 2008 to 2016, as alternative (non-wheat) crops replaced summer fallow. This regional transformation in cropping systems is likely associated with increases in soil C sequestration and crop production, and decreases in herbicide use, contributing to higher net farm operating incomes.

Changes in cropland

Non-irrigated cropland in the study area increased from 3.9 to 4.0 million hectares from 2008 to 2016. Crop-fallow was the dominant dryland cropping system in 2008, consisting of 2.1 million hectares, or 53% of dry cropland (Figure 1). By 2016, however, crop-fallow only represented

42% of dry cropland, with mid-intensity and continuous rotations constituting 43% and 15% of dry cropland, respectively. Over the 9-year period, land in mid-intensity rotations increased by 0.3 million hectares, and land in continuous rotations increased by 0.2 million hectares, while land in crop-fallow decreased by 0.4 million hectares (Figure 1, Figure 2). Transitions to continuous rotations were most pronounced in northeastern Colorado and southwestern Nebraska, whereas transitions to mid-intensity rotations were most pronounced in western Kansas (Figure 2).

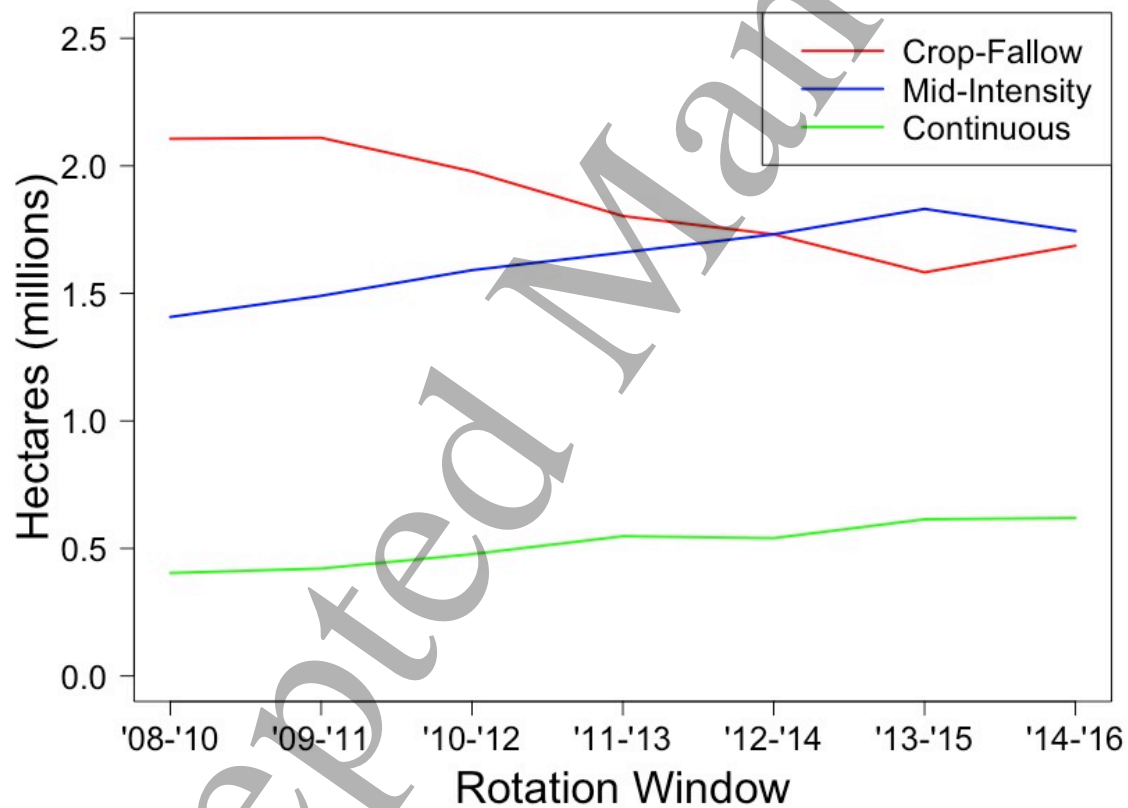
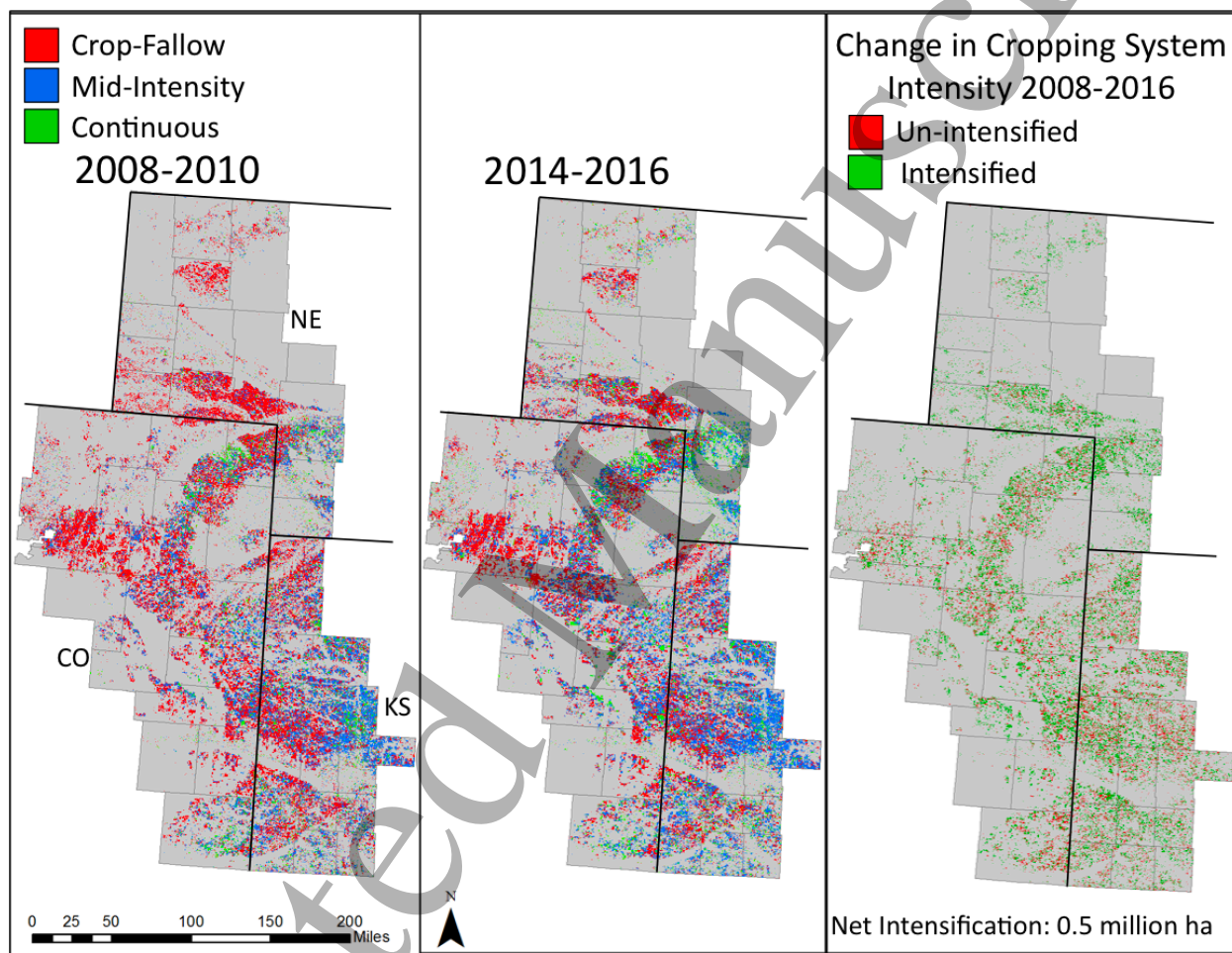


Figure 1. Land area in dryland cropping system rotations of crop-fallow (predominately wheat-fallow), mid-intensity (fallow 1 out of 3 years), and continuous rotations without fallow in 3-year

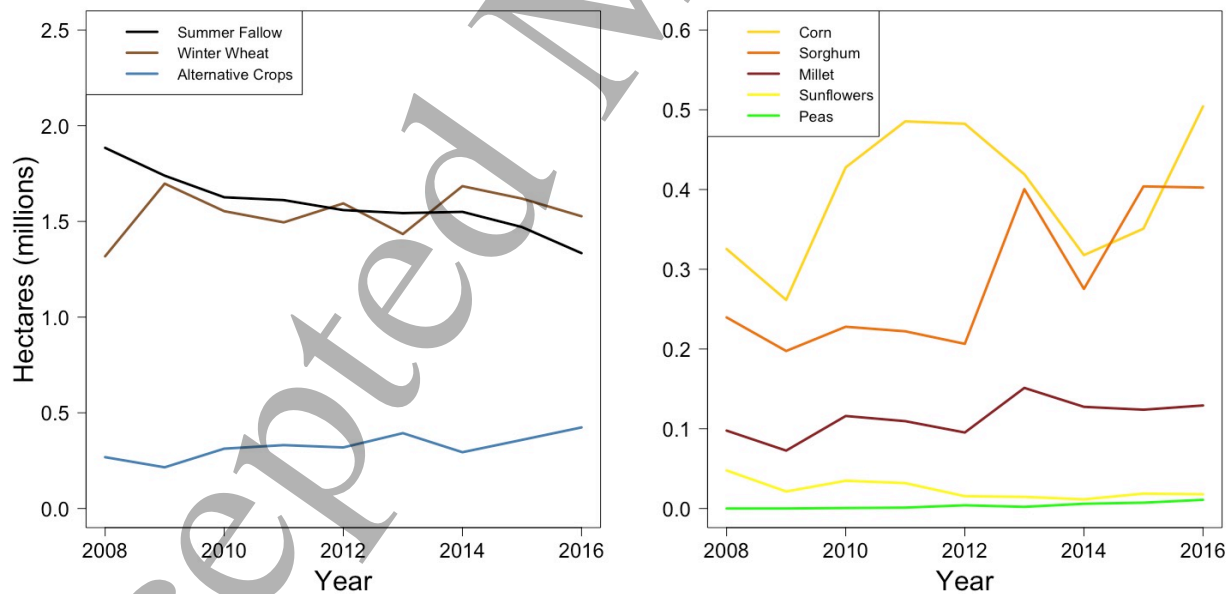
1
2
3 rotation windows from 2008 to 2016 in the High Plains region of Colorado, Kansas, and
4
5 Nebraska. Data derived from the NASS Croplands Data Layer.
6
7
8
9
10
11
12



43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure 2. Maps of dryland cropping system intensity derived from 3-year rotation windows starting in 2008 (left panel) and 2014 (middle panel), and the change in cropping system intensity between the two rotation windows (right panel) in the High Plains region of Colorado, Kansas, and Nebraska. Data derived from the NASS Croplands Data Layer.

1
2
3 Reductions in summer fallow from 1.8 to 1.3 million hectares (48% to 33% of dry cropland)
4 from 2008 to 2016 were largely matched by increases in alternative (non-wheat) crops (Figure
5 3). Four crops (corn, sorghum, millet, and field peas) increased by nearly 0.4 million hectares,
6 from 17% of dry cropland in 2008 to 26% by 2016 (Figure 3), while increases in winter wheat
7 remained proportional to overall increases in dry cropland. Corn and sorghum made up the vast
8 majority of alternative crop acreage, although pea and millet acreage also increased substantially
9 over the 9-year period (Figure 3). Surprisingly, sunflower acreage decreased by about a third.
10 There was large inter-annual variability in crop acreage. Crop prices were unrelated to annual
11 trends in crop acreage (data not shown). We suspect that large drops in acreage, as observed in
12 corn and sorghum in 2014, are mostly related to abnormally wet conditions that prevented
13 planting.
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29



30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Figure 3. Dryland area growing various crops or under summer fallow management from 2008 to 2016 in the High Plains region of Colorado, Kansas, and Nebraska. Data derived from the NASS Croplands Data Layer.

1
2
3
4
5 Alternative crops were adopted in distinct sub-regions within the High Plains (Figure 4). Growth
6 in sorghum production largely occurred in western Kansas and southeastern Colorado, and
7 replaced much of the corn production in these regions. Corn was largely adopted further north
8 (Figure 4). Millet adoption mostly occurred in mid and northeastern Colorado and the southern
9 Nebraska panhandle, and peas were adopted almost exclusively in northeastern Colorado and
10 Nebraska (Figure 4).
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

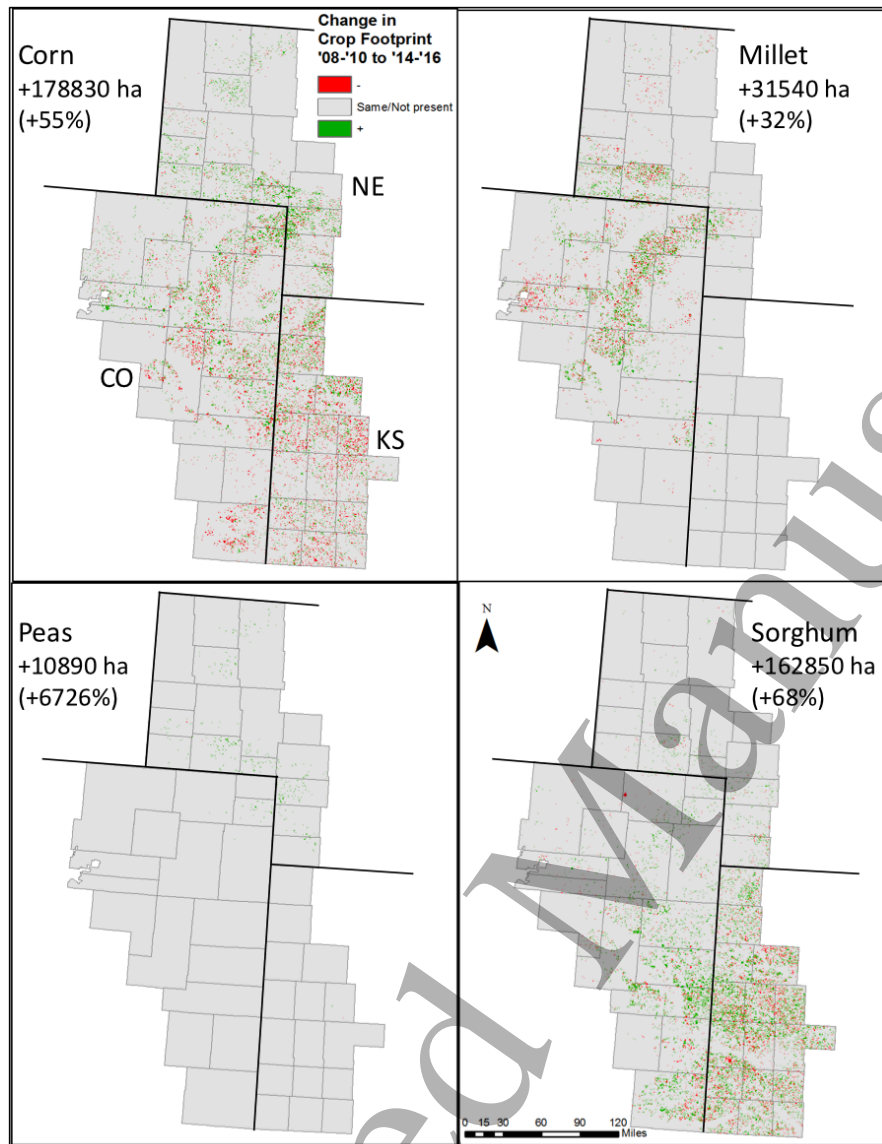


Figure 4. Change in production area of alternative dryland crops from 2008-2010 to 2014-2016 in the High Plains region of Colorado, Kansas, and Nebraska. Data represent net changes in cropland followed by the % change in parentheses.

Implications of Cropping System Intensification

Cropping intensity also corresponds to decreases in herbicide use. Rosenzweig *et al.* [12] found that continuously cropped farmers applied roughly 50%, 80%, and 60% less glyphosate, 2,4-D, and dicamba than wheat-fallow farmers, respectively, across this region. Scaling up these estimates to the landscape level suggests modest reductions in the use of three common herbicides (glyphosate, 2,4-D, and dicamba) were achieved from 2008 to 2016, despite increases in overall cropland. We estimate that annual glyphosate use decreased by 70 Mg acid equivalent (AE), or 1% (Figure 5a), 2,4-D use decreased by 150 Mg AE (6%) (Figure 5b), and dicamba use fell 7 Mg AE (1%) from 2008 to 2016 (Figure 5c). We estimate that annual N fertilizer use increased by 6,100 metric tons (3%) from 2008 to 2016 (data not shown).

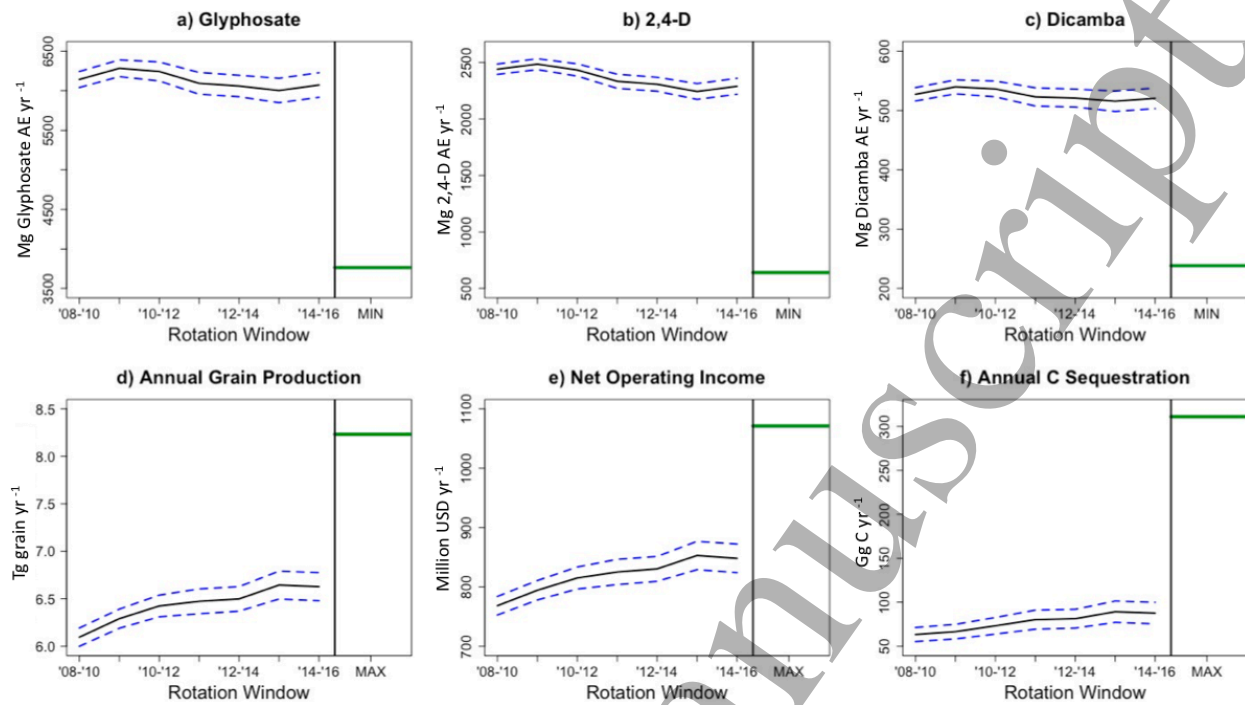


Figure 5. Landscape-scale estimates of a) Glyphosate use, b) 2,4-D use, c) Dicamba use, d) Annual Grain Production, e) Annual Net Income, and f) C sequestration in the High Plains region of Colorado, Kansas, and Nebraska from for each 3-year rotation window from 2008 to 2016. Data denote means (solid lines) \pm standard error (dashed lines), and green solid lines represent the theoretical maximum or minimum level for each metric based on 100% continuous crop adoption. Intensification effect estimates derived from Rosenzweig *et al.*, [12,15] based on soil sampling and management records from 96 no-till farm fields across the study region.

Using yield histories from 2010-2015, Rosenzweig *et al.* [12] found that mid-intensity and continuous rotations had 46% and 60% greater annualized grain production relative to wheat-fallow, respectively, after accounting for the effects of fertilizer use and climate. These annualized production increases are despite 29% lower wheat yields in rotations without summer fallow before wheat. Expanding these estimates to the landscape scale suggests larger gains in crop production were realized than can be accounted for by increases in dry cropped acres alone

1
2
3 (Figure 5d). Production increases associated with land conversion to cropland were 0.17 Tg grain
4
5 (3%) from 2008 to 2016. Including the annualized yield differences between cropping system
6
7 intensities suggests an increase in grain production of 0.53 Tg (9%) from 2008 to 2016 (Figure
8
9 5d).

10
11
12
13
14
15 Comparisons of partial enterprise budgets from 2010 to 2014 demonstrate the potential
16
17 implications of cropping system intensification for regional economies. Rosenzweig *et al.* [12]
18
19 found that annualized net incomes were 70% and 80% higher in mid-intensity and continuous
20
21 rotations compared to wheat-fallow, respectively. Scaling these results to the landscape level, we
22
23 estimate that annual net operating incomes in dryland agriculture increased by 80 million USD
24
25 (10%) from 2008 to 2016 due to intensification (Figure 5e).

26
27
28
29
30
31 We recently assessed soil organic carbon (SOC) stocks to 10 cm on 96 dryland no-till fields
32
33 across the full extent of the study area [15]. After accounting for potential evapotranspiration
34
35 (PET) and soil clay content as covariates, we found that no-till mid-intensity rotations stored on
36
37 average 0.02 Mg C ha⁻¹ yr⁻¹ and no-till continuous rotations stored 0.08 Mg C ha⁻¹ yr⁻¹ relative to
38
39 no-till wheat-fallow. Scaling these results to the landscape-level trends in cropping system
40
41 intensity suggests that soil C sequestration increased 38% from 2008 to 2016, with levels of
42
43 annual C sequestration from dryland agriculture reaching 88 Gg C yr⁻¹, or 0.32 MMTCO₂e, by
44
45 2016 (Figure 5f).

46
47
48
49
50
51 We predicted theoretical maximum grain production (Figure 5d), net operating income (Figure
52
53 5e), C sequestration (Figure 5f), and theoretical minimum herbicide usage (Figure 5a, 5b, 5c) by
54
55
56
57
58
59
60

1
2
3 calculating these metrics based on a scenario of 100% adoption of no-till, continuous cropping
4 practices. Theoretical minimum glyphosate usage (at 100% continuous cropping adoption) is
5
6 3760 Mg AE yr⁻¹, or about 60% of the 2016 level (Figure 5a). Minimum 2,4-D usage is 640 AE
7
8 yr⁻¹, or 28% of 2016 levels (Figure 5b). Minimum dicamba usage is 240 Mg AE yr⁻¹, less than
9
10 half of 2016 levels (Figure 5c).
11
12
13
14
15
16

17 Theoretical maximum grain production is 8.23 Tg grain yr⁻¹ (Figure 5e), or about 24% greater
18
19 than 2016 levels. The theoretical maximum net operating income based on 100% continuous no-
20
21 till suggests that over 1 billion USD yr⁻¹ could be generated before fixed costs, representing a
22
23 26% increase over today's operating incomes. If all dryland crop acreage transitioned to
24
25 continuous rotations, we estimate that annual C sequestration would reach 223 Gg C yr⁻¹ above
26
27 2016 levels.
28
29
30
31
32

33 **Discussion**

34
35
36
37

38 Our results suggest there are high rates of cropping system intensification and diversification,
39
40 even in the volatile and dry climate of the High Plains. Notably, since 2008, the dominant
41
42 dryland cropping system by land area changed from wheat-fallow to mid-intensity rotations. This
43
44 crossover is a historic landmark for agriculture in this region, as it almost certainly represents the
45
46 first widespread transformation in dryland cropping systems in the High Plains since the Dust
47
48 Bowl.
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 Trends towards increasing cropping system intensity reflect numerous shifts that are encouraging
4 or enabling farmers to intensify. First, no-till or some degree of reduced tillage is usually a
5 prerequisite for cropping system intensification, and the trends in cropping system intensification
6 mirror increasing acreage under no-till and reduced till [35]. Second, transitions to continuous
7 cropping have been linked to the emerging soil health movement, which has been effective in
8 disseminating stories of intensified and diversified dryland farmers who have been able to
9 increase yields while using fewer chemical inputs [36]. Third, crop genetic improvements have
10 created more economically viable choices for dryland farmers in the High Plains. For example,
11 new drought-resistant corn varieties have improved corn yields and survival in the High Plains,
12 enabling its use as a staple crop. However, corn remains one of the more risky crops in dryland
13 rotations under the highly variable weather conditions experienced in this region due to its high
14 vulnerability to drought during reproduction. Breeding research and alternative crop
15 development has been found to be a key driver of intensification in other regions, such as the
16 development of canola in Canada and Australia [2]. Lastly, improved access to markets and local
17 infrastructure for processing is a key driver of increases in sorghum and pea adoption in
18 particular. New pea processing facilities in western Nebraska provided new markets to enable the
19 increases in pea adoption in the region [37]. These large sub-regional increases in pea adoption,
20 as well as sorghum and millet, are underlying the trend toward increasing intensity in the broader
21 High Plains region. Still, there are many barriers – social, economic, political, and environmental
22 – that are likely to impede intensification to some degree [36, 38]. Examples include the
23 perceived economic risks associated with intensification due to lower wheat yields, and crop
24 insurance policy which does not allow continuous cropping in some counties [36]. To assess the
25 extent to which farmers are able to overcome these barriers, the simple procedure developed in
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 this study for measuring cropping system intensity can track the progress of the transformations
4 moving forward by annually tracking cropping patterns. This analysis can be applied to quantify
5 the extent of cropping system intensification in other regions as well, although it requires high-
6 resolution spatial crop data that may not be available in many countries.
7
8
9

10
11
12
13
14
15 The environmental benefits of changes in cropping patterns over the 9-year study period were
16 modest, but theoretical maximum levels of C sequestration suggest large potential impacts
17 should intensification continue. While there are no emissions reduction goals in Kansas or
18 Nebraska, an executive order in Colorado set statewide emissions reduction goals of 26% by
19 2025 relative to 2005 levels. Colorado agriculture produced 9 million metric tons of CO₂
20 greenhouse gas equivalents (MMTCO_{2e}), or about 7% of the state's emissions in 2005 [39]. Our
21 estimate of C sequestration due to intensification alone as of 2016 is roughly 0.32 MMTCO_{2e} yr⁻¹,
22 the equivalent annual emissions of roughly 70,000 passenger vehicles. These C sequestration
23 rates contribute over 3% emissions reductions in the agricultural sector of Colorado. The
24 theoretical C sequestration rate at 100% continuous crop represent emissions reductions of 0.82
25 MMTCO_{2e} yr⁻¹ above today's levels, which is almost a tenth of the greenhouse gas emissions in
26 the agricultural sector of Colorado. While it is highly unlikely that this maximum level of
27 adoption would be achieved by 2025, and considering these estimates are also derived from land
28 including counties in Kansas and Nebraska, these results demonstrate the potential for
29 agricultural soils to contribute towards emissions reduction goals. To refine these estimates,
30 future analyses should factor in changes in emissions associated with tractor use and fertilizers,
31 and the potential C storage associated with conversions from conventional till to no-till.
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54 Policymakers should also assess the potential risks of soil C loss given reversals in management.
55
56
57
58
59
60

1
2
3
4
5
6 Soil C sequestration not only acts in the service of climate change mitigation, but also provides
7 agroecosystem services associated with climate change adaptation and resilience. Increased soil
8 organic matter contributes to many association soil functions like water capture and storage,
9 erosion control, and nutrient supply that contribute to agricultural resilience and have the
10 potential to improve farm economies [40, 41]. Climate changes in the High Plains, particularly
11 rising temperatures and more erratic precipitation, threaten the profitability and productivity of
12 dryland agriculture in the region [42]. However, Robertson *et al.* [43] found that intensified
13 systems maintain higher soil carbon stocks and annualized crop production relative to wheat-
14 fallow under multiple future climate scenarios, suggesting that intensification may enhance the
15 resilience of dryland systems to climate change.
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30

31 Cropping system intensification represents a rare win-win strategy for environment and
32 economy, and our results suggest that there are significant regional economic benefits of the
33 widespread transformation. Improving the economic outcome of dryland agriculture is
34 increasingly critical as water resources for irrigation in the High Plains are dwindling while
35 competition for water from growing urban areas is increasing [44], and thus the region is likely
36 to see more frequent conversions from irrigated to dryland agriculture. Economic estimates
37 suggest these trends could result in several billion dollars in lost revenue in the Texas High
38 Plains [45, 46]. Therefore, boosting dryland economic prospects will be critical to maintaining
39 economic stability in the region. We estimated that cropping system intensification could boost
40 net farm operating incomes by several hundred million USD, and thus cropping system
41 intensification may be a key strategy for protecting the High Plains agricultural economy in the
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 face of growing water scarcity. While we estimated a 3% increase in annual nitrogen fertilizer
4 use over the study period due to increasing mid-intensity acreage, this increase is relatively small
5 compared to the productivity and profitability increases. Additionally, the benefits of
6 intensification, in particular greater grain production and lower herbicide use, also have societal
7 benefits beyond economics. Furthermore, because wheat-fallow has relatively low net
8 profitability per acre, large farm sizes are required to remain economically viable. Among the
9 farmers interviewed, Rosenzweig *et al.*, [35] found that continuous farms were less than half the
10 size of wheat-fallow and mid-intensity farms, enabled in part by higher profit per acre, and
11 required in part by an increase in complexity of managing a crop every year. At a time when the
12 majority of counties in the High Plains are experiencing depopulation [47], cropping
13 intensification could potentially reverse these trends by enabling profitability with smaller land
14 areas.

33 *Conclusion*

34
35 Recent evidence suggests that semi-arid dryland cropping systems around the world are
36 intensifying. However, this evidence was based on surveys of changes in annual crop and fallow
37 acreages, and thus we lacked an understanding of the degree of system-level intensification (mid-
38 intensity vs. continuous) and the implications for the environment and economy. We conducted a
39 spatial analysis of multi-year cropping patterns to quantify cropping system intensification in the
40 High Plains in order to understand the rate at which dryland farmers are intensifying, where they
41 are intensifying, and to estimate the potential environmental and economic outcomes. We found
42 that the High Plains, despite having an extremely dry and volatile climate that likely constrains
43 intensification, saw a profound shift in the dominant cropping system within the last decade;

1
2
3 intensified cropping systems are now practiced on the majority of dry croplands in the region.
4
5 We estimated that this transformation has the potential to contribute towards achieving
6
7 greenhouse gas mitigation targets, increasing food production, and generating millions of dollars
8
9 for farmers in the High Plains. As satellite data becomes more widely available, this analysis
10
11 could be extended to other semi-arid regions around the world to provide policymakers with
12
13 critical information about the implications of semi-arid cropping intensification.
14
15
16
17
18

19 **Data Availability Statement**

20
21 The data that support the findings of this study are available from the corresponding author upon
22
23 reasonable request.
24
25
26
27

28 **References**

- 29
30
31 1. Smith, E. and D.L. Young, *The economic and environmental revolution in semi-arid*
32 *cropping in North America*. *Annals of Arid Zone*, 2000. **39**(3): p. 347-362.
33
34
35 2. Maaz, T., et al., *Economic, policy, and social trends and challenges of introducing*
36 *oilseed and pulse crops into dryland wheat cropping systems*. *Agriculture, Ecosystems &*
37 *Environment*, 2018. **253**: p. 177-194.
38
39
40 3. Bot, A., F. Nachtergaele, and A. Young, *Land resource potential and constraints at*
41 *regional and country levels*. 2000: . Food & Agriculture Org.
42
43
44 4. Koohafkan, P. and B.A. Stewart, *Water and cereals in drylands*. 2008: Earthscan.
45
46
47 5. Plaza-Bonilla, D., et al., *Carbon management in dryland agricultural systems. A review*.
48 *Agronomy for Sustainable Development*, 2015. **35**(4): p. 1319-1334.
49
50
51
52
53
54
55
56
57
58
59
60

- 1
2
3 6. Peterson, G., et al., *Precipitation use efficiency as affected by cropping and tillage*
4 *systems*. Journal of Production Agriculture, 1996. **9**(2): p. 180-186.
5
6
- 7 7. Anderson, R., *An ecological approach to strengthen weed management in the semiarid*
8 *Great Plains*. Advances in Agronomy, 2003. **80**: p. 33-62.
9
- 10 8. Tilman, D., et al., *Forecasting agriculturally driven global environmental change*.
11 *Science*, 2001. **292**(5515): p. 281-284.
12
13
- 14 9. Tilman, D., *Global environmental impacts of agricultural expansion: the need for*
15 *sustainable and efficient practices*. Proceedings of the National Academy of Sciences, 1999.
16
17 **96**(11): p. 5995-6000.
18
19
- 20 10. Galloway, J.N., et al., *The nitrogen cascade*. *Bioscience*, 2003. **53**(4): p. 341-356.
21
22
- 23 11. Gilliom, R.J., et al., *Pesticides in the nation's streams and ground water, 1992-2001*.
24 *2006: US Geological Survey*.
25
26
- 27 12. Rosenzweig, S.T., M.E. Stromberger, and M.E. Schipanski, *Intensified dryland crop*
28 *rotations support greater grain production with fewer inputs*. Agriculture, Ecosystems &
29 *Environment*, 2018. **264**: p. 63-72.
30
31
- 32 13. Peterson, G.A. and D.G. Westfall, *Managing precipitation use in sustainable dryland*
33 *agroecosystems*. Annals of Applied Biology, 2004. **144**(2): p. 127-138.
34
35
- 36 14. Hansen, N.C., et al., *Research achievements and adoption of no-till, dryland cropping in*
37 *the semi-arid US Great Plains*. Field Crops Research, 2012. **132**: p. 196-203.
38
39
- 40 15. Rosenzweig, S.T., S.J. Fonte, and M.E. Schipanski, *Intensifying rotations increases soil*
41 *carbon, fungi, and aggregation in semi-arid agroecosystems*. Agriculture, Ecosystems &
42 *Environment*, 2018. **258**: p. 14-22.
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

16. Carolan, M., *The Sociology of Food and Agriculture*. Second Edition ed. 2016, New York, NY: Routledge.
17. USDA-ERS, *Farm Household Income Forecast*. Farm Household Well-being, 2017.
18. Van der Ploeg, J.D., *Agricultural production in crisis*. Handbook of rural studies, 2006: p. 258-277.
19. Derksen, D.A., et al., *Weed dynamics and management strategies for cropping systems in the northern Great Plains*. Agronomy Journal, 2002. **94**(2): p. 174-185.
20. Lal, R., *Carbon sequestration in dryland ecosystems*. Environmental Management, 2004. **33**(4): p. 528-544.
21. Conant, R.T., et al., *Measuring and monitoring soil organic carbon stocks in agricultural lands for climate mitigation*. Frontiers in Ecology and the Environment, 2011. **9**(3): p. 169-173.
22. Parton, W.J., et al., *Measuring and mitigating agricultural greenhouse gas production in the US Great Plains, 1870–2000*. Proceedings of the National Academy of Sciences, 2015. **112**(34): p. E4681-E4688.
23. Sherrod, L., et al., *Cropping intensity enhances soil organic carbon and nitrogen in a no-till agroecosystem*. Soil Science Society of America Journal, 2003. **67**(5): p. 1533-1543.
24. PRISM, *PRISM Climate Data*. Last accessed: May 13, 2018, 2017: p. <http://prism.oregonstate.edu/>.
25. USDA-NASS, *Croplands Data Layer*. 2017.
26. Stern, A.J., P.C. Doraiswamy, and E.R. Hunt, *Changes of crop rotation in Iowa determined from the United States Department of Agriculture, National Agricultural Statistics Service cropland data layer product*. Journal of Applied Remote Sensing, 2012. **6**(1): p. 063590-063590.

- 1
2
3 27. Sahajpal, R., et al., *Identifying representative crop rotation patterns and grassland loss in*
4 *the US Western Corn Belt*. Computers and electronics in agriculture, 2014. **108**: p. 173-182.
5
6
7 28. Han, W., et al. *Exploring continuous corn cropping patterns and their relationship with*
8 *geographic factors*. in *Agro-Geoinformatics (Agro-Geoinformatics), 2013 Second International*
9 *Conference on*. 2013. IEEE.
10
11
12
13 29. Plourde, J.D., B.C. Pijanowski, and B.K. Pekin, *Evidence for increased monoculture*
14 *cropping in the Central United States*. Agriculture, ecosystems & environment, 2013. **165**: p. 50-
15 59.
16
17
18 30. CDWR, *Geographic Information System Data*. Colorado's Decision Support Systems,
19 2017.
20
21
22 31. NDNR, *Landuse Data*. Nebraska Department of Natural Resources, 2017.
23
24
25 32. Peterson, D., et al., *Mapping irrigated lands by crop type in Kansas*. Pecora 18
26 proceedings, November, 2011: p. 14-17.
27
28
29 33. FSA, *Crop Acreage Data*. 2017.
30
31
32 34. Reitsma, K.D., et al., *Does the US cropland data layer provide an accurate benchmark*
33 *for land-use change estimates?* Agronomy Journal, 2016. **108**(1): p. 266-272.
34
35
36 35. USDA-NASS, *Quick Stats*. Last accessed: May 13, 2018, 2017: p.
37 <https://quickstats.nass.usda.gov/>.
38
39
40 36. Rosenzweig, S.T., M.S. Carolan, and M.E. Schipanski, *A dryland cropping revolution?*
41 *Linking an emerging soil health paradigm with shifting social fields among wheat growers of the*
42 *High Plains*. Rural Sociology, In Press.
43
44
45 37. Strepanovic, S.C., C.; Santra, D.; Adesemoye, T., *Field pea production: Rotational costs*
46 *and benefits*. University of Nebraska Lincoln, 2016.
47
48
49
50
51
52
53
54
55
56
57
58
59
60

- 1
2
3 38. Carlisle, L., *Factors influencing farmer adoption of soil health practices in the United*
4 *States: a narrative review*. *Agroecology and Sustainable Food Systems*, 2016. **40**(6): p. 583-613.
5
6
7
8 39. Arnold, S., J. Dileo, and T. Takushi, *Colorado Greenhouse Gas Inventory—2014*
9
10 *Update Including Projections to 2020 and 2030*. 2014, Colorado Department of Public Health
11 and Environment.
12
13
14
15
16 40. Wall, D.H., *Soil ecology and ecosystem services*. 2012: Oxford University Press.
17
18 41. Robertson, P.G., et al., *Farming for ecosystem services: An ecological approach to*
19 *production agriculture*. *BioScience*, 2014. **64**(5): p. 404-415.
20
21
22
23 42. USGCRP (US Global Change Research Program), *Climate Science Special Report:*
24 *Fourth National Climate Assessment*. 2017.
25
26
27
28 43. Robertson, A. D., et al. *Climate change impacts on yields and soil carbon in row crop*
29 *dryland agriculture*. *Journal of Environmental Quality*, 2018. **47**(4): p. 684-694.
30
31
32
33 44. Dennehy, K., D. Litke, and P. McMahon, *The High Plains Aquifer, USA: groundwater*
34 *development and sustainability*. Geological Society, London, Special Publications, 2002. **193**(1):
35 p. 99-119.
36
37
38
39 45. Guerrero, B., et al., *The economic value of irrigation in the Texas Panhandle*. 2010.
40
41
42 46. Yates, J., et al. *Regional economic impact of irrigated versus dryland agriculture in the*
43 *Texas High Plains*. in *Beltwide Cotton Conference Proceedings*. 2010.
44
45
46 47. Gowda, P., J.L. Steiner, C. Olson, M. Boggess, T. Farrigan, and M.A. Grusak,
47 *Agriculture and Rural Communities*. In: *Impacts, Risks, and Adaptation in the United States:*
48 *Fourth National Climate Assessment, Volume II* [Reidmiller, D.R., C.W. Avery, D.R. Easterling,
49 K.E. Kunkel, K.L.M. Lewis, T.K. Maycock, and B.C. Stewart (eds.)]. 2018. U.S. Global Change
50 Research Program, Washington, DC, USA, pp. 391–437.
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Accepted Manuscript