An Optimal Area Approach to Intercomparing Polarimetric Radar Rain-Rate Algorithms with Gauge Data

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ABSTRACT

An optimal area method is described that is used as a basis for comparing $K_{\rm DP}$ -, $(K_{\rm DP}, Z_{\rm DR})$ -, and Z_h -based estimates of rain rates with gauge-measured rain rates. The location and dimensions of an elliptically shaped optimal area within the radar scan area surrounding the gauge are determined objectively via an rms error minimization of the difference between the $K_{\rm DP}$ -based radar estimate and gauge data and via use of the spatial structure of the rms difference field itself. Four convective events were analyzed with rain rates in the range of 20–120 mm h⁻¹, with two of the events containing marble-sized hail. The analysis shows that excellent results could be achieved using $K_{\rm DP}$ -based rain-rate estimators.

1. Introduction

Polarimetric radar algorithms offer a physically based approach to rain-rate estimation. In particular, algorithms based on specific differential phase (K_{DP}) , or K_{DP} combined with differential reflectivity (Z_{DR}) , are not only relatively insensitive to drop size distribution (DSD) fluctuations but also offer several practical advantages (Chandrasekar et al. 1990; Ryzhkov and Zrnic 1995; Zrnic and Ryzhkov 1996). Only recently have research radars been able to routinely measure the differential propagation phase (Φ_{DP} ; Mueller 1984) from which K_{DP} is estimated. As a consequence, there have been relatively few opportunities for quantitative assessment of K_{DP} - or (K_{DP}, Z_{DR}) -based rain-rate algorithms with rain gauges (Aydin et al. 1995; Ryzhkov and Zrnic 1995). However, rain-rate algorithms based on (Z_h, Z_{DR}) have been quantitatively assessed against disdrometers and gauges; the results are remarkably consistent among the various studies in terms of bias (5%–10%) and fractional standard errors (30%–40%) (Goddard and Cherry 1984; Aydin et al. 1987; Aydin et al. 1990; Gorgucci et al. 1995).

Radar-rain gauge comparisons are complicated by various error sources, for example, space-time smoothing of radar-gauge data, horizontal drift of

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raindrops, changes in DSD with height, etc. (Zawadzki 1975, 1984). It is conventional to choose a square $L \times L$ measurement cell, centered on the gauge, over which the radar data are spatially averaged; it is also conventional to average the gauge rain rate over a time interval Δt . Zawadzki (1975) suggested a procedure for an optimum smoothing of radar and gauge data based on storm speed/direction and decorrelation distance/time of the rain-rate field. A comprehensive comparison of rainfall amounts from radar (via Z-R relations) and rain gauges was made by Austin (1987). This study emphasized the effects of various physical factors such as, for example, size distribution variations, hail bias, etc., on radar rain rates, and methods of compensation were proposed using different Z-Rrelations or limiting the maximum rain rate by truncating Z. Using radar-derived mean cell speed and direction, Austin (1987) used an advection procedure to obtain continuous time sequences of Z over the gauge location. Aydin et al. (1987) used a method of locating an optimal area over which the radar Z_h and $Z_{\rm DR}$ were averaged before comparing to the disdrometer. Their method was based on choosing those subareas or swaths within the radar PPI scan that maximized the (time delayed) cross correlation between radar Z_h and disdrometer-calculated Z_h . Their hypothesis was that such swaths would determine an optimal region that most likely contributed to the disdrometer measurements and, thus, be used as a basis for comparing $R(Z_h, Z_{DR})$ and $R(Z_h)$ against disdrometer-calculated R.

TABLE 1. System characteristics of the CSU-CHILL radar.

```
Antenna
                                                                             Fully steerable, prime focus parabolic reflector
  Type
 Size
                                                                             8.5 m in diameter
 Feed
                                                                             Scalar horn
  3-dB beamwidth
                                                                             45 dB
 Directivity
  Sidelobe level (any \phi plane)
                                                                             \leq -27 \text{ dB}
  Cross-polarization level (any \phi plane)
                                                                             \leq -30 \text{ dB}
 Polarization radiated
                                                                             Horizontal or vertical
Transmitter
 Type
                                                                             Klystron, modernized FPS-18
  Wavelength
                                                                             10.7 cm
 Peak power
                                                                              700-1000 kW
                                                                             Steps of 0.1 \mu s up to a maximum of 1.0 \mu s
 Pulse width
 PRT
                                                                             800-2500 \mu s
  Maximum unambiguous range
                                                                             375 km
  Maximum unambiguous velocity
                                                                             \pm 34.3 \text{ m s}^{-1}
  Noise figure
                                                                             0.7 dB
                                                                             -114 \text{ dBm}
  Noise power
                                                                             750 kHz
  Typical bandwidth
  Transfer function
                                                                             Linear
 Dynamic range
                                                                             90 dB, 0-60 dB IAGC in 12-dB steps
Variables available
  Reflectivity at horizontal polarization (Z_h)
  Differential reflectivity (Z_{DR})
  Mean Doppler velocity (\overline{v}) and spectral width (\sigma_v)
  Differential phase between horizontal and vertical states (\psi_{DP})
  Copolar correlation coefficient [\rho_{hv}(0)]
 Linear depolarization ratio (LDR)
 Doppler spectra from FFT processing
  I, Q, and log(P) for every pulse in time series mode (up to 150 gates)
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In this paper, an approach similar in principle to Aydin et al. (1987) is adapted using $K_{\rm DP}$ measurements with the CSU-CHILL radar. An objective procedure for locating an elliptically shaped optimal area is described that minimizes the rms error difference between $R(K_{DP})$ and gauge rain rate over an appropriate time interval. The dimensions of the ellipse are obtained from the spatial correlation structure of the rms error field. This approach sets the basis for evaluating different radar rain algorithms against gauge data. This type of methodology is based on the assumption that the $R(K_{DP})$ rain-rate estimator is essentially unbiased, as supported by past experimental evidence as well as through simulations (Ryzhkov and Zrnic 1995; Aydin et al. 1995; Chandrasekar et al. 1990). Our objective here is to quantitatively assess the performance of $R(K_{DP})$, $R(K_{DP}, Z_{DR})$, and Z-R algorithms for comparison against theoretical predictions.

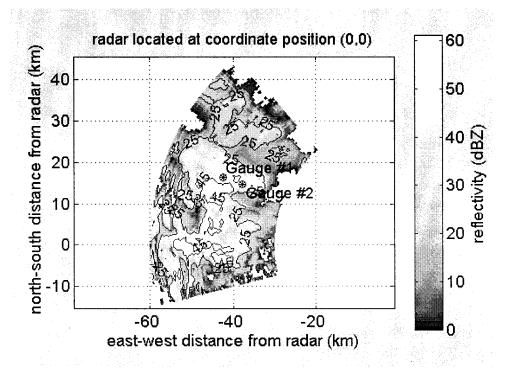
This paper begins with a brief description of data sources, followed by an overview of the CSU-CHILL radar measurements of four events: two of which occurred on 20 June 1994, one event occurred on 2 June 1995, and the final event was on 6 July 1996. A detailed description of the optimal area method is given next using the 2 June 1995 case as an example. Results from the four cases are then discussed and placed in context with past studies.

2. Data sources

a. CSU-CHILL radar

During the summer of 1994 (and prior to this), the CSU-CHILL radar was configured as a conventional single transmitter/single receiver system with a high-power polarization switch permitting measurement of Z_h , Z_{DR} , and Φ_{DP} . In January 1994 a new dual-polarized antenna with superior sidelobe levels (worst-case sidelobe of -27 dB) and excellent cross-polar characteristics was installed for a future modification to the system that would permit measurement of the complete polarimetric covariance matrix. This system upgrade to a two transmitters/two receivers configuration was completed in spring 1995. Table 1 summarizes the system characteristics of the CSU-CHILL radar configuration after the recent upgrades.

Radar data such as Z_h , $Z_{\rm DR}$, and $\Phi_{\rm DP}$ were available at resolution volumes spaced 150 m apart with a dwell time of 128 ms (64 horizontal/vertical polarized pulse pairs). Processing algorithms and measurement errors are given in Doviak and Zrnic (1993). Here, $K_{\rm DP}$ was derived by postprocessing the raw $\Phi_{\rm DP}$ range profiles using an adaptive digital range filtering algorithm described by Hubbert and Bringi (1995). The resulting $K_{\rm DP}$ accuracy is estimated to be within $\pm 0.5^{\circ}$ km⁻¹.



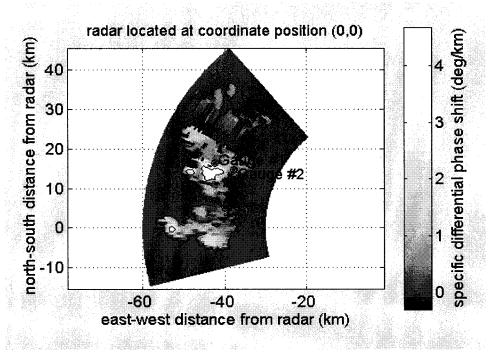
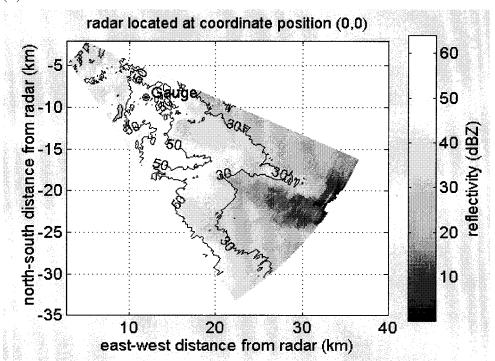


Fig. 1. (a) Contours of Z_h for 20 June 1994 event at 1437:21 MDT. PPI scan at elevation angle 0.52°. Locations of gauges 1 and 2 are marked. (b) As in (a) except contours of $K_{\rm DP}$ are shown.





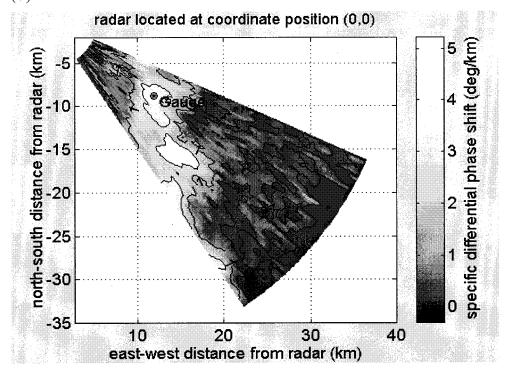
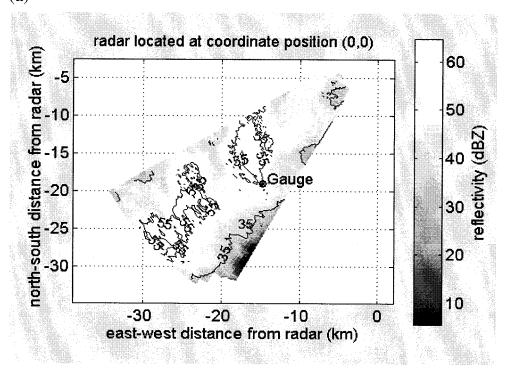


Fig. 2. (a) Contours of Z_h for 2 June 1995 event at 1812:14 MDT. PPI scan at elevation angle 0.98°. (b) As in (a) except contours of $K_{\rm DP}$ are shown.



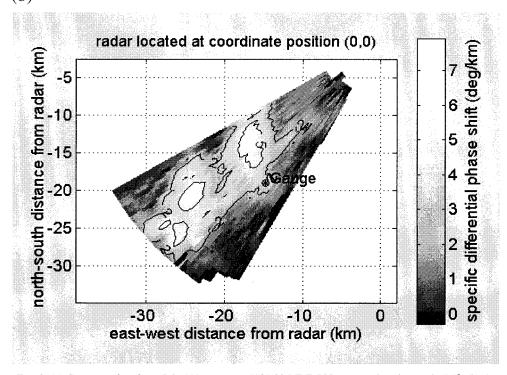


Fig. 3. (a) Contours of Z_h for 6 July 1996 event at 1931:00 MDT. PPI scan at elevation angle 1.1°. (b) As in (a) except contours of $K_{\rm DP}$ are shown.

Table 2. Radar algorithms of rain rate (mm h⁻¹) and references. Note: K_{DP} in deg km⁻¹, Z_{DR} in dB, and Z_h in units of mm⁶ m⁻³. Z_h , 55 means truncated at 55 (53, 51 dBZ), respectively.

$R(K_{\rm DP}) = 40.5 (K_{\rm DP})^{0.85}$	Chandrasekar et al. (1990)
$R(K_{\rm DP}, Z_{\rm DR}, 1) = 52.0[(K_{\rm DP})^{0.96}][Z_{\rm DR})^{-0.447}]$	Ryzhkov and Zrnic (1995)
$R(K_{\rm DP}, Z_{\rm DR}, 2) = 67.152[(K_{\rm DP})^{0.956}](10)^{-0.125(Z_{\rm DR})}$	Derived from Gorgucci et al. (1994)
$R(Z_h) = 0.017(Z_h)^{0.714}$	Kelsh (1989)
$R(Z_h,55) = 0.017(Z_h,55)^{0.714}$	_
$R(Z_h,53) = 0.017(Z_h,53)^{0.714}$	_
$R(Z_h, 51) = 0.017(Z_h, 51)^{0.714}$	_

b. Rain gauges

For the first event on 20 June 1994, a tipping-bucket gauge (gauge 1 in Fig. 1) at Christman Field (located about 1 km southeast of the Atmospheric Sciences Department at Colorado State University) was used. This gauge recorded rainfall accumulations every 5 min over the event duration of around 40 min. The distance of the gauge to the CSU-CHILL radar is 45.5 km. For the second event on 20 June 1994, a weighing-bucket gauge (gauge 2 in Fig. 1) with analog strip chart recorder located at the campus weather station was used. Rain rate was estimated from the graphical recording. The distance of the gauge from the CSU-CHILL radar is 40.7 km.

For the 2 June 1995 and 6 July 1996 events, a Young capacitance rain gauge (interfaced to a PC) was installed in a mobile chase van that was directed from the radar for storm intercept. The PC recorded rainfall accumulation every few seconds. The distance of the

gauge to the radar in these events was around 15 km for the 2 June 1995 and 24 km for the 6 July 1996 event. A comparison of the performance characteristics of the tipping-bucket and Young gauges can be found in Nystuen et al. (1996). For rain rate greater than 5 mm h^{-1} , the mean relative error (for 1-min rain rate) for the capacitance gauge was estimated at 14%; for rain rate greater than 50 mm h^{-1} , the error drops down to 12%. Similar errors for the tipping-bucket gauge were not reported since the rain-rate precision for a 1-min interval is ± 12 mm h^{-1} (based on one tip per minute).

3. Brief overview of storm events

a. 20 June 1994

An intense storm developed on this day over the foothills west of Fort Collins, Colorado, which was ob-

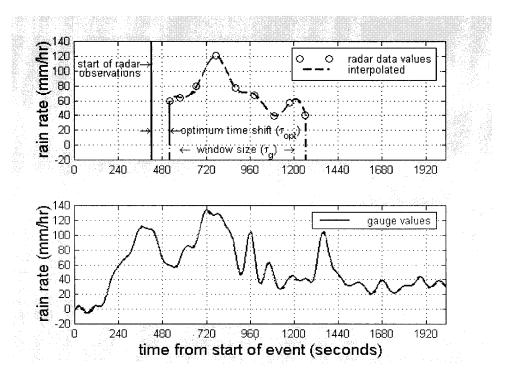
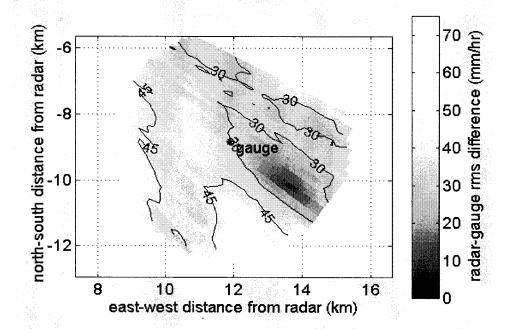


Fig. 4. (a) Top panel shows the radar rain-rate estimate $R(K_{\rm DP})$ from Table 2 for the 2 June 1995 event. (b) Bottom panel shows the gauge rain rate. The optimum time shift $(\tau_{\rm opt})$ is shown in the top panel.



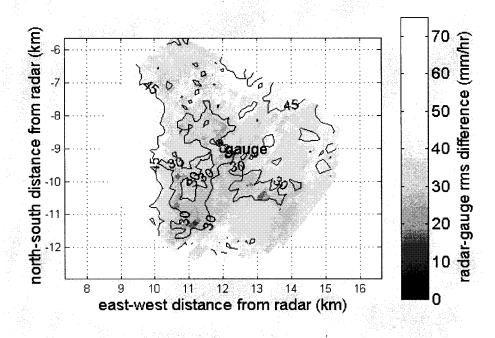
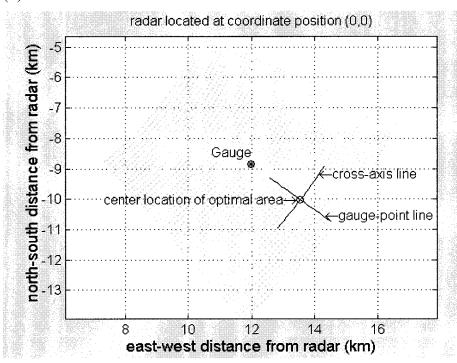


FIG. 5. (a) Contours of radar–gauge rms difference (mm h $^{-1}$) with τ set to $\tau_{\rm opt}$. The $R(K_{\rm DP})$ algorithm in Table 2 is used. Data are from the 2 June 1995 event. (b) As in (a) except $R(Z_{\rm h}, 53)$ algorithm from Table 2 is used.



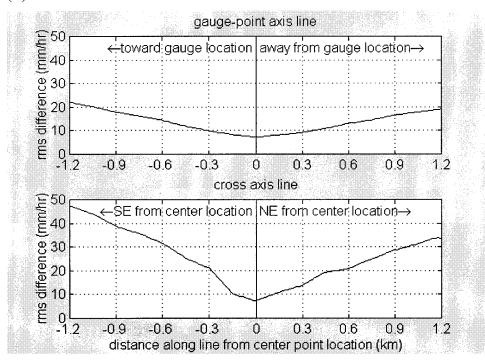


Fig. 6. (a) Location of the optimal area with respect to the gauge. The gauge–point axis line is shown together with the cross-axis line. Data are from the 2 June 1995 event. (b) Top panel shows the rms difference values (mm h^{-1}) along the gauge–point axis line. Bottom panel shows the values along the cross-axis line (with compass directions as indicated). (c) Top panel shows autocorrelation of the rms difference with decorrelation distance along the gauge–point axis line. Bottom panel as in the top panel except along the cross-axis line.

(c)

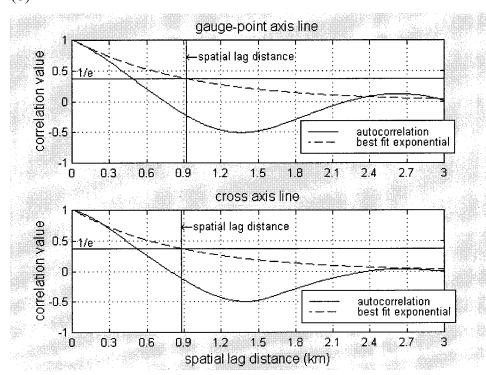


Fig. 6. (Continued)

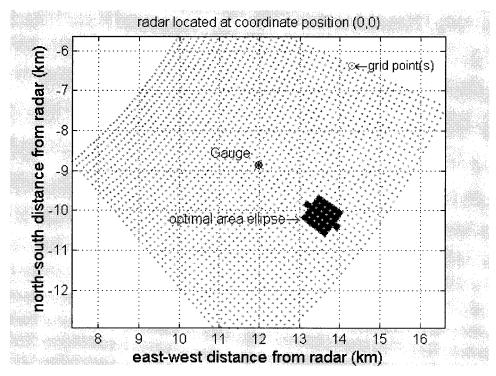
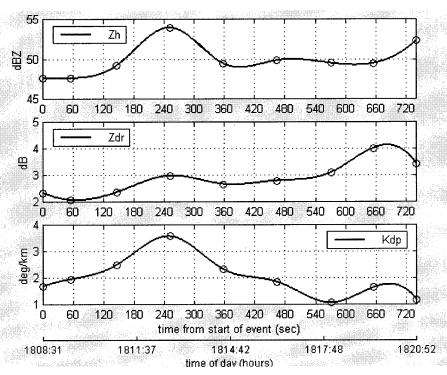


Fig. 7. Size and location of the optimal area with respect to the gauge for the 2 June 1995 event. Error minimization done using the $R(K_{\rm DP})$ algorithm from Table 2.





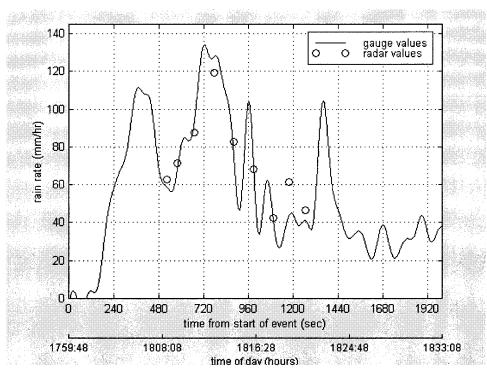


FIG. 8. (a) Time series of Z_h (top panel), $Z_{\rm DR}$ (middle panel), and $K_{\rm DP}$ (bottom panel) after averaging over the optimal area. Open circles show the data points. Event is 2 June 1995. (b) Radar rain rate from $R(K_{\rm DP})$ in Table 2 shown as open circles compared against the rain gauge (solid line). (c) Cumulative rainfall amounts from $R(K_{\rm DP})$, $R(K_{\rm DP})$, $R(K_{\rm DP})$, $R(K_{\rm DP})$, and $R(Z_h)$, 53) compared with the gauge amounts over time.

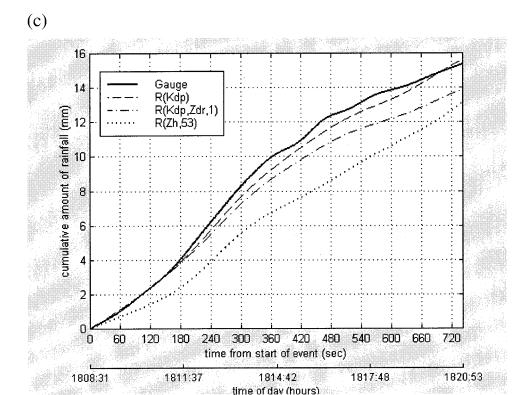


Fig. 8. (Continued)

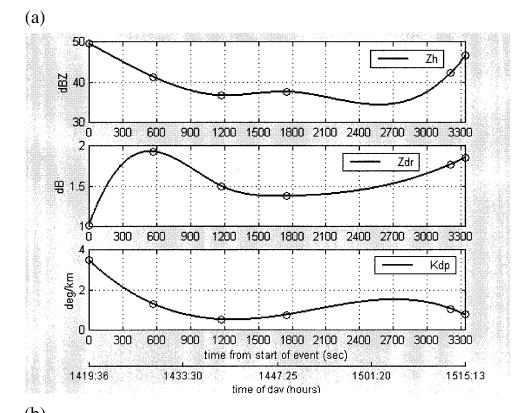
served by the CSU-CHILL radar for several hours. This storm dumped 2–3 in. (50–75 mm) of rain, causing urban flooding in Fort Collins. Observers at the Department of Atmospheric Science reported heavy rain mixed at times with marble-sized hail. This event is referred to as the first event (gauge 1 in Fig. 1) for this storm. The storm complex subsequently moved southeast over the campus area, which is referred to as the second event (gauge 2 in Fig. 1). No hail was reported during the campus event.

Figure 1 shows contours of (a) Z_h and (b) K_{DP} at an elevation angle of 0.5°, that is, PPI scan data, during the central time of the first event (1437 MDT).

Radar PPI data is laid onto a Cartesian plane with the radar fixed at coordinate position (0, 0). Axis units are in kilometers from the radar position; x axis is east—west and y axis is north—south distance. The locations of the two are gauges are shown in both panels. In (a) the sidebar gives the grayscale intensity of Z_h (dBZ) and in (b) $K_{\rm DP}$ (deg km $^{-1}$). PPI scans at this elevation angle were available at intervals of approximately 9 min, compared to the tipping-bucket recording interval of rainfall accumulation every 5 min. Figure 1 shows the cellular structure of Z_h with a small region of enhanced $K_{\rm DP}$, (up to 3.5° km $^{-1}$) just south of gauge 1.

TABLE 3. Characteristics and physical dimensions of the optimal areas.

r j						
Property	20 June 1994 (Gauge 1)	20 June 1994 (Gauge 2)	2 June 1995	6 July 1996		
ΔX distance from gauge (km)	-2.351	-1.287	+1.369	-0.979		
ΔY distance from gauge (km)	+1.115	+1.698	-1.181	0.215		
Distance from gauge (km)	2.602	2.131	2.021	1.003		
Ellipse dimensions						
Gauge-point axis length (km)	0.960	1.070	0.920	1.020		
Cross-axis length (km)	1.020	0.890	0.880	0.800		
Equivalent circular diameter (km)	0.990	0.976	0.900	0.903		
Axis ratio (minor/major)	0.94	0.83	0.96	0.78		
Orientation angle from radar bearing [abs(deg)]	3.7	30.9	0.8	64.2		
Height of radar observations above gauge (km)	0.413	0.369	0.255	0.240		
Beamwidth at gauge location (km)	0.795	0.709	0.259	0.421		
Optimum time shift, $ au_{ m opt}$ (s)	193	139	103	93		



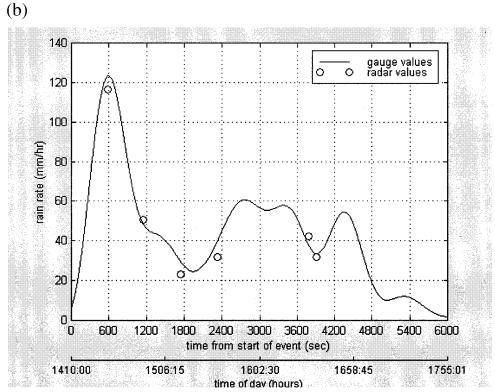


Fig. 9. (a) Time series of Z_h (top panel), $Z_{\rm DR}$ (middle panel), and $K_{\rm DP}$ (bottom panel) after averaging over the optimal area. Open circles show the data points. Event is 20 June 1994, gauge 1. (b) Radar rain rate from $R(K_{\rm DP})$ in Table 2 shown as open circles compared against the rain gauge (solid line). (c) Cumulative rainfall amounts from $R(K_{\rm DP})$, $R(K_{\rm DP}, Z_{\rm DR}, 1)$, and $R(Z_h, 53)$ compared with the gauge amounts over time.

(c)

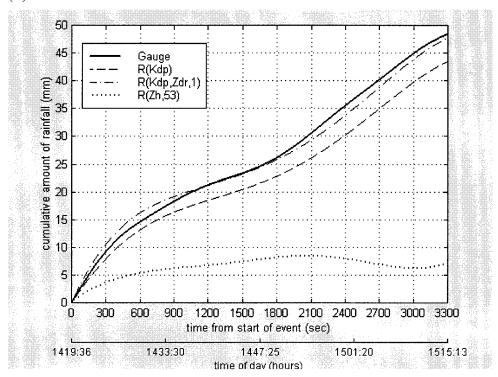


Fig. 9. (Continued)

b. 2 June 1995

On this day a mobile chase van with the Young gauge was directed from the radar to intercept a multicellular storm that produced intense rain rates over the van location. Observers in the van reported very tiny, peasized hail mixed with rain at times. Figure 2 shows contours of (a) Z_h and (b) $K_{\rm DP}$ at an elevation angle of 1.0° during the central time of the event (1812 MDT). Again, plots (a) and (b) are constructed similarly to those in Fig. 1. The gauge location is marked. PPI scans at this elevation angle were available at intervals of approximately 90 s. The reflectivity structure in Fig. 2a is multicellular. The $K_{\rm DP}$ structure in Fig. 2b shows two cells; around the gauge location $K_{\rm DP}$ exceeds 3.5° km⁻¹.

TABLE 4. Radar algorithms of rain rate (mm h⁻¹) and fractional standard error (%). Note: Algorithms are as defined in Table 2. Dash indicates entry is the same as the above previous value.

Algorithm	20 June 1994 (Gauge 1)	20 June 1994 (Gauge 2)	2 June 1995	6 July 1996
$R(K_{\mathrm{DP}})$	7.6	12.6	10.5	7.2
$R(K_{\mathrm{DP}}, Z_{\mathrm{DR}}, 1)$	35.9	19.1	12.4	15.8
$R(K_{DP}, Z_{DR}, 2)$	31.9	26.0	19.9	25.9
$R(Z_h)$	58.9	37.3	35.6	53.4
$R(Z_{h},55)$	_	_	_	36.3
$R(Z_h, 53)$	_	_	37.2	14.2
$R(Z_h, 51)$	_	_	39.4	30.7

c. 6 July 1996

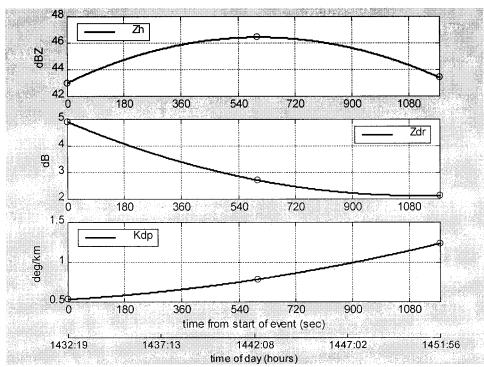
The mobile chase van was directed for a storm intercept on this day. Observers reported intense rain rates mixed with hail up to 1.7-cm diameter. Figure 3a shows the low elevation angle PPI scan of reflectivity at 1929 MDT. The gauge location is marked. In Fig. 3b several high $K_{\rm DP}$ cells are noted with the cell centered at (-16, -15 km), where $K_{\rm DP}$ is greater than 5° km⁻¹.

4. Optimal area method

Radar data such as Z_h , $Z_{\rm DR}$, and $K_{\rm DP}$ are available in polar coordinates for each PPI scan. At each polar grid point, time series of radar parameters and rain rates based on $R(K_{\rm DP})$, $R(K_{\rm DP}, Z_{\rm DR})$, and $R(Z_h)$ are generated (see Table 2) using consecutive PPI scans at the same elevation angle. These time series samples are spaced approximately 90 s apart for the 2 June 1995 event, approximately 560 s for the 20 June 1994 event, and approximately 50 s for the 6 July 1996 event.

The rain gauge accumulation data were sampled every few seconds for the 2 June 1995 and 6 July 1996 events and every 5 min for the gauge 1 event of 20 June 1994 event. Data from gauge 2 was available on a strip chart recorder and rain-rate estimates were based on 5-min accumulations. To match the radar and gauge sampling intervals, the gauge data were passed through a





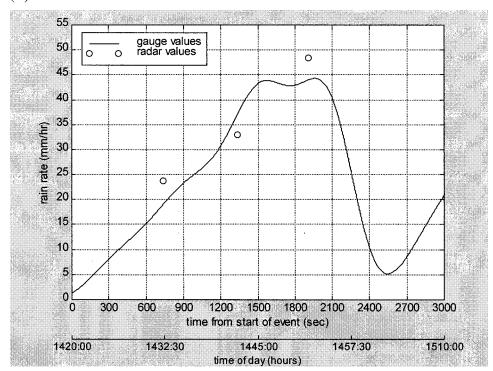


FIG. 10. (a) Time series of Z_h (top panel), $Z_{\rm DR}$ (middle panel), and $K_{\rm DP}$ (bottom panel) after averaging over the optimal area. Open circles show the data points. Event is 20 June 1994, gauge 2. (b) Radar rain rate from $R(K_{\rm DP})$ in Table 2 shown as open circles compared against the rain gauge (solid line). (c) Cumulative rainfall amounts from $R(K_{\rm DP})$, $R(K_{\rm DP})$,



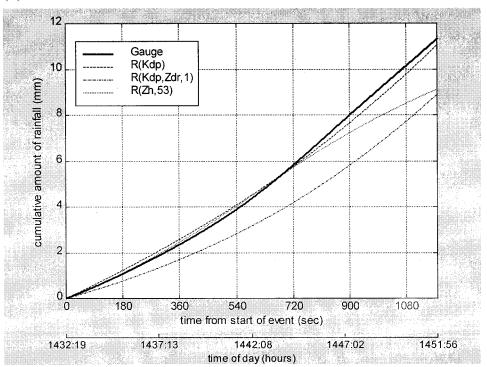


Fig. 10. (Continued)

low-pass filter with cutoff frequency adjusted to match the radar sampling rate for each event.

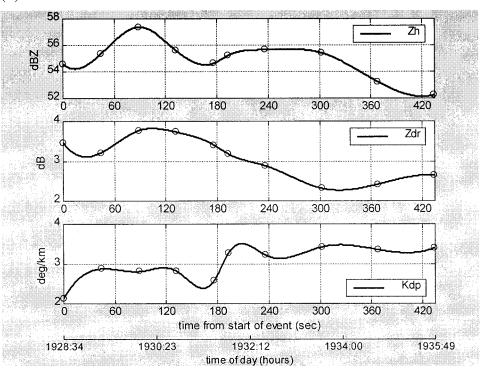
Data from the 2 June event are used in this section to describe the development of the optimal area method. An important consideration in the development of the optimal area is the temporal and spatial variability of rainfall. The location and spatial dimension of the optimal area is assumed to change with time. We use the gauge measurement to provide insight into choosing a statistically significant time window over which the comparison can be made. The length of time that an area is matched to the gauge report is determined by estimating the autocorrelation of the gauge rain rate over the entire rain event. A smooth, decaying exponential is best fit to the autocorrelation estimate. The time $\tau_{_{o}}$, where the value of the best-fit curve is equal to 1/e, is chosen as the window size for the event. The time series of the radar rain-rate estimate is cropped to a window size that is τ_g in length. The shortened time series are then used for comparison against the rain gauge data.

Radar data are shifted in time across the gauge data. The rms difference (mm h^{-1}) between the two measures is calculated at successive time increments. The minimum radar—gauge rms value is then found from all of the time lag calculations:

rms = min
$$\left\{ \frac{1}{n} \sum_{i=1}^{n} [R_{ii}(t + \tau) - R_{gi}(t)]^{2} \right\}^{1/2}$$
 mm h⁻¹, (1)

where R_{ri} and R_{gi} are the radar-estimated and gaugemeasured rain rates, respectively. Figure 4a shows $R(K_{DP})$ from Table 2 versus time through a point located southeast of the gauge. Gauge time is considered as the absolute frame of reference. Figure 4b shows the filtered gauge rain-rate data. Radar time samples are paired to a corresponding gauge value, and the rms difference between the two series is calculated. The radar time series is shifted ahead sequentially over the interval 0 $\leq \tau \leq \tau_{e}/2$. This procedure is repeated for all of the radar time series that were previously constructed through each grid point. For this example, the best radar-gauge match is obtained when the radar data is slid 103 s ahead in time, demonstrating a forward delay between the radar measurement and the gauge measurement. When all of the radar-gauge matches are completed, resulting in contours of radar-gauge rms difference (mm h⁻¹) for all of the points in the grid, the minimum rms value is found from all possible point locations. The polar grid point that contains the time series that has the smallest rms difference is chosen as the center location of the optimal area. The time lag associated with this point is used as the initial optimal time lag $\tau_{\rm opt}$ for this radar set. Now, τ in Eq. (1) is set to $\tau_{\rm opt}$ and the rms difference for each radar–gauge comparison is recomputed. The result is shown in Fig. 5a; areas of minimum rms difference centered near (14, -10 km) are accentuated, while large rms difference





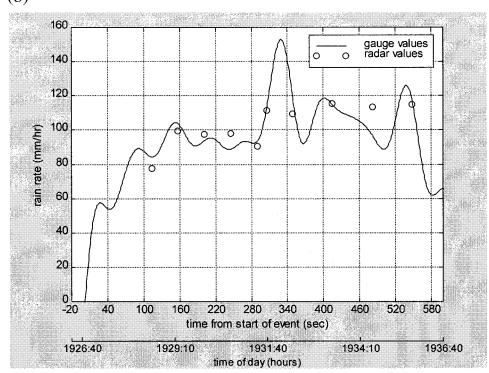


Fig. 11. (a) Time series of Z_h (top panel), Z_{DR} (middle panel), and K_{DP} (bottom panel) after averaging over the optimal area. Open circles show the data points. Event is 6 July 1996. (b) Radar rain rate from $R(K_{DP})$ in Table 2 shown as open circles compared against the rain gauge (solid line). (c) Cumulative rainfall amounts from $R(K_{DP})$, $R(K_{DP})$, $R(K_{DP})$, $R(K_{DP})$, and $R(Z_h)$, 53) compared with the gauge amounts over time.

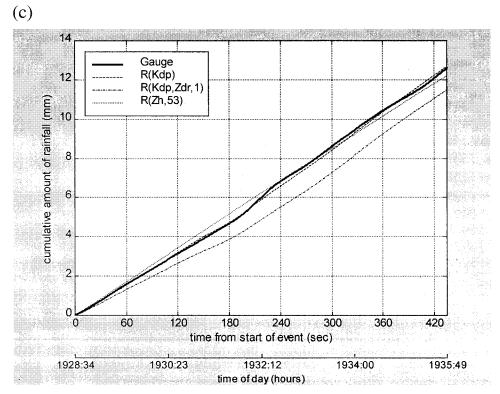


Fig. 11. (Continued)

areas are deemphasized, providing a clear resolution of the candidate optimal areas. Figure 5b shows contours of the rms difference field when the $R(Z_h, 53)$ algorithm from Table 2 is used. It is clear that a well-defined areal minimum cannot be located. Part of the reason why the $R(K_{DP})$ algorithm yields a well-defined areal minimum (see Fig. 5a) is that it has a closer relationship to the true rain rate. However, $K_{\rm DP}$ is derived from filtered range profiles of $\Phi_{\rm DP}$. On the other hand, the $R(Z_h, 53)$ algorithm uses "raw" reflectivity values. If Z_h is filtered along range to the same extent as $\Phi_{\mbox{\tiny DP}},$ a long and skinny areal minimum is obtained that is aligned along the radial to the radar. The areal minimum in Fig. 5a is not long and skinny, although it is aligned along the radial to the radar (in this case). Thus, while radial smoothing of the $\Phi_{\mbox{\scriptsize DP}}$ data is conducive to the generation of a welldefined areal minimum for the rms error field, we believe that the closer physical relationship between $K_{\rm DP}$ and rain rate also plays a role.

The geometry of the optimal area is taken to be elliptical to provide sufficient flexibility in the construction of the optimal area. One axis of the ellipse is directed along a line running from the gauge location to the candidate point location referred to as the "gauge-point axis line." The cross-axis line is orthogonal to the gauge-point line. The two lines cross at the center of the ellipse, which is coincident with the minimum point location that was previously found. An example is shown in Fig. 6a that shows a candidate optimal area

center point location relative to the gauge location. Figure 6b shows the rms error along the gauge-point and cross-axis lines. The next task is to use the rms error structure to define the dimensions of the optimal area. Rather than using an arbitrary cutoff level of the error measure, an adaptive procedure is used. The ellipse dimensions of the optimal area are estimated from the decorrelation distances of the rms error along the gaugeaxis and cross-axis lines, as illustrated in Fig. 6c. Figure 7 shows the location of the gauge and the elliptical optimal area. In this case the major axis is 0.92 km and the minor axis is 0.88 km (see also Table 3). To avoid arbitrarily small optimal areas that can occur in the error minimization procedure, the equivalent circular diameter must be at least as large as the radar beamwidth. The mean value of the radar parameters Z_h , Z_{DR} , and $K_{\rm DP}$ are then determined within the elliptical area. These new values are then used to recalculate the radar-estimated rain rate using the algorithms in Table 2 that are then compared to the gauge measurements.

Several comments on the method are relevant here. For the four events analyzed, the optimal ellipse's axis ratio is typically 0.8–0.9 (see Table 3); that is, they are not long and skinny. In addition, the angle between the ellipse's major axis and the radial to the radar is given in Table 3, and for two of the events (20 June gauge 2 and 6 July 1996) this angle is around 30°–60°. Thus, the ellipse is not always aligned along the radial. These properties of the optimal ellipse suggest that radial

smoothing of the $\Phi_{\rm DP}$ data (which is necessary for accurate $K_{\rm DP}$ determination) is not the only reason why a well-defined areal minimum in the rms difference field occurs While it is possible to use Eq. (1) with $Z\!-\!R$ relations (e.g., see Fig. 5b), and thereby arrive at an optimal ellipse, the location of the ellipse could be in error since $Z\!-\!R$ relations are generally biased. We also note here that this methodology is appropriate only when unbiased rain-rate algorithms are used.

5. Results and discussion

In all the four events described below the $R(K_{\rm DP})$ algorithm (see Table 2) is used to derive the optimal area.

a. 2 June 1995 event

As mentioned in section 3, this event was dominated by heavy rainfall with practically no hail mixed in. Table 3 lists the parameters of the elliptical optimal area, the optimum time shift, and the height of the radar measurements above ground. The location of the optimal area is consistent with observed rain cell movement from the southeast direction. The area over which the radar measurements are averaged is generally consistent with the optimal area analysis of Aydin et al. (1987).

Figure 8a shows the radar parameters Z_h , Z_{DR} , and $K_{\rm DP}$ averaged over the optimal area versus time. Note the coincidence of peak Z_h of 54 dBZ and peak K_{DP} of 3.5° km⁻¹ at 240 s. Toward the end of the event, a region of $Z_h \approx 50$ dBZ, $Z_{DR} \approx 4$ dB, and lower $K_{DP} \approx 2^{\circ}$ km⁻¹ indicative of lower rain rates but with a larger preponderance of bigger-sized drops can be noted. Figure 8b shows the gauge rain-rate profile for the entire event (solid line) compared with $R(K_{DP})$ from the radar (open circles). Note the excellent agreement between the two rain-rate profiles. Figure 8c compares the time cumulative rainfall profile obtained from the various rainfall algorithms with gauge data. It is clear that the K_{DP} -based algorithms follow the gauge profile very well compared to $R(Z_h, 53)$. The fractional standard error (FSE) in rain rate is defined as

$$FSE = \frac{\{\langle (R_i - G_i)^2 \rangle\}^{1/2}}{\langle G_i \rangle}, \qquad (2)$$

where G_i is the gauge rain rate, R_i is the corresponding rain rate from the radar-based algorithms, and angle brackets ($\langle \rangle$) indicate sample averaging. Table 4 lists the FSE values of the various algorithms. The FSE values for the K_{DP} -based algorithms are in the range obtained from theoretically based simulations of gamma DSD and measurement errors (Chandrasekar et al. 1990; Ryzhkov and Zrnic 1995). For example, the simulations of Ryzhkov and Zrnic (1995) showed that the FSE using K_{DP} -based algorithms can be in the range 5%–20% in agreement with the results in Table 4. The Z_n -based algorithms in Table 4 give an FSE around 35%–40%

in good agreement with simulations (Chandrasekar and Bringi 1988) and observations of Aydin et al. (1990).

b. 20 June 1994 event: Gauge 1

As mentioned in section 3, this event was characterized by marble-sized hail mixed with rain. The PPI scans performed on the 20 June storm were not optimal for radar-gauge comparisons using the optimal area method. For example, the 0.5° elevation angle sweep was repeated with interval of approximately 500 s. The tipping-bucket gauge recorded rainfall amounts only every 5 min from which rain rate was calculated. Table 3 lists the characteristics of the optimal area for this event. Figure 9a shows the radar measurements averaged over the optimal area. Figure 9b compares $R(K_{DP})$ with gauge rates and excellent agreement is noted. Figure 9c compares the cumulative rainfall amounts from the various algorithms, and again good agreement is noted except for $R(Z_h, 53)$, which underestimates by 80%. Table 4 lists the FSE values for this case. Because the Z_h values averaged over the optimal area are less than 51 dBZ, no change in FSE is seen for the various truncation levels. It is not clear why the FSEs are so large for $R(K_{DP}, Z_{DR})$ algorithms; perhaps it is related to marblesized hail. However, they introduce very low bias (less than 8%).

c. 20 June 1994 event: Gauge 2

There was no hail contamination during this event based on visual observations. Table 3 lists the characteristics of the optimal ellipse. Figure 10a shows the averaged radar data, and the poor radar time sampling is clearly evident since only three data points are available within the time window. Figure 10b compares the rain rate, while Fig. 10c compares the rain accumulation The FSE values in Table 4 for this event are in the range 10%-25% for the $K_{\rm DP}$ -based algorithms, and around 40% for the Z-R, again, in agreement with theoretical results.

d. 6 July 1996 event

This event was characterized by marble-sized hail (up to 1.7-cm diameter) mixed with intense rain. Table 3 lists the optimal ellipse parameters. Figure 11a shows the averaged radar data, while Fig. 11b compares rain rates showing excellent agreement. Table 4 lists the FSE values that are in general agreement with theoretical simulations, while Fig. 11c shows the cumulative rainfall amounts. In this case, the $R(Z_h, 53)$ algorithm produced low FSE (14%) and negligible bias.

6. Conclusions

An optimal area method is described that is used as a basis for comparing radar-based rain-rate estimators with gauge rain rates. The location and dimensions of an elliptically shaped optimal area within the radar PPI scan are determined objectively via an rms error minimization procedure between the radar-based rain-rate estimator, $R(K_{\rm DP})$, and gauge-measured rain rate, and via using the spatial structure of the rms error field. This structure revealed a well-defined areal minimum partly because of a close physical relationship between $K_{\rm DP}$ and rain rate. Though, in principle, any rainfall algorithm can be used in the error minimization procedure, this does not guarantee a "meaningful" optimal area unless the algorithm is unbiased.

The optimal area-averaged rain rates using $K_{\rm DP}$ - and Z_h -based estimators were compared with the gauge rain rate, and fractional standard errors were determined. The cumulative rainfall amounts were also compared. The fractional standard errors obtained when using $R(K_{\rm DP})$ or $R(K_{\rm DP}, Z_{\rm DR})$ were generally in agreement with theoretically predicted simulation results (Ryzhkov and Zrnic 1995). Our results further support the conclusions of Ryzhkov and Zrnic (1995) and Aydin et al. (1995) that $K_{\rm DP}$ -based rain-rate estimators offer an excellent, physically based radar method of measuring rain rates in precipitation contaminated or uncontaminated by hail.

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