

Improving Cloud-Top Divergence Signals with a Bilateral Filter

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Abstract

Severe weather intensity trends can be monitored from satellite imagery over regions with sparse radar coverage using novel products available from optical flow retrievals. For example, cloud-top divergence rendered from the retrieved brightness motions, provides an indirect measure of updraft intensity with time. Recent demonstrations have now shown that dense optical flow can render sub-storm scale (< 5 km) motions, which appear noisy to operational forecasters during warning operations evaluations. The bilateral filter, a spatial signal smoothing filter that retains large-scale features while attenuating signal noise, is an approach for removing any unwanted cloud-top divergence noise signals while preserving the large-scale signals forecasters use in practice. Little is currently understood, however, on how such filters modify observed trends and magnitudes in cloud-top divergence, in particular how such magnitudes change during and in advance of severe weather observations at the ground. Two bilateral filter sizes were evaluated on a mid-latitude supercell in the Great Plains and tropical convection off the Northeast coast of South America, and it was determined that a bilateral filter with a gaussian sigma size of 2.5 adequately removed unwanted signals for both cases while maintaining the 5 min severe weather lead time associated with cloud-top divergence. Additionally, filtered signals were reduced by $\sim 38\%$ to $\sim 60\%$ for sigma sizes of 2.5 and 5.0 respectively.

Section 1. Introduction

Severe weather conditions characterized by powerful winds, tornadoes, and hail, inflict substantial damage upon communities throughout the United States annually. In 2024 alone, severe weather cost 51 United States citizens lives and \$41.3B in damages ([U.S. Billion-Dollar Weather Disasters, 2025](#)). Meteorologists typically observe these features via ground-based dual-polarization radar. Unfortunately, radar has limited spatial coverage over the United States – particularly in unpopulated regions, mountainous regions, and bodies of water, and limited temporal coverage, often with updates only provided every ~ 5 min. The introduction of Geostationary (GEO) Operational Environmental Satellites (GOES) provides fine spatial (down

30 to 0.5 km), spectral (2 visible and 4 near infrared and 10 infrared band), and temporal resolution
31 (≤ 1 min) imagery over observed storms with near-hemispheric coverage w.

32 The Super Rapid Scan (SRS) capabilities of GOES-R enable retrievals of Optical Flow
33 (OF) fields for most cloud-drift motions. New OF retrieval methods can even render dense (every
34 image pixel) motions which allow for the resolution of fine-scale flow fields and subsequent
35 properties. OF enables a variety of new products in satellite imagery like the retrieval of
36 atmospheric winds (Velden et al. 2005), improvements in multi-satellite image compositing via
37 temporal interpolation (*in review* [Apke et al. 2024](#)), simulated mesosectors over the Continental
38 United States (CONUS) ([Rogers, et al. 2020](#)), and feature tracking relevant for atmospheric
39 sciences such as inferring the presence of outflow boundaries (Apke et al. 2020). Beyond satellite
40 applications, OF is used in autonomous “self-driving” cars, object tracking in advanced security
41 cameras (crowd safety) and traffic monitoring systems (traffic diagnostics and speed regulation),
42 and special effects for computer-generated imagery (CGI) in movies like *Avatar* (2009) and *The*
43 *Matrix* (1999; Fortune et al. 2015).

44 This paper focuses on OF for satellite imagery in Cloud-Top Divergence (CTD) derivation.
45 CTD is a measure of outflow atop a storm via navigated OF fields which can be observed during
46 the mature phase of deep convection (DC). CTD can be linked to tendencies in updrafts via mass
47 continuity at the equilibrium level (i.e. stronger vertical deceleration equates to stronger horizontal
48 outflow which can be detected by the satellite). Previous studies have shown a strong relationship
49 between the intensity of DC and retrieved CTD, including the occurrence of strong downburst
50 winds, tornadogenesis, and large hail growth (Apke 2018 – all sources). Older techniques for
51 retrieving CTD, however, relied on objective analysis from sparse OF retrieval techniques that
52 often missed or mischaracterized flow trends (Apke and Mecikalski 2021). With more novel
53 techniques to render OF now becoming operational, including those which can handle motion
54 discontinuities and strong deformations, it is time to revisit CTD computation, appropriate
55 methods for displaying such data, and typical magnitudes for intense storms.

56 One main purpose of this paper is to increase the user-interpretability of products using
57 CTD. Feedback from the 2024 HWT highlighted the spatiotemporal noise in CTD signals. Any
58 improvements to CTD signals must be re-evaluated in terms of their lead times, as well as the need
59 for reliable severe weather threshold values for these signals.

60 The magnitudes of such CTD vary with different retrieval algorithms as the assumptions
61 within OF retrievals and finite differencing of navigated motions vary (i.e. Apke and Mecikalski
62 2021). Previous methods for OF retrievals (e.g. Apke et al. 2016; 2018) typically resolved flow
63 features on the order of ~20 km, while new OF retrieval methods resolve sub-storm-scale features
64 (~5 km). Feedback from the 2024 Hazardous Weather Testbed ([Theil 2024](#)) included critiques of
65 CTD in such features as noisy “spiderwebbing” (Figure 3) which visually diminished salient CTD
66 signals related to DC intensity. Application of spatial smoothing filters may resolve such artifacts.

67 This paper evaluates the application of a bilateral filter on the OF motion-fields used for
68 CTD derivation to remove the unwanted CTD signals while maintaining CTD signals related to
69 severe weather, such as large hail (>1in), strong winds, and tornados. This will be crosschecked
70 with Multi-Radar Multi-Sensor (MRMS; [Zhang 2016](#)) reflectivity and Maximum Expected Size
71 of Hail (MESH) estimates along with storm reports. Threshold values for various bilateral filter
72 sizes will be derived from MRMS MESH \geq 1in. Analysis of the case studies will result in CTD
73 threshold value ranges that correspond to severe weather.

74 **Section 2. Background**

75 *Optical Flow*

76 OF methods are described in detail in [Baker et al. \(2011\)](#), though a high-level description
77 is provided here. OF is defined as the “distribution of apparent velocities of movement of
78 brightness patterns in an image” ([Horn and Schunck 1981](#)). Objective retrieval relies on
79 assumptions that the brightness (and brightness gradients) is unchanging between the two images
80 (as human vision perceives such motions) and are realistically smooth. Methods for retrieval
81 include so-called “Variational” (penalty function minimization) techniques (as in Horn and
82 Schunck 1981), and, more recently, fully data-driven machine-learning based methods (e.g. Teed
83 and Deng 2020). When performed on satellite imagery, such displacements often represent cloud
84 drift motions which can be navigated and height assigned as an approximation for winds (e.g.
85 Velden et al. 2005), used to track features with time (Apke et al. 2020), and even to retrieve time-
86 trends atop moving clouds (e.g. cloud-top cooling; Mecikalski et al. 2006).

87 An emerging approach for rendering satellite-based cloud-drift motions is the Optical flow
88 Code for Accurate Nowcasting Experiments (OCTANE), first implemented in Apke et al. ([2022](#)).
89 OCTANE employs a “Variational” approach by Zimmer et al. (2011) method for retrieving DOF

90 fields by constructing a global energy functional, following the Brox et. al. (2004) method to
91 minimize this functional. OCTANE outperformed state-of-the-art techniques used for winds
92 retrieval in operations (including what was used in Apke et al. 2018) when compared to a lidar
93 wind-profiler truth dataset and has demonstrated value in retrieving divergence signals relevant to
94 convection intensity and warning operations decision making (Thiel 2023, 2024). While data-
95 driven methods can also derive similar dense optical flows (often with improved overall accuracy),
96 they often suffer from setbacks in retrieving fine-scale motions that are most relevant for
97 meteorological applications (e.g. Hilburn et al. 2020). Hence, this research focuses on using
98 variational retrievals instead to render cloud-top divergence.

99 *Cloud-Top Divergence in Relation to Severe Weather*

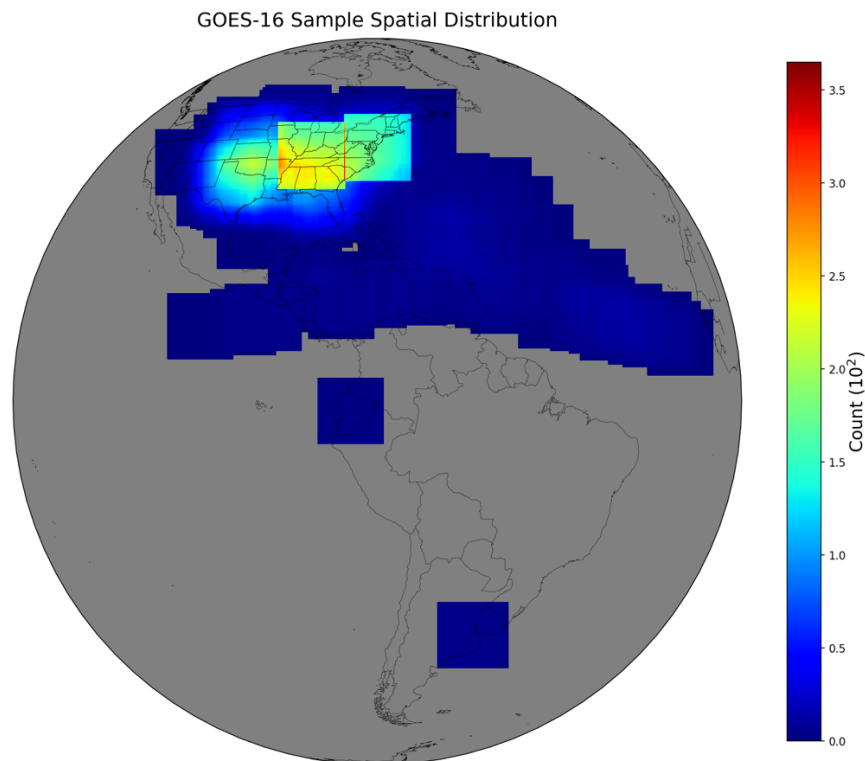
100 Apke et al. (2018) noted that “maximum single-Doppler radar-derived radial divergence to
101 infer the strength of observed updrafts and found positive correlations to ground-reported hail
102 diameter.” Schultz et al. (2009, 2011) found that these strong updrafts precede severe storm
103 features such as winds, hail, and tornadoes, by nearly 20 minutes (in their case, quantified by
104 changes in observed lightning flashes). Apke et al. (2018) positively correlated SRS mesoscale OF
105 motion fields from the GOES-14 satellite imager to strong horizontal CTD values with upper-level
106 updrafts, objectively identified OTs, and lightning flash rates. These results demonstrate that,
107 regardless of a complex relationship, CTD is linked to and precedes key markers of severe weather.

108 The derivation of CTD includes a few key assumptions: In Apke et al. (2016, 2018), optical
109 flow motions deemed above a specific altitude (10 km) were inferred to be at a consistent height
110 such that spatial finite differencing could be performed. Furthermore, all motions were assumed
111 to be related to the wind, and not propagation (versus features such as gravity waves which OF
112 retrieval algorithms may detect). Assumptions can break down in the case of features such as
113 Above-Anvil Cirrus Plumes (Bedka et al. 2018) – which can be difficult to objectively identify via
114 satellite – and thin high altitude cirrus which must be reconciled in near real-time products (Apke
115 and Mecikalski 2021). When evaluated directly at the 2024 HWT, several forecasters noted CTD
116 highlighted critical storms with as much as ~15 min lead time, working particularly well in regions
117 absent of radar coverage (Thiel 2024). However, forecasters noted desires to see more information
118 regarding critical magnitudes of cloud-top divergence in advance of severe weather, and for
119 products which rendered divergence of larger spatial scale flows, which will be addressed in the
120 sections to follow.

121 Section 3. Data & Methods

122 *GOES-16 Data and Mesosectors*

123 All OF and analysis was performed within GOES-16 Advanced Baseline Imager (ABI)
124 Mesosectors, using the Channel 2 0.64 μ m visible (VIS) “red” band ([Schmit et al. 2013](#)). GOES-
125 16 VIS has 0.5km pixel resolution at nadir (sub-satellite point) and is ideal for resolution within
126 mesoscale sectors but is only available during daytime. Conversely, the IR band has 2km pixel
127 resolution at nadir but is available at all times of the day. 1-min temporal resolution GOES-16
128 Mesosectors allow for DOF retrieval but are only located in two small $\sim 1000 \times 1000$ km spatial
129 domains. Mesosectors are often centered over high-impact events in the Contiguous United States,
130 but can also be placed over other regions of the Earth Figure 1. Mesosector data is readily available
131 over CONUS, but sparse over tropical regions and South America.



132

133 *Figure 1: Frequency plot of mesosector locations during the year 2023. The two default*
134 *locations are centered over the American Midwest and New England.*

135 *Parameterization of Optical Flow for GOES-16 Visible Imagery*

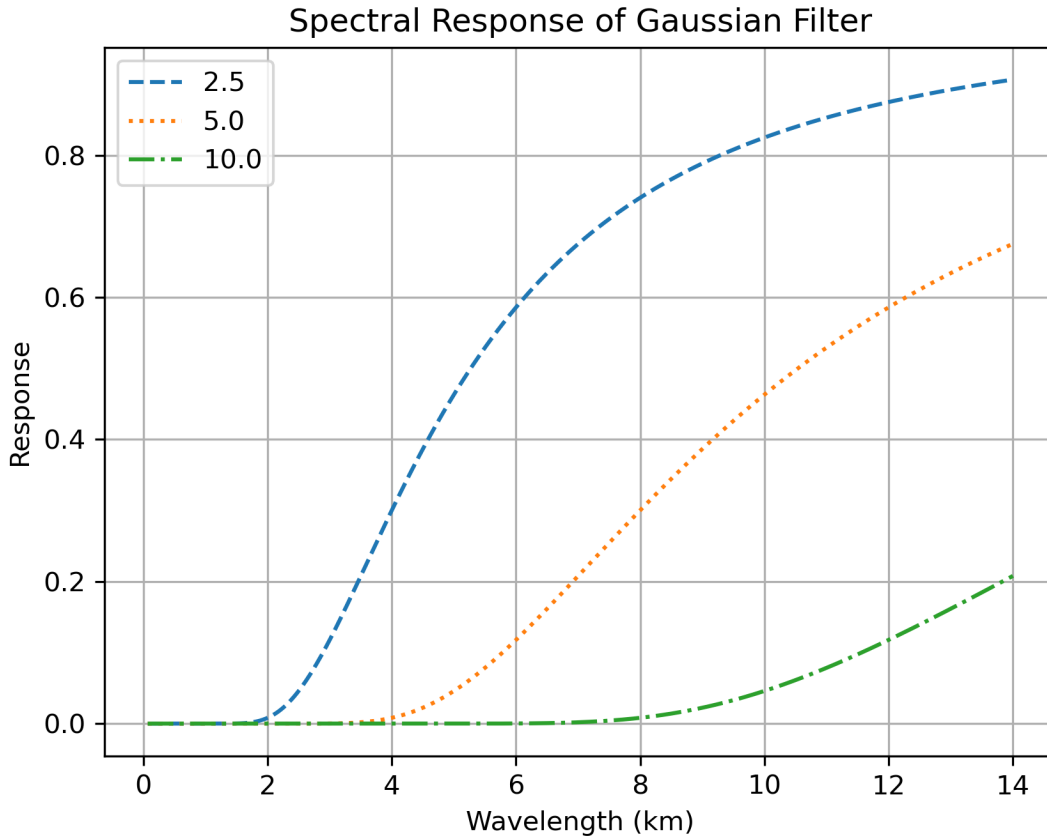
136 Computing OF from OCTANE and subsequent CTD derivations requires both the GOES-
137 16 ABI VIS band and the “clean” IR longwave window band. OF retrievals for CTD over deep

138 convection require 1-min temporal resolution mesosectors to adequately capture motions in
139 regions with rapid condensation, evaporation, and evolution. Scan interval times much greater than
140 1 minute can result in significant error as assumptions such as brightness constancy break down in
141 the optical flow retrieval.

142 The u - and v - motion fields were calculated by OCTANE using VIS 1-min imagery for its
143 fine spatiotemporal resolution. A more detailed derivation of OF and pyramiding methods using
144 OCTANE is outlined in Apke et al. (2022) and in the Appendix. The method used in Apke et al.
145 (2022) is a Zimmer et al. (2011) approach, which defines the global energy functional in terms of
146 1) the Brox et al. (2004) energy functional which is robust to changes in illumination and motion
147 discontinuities, and 2) a smoothing term. The latter two were tuned via the gradient constancy
148 constraint, λ , and the smoothness constraint, α . The selection for the constraints was based on true
149 winds from ancillary wind lidar information following Apke et al. (2022), where $\lambda = 1$ and $\alpha = 5$,
150 with reflectance factor normalized to floating point values between 0 and 255. After computing u
151 and v motion fields, they were navigated to the GOES-16 grid using the speed and direction,
152 represented as the velocity vector, \mathbf{v} . These motion fields were then passed through the bilateral
153 filter before being used to compute CTD, or $\nabla \cdot \mathbf{v}'$.

154 *Application of the Bilateral Filter*

155 The bilateral filter used in this study consists of a Gaussian spatial-weighting kernel and a
156 Gaussian brightness-weighting kernel (see [Appendix](#)). The nonlinearity of the bilateral filter
157 restricts it from a quantitative analysis in the form of a signal response function (SRF), but the
158 spatial Gaussian can be represented in this way. After the motion-fields were navigated to the
159 GOES field and converted to kilometers using, a Fast Fourier Transform (FFT) was performed on
160 the image and the vector magnitude of the motion-fields ($\sqrt{\{\mathbf{x} + \mathbf{y}\}^2} = r$) were used to relate the
161 spatial frequencies to feature size in meters after a reverse FFT. The SRF is shown in Figure 2 with
162 varying σ . Larger σ sizes result in attenuation of smaller feature sizes. From this analysis alone, σ
163 sizes of 2.5 and 5.0 were picked for further analysis with the bilateral filter due to their resolution
164 of relevant storm-scale features.



165

166 *Figure 2: Normalized spectral response functions for varying Gaussian filter sigma sizes. The*
 167 *vertical axis is a logarithmic scale representing the size of features in the filter. The wavelength*
 168 *should be in km.*

169 *Optical Flow for Cloud-Top Divergence Derivations in Satellite Imagery*

170 Once the u - and v - motion fields are computed, divergence can be derived. Analytically
 171 this is defined as

172
$$\nabla \cdot \mathbf{u} = \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}$$

173 where $\nabla = \left[\frac{\partial}{\partial x} \quad \frac{\partial}{\partial y} \right]^T$ and u - and v - are the motion fields. Numerically this is done by defining a box
 174 of eight points around a center point, (i, j) , and summing the 1) Δv along the top and bottom borders
 175 and 2) Δu along the side borders. This gives the divergence at point (i, j) in pixel value, and a
 176 conversion using a latitude-longitude Haversine distance formula is used to go to kilometers.

177 *Bilateral Filter*

178 The Bilateral Filter (BF) was originally created for signal processing to reconstruct temporal
 179 distortions in signals caused by either the sender or during transfer. Since then, the BF was
 180 recognized to have used as an image processing technique that removes small scale brightness
 181 perturbations (“additive noise”) in an image while maintaining sharp, large-scale features. It is a
 182 nonlinear operator, meaning its effect on any given pixel is different than any other pixel. Due to
 183 its nonlinearity, its effect cannot be quantified in a signal response function (SRF), but the
 184 individual filters can be. This contrasts with a strictly Gaussian image filter which creates blur
 185 along the edges of features and highlights the robustness of BFs to various types of illumination
 186 changes and motions. The general bilateral filter equation is:

$$187 \quad I_{filtered}(x) = \sum_{x_i \in \Omega} I(x_i) f(I(x_i) - I(x)) g(x_i - x)$$

188 The spatial and brightness filters, I and g respectively, can be defined in many ways. The most
 189 common filters used for image processing are Gaussian filters (see Appendix).

190 While previous studies have already explored the relationship between CTD, DC intensity,
 191 and severe weather indicators, this paper aims to understand the effects of the BF on OF motion
 192 fields and subsequent CTD calculations in an attempt to attenuate unwanted CTD signals.

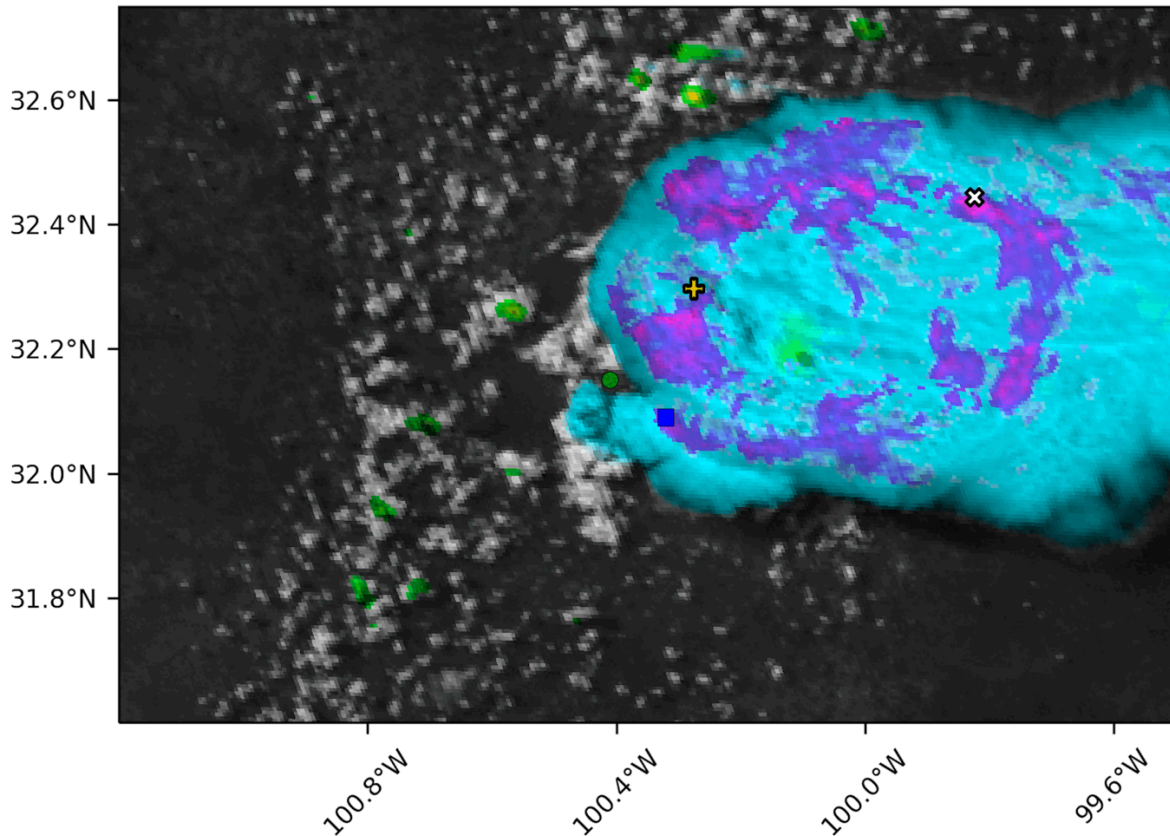
193 *Defining the Case Studies*

194 CTD with varying bilateral filter sizes were analyzed on two cases of convection. In
 195 preparation for *HWT 2025*, it was advantageous to observe CTD over the central plains of the U.S.
 196 during the mid-spring tornado season when *HWT* is typically conducted. The other case was an
 197 application of CTD in the tropics. Mesosectors in tropic latitudes are rare, a single mesosector was
 198 positioned off the Northeast coast of South America for a five-day period.

199 This study focuses on the development of maximum CTD and minimum IR signals withing
 200 the case study domain. The minimum IR is a proxy for both the CTC location during the growth
 201 phase of the storm and as OT location estimate during its mature phase. This approximation is
 202 subject to uncertainties, as the OT can have warmer temperatures than the surrounding anvil, but
 203 the cases were chosen to avoid this exception. Analyzing maximum CTD signals is useful for
 204 identifying thresholding values that correspond to critical features in the storm. Finally, the spatial
 205 separation between these two maxima/minima signals was analyzed. Proximity should be close

206 for mature phases of the storm, and a properly operating bilateral filter should account for this.
 207 Furthermore, the change in proximity with time provides a quantitative representation for the
 208 visual inconsistency, or “spiderwebbing,” of CTD signals.

GOES-16 Cooling and Divergence Sandwich May 25, 2024 19:44:55 UTC



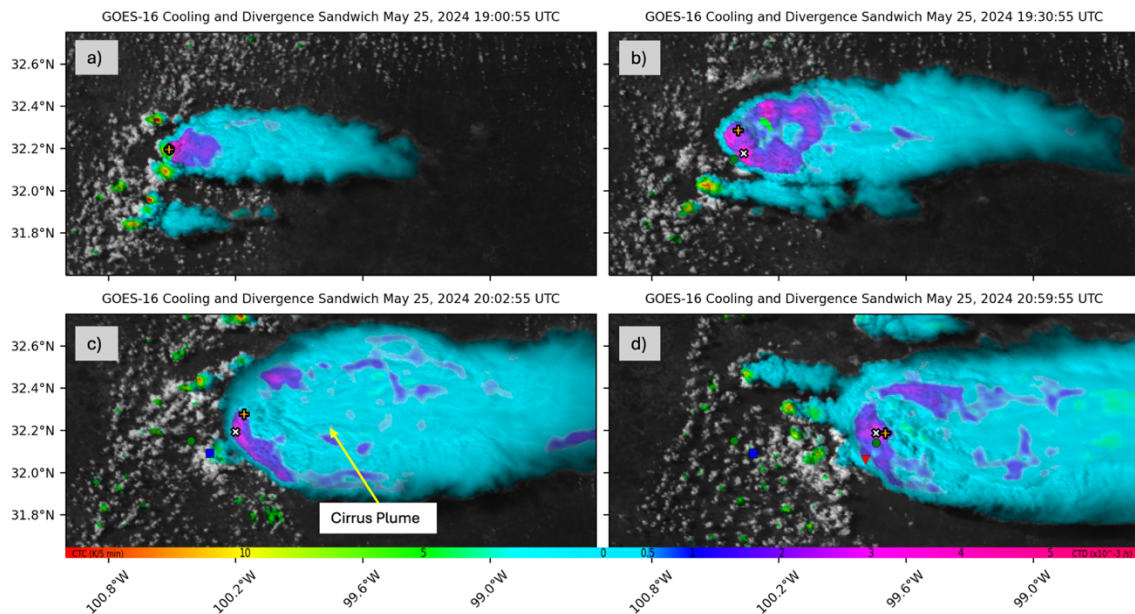
209
 210 *Figure 3: Example of "Spiderwebbing" in the Cloud-Top Cooling and Divergence Sandwich. The*
 211 *"Spiderwebs" are visible mainly on the outer edges of the anvil, but also extend inward. The same*
 212 *color scale is used as in Figure 4.*

213 Section 4. Results

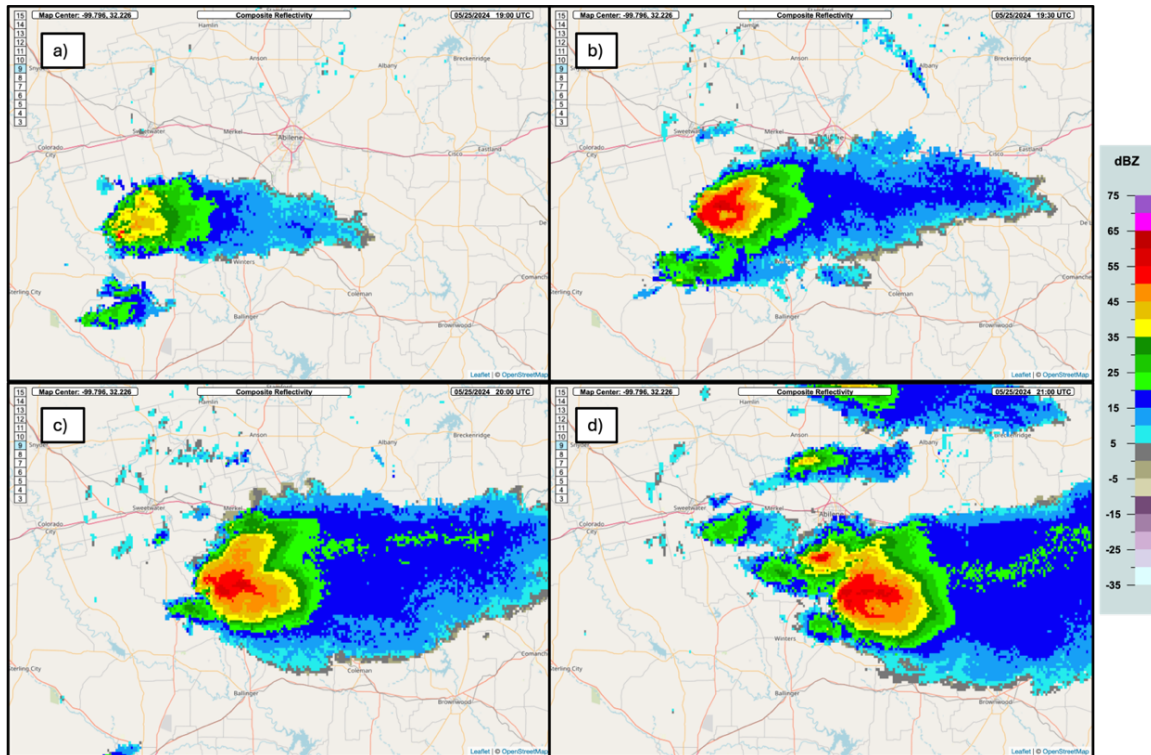
214 *Case Study: May 25, 2024. Taylor County, Texas*

215 The Taylor County, Texas case produced a supercell under strong vertical shear conditions.
 216 The area was under an enhanced to moderate SPC Day-1 Categorical Outlook. This storm is a
 217 good benchmark for CTD in relation to common severe deep convection in the Central Plains as
 218 it exhibits signs of updraft maintenance (beyond ordinary cell convection overturning) and
 219 numerous severe weather reports at the ground including large hail and strong winds..

220 The storm formed at 18:08 UTC with a burst of CTC, followed by the first indication of
 221 CTD at 18:19 UTC. CTD intensified until about 19:15 UTC, marked by SPC reports of hail
 222 (MRMS MESH ≥ 1.0 in) and wind (Figure 4b). Shortly after, a cirrus plum formed, and the storm
 223 updraft began to weaken (or cycle; Figure 4c). Downdrafts associated with both the rear and
 224 forward flanks began to dominate, leading to a weakening of the northern updraft (Figure 5b). This
 225 transition is reflected in the anvil formation and an SPC wind report, as the core of the storm shifts
 226 southward (Figure 5c). Once the second updraft developed, there was another wind report and an
 227 EF-1 tornado at 20:49 UTC, shown in Figure 4d.



228
 229 *Figure 4: CTC and CTD sandwich product, which blends the visible imagery (brightness textures)*
 230 *with the CTC (cyan to hotter colors) and CTD (cyan to cooler colors) over the Taylor County,*
 231 *Texas supercell on May 25, 2024, at 1900 UTC. The orange plus shows the minimum IR and the*
 232 *white cross shows the maximum CTD; SPC storm reports are also displayed.*



233
 234 *Figure 5: Composite Radar Reflectivity of Taylor County, Texas supercell from the MRMS product*
 235 *at the same times as Fig. 4.*

236 *Case Study Analysis: May 25, 2024. Taylor County, Texas*

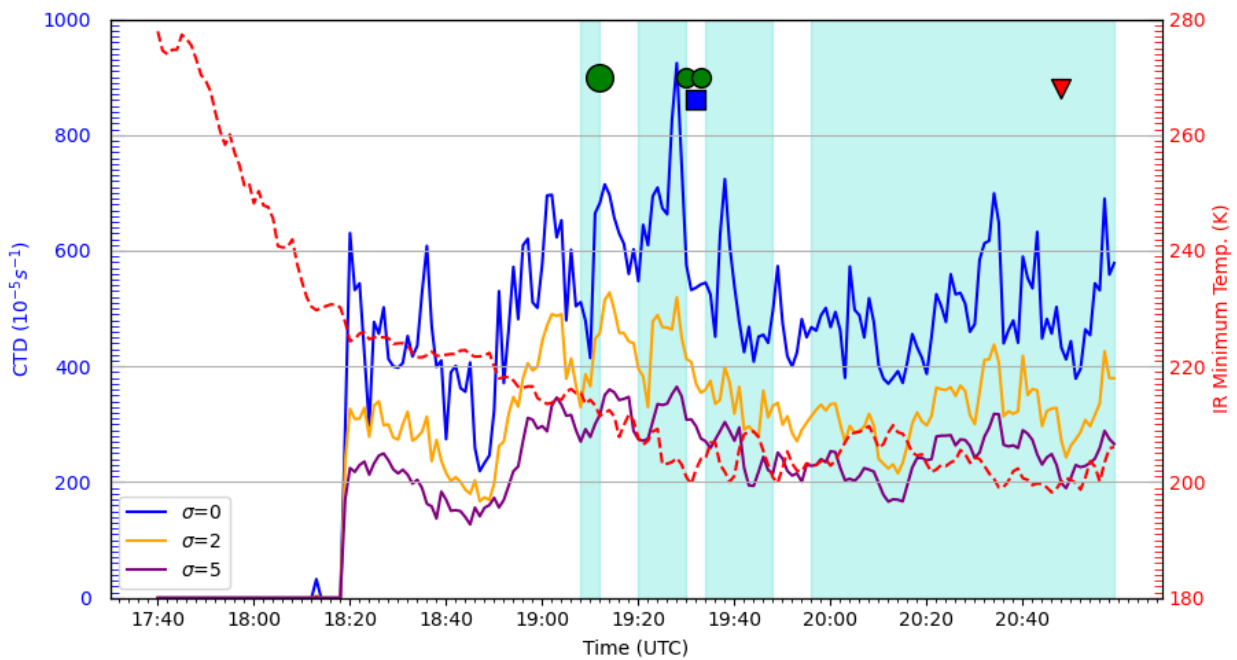
237 This supercell exhibits classic cyclic behavior that corresponds to storm intensity
 238 parameters (wind, hail, tornado-formation). CTD values for the . The initial updraft formed up to
 239 18:18 UTC and is represented in **Error! Reference source not found.** Time series observations
 240 show the impact of using different bilateral smoothing filters on the maximum observed CTD (Fig.
 241 7). The initial updraft exhibited strong CTC, with a minimum IR brightness temperature that drops
 242 from 280K to 228K in a period of about 35 min (average -1.49 K/min cooling rate). The updraft
 243 reached the top of the boundary layer and quickly formed an anvil, indicated by both a decrease in
 244 the CTC (-0.20 K/min) and a sharp spike in CTD across all bilateral filter sizes, shown in **Error!**
 245 **Reference source not found.**a-c for no filter, sigma size 2.5 and 5.0 respectively. From 18:15
 246 UTC to 18:50 UTC marks the first mature pulse of the storm, in which the first updraft center both
 247 forms the anvil and dissipates itself. At this point, the presence of the bilateral filter is noticeable
 248 in the CTD signals, as without the filter the signal is extremely variable and indistinct from other
 249 phases of the storm (**Error! Reference source not found.**a), whereas both the filter sizes reflect

250 this phase with slight yet distinguishable downward trends in maximum CTD (**Error! Reference**
251 **source not found.b-c**).

252 The second pulse forms and reaches the top of the boundary layer, initiating anvil
253 expansion along the southern rear flank of the storm. The maximum CTD and IR minimum
254 locations track this shift: CTD signals surge from 18:50 UTC to 19:06 UTC during the section
255 labeled “Pulse 1” in **Error! Reference source not found.d**, and the IR undergoes another cooling
256 phase at about -0.53 K/min: this pulse is virtually unresolvable without the bilateral filter. A brief
257 period of decreasing CTD with continual IR cooling, followed by a quick increase in CTD that
258 was also characterized by MRMS MESH ≥ 1 in. and an SPC hail report (shaded turquoise and
259 green circle in **Error! Reference source not found.d** respectively). This contrast between CTD
260 trends before severe storm (starting at 18:49 UTC for the CTD increase to the 19:04 UTC MRMS
261 MESH hail size greater than 1 in) reports is visually easy to see with a bilateral filter size of 2.5,
262 but overly subtle with 5.0. Regarding proximity for this first intensification phase, the maximum
263 CTD location is very sporadic without the bilateral filter but tracks consistently with both the
264 bilateral filter size. There is a brief jump at $\sim 18:50$ UTC for sigma size 2.5 due to a spatially small
265 but large signal CTD far down storm.

266 Another spike in CTD is at 19:06 UTC which is followed by MRMS MESH hail size
267 greater than 1 in by 14 min. Two SPC reports of hail and one of wind were recorded during this
268 pulse. The third main maximum CTD increase starting at 19:20 UTC is the first indication of the
269 second, southern updraft center dominating which is confirmed by composite reflectivity in Figure
270 5b. CTD undergoes a marked increase in both no bilateral filter and bilateral filter sigma size of
271 2.5, but is indistinguishable from the previous pulses when the filter sigma size is 5.0. During this
272 pulse, MRMS MESH has hail greater than 1 in. Again, the decrease followed by the increase in
273 CTD acts as a strong indicator of storm intensification, but of which occurs before SPC reports.
274 During this phase, CTD without bilateral filtering has significant “spiderwebbing;” this effect is
275 mostly diminished with sigma size 2.5 and completely attenuated with 5.0. Nevertheless, the
276 “spiderwebbing” effect is visually negligible with filter size 2.5, as shown in Figure 4c. The fact
277 that CTD decreases after this pulse across all cases is because a smaller storm began to form at
278 $\sim 19:30$ UTC and suffocated the southern updraft of the main storm at $\sim 19:35$ UTC. Also during
279 this phase was the formation of the cirrus plume that can affect both CTD signals and IR minimum
280 values associated with the main updraft.

281 The second updraft continues to mature from ~19:40 UTC to ~20:10 UTC, during which
 282 the CTD drops for both no bilateral filter and filter size 2.5. This decrease is best captured by the
 283 filter size 2.5 bilateral filter – without a filter, CTD is very sporadic, and there is almost no
 284 meaningful decrease with filter size 5.0. There is another intensification of the main updraft at
 285 ~20:12 UTC that is accompanied by large hail and ended with an SPC tornado report. All CTD
 286 signals capture this intensification, but without the filter the signal is just as noisy as the previous
 287 deintensification. The filtered CTD signals capture the intensification, but the filter size 2.5 shows
 288 a significant increase in CTD signal.
 289



290

291 *Figure 6: Maximum CTD and minimum IR (dashed red) trends and for all bilateral filter sizes*
 292 *(solid blue, yellow, maroon lines), with hail sizes greater than 1.0in shaded turquoise. SPC reports*
 293 *for hail (green circle), wind (blue square), and tornado (red triangle) are also shown.*

294 After analysis of CTD thresholds for this case, the following values and ranges were
 295 estimated:

296
$$\sigma = 0.0; \sim 6 \pm 2 \cdot 10^{-3} \frac{1}{s}$$

297
$$\sigma = 2.5; \sim 4 \pm 0.5 \cdot 10^{-3} \frac{1}{s}$$

298
$$\sigma = 5.0; \sim 3.2 \pm 0.2 \cdot 10^{-3} \frac{1}{s}$$

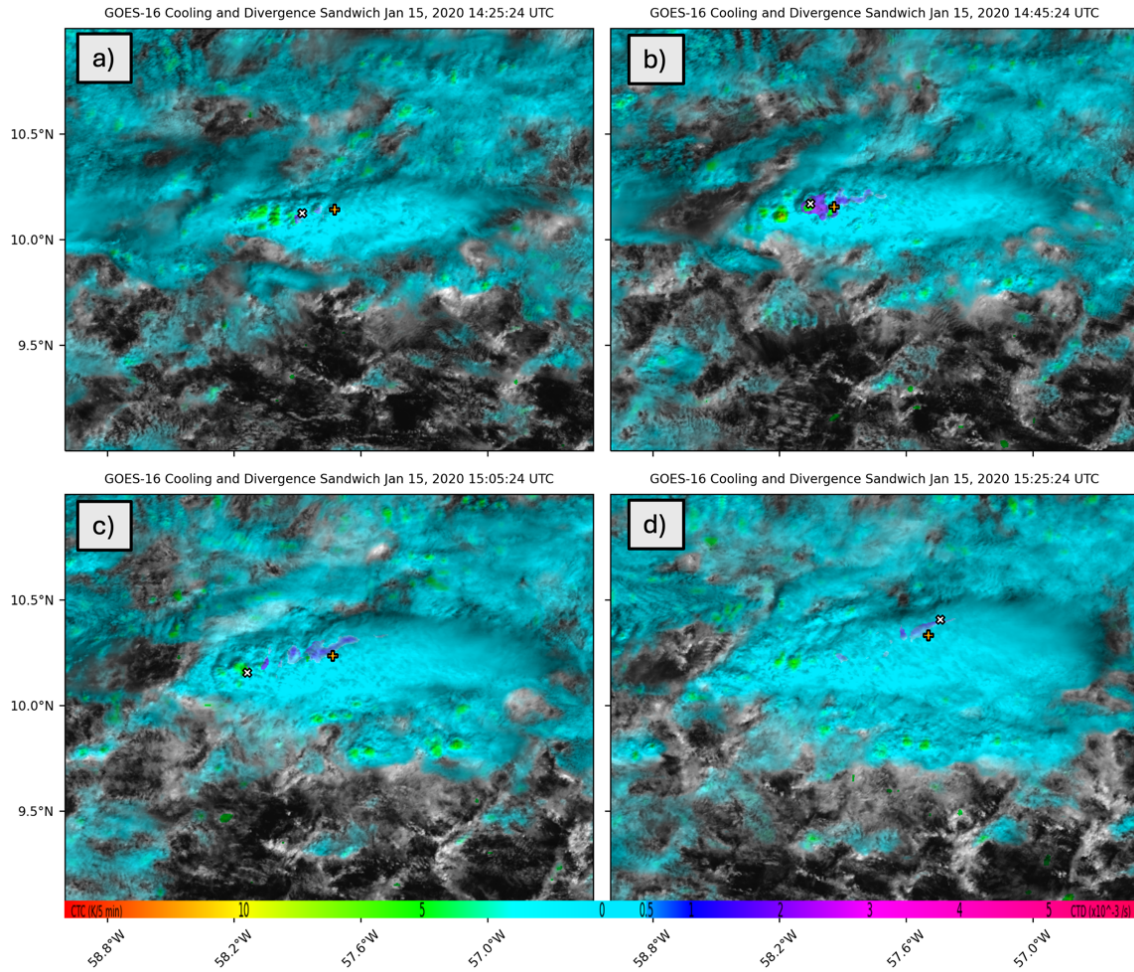
299 Threshold values for CTD signals with no bilateral filter have little value in this case due to the
300 amount of noise.

301 *Case Study: January 15, 2020. ATOMIC Testbed Mesosector*

302 Tropical convection was studied in the January 15, 2020, mesosector located off North-
303 East coast of South America. From the five-day period of the location of this mesosector, this case
304 shows the only indications of CTD related to deep convection. Unfortunately, there is no SPC
305 report or radar data to cross-check this case.

306 January 15, 2020, saw temperatures around 75-80°F and a low shear environment. Small
307 pockets of convection were popping up constantly, and one cell began to exhibit vertical
308 development, indicative of deeper convective (Figure 7a). Nevertheless, the convection was
309 relatively weak. At roughly 14:45 UTC the storm produced CTD signals (Figure 7b), but
310 significant anvil formation was minimal in the meridional directions. Instead, the storm spreads
311 out zonally, both East and West (due to low shear), a trend which is shown in Figure 7 b-d. The
312 storm completed a full cycle in one hour from roughly 14:30 UTC to 15:30 UTC and likely only
313 consisted of one main updraft (consistent with ordinary cell convection) that quickly dissipated
314 after it reached the top of the boundary layer.

315



316

317 *Figure 7: Same as Fig 4, now over tropical convection (chronologically from (a) to (d)) over the*
 318 *Atlantic Ocean northeast of South America.*

319 The CTD threshold value ranges for this case are:

320
$$\sigma = 0.0; \sim 3 \pm 0.5 \cdot 10^{-3} \frac{1}{s}$$

321
$$\sigma = 2.5; \sim 2.3 \pm 0.3 \cdot 10^{-3} \frac{1}{s}$$

322
$$\sigma = 5.0; \sim 2.1 \pm 0.2 \cdot 10^{-3} \frac{1}{s}$$

323 These threshold ranges are not crosschecked with any severe weather reports and therefore do not
 324 hold credence.

325 *Case Study Analysis: January 15, 2020. ATOMIC Testbed Mesosector*

326 Tropical environments typically have weaker updrafts (given the lack of capping to allow
 327 for explosive convection in very large instability, and lack of deep layer shear to support updraft

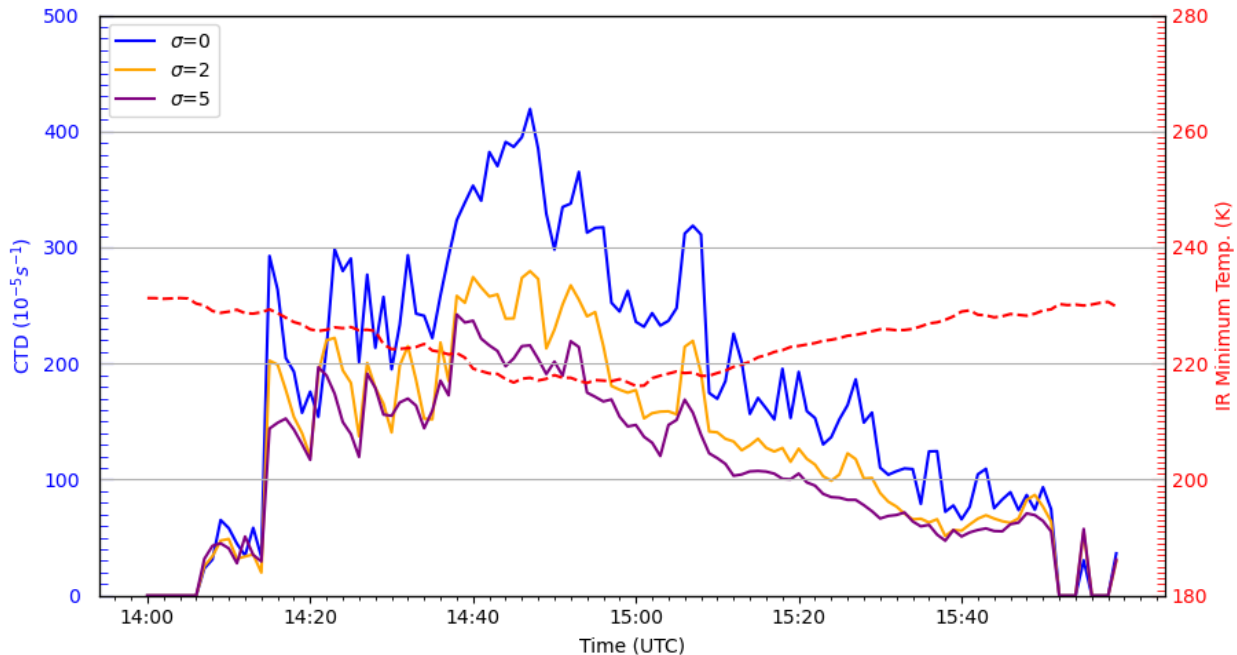
328 rotation and maintenance). Hence, signals from CTD (which rely on strong $\frac{\partial w}{\partial z}$) are relatively weak
329 compared to mid-latitude convection.

330 The Atlantic Tradewinds Ocean-Atmosphere Mesoscale Interaction Campaign (ATOMIC)
331 2020 Field Campaign located a GOES-16 mesosector over the North-East coast of South America
332 for a five-day period. During this period, two cases of deep convection resulted in CTD signals
333 that met the $0.5 * 10^{-3} \frac{1}{s}$, which is the threshold value used to separate signal from noise in the
334 OCTANE CTD products. The storm in this case did not exhibit strong CTC signals – tropical
335 storms with larger updrafts might result in larger CTC and CTD, but this was not observed.

336 CTD signals were associated largely with the northern flank of the storm. Both the
337 minimum IR and maximum CTD locations were sporadic on all bilateral filter sizes. This trend is
338 shown in Figure 8 – all bilateral filter sizes had large fluctuation in proximity. Maximum CTD did
339 become more spatially consistent with larger bilateral filter sizes, so this phenomenon is more
340 likely due to the sporadic location of the minimum IR.

341 Without the bilateral filter, CTD signals were severely “spiderwebbed” during 15:00 UTC
342 to 15:30 UTC. Strong signals at and after 14:40 UTC do correspond to the peak in anvil formation
343 and storm intensity (Figure 8a) and track consistently with CTC signals. With a bilateral filter
344 sigma size of 2.5, “spiderwebbing” effects are completely removed while maintaining key features
345 in updraft intensity, and contrast to the surrounding CTD signals (Figure 8b). Sigma size of 5.0
346 almost completely attenuated any meaningful CTD signals from 15:00 UTC to 15:30 UTC and did
347 not show a better proximity relation than a sigma size of 2.5 (Figure 8c). In all bilateral filter sizes,
348 maximum CTD has a nearly inverse relation with minimum IR and is best shown with a sigma
349 size of 2.5 (Figure 8d). It accurately represents subtle increase in anvil formation at 15:09 UTC
350 and during the initial convective phase from 14:12 UTC to 14:40 UTC. Nevertheless, this case
351 study shows that even a properly filtered CTD derivation is not representative of tropical
352 convection intensity, as there is not a meaningful vertical-horizontal mass continuity relation (i.e.
353 components of the updraft do not immediately spread horizontally outward, but rather have a
354 smooth transition from vertical to horizontal movement with large periods of movement that
355 contain components of both horizontal and vertical movement which is neglected in this 2D
356 representation of motion fields).

357



358

359 *Figure 8: Maximum CTD (solid lines) and minimum IR (red dashed line) trends for all bilateral*
 360 *filter sizes.*

361 **Section 5. Conclusion**

362 The application of a BF to OF motion fields effectively attenuates any sub-storm features
 363 that cause visual “spiderwebbing” in CTD signals for mid-latitude convection. For the mesoscale
 364 storms studied in this paper, it is determined that a BF sigma size of 2.5 is optimal to both preserve
 365 relevant features and remove unwanted signals. These features include 1) relative maximum CTD
 366 signal values with respect to previous storm pulses, indicative of cyclical storm formation (as
 367 opposed to sigma size 5.0, which maintained a relatively constant CTD that only fluctuated around
 368 a constant value), 2) increases in CTD roughly 15-25 min before severe weather, 3) proximity
 369 between maximum CTD and overall bulk CTD signal with the minimum IR. These findings were
 370 particularly prevalent with the Taylor County, Texas supercell in which the conversion between
 371 updraft and outward flow was strong. However, for tropical convection, CTD was less valuable.
 372 The updraft to outward flow relation is more tenuous resulting in diminished CTD signals, and
 373 higher equilibrium level means severe weather might occur before outward flow initiates.

374 Spatial filtering also reduced the overall maximum CTD signals. In general, a BF with
 375 sigma size of 2.5 reduced CTD signals by ~38%, while a sigma size of 5.0 led to a ~60% reduction.
 376 The cloud-top cooling and divergence sandwich product color scale must be normalized using

377 these percentages with respect to the BF size used, noting that larger BF sizes will result in broader
 378 spatial CTD signals not related to severe storm features.

379 From these reductions in magnitudes and the time-series for each case study, CTD severe weather
 380 threshold value ranges were determine (for the Texas case) to be $4 \pm 0.5 \cdot 10^{-3} \frac{1}{s}$ for sigma size
 381 2.5, and $3.2 \pm 0.2 \cdot 10^{-3} \frac{1}{s}$ for sigma size 5.0. Both these ranges allowed for a 15-25min lead time
 382 from when the CTD threshold was met to severe weather indications from MRMS MESH and SPC
 383 reports.

384 While this study successfully shows the significance of a spatial filter in CTD derivations,
 385 it is subject to multiple limitations. The first is the lack of crosscheck data for the tropical storm
 386 case study; While the CTD was weaker, there were no reports to confirm if the observed storm
 387 was severe. Second is the use of the minimum IR proximity with maximum CTD. Warm cirrus
 388 plumes can mask minimum IR temperatures at the top of the anvil, which in turn mask the true
 389 location DC. Therefor the “proximity” parameter lacks meaning once the minimum IR value
 390 ceases tracking DC. Nevertheless, proximity adds value to this study in that it quantifies the visual
 391 “spiderwebbing” of CTD signals. Another limitation is in the finite-element differencing method
 392 used to compute divergence, du/dx and dv/dy , due to the assumption of strictly 2-dimensional
 393 updraft physics – an assumption that neglects vertical motions. This can be extended to the full
 394 profile of the atmosphere: CTD is a measure of the top 2-dimensional field, whereas updraft
 395 characteristics vary with altitudes and create 3-dimensional flow fields. The last main limitation is
 396 the number of case studies. Analysis of a wider range of both sizes and locations of storms with
 397 an added number of BF sizes would illuminate a more robust/quantitative relationship between BF
 398 size, CTD magnitude, and storm characteristics.

399 **Section 6. Appendix**

400 *The Bilateral Filter*

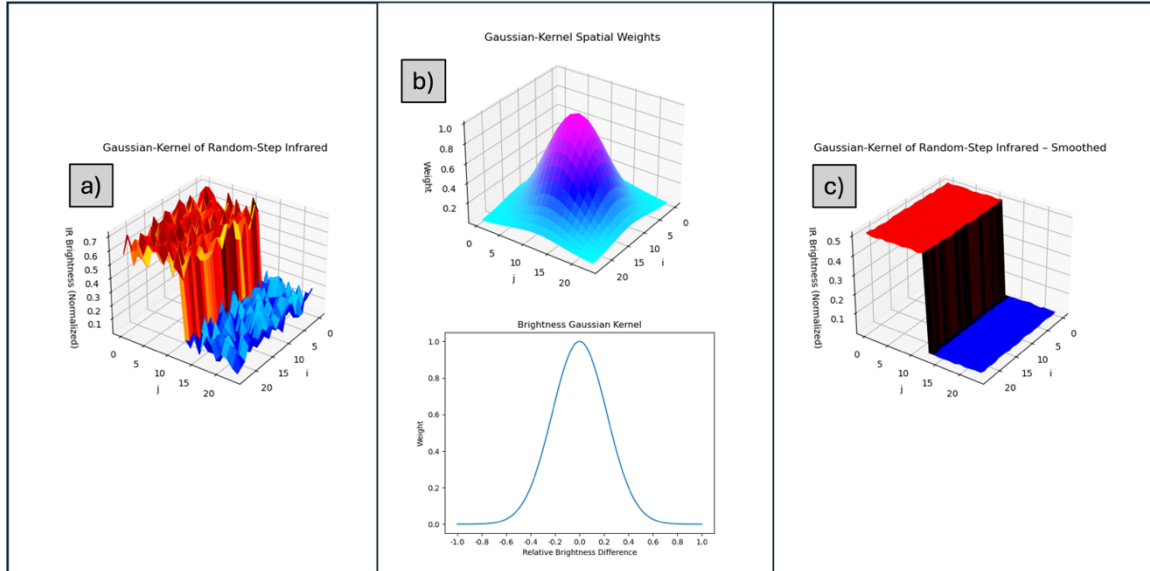
401 The general definition of the BF in image processing is:

$$402 \quad I_{filtered}(x) = \sum_{x_i \in \Omega} I(x_i) f(I(x_i) - I(x)) g(x_i - x)$$

403 where $I_{filtered}(x)$ is the output filtered image, and $I(x_i)$ is the original input image. The
 404 summation represents all the elements, x_i , within a Gaussian Kernel, Ω . f and g are the spatial and

405 intensity weighting functions within the Gaussian Kernel respectively, which are both themselves
 406 gaussian distributions. The spatial-weighting function biases pixels that are closer to the point x_i ,
 407 while the intensity-weighting function biases pixels that have intensity like that of x_i . A
 408 visualization of the BF is shown in Figure 9.

409



410

411 *Figure 9. A Bilateral Filter visualization showing (a) a piece-wise step-function subject to*
 412 *additive noise, (b) the Gaussian spatial weighting kernel (on top) and the Gaussian brightness*
 413 *kernel (on bottom), and (c) the resultant filtered step-function.*

414

The spatial Gaussian kernel used is defined as:

415

$$g(x_i - x) = \left(\frac{1}{\pi\sigma^2} \right) e^{-r^2/2\sigma^2}$$

416 Here, $g(x_i - x)$ was applied to the u- and v- motion-fields separately using channel 02 0.64 μ m
 417 VIS imagery, denoted as g_u and g_v . Each g_u and g_v were calculated, then used to normalize the
 418 weighting in the overall kernel, Ω .

419

The brightness Gaussian kernel is:

420

$$f(I(x_i) - I(x)) = e^{-\frac{(I(x_i) - I(x))^2}{\epsilon^2}}$$

421 Where ϵ is 20 pixels. The kernel size is the integer truncation of $(1.5 \cdot \sigma)$, in which 1.5 was the
 422 factor that quasi-optimized the compute time with weighting of point x_i . $I(x_i)$ and $I(x)$ are the
 423 10.3 μ m IR brightness temperatures.

424 *Optical Flow Techniques*

425 The Brox method constructs a minimizable *global energy functional* consisting of a “data
426 term” and a “prior term”:

$$427 \quad E_{Global} = E_{Data} + \lambda E_{Prior}$$

428 The *brightness constancy* and *brightness gradient constancy constraint* defines E_{Data} forms the
429 famous *optical flow constraint equation*:

$$430 \quad I_x u + I_y v + I_t = 0$$

431 The assumption that pixel illumination is constant can result in errors, so the *gradient constancy*
432 *constraint* can also be applied:

$$433 \quad \nabla I(x, y, t) = \nabla I(x + u, y + v, t + 1)$$

434 So far, these provide one constraint for the two unknowns of the *motion field*. The E_{Prior}
435 favors certain flow fields over others and can be defined in many ways. The Brox method uses the
436 L1 norm – a robust penalty function. From this, E_{Global} can be minimized in several ways, but the
437 Brox method uses *sparse-to-dense matching methods* (Brox et al. 2009) that can estimate even
438 large feature displacements. The Brox method poses itself as a set of nonlinear Euler-Lagrange
439 equations which are solved with numerical methods, such as Gauss-Seidel (Brox et al. 2009).

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