## DISSERTATION

## STUDIES ON THE BIOSYNTHESIS OF THE STEPHACIDINS AND NOTOAMIDES. TOTAL SYNTHESIS OF NOTOAMIDE S AND NOTOAMIDE T. AND

## PROGRESS TOWARD THE SYNTHESIS OF CHRYSOGENAMIDE A.

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### ABSTRACT

# STUDIES ON THE BIOSYNTHESIS OF THE STEPHACIDINS AND NOTOAMIDES. TOTAL SYNTHESIS OF NOTOAMIDE S AND NOTOAMIDE T. AND

## PROGRESS TOWARD THE SYNTHESIS OF CHRYSOGENAMIDE A.

Herein I discuss my efforts toward the elucidation of the biosynthesis of the stephacidins and notoamide family of natural products. Notoamide S has been suggested to be the final common precursor between two different fungal strains, Aspergillus sp. and Aspergillus versicolor, before diverging to form enantiomerically opposite natural products (+) and (-)-stephacidin A and (+) and (-)-notoamide B. The synthesis of notoamide S comes from coupling *N*-Fmoc proline with a 6-hydroxy-7-prenyl-2-reverse prenyl tryptophan derivative synthesized through а late stage Claisen rearrangement. The oxidation of notoamide S affords an achiral azadiene that leads to an intramolecular Diels-Alder providing a new product, notoamide T, containing the bicyclo[2.2.2]diazaoctane ring system with the 6hydroxy-7-prenyl indole ring of notoamide S. The synthesis of notoamide T

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is accomplished through a radical addition to the pyran ring of stephacidin A followed by an elimination ring opening event to provide the 6-hydroxy-7-prenyl indole.

Chrysogenamide A is the newest member of the marcfortine family of natural products. Herein I discuss the synthesis of 7-prenyl-2-reverse prenyl indole through a thio-Claisen reaction and subsequent Lewis acid mediated sulfide removal. Coupling of a pipecolic acid derivative with the 7-prenyl-2reverse prenyl tryptophan leads to the dipeptide containing all of the carbons needed in chrysogenamide A. I propose that chrysogenamide A can be synthesized through an unprecedented intramolecular Diels-Alder reaction of a monoketopiperazine by a condensation/tautomerization event leading to the appropriate azadiene for the intramolecular Diels-Alder reaction. A final oxidation of the intramolecular Diels-Alder product would lead to chrysogenamide A and what could be a newly proposed biosynthesis of a monoketopiperazine.

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## LIST OF ABBREVIATIONS

| 9-BBN                | 9-Borabicyclo[3.3.1]nonane          |
|----------------------|-------------------------------------|
| Ac <sub>2</sub> O    | Acetic anhydride                    |
| АсОН                 | Acetic acid                         |
| AIBN                 | Azobis(isobutyronitrile)            |
| Bn                   | Benzyl                              |
| Вос                  | tert-Butoxycarbonyl                 |
| (Boc) <sub>2</sub> O | Di-tert-butyl dicarbonate           |
| BOP                  | Benzotriazol-1-                     |
|                      | yloxytris(dimethylamino)phosphonium |
|                      | hexafluorophosphate                 |
| Bu                   | Butyl                               |
| <i>n</i> -BuLi       | Butyllithium                        |
| s-BuLi               | sec-Butyllithium                    |
| <i>t</i> -BuLi       | tert-Butyllithium                   |
| <i>t</i> -BuOK       | Potassium tert-butoxide             |
| Cbz                  | Benzyloxycarbonyl                   |
| CSA                  | Camphorsolfonic acid                |

| COSY<br>DA | Correlation spectroscopy<br>Diels-Alder |
|------------|---|
| DABCO      | 1,4-Diazabicyclo[2.2.2]octane           |
| DBU        | 1,8-Diazabicyclo[5.4.0]undec-7-ene      |
| DEPT       | Distortionless enhancement by           |
|            | polarization transfer                   |
| DCM        | Dichloromethane                         |
| DDQ        | 2,3-Dichloro-5,6-dicyano-1,4-           |
|            | benzoquinone                            |
| DEAD       | Diethyl azocarboxylate                  |
| DIBAI-H    | Diisobutylaluminum hydride              |
| DIPEA      | Diisopropylethylamine                   |
| DKP        | Diketopiperazine                        |
| DMAP       | 4-(Dimethylamino)pyridine               |
| DMAPP      | Dimethylallyl pyrophosphate             |
| DMF        | Dimethylformamide                       |
| DMP        | Dess-Martin periodinane                 |
| DMS        | Dimethylsulfide                         |
| DMSO       | Dimethylsulfoxide                       |
| DMPU       | N,N'-Dimethylpropyleneurea              |
| DPPH       | 2,2-diphenyl-1-picrylhydrazyl           |
| EDCI       | N-(3-dimethylaminopropyl)-N'-           |
|            | ethylcarbodiimide                       |

| Et                | Ethyl                                   |
|-------------------|---|
| EtOAc             | Ethyl acetate                           |
| Et <sub>2</sub> O | Diethyl ether                           |
| EtOH              | Ethanol                                 |
| eq                | equivalents                             |
| Fmoc              | Fluorenylmethyloxycarbonyl              |
| HATU              | O-(7-Azabenzotriazol-1-yl)-N,N,N',N'-   |
|                   | tetramethyluronium hexafluorophosphate  |
| НМВС              | Heteronuclear multiple bond correlation |
| НМРА              | Hexamethylphosphoramide                 |
| HMQC              | Heteronuclear multiple quantum          |
|                   | correlation                             |
| IMDA              | Intramolecular Diels-Alder              |
| imid.             | Imidazole                               |
| KHMDS             | Potassium (bis)trimethylsilyl amide     |
| K-Naphth.         | Potassium naphthalenide                 |
| LDA               | Lithium diisopropylamine                |
| LiHMDS            | Lithium (bis)trimethylsilyl amide       |
| 2,6-lutidine      | 2,6-Dimethylpyridine                    |
| <i>m</i> CPBA     | meta-Chloroperbenzoic acid              |
| Ме                | Methyl                                  |
| Mel               | Methyl iodide                           |
| MeOH              | Methanol                                |

| МОМ          | Methoxymethyl                          |
|--------------|--|
| Ms           | Methanesulfonyl (mesylate)             |
| МТТ          | 3-(4,5-Dimethylthiazol-2-yl)-2,5-      |
|              | diphenyltetrazolium bromide            |
| μW           | Microwave                              |
| NaHMDS       | Sodium (bis)trimethylsilyl amide       |
| NCS          | N-Chlorosuccinamide                    |
| NBS          | N-Bromosuccinamide                     |
| NMM          | N-Methyl morpholine                    |
| NOSEY        | Nuclear Overhauser effect spectroscopy |
| PCC          | Pyridinium chlorochromate              |
| Ph           | Phenyl                                 |
| PHPLC        | Preparative high pressure liquid       |
|              | chromatography                         |
| PMB          | para-Methoxybenzyl                     |
| PPTS         | Pyridinium p-toluenesolfonate          |
| PTLC         | Preparative thin layer chromatography  |
| <i>i</i> -Pr | Isopropyl                              |
| Py. or Pyr   | Pyridine                               |
| SEM          | 2-(Trimethylsilyl)ethoxymethyl         |
| TBAF         | Tetrabutylammonium fluoride            |
| TBAI         | Tetrabutylammonium iodide              |
| TBDPS        | tert-Butyldiphenlsilyl                 |

| TBS   | tert-Butyldimetylsilyl               |
|-------|--------------------------------------|
| TEA   | Triethylamine                        |
| TFA   | Trifluoroacetic acid                 |
| TFAA  | Trifluoroacetic anhydride            |
| Tf    | Trifluoromethanesulfonate            |
| THF   | Tetrahydrofuran                      |
| TLC   | Thin layer chromatography            |
| TMEDA | N,N,N',N'-Tetramethylethylenediamine |
| TMS   | Trimethylsilyl                       |
| pTSA  | para-Toluenesulfonic acid            |

## Chapter 1: Biosynthetic Studies of Stephacidin A

## **1.1 Introduction**

## 1.1.1 Isolation

## 1.1.1a Isolation of (+)-Stephacidin A

Isolated by Qian-Cutron and coworkers, (+)-stephacidin A (1) was extracted from the fungus *Aspergillus ochraceus* WC76466, which was collected from a soil sample from Sirsaganj, Uttar Pradesh, India and grown on Nunc fermentation plates.<sup>3</sup> The plates were extracted with MeOH and concentrated under reduced pressure to give an aqueous solution, which was partitioned against ethyl acetate. The ethyl acetate fraction was subjected to Sephadex LH-20 gel filtration chromatography, followed by further purification by reverse-phase HPLC. Three major products were isolated from the extracts: (+)-stephacidin A (1), (–)-stephacidin B (2), and (+)-avrainvillamide (3) (Figure 1).<sup>3</sup>



**Figure 1**: (+)-Stephacidin A, (–)-stephacidin B, and (+)-avrainvillamide.

Tsukamoto and coworkers isolated a second sample of (+)-stephacidin A collected off the Noto Peninsula in the Sea of Japan. This sample was taken from the fungus Aspergillus sp., which was separated from the mussel *Mytilus edulis.*<sup>4</sup> After fermentation on agar plates and extraction with ethanol, the fungus was found to produce four new metabolites along with three previously identified metabolites. Concentration of the extracts under reduced pressure was followed by extraction of the aqueous residue with ethyl acetate. That residue was further partitioned between MeOH/H<sub>2</sub>O (9:1), then *n*-butanol to give two separate fractions in which the cytotoxic activity was The two fractions were combined and chromatographed through found. reverse-phase chromatography on an octadecasilane column with aqueous methanol as the eluent. The collected fractions were further purified by HPLC to afford four new compounds: notoamide A (4), B (5), C (6), and D (7) as well as sclerotiamide (9), deoxybrevianamide E (8) and (+)-stephacidin A (1) (Figure 2).<sup>4</sup>



**Figure 2**: Notoamide A-D, sclerotiamide, deoxybrevianamide E, and (+)-stephacidin A.

## 1.1.1b Isolation of (–)-Stephacidin A

Collected by Gloer and coworkers from a Hawaiian forest, *Aspergillus versicolor* was obtained from a basidioma of *Gandoderma australe*.<sup>20</sup> Isolation of the metabolites began by culturing *Aspergillus versicolor* through solid-substrate fermentation on rice for 30 days at 25°C before being extracted with ethyl acetate. The fractions were combined and concentrated under reduced pressure to give crude extract, which was further partitioned between acetonitrile and hexane. The acetonitrile layer was purified by Sephadex LH-20 chromatography. The final isolation of the metabolites was accomplished by reverse-phase HPLC to provide (–)-stephacidin A (**10**), (+)-notoamide B (**11**), and (+)-versicolamide B (**12**) (Figure 3).<sup>20</sup>



Figure 3. (–)-stephacidin A, (+)-notoamide B and (+)-versicolamide B.

## 1.1.2 Previous Work

The paraherquamide<sup>1</sup>, brevianamide<sup>2</sup>, stephacidin<sup>3</sup>, and notoamide<sup>4</sup> families of prenylated indoles are of great interest to chemists and biologists because of their structural complexity and biological properties. In particular, the members of these families containing the unique bicyclo[2.2.2]diazaoctane ring system have drawn the most attention. Our group has been very interested in these bicyclo[2.2.2]diazaoctane ringcontaining natural products for quite some time. With the synthesis of paraherquamides A<sup>18</sup> and B<sup>5</sup>, pre-paraherquamide<sup>6</sup>, VM55599<sup>6</sup>, and brevianamide B<sup>18</sup> previously completed by our group, we envisioned the stephacidins and notoamides as applicable targets for our previously developed chemistry (Figure 3a).

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**Figure 3a**: Williams' completed syntheses of bicyclo[2.2.2]diazaoctane containing natural products.

The first synthesis of stephacidin A was completed by Baran and coworkers in 2006 through the use of an oxidative enolate coupling reaction to gain access to the bicyclo[2.2.2]diazaoctane core.<sup>7</sup> Starting from an advanced tryptophan core system (**13**), coupling to a functionalized proline (**14**) gave dipeptide **15**. Deprotection of the Cbz group followed by cyclization gave the desired diketopiperazine core system (**16**), which was protected as the MOM ether needed for the key oxidative coupling reaction. Treatment of **16** with LDA in the presence of Fe(acac)<sub>3</sub> afforded the desired bicyclo[2.2.2]diazaoctane core (**17**). Bis-methylation with methyl Grignard followed by addition of Burgess reagent gave the primary **1**,**1** alkene (**18**), which, when heated at 200°C, afforded stephacidin A.



Scheme 1: Baran's total synthesis of stephacidin A.

Myers and coworkers also completed the synthesis of a related natural product, avrainvillamide (**3**), en route to stephacidin B (**2**) in 2005. Containing the same bicyclo[2.2.2]diazaoctane core system as stephacidin A, avrainvillamide was synthesized through a key aminoacyl radical cyclization to form the desired bicyclo core (Scheme 2).<sup>8</sup>

Their synthesis started with the alkylation of ketone **19** with proline derivative **20** in the presence of KHMDS to give the *trans*-coupled product (**21**) as a single diastereomer. Treatment of **21** with TMSCN gave the Strecker-like addition of hydrogen cyanide to afford nitrile **22**. Epimerization of **22** followed by treatment with the platinum catalyst developed by Ghaffar and Parkins<sup>9</sup> gave primary amide **23**. Treatment of amide **23** with thiophenol in the presence of TEA led to sulfide addition, along with cyclization to hemiaminal **24**. Removal of the Boc group as well as dehydration of the hemiaminal led to the desired enamide, wherein acylation of the pyrrolidinyl amino group with the 1-methyl-2,5-cyclohexadiene-1-carbonyl chloride (**25**) provided the desired radical cyclization substrate (**26**). Heating **26** in the

presence of tert-*amyl* peroxybenzoate initiated the cascading radical cyclization to give the bridged diketopiperazine core (**27**) in a 62% yield. Deprotection of the silyl enol ether followed by oxidation of the resultant alcohol gave the desired  $\alpha$ , $\beta$ -unsaturated ketone, which was transformed to the  $\alpha$ -iodoenone (**28**) with iodine and DMAP. Coupling of **28** with nitroarene **29** under Ullmann-like conditions<sup>10</sup> (10% Pd<sub>2</sub>(dba)<sub>3</sub>, copper powder) gave coupled product **30** in a 72% yield. Reduction of the coupled nitroarene with activated zinc powder lead the formation of avrainvillamide as a yellow solid in a 49% yield. The synthesis of avrainvillamide (**3**) was completed in 17 steps with a 4.2% overall yield (Scheme 2).



Scheme 2: Myers synthesis of avrainvillamide.

Treatment of avrainvillamide (**3**) with TEA in acetonitrile at room temperature ultimately led to the dimerization of the natural product to form stephacidin B (**2**) in greater than 95% yield (Scheme 3).



Scheme 3: Conversion of avrainvillamide to stephacidin B.

A second family of natural products related to the stephacidin family is the malbrancheamides. The malbrancheamides contain the same bicyclo[2.2.2]diazaoctane ring system of the stephacidin family<sup>3</sup>, but lack the pyranyl indole ring. In 2009 Simpkins and coworkers completed the total synthesis *ent*-malbrancheamide B through a double cyclization of hydroxyldiketopiperazine compound via an  $\alpha$ -amido *N*-acyliminium species.<sup>11</sup> Simpkins' synthesis of *ent*-malbrancheamide B started from *tert*-butyl 6chloro-3-formyl-1*H*-indole-1-carboxylate (**33**) and hydroxamic acid derivative **34**. For the synthesis of the hydroxamic acid moiety, Simpkins and co-workers used the method developed by Seebach<sup>12</sup> for the "self-reproduction of chirality" in the installation of the dimethylallyl group onto a protected proline. The Seebach acetal (**31**) was treated with LDA, followed by addition of dimethylallyl bromide giving the desired prenylated proline acetal. Treatment

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with O-benzylhydroxylamine gave the proline-derived hydroxamic acid (**32**) needed for coupling (Scheme 4).



Scheme 4: Synthesis of hydroxamic acid derivative.

For the indole-3-pyruvic acid portion, an aldol condensation of ester **34** with aldehyde **33** gave alcohol **35**. Mesylation followed by elimination of the alcohol gave the unsaturated ester, as a 3:1 Z/E mixture, which was then hydrolyzed to the corresponding acid (**36**). Coupling of the proline amide derivative (**32**) to masked indole pyruvic acid **36** was completed with HATU in the presence of *i*-Pr<sub>2</sub>NEt in a 74% yield and with retention of the 3:1 Z/E mixture. With the use of unconventional conditions, the SEM protecting group was removed to afford the cyclized diketopiperazine **38** in modest yield. With the key substrate in hand, exposure of **38** to TMSOTf at 0°C gave the bicyclo[2.2.2]diazaoctane core (**39**) in a 4:1 diastereomeric ratio and a 64% yield. Samarium diiodide-mediated removal of the OBn group gave the desired secondary amide (**40**) in a 70% yield. DIBAI-H reduction of the tertiary amide completed the synthesis of *ent*-malbrancheamide B (**41**) in 10 linear steps starting from commercially available 6-chloroindole (Scheme 5).



Scheme 5: Simpkins' synthesis of *ent*-malbrancheamide B.

Our group also contributed to the synthesis of (–)-stephacidin A, (–)avrainvillamide (**51**), and (+)-stephacidin B (**52**) through an S<sub>N</sub>2' cyclization to form the bicyclo[2.2.2]diazaoctane ring system.<sup>13</sup> Coupling of tryptophan derivative **42** with functionalized proline derivative **43** in the presence of HATU and *i*-Pr<sub>2</sub>NEt provided the corresponding *N*-Boc protected dipeptide. Thermal removal of the Boc group under microwave conditions afforded cyclized diketopiperazine **44** in a 70% yield. Formation of the lactim ether with methyl Meerwein salt, followed by carbamate protection of the indole nitrogen gave DKP ether **45**. Treatment of **45** with Hoveyda-Grubbs catalyst (**46**) transposed the primary olefin to the  $\alpha$ , $\beta$ -unsaturated aldehyde **48**. To set up for the key S<sub>N</sub>2' reaction, **48** was reduced with NaBH<sub>4</sub> followed by mesylation and chlorination to give the corresponding allylic chloride **49**. With the allylic chloride in hand, **49** was treated with NaH and heated in benzene to give the desired bicyclo[2.2.2]diazaoctane ring system (**50**) in a 71% yield. The *syn*-selectivity of the intramolecular  $S_N 2$ ' cyclization was believed to be due to a "closed" transition state via a tight contact-ion pair. The heptacycle was completed through a palladium mediated cyclization followed by thermal deprotection of the Boc group to provide **10** in 58% yield (Scheme 6).



Scheme 6: Williams' S<sub>N</sub>2' synthesis of stephacidin A.

(–)-Stephacidin A was transformed to (–)-avrainvillamide (**51**) through sodium cyanoborohydride reduction followed by selenium dioxide oxidation, which when treated with TEA gave (+)-stephacidin B (**52**).



**Scheme 7**: Conversion of stephacidin A to stephacidin B through avrainvillamide.

A variety of conditions, from radical to metal mediated, were used in the formation of the bicyclo[2.2.2]diazaoctane ring system. However, in all of the cases described above, the bicyclo[2.2.2]diazaoctane ring system was formed prior to connecting the indole ring system. Though each synthesis was unique and complex, our interest lay more in the biosynthesis of these families and the origin of the bicyclo[2.2.2]diazaoctane ring system.

### 1.1.3 Biosynthesis

First isolated by Birch, Wright, and Russel in the late 1960's and early 1970's from *Penicillium brevicompactum*, brevianamide A (**62**), along with minor metabolite brevianamide B, were the first of the bicyclo[2.2.2]diazaoctane ring containing natural products to appear in the literature.<sup>14</sup> Sammes proposed that these metabolites could arise through an intramolecular hetero-Diels-Alder of an olefin with a pre-formed azadiene of the DKP ring (Figure 4).<sup>15</sup>



Figure 4: Azadiene formation for the intramolecular Diels-Alder reaction

To test this hypothesis, Sammes performed a series of experiments on simple model systems to see whether the Diels-Alder reaction was plausible. Treatment of dihydroxypiperazine **53** with either dimethyl acetylenedicarboxylate (**54**) or norbornadiene (**55**) indeed led to the desired bicyclo[2.2.2]diazaoctane ring system (**56** or **57**, respectively) (Scheme 8). Though both examples were model systems, it created a great deal of interest in the possible intramolecular Diels-Alder reaction.





Through a series of radiolabeling and feeding experiments, Birch and coworkers showed that tryptophan (**60**), proline (**59**), and mevalonic acid (**58**) were biosynthetic precursors to **62**.<sup>16</sup> Further investigation led to the discovery that isotopically labeled brevianamide F (**61**) also showed significant incorporation into brevianamide A (**62**). With the results of the radiolabeling experiments, it was postulated that **61** undergoes a reverse prenylation reaction at the C2 position of indole to give deoxybrevianamide E

(63). It was then hypothesized that 63 is the substrate that participates in the IMDA, which can give rise to 62 following an oxidation (Scheme 9).



Scheme 9: Incorporation studies by Birch and Williams in brevianamide A.

Our group also took part in labeling studies of the biosynthesis of 62, helping to provide strong evidence that **63** is the biosynthetic precursor to **62**.<sup>17</sup> We propose that **63** can undergo a net two-electron oxidation leading to azadiene 64, which can cyclize to give 65. Oxidation of 65 followed by a pinacol-type rearrangement would lead to 62. To explore this proposed biosynthesis, our group synthesized 62 through the use of a key IMDA reaction to form the bicyclo[2.2.2]diazaoctane ring system.<sup>18</sup> Starting from epi-deoxybrevianamide Е (66), treatment with trimethyloxonium tetrafluoroborate (Me<sub>3</sub>OBF<sub>4</sub>) afforded the desired lactim ether in 79% yield. Oxidation of the proline portion with DDQ gave conjugated diene 67. Treatment of 67 with KOH in MeOH and H<sub>2</sub>O gave a mixtue of syn and anticycloadducts in a 2:1 ratio and 90% yield (Scheme 10).



Scheme 10: Synthesis of 62 through an IMDA.

One of the major observations made in both the synthetic and biosynthetic systems was the outcome of the *syn* and *anti* ratio of the IMDA. Through a series of ab initio calculations it was found that the most favorable product of the Diels-Alder reaction should be the *anti* stereochemistry, relative to the secondary amide.<sup>19</sup> However, in the synthesis of **62**, it was found that the IMDA afforded a 2:1 ratio favoring the *syn* adduct, with respect to the secondary amide. One aspect that was initially overlooked was the substrate that was participating in the IMDA. Initial calculations showed that the favored transition state for the indoxyl cycloaddition was for the *anti* product<sup>19</sup> (which was later discovered to be correct) but the cycloaddition for the synthesis of **62** began from the indole.<sup>18</sup> This is an important factor for the synthesis of the bicyclo[2.2.2]diazaoctane containing natural products made through an IMDA.

indole moiety, whereas the *anti* relationship can be favored if the cycloaddtion is performed on the oxindole or indoxyl moiety.

Synthetically, our group has shown that the IMDA is an efficient and probable biosynthetic pathway leading to metabolites containing the bicyclo[2.2.2]diazaoctane ring system. With the core of stephacidin A containing the same bicyclo[2.2.2]diazaoctane system, we became interested in its biosynthesis. Previously, we have shown that stephacidins A and B can be synthesized through an  $S_N2$ ' methodology, but now we also wanted to prove that it could come from an IMDA reaction in what might be its biosynthetic pathway.





The biomimetic synthesis of stephacidin A started by coupling previously reported **72**, with cis-hydroxy proline **73** in the presence of BOPCI and *i*-Pr<sub>2</sub>NEt to give amide **74** in 54% yield (Scheme 11).<sup>20</sup> Removal of the Fmoc group with morpholine afforded the primary amine, which would

spontaneously cyclize to give diketopiperazine **75**. Mitsunobu-type elimination<sup>21</sup> of the alcohol followed by lactim ether formation with Me<sub>3</sub>OBF<sub>4</sub> and Cs<sub>2</sub>CO<sub>3</sub> provided azadiene **76** in good yield. Treatment of **76** with aqueous KOH in MeOH provided the IMDA product, which, upon hydrolysis of the lactim ether with aqueous HCl and subsequent basification with NaHCO<sub>3</sub> provided stephacidin A (**1**) (*syn*, 58%) and its diastereomer **77** (*anti*, 24%) in an 82% yield for the two steps.<sup>20</sup> The synthesis of stephacidin A was further improved with a one pot IMDA during the Mitsunobu-type elimination.<sup>22</sup> Treatment of **75** with 5 equivalents of both Bu<sub>3</sub>P and DEAD followed by heating to 40°C afforded stephacidin A and its epimer **77** in a 70% combined yield and a 2.1:1 ratio favoring the *syn* stereochemistry (Scheme 12).





Our group has shown that synthetic stephacidin A can come from an IMDA reaction of the corresponding azadiene to afford stephacidin A and its epimer in 2.1:1 ratio and 70% yield as mentioned above. The existence of both enantiomers of stephacidin A in separate fungi led to an important biosynthetic question. When do these two separate fungi deviate to produce enantiomerically opposite metabolites, and what is the final common intermediate between the two fungi?

#### 1.1.4 Genome-based Study of the Biosynthesis of Stephacidin A

Since the isolation of (+)-stephacidin A (1) in 2002 by Bristol-Myers Squibb from *Aspergillus ochraceus*, the biosynthetic pathway for the stephacidin family of alkaloids has been of particular interest to our laboratories.<sup>3</sup> (+)-Stephacidin A was also subsequently identified as a cometabolite with several new prenylated indole alkaloids, the notoamides, by Tsukamoto and coworkers from a marine derived *Aspergillus* sp. Our interest in the biosynthesis of the stephacidin and notoamide families was heightened when the corresponding enantiomer, (–)-stephacidin A (**10**), was isolated from a terrestrial fungi, *Aspergillus versicolor* that was found growing in a Hawaiian forest (Figure 5).<sup>4</sup>





Previously, our group has shown that stephacidin A can arise synthetically from an IMDA reaction to form the bicyclo[2.2.2]diazaoctane ring system.<sup>20</sup> Biosynthetically, one can envision stephacidin A coming from two separate amino acid residues, tryptophan **79** and proline **78**. These two amino acids come together to form the secondary motabolite brevianamide F (**80**). Reverse prenylation of **80** at the C2 position of the indole afforded

deoxybrevianamide E (8). Our first proposed biosynthesis started from 8 undergoing an oxidation of the indole ring at the C6 position to give 6-hydroxy-deoxybreveanamide E (81). 81 would then be prenylated at the C7 position of the indole affording 82, followed by an oxidation, cyclization and elimination of the C7 prenyl group to give notoamide E (83). Notoamide E would then undergo a net two electron oxidation to form the azadiene which would cyclize to give (+) or (-)-stephacidin A (Scheme 13).





However, through precursor feeding and incorporation experiments of doubly <sup>13</sup>C-labeled **85**, it was discovered that **85** does not incorporate into **87** (Scheme 14).<sup>23</sup> This interesting discovery made us question the next steps in the synthesis of stephacidin A. We believe that our assumptions up to this point were correct based on incorporation studies of related molecules such as breveanamides A and B.<sup>17</sup> It was at this time we undertook a collaborative

study on the biosynthesis of stephacidin A with the Sherman group at the University of Michigan.





Our collaboration took us in to a much more modern approach in the discovery of the biosynthesis of the stephacidins. Gene sequencing of the stephacidin and notoamide families through gene mining and biochemical analysis led to identification of the first gene cluster involved in the biosynthesis.<sup>24</sup> Through isolation and expression of individual enzymes, the identification of two central pathway enzymes catalyzing both a normal and reverse prenyltransfer reactions was described in detail.<sup>24</sup> The first of these enzymes was the reverse prenyltransferase, NotF, which installs the reverse prenyl group at the C2 position of the indole of **80**. Subjecting the NotF to doubly <sup>13</sup>C-labled **88** afforded **89** (Scheme 15).



Scheme 15: Reaction of NotF with <sup>13</sup>C labeled 88.

This intriguing result helps to prove what was already expected in the biosynthesis of stephacidin A; from **80**, the first step is a reverse prenylation
reaction to give 8. The substrate selectivity was also tested in order to see whether this enzyme was selective to one particular substrate or whether, when exposed to any similar type of substrate it would perform its designated task. Subjecting NotF to a variety of substrates showed it to be selective for 80 alone, making it not only site selective but substrate selective as well. Next was the identification of the normal prenyltransferase NotC. Without knowing the exact timing of the normal prenylation, a variety of substrates were subjected to the enzyme to see whether there was any type of selectivity. Interestingly, only one of the substrates, 81, was found to have any activity with the enzyme NotC. However, the product of the prenylation reaction, notoamide S (82), has not been identified as a metabolite in previous isolations. To confirm the structure of the newly isolated product, a synthetic sample needed to be synthesized. Through our synthetic efforts it was confirmed that the product of NotC was notoamide S (82).<sup>25</sup> This led to a change in our original proposed biosynthetic route (Scheme 16).



Scheme 16: New proposed biosynthetic pathway to stephacidin A.

From previous incorporation studies, it was shown that **83** did not incorporate into stephacidin A.<sup>23</sup> Knowing that **83** did not lead to stephacidin A, and with the newly isolated metabolite from the NotC enzyme, we now believe that stephacidin A could arise from notoamide S. It is also believed that notoamide S may be the common intermediate leading to either enantiomer of stephacidin A (Scheme 17).





With our newly proposed biosynthetic pathway, we believe that it is notoamide S that undergoes the two-electron oxidation to form azadiene 90 for the IMDA. If this proposal is correct then there is another new metabolite be that should formed before stephacidin А and contains the bicyclo[2.2.2]diazaoctane ring system. We chose to call this proposed metabolite notoamide T (91). We believe that notoamide S is oxidized to form azadiene 90, which undergoes an IMDA reaction to give notoamide T. To test this hypothesis, we needed to synthesize a sample of notoamide T (91) for comparison (Scheme 18).





With the completion of notoamide T, our current efforts are focused on searching for, and identifying it as a metabolite of the fungus, thereby leading

us one step closer to the elucidation of the biosynthesis of stephacidin A. For further corroboration to our proposed biosynthetic synthesis of stephacidin A, we have synthesized doubly <sup>13</sup>C- and <sup>15</sup>N-labeled notoamide S for feeding incorporation studies in order to confirm its role in stephacidin A's biosynthesis. One of the major hopes of the incorporation study is to not only identify the incorporation of notoamide S into stephacidin A, but also to identify notoamide T and its role in the biosynthesis.

Current work in our lab to understand the biosynthesis of stephacidin A is conducted through the collaboration with the Sherman group at the University of Michigan and their genome based studies. The Sherman group has identified notoamide S as a metabolite along the pathway to stephacidin A, and we believe that it is the key intermediate at which the pathways diverge in different fungi to give the two enantiomers. Our logic, combined with the knowledge of previous incorporation studies, lead us to believe that notoamide T is the product of the IMDA leading to stephacidin A. With the synthesis of notoamide T, we can begin to search for it as a possible metabolite leading to stephacidin A. However, one concern is that the existence of notoamide T may be fleeting, making it nearly impossible to detect through current techniques. If this is the case, further genetic studies must be completed to either prove or disprove our hypothesis. Incorporation studies of notoamide T must also be completed to see whether it is a possible precursor to stephacidin A. If it is not, then there are very few options left on

the biosynthetic path to stephacidin A. Current efforts in the Sherman group are directed towards the identification of the oxidase responsible for the twoelectron oxidation leading to the azadiene for the IMDA. As notoamide S has all of the appropriate carbons intact, the final steps to stephacidin A are oxidation to form the azadiene, and oxidation of the prenyl group followed by pyran ring formation. This does leave one final intermediate that could possibly be the Diels–Alder precursor (Scheme 19).



Scheme 19: Another possible biosynthetic pathway to stephacidin A.

The oxidation of the prenyl group followed by immediate cyclization to form  $\beta$ -hydroxy cyclic ether **92**, which could undergo an IMDA to give **94**, is another possibility. There is also the chance that notoamide S is oxidized and cyclized to form notoamide T, which would in turn be oxidized at the prenyl group and cyclized to form **94** – the same  $\beta$ -hydroxy cyclic ether. This would make **94** a common intermediate between the two pathways and the possible final metabolite before stephacidin A (Scheme 20).





Through our collaborative efforts, we have discovered what might be the final common precursor before the formation of the achiral diene leading to the two separate enantiomers (+)-stephacidin A and (–)-stephacidin A. This would indicate that both fungi may have the same genes to produce notoamide S, at which time the two fungi diverge to form separate enantiomers. We have synthesized notoamide S and notoamide T for comparison with the work being done by our collaborators in the Sherman group. We have confirmed the structure of the metabolite notoamide S (82) through the synthesis of a synthetic sample,<sup>25</sup> and, with a synthetic sample prepared, are now pursuing the possible existence of notoamide T as a metabolite. Notoamide S has also been synthesized with double <sup>13</sup>C and <sup>15</sup>N labels for feeding incorporation studies stephacidin A. The biosynthetic path by which stephacidin A is synthesized is being whittled down to a very few select options, and with our synthetic efforts and our collaborators' genome

based studies,<sup>24</sup> we believe that the biosynthesis of stephacidin A will soon be determined.

# 1.1.5 Synthetic Goals

Our goal for this project is the identification of each step along the biosynthetic path leading to the stephacidin and notoamide family of natural products. Through our collaborative efforts, we have identified a possible new metabolite, which we called notoamide S. Synthetically, we want to develop a short, high yielding route to notoamide S that would allow us to use <sup>13</sup>C-labels for incorporation studies into the synthesis of stephacidin A. Also, along the path of our newly proposed biosynthesis is what we believe to be a possible new metabolite, notoamide T. The synthesis of notoamide T would help our efforts toward the complete picture in the biosynthesis by providing an authentic sample to our collaborators for comparison in their work on the isolation of possible metabolites along the pathway. The synthesis of notoamides S and T would provide us with both a better understanding and a synthetic route to possible compounds on the pathway to the stephacidin and notoamide family of natural products.

### 1.2 Notoamide S

#### 1.2.1 Synthesis of Notoamide S

We envisioned that, notoamide S (82) could come from the coupling of commercially available *N*-Fmoc L-proline (96) with the 6-hydroxy-7-prenyl-2-reverse prenyl tryptophan derivative 95. This tryptophan derivative can be accessed from the corresponding 6-hydroxy-7-prenyl-2-reverse prenyl indole (97), which can be synthesized from the known indole 98 (Scheme 21).



Scheme 21: Retrosynthetic analysis of notoamide S.

The assembly of notoamide S began from the previously reported indole **98**.<sup>26</sup> The treatment of **98** with Lindlar's catalyst under an atmosphere of hydrogen resulted in partial reduction of the alkyne (Scheme 22). The reverse prenyl ether was heated under microwave conditions in toluene to give the desired 6-hydroxy-7-prenyl-2-reverse prenyl indole **97**. Indole **97** was treated with dimethyl amine and aqueous formaldehyde in acetic acid to give the desired gramine **99**, albeit in only 20% yield.





With the poor yield in the synthesis of 99, it was decided to reverse the order of steps to see whether the desired gramine could be synthesized in a higher yield. Formation of gramine **100** from **98** was followed by reduction and subsequent Claisen rearrangement<sup>27</sup> to give the desired gramine (99) in a 71% overall yield (Scheme 23). Somei-Kametani<sup>28</sup> coupling of **99** with *N*-(diphenylmethylene)glycine ethyl ester (101) in the presence of tributylphosphine, followed by deprotection of the incipient benzophenone imine gave only a 10% yield of the desired tryptophan derivative 95. It is believed that the free phenol is problematic in this reaction due to the acidity of the phenolic proton; therefore a different approach was adopted to avoid this problem.



Scheme 23: Synthesis of tryptophan derivative 95.

With **100** being readily available on multi-gram scale, we decided to explore the use of the propargyl group as a temporary protecting group for the phenol by performing the reduction and Claisen rearrangement<sup>27</sup> at a later stage (Scheme 24). Under Somei-Kametani<sup>28</sup> conditions **100** can be converted to the desired tryptophan derivative, which upon removal of the benzophenone imine with aqueous acid gave the free amine **102**. Coupling of **102** with *N*-Fmoc L-proline (**96**) in the presence of HATU and *i*-Pr<sub>2</sub>NEt gave coupled product **103** in 85% yield. However, after removal of the Fmoc group of **103**, the cyclization to the diketopiperazine was also accompanied by undesired pyran formation to give the natural product notoamide E (**83**) and *epi*-notoamide E (**104**) (Scheme 24).



Scheme 24: Unanticipated formation of notoamide E and *epi*-notoamide E.

Although unanticipated, the adventitious synthesis of notoamide E is three steps shorter than our previously reported route starting from the same indole substrate **98**. To prevent the formation of the pyran ring it was decided to reduce the alkyne to the alkene prior to the formation of the

dioxopiperazine, thus allowing for the possibility of performing the desired Claisen and diketopiperazine formation in one operation. Reduction of 103 with Lindlar's catalyst gave the desired alkene in quantitative yield. With the full skeleton in hand all that remained was cleavage of the Fmoc group from the proline residue followed by cyclization to the dioxopiperazine ring (Scheme 25). As shown previously with the formation of the pyran ring, it was believed that the Claisen rearrangement<sup>27</sup> of the reverse prenyl ether would take place during the cyclization of the dioxopiperazine. Removal of the Fmoc group proceeded smoothly in the presence of diethylamine, and upon refluxing in toluene, the desired compound notoamide S (82) was isolated in a modest yield (18%); however, three other products were also found to be present in the mixture. These include: epi-notoamide S (105), notoamide E (83), and epi-notoamide E (104). Further investigation revealed that the formation of the pyran ring was occurring during the Somei-Kametani<sup>28</sup> reaction, and these inseparable by-products were invariably carried through the synthesis. Suppression of this undesired side reaction can be achieved by lowering the temperature of the Somei-Kametani<sup>28</sup> reaction below reflux. Under these conditions, notoamide S (82) was obtained in 29% isolated yield along with 105 (20%), 83 (8%) and 104 (5%). The synthetic notoamide S exactly matched the natural metabolite obtained from the marine-derived Aspergillus sp. (Tsukamoto) by <sup>1</sup>H NMR and retention time on LC.





With the synthesis of notoamide S we were able to match our synthetic sample with what was found to be the product of the NotC enzyme. At this point, our next step was to use the synthetic route developed for notoamide S to synthesize doubly <sup>13</sup>C-labeled notoamide S for feeding incorporation experiments. If our speculations are correct, we should see the incorporation of the labeled notoamide S into the synthesis of stephacidin A.

# 1.2.2 Synthesis of Doubly <sup>13</sup>C, <sup>15</sup>N Labeled Notoamide S

For incorporation studies into the biosynthesis of stephacidin A we required a labeled sample of notoamide S. The synthesis of doubly <sup>13</sup>C-labeled notoamide S started from the previously described gramine **100**. One of the major changes in the synthesis of the labeled **111** from the non-labeled notoamide S was the use of a chiral auxiliary to set the tryptophan portion of the molecule, in order to maximize the yield of pure material. Using the Oppolzer auxiliary, derived from (+)-CSA, the glycine imine **107** can be

synthesized in three steps in good yield.<sup>29</sup> With the problematic Somei-Kametani<sup>28</sup> reaction leading to the undesired pyran ring formation we chose to use an alternative two step sequence. Treatment of Mel with gramine **100** in ether afforded ammonium salt **106**, which could be displaced with the enolate of glycine imine sultam **107**. Treatment of the resultant sultam with LiOH in THF and H<sub>2</sub>O afforded acid **108** with recovery of the auxiliary. Coupling of **108** with <sup>13</sup>C, <sup>15</sup>N labeled L-proline ethyl ester in the presence of HATU and *i*-Pr<sub>2</sub>NEt provided the dipeptide in moderate yield. Hydrolysis of the imine with 1 M HCl provided free amine **110**, which was treated with Lindlar's catalyst under an atmosphere of hydrogen to give the alkene in high yield. The dipeptide was then heated in toluene to cyclize to the diketopiperazine, as well as undergoing a Claisen reaction to give the 6-hydroxy-7-prenyl indole portion. Unfortunately, the yield of this reaction was very low due to the insolubility of the dipeptide in toluene. By switching solvents from toluene to acetonitrile, the yield of **111** was increased dramatically from 20% to 70% (Scheme 26).



Scheme 26: The synthesis of doubly <sup>13</sup>C-, <sup>15</sup>N-labeled 111.

The synthesis of doubly <sup>13</sup>C-, <sup>15</sup>N-labeled **111** allowed us to conduct feeding experiments to see if **111** does incorporate into stephacidin A. This will help confirm that, not only is notoamide S a metabolite of the fungus but that it is also part of the biosynthetic pathway leading to stephacidin A. At this point, we began to think about the next step in the synthesis. As we had time while labeled **111** was being used in feeding studies, we chose to look at what would happen if notoamide S were to be oxidized and cyclized to give a new bicyclo[2.2.2]diazaoctane containing metabolite.

# 1.3 Notoamide T

# 1.3.1 Synthesis of Notoamide T

In our new biosynthetic proposal, we believe that notoamide S is the key intermediate before the IMDA. If notoamide S (82) undergoes a twoelectron oxidation to form the azadiene, it could cyclize to form a new metabolite, which we will call notoamide T. **112** would contain the bicyclo[2.2.2]diazaoctane ring system, but lack the pyran ring of stephacidin A. Instead, it would contain the 6-hydroxy-7-prenyl indole portion much like notoamide S (Scheme 27).





We envisioned the synthesis of notoamide T starting from eneamide **113**, which could come from an elimination of the alcohol of **114**. Diketopiperazine **114** could come from the coupling of tryptophan derivative **102** with cis- $\beta$ -hydroxy  $\perp$ -proline derivative **115**. This route should afford notoamide T in a rapid and scaleable manner, and allowing for the possibility of incorporating <sup>13</sup>C labels for further feeding experiments.



Scheme 28: Retrosynthesis of notoamide T.

The synthesis of notoamide T was to follow the chemistry that our group has developed for the construction of the bicyclo[2.2.2]diazaoctane ring system.<sup>20</sup> Starting from the previously described tryptophan derivative **102**, coupling of cis- $\beta$ -hydroxy-N-Fmoc-L-proline in the presence of HATU and *i*-Pr<sub>2</sub>NEt provided amide **116** in moderate yield. Reduction of the alkyne with Lindlar's catalyst under an atmosphere of H<sub>2</sub>, followed by removal of the Fmoc group with diethylamine gave alkene **117**. Heating in acetonitrile provided the diketopiperazine as well as the Claisen rearrangement of the alcohol by treatment with Bu<sub>3</sub>P and DEAD to give eneamide **113** in low yield. The enamide was treated with aqueous KOH in MeOH for the key IMDA; however, no product was found from the reaction (Scheme 29).





With the unfortunate results of the IMDA of eneamide **113** we decided to try a late stage propargylation followed by reduction and Claisen rearrangement to gain access to notoamide T. Treatment of phenol **118**, synthesized by another group member, with CuCl<sub>2</sub>•2H<sub>2</sub>O and DBU followed by addition of a preformed solution of trifloropropargyl carbonate failed to give **120** (Scheme 30). One of the major problems with this route is that the propargylation requires a very precise amount of CuCl<sub>2</sub>•2H<sub>2</sub>O, and on small scale this is extremely difficult to accomplish.



Scheme 30: Attempted late stage propargylation of phenol 118.

Difficulties with the synthesis of led us to think about alternative ways to access notoamide T. One of the proposed methods was the use of stephacidin A as a precursor for notoamide T. We were curious to see whether there was a possibility to open the pyran ring to afford notoamide T. In work by Whiting and coworkers, it was shown that the opening of a pyran ring can be accomplished through a metal mediated elimination of a phenylsulfide to give the corresponding hydroxy prenyl compound (Scheme 31).<sup>30</sup>



**Scheme 31**: Whiting's pyran ring opening through sulfide elimination.

Whiting also showed that a ring opening can be done in the same manor by installing the phenylsulfide through a radical reaction.<sup>31</sup> Treatment of **124** with AIBN and PhSH lead to the anti-Markovnikov addition of the phenylsufide radical to give **125**. This intermediate can be opened through a metal mediated elimination to give phenol **126** (Scheme 32).



**Scheme 32**: Anti-Markovnikov addition of phenylsulfide radical to a pyran ring.

With Whiting's examples, we were hopeful that we could use stephacidin A as a precursor for the synthesis of notoamide T, but to explore the utility of this sequence we chose to start with the ring opening of notoamide E to notoamide S. Treatment of **83** with AIBN and PhSH in refluxing benzene provided an inseparable mixture of diastereomers of the anti-Markovnikov addition of PhSH to afford sulfide **124**. Treatment of sulfide **124** with potassium naphthalenide at room temperature gave the desired notoamide S along with *epi*-notoamide S in a 1:1 mixture. The strong basicity of the potassium naphthalenide caused an epimerization to the more stable trans-diketopiperazine of *epi*-notoamide S (Scheme 33).



Scheme 33: Ring opening of notoamide E.

Converting notoamide E to notoamide S gave us hope that we would be able to do the same for stephacidin A. Treatment of stephacidin A with AIBN and PhSH in refluxing benzene failed to produce the desired sulfide due Whiting also found that to the insolubility of stephacidin A in benzene. changing the conditions of the sulfide addition from an initiator-based radical formation to light - initiated radical formation was also effective for the installation of the sulfide, and this could be done in DMSO; one of the few solvents in which stephacidin A is soluble.<sup>31</sup> Irradiating a solution of stephacidin A, diphenyl disulfide and PhSH in DMSO gave the desired sulfide in a modest yield. The next step in the synthesis to gain access to notoamide T (91) is the ring opening of the cyclic ether with potassium naphthalenide. Our first attempt at the ring opening was with potassium naphthalenide at 0°C, but an inseparable mixture of two products was obtained. The first of the two products was the desired notoamide T, but the second of the two products contained the ring-opened ether with the trisubstituted olefin isomerized to the benzylic position to form styrene **128**. Countless efforts to separate the two regioisomers all failed. However, changing the conditions of

the ring opening from 0°C to -40°C gave notoamide T in a modest 45% yield (Scheme 34).



Scheme 34: Synthesis of notoamide T through ring opening of the pyran.

Through the use of a ring opening technique, we have shown that we can gain access to a possible new metabolite, notoamide T. Our conventional methods for the synthesis of notoamide T did not provide the desired product; however, current efforts are being made to redesign our original route to provide notoamide T in a higher yield. The main purpose of the initial synthesis of notoamide T was to generate an authentic sample of the compound with which our collaborators could begin their search for the metabolite and possibly identify it as a key in the biosynthetic pathway.

# **1.4 Conclusions**

Through the use of modern genomic techniques and the use of isotopically labeled incorporation studies, we believe that we have come a few

steps closer to understanding the biosynthetic pathway of the stephacidin and notoamide family of natural products. With the assistance of our collaborators at the University of Michigan, we have identified a new metabolite on the pathway to the synthesis of stephacidin A.<sup>20</sup> In the genetic aspect of the biosynthesis, the Sherman group at the University of Michigan has identified the enzymes involved in both the reverse prenylation (NotF), and normal prenylation (NotC), through gene sequencing and gene mining. The Sherman group has also identified the relationship between the two separate fungi. Recent results have shown that *Aspergillus sp.* and *Aspergillus versicolor* have a 70.8% nucleotide identity (Figure 5a), leading us to believe that the two fungi proceed through the same pathway until the point of divergence where enantiomerically opposite natural products are produced.



**Figure 5a**: The genetic relationship between *Aspergillus sp.* and *Aspergillus versicolor*.

With the assistance of the Sherman group, we believe that we are getting closer to identifying each step in the biosynthesis of the stephacidin and notoamide families of natural products. We have completed the synthesis of notoamide S to confirm by analytical techniques the structure of the newly isolated product of the NotC enzyme.<sup>202</sup> The synthesis of notoamide

S has allowed us to incorporate <sup>13</sup>C- and <sup>15</sup>N-labels into the natural product for use in incorporation studies to help confirm notoamide S as a metabolite on the path to stephacidin A. With the knowledge of previous incorporation studies<sup>23</sup> and the discovery of notoamide S<sup>24</sup>, we believe that our new biosynthetic proposal is closing in on the biosynthesis of stephacidin A and the possible discovery of a Diels-Alderase enzyme (Scheme 35).



Scheme 35: Our current biosynthetic proposal for the synthesis of 1.

In our current proposal, we believe that the product of the IMDA contains the same substitution as notoamide S. Through our synthetic efforts we have synthesized an authentic sample of what we call notoamide T. Our conventional group chemistry failed to give us access to the desired 6-hydroxy-7-prenyl bicyclo[2.2.2]diazaoctane containing product; however, we

gained access to notoamide T through unconventional methods by a key ring opening of the pyran system of stephacidin A. With the completion of notoamide T, very few intermediates remain that could be potential precursors on the path to stephacidin. Two possible intermediates still remain unsynthesized (Scheme 36).





The first of the possible metabolites that could possibly remain on the path to stephacidin A would be **92**; the result of the oxidation of the prenyl group of notoamide S followed by cyclization of the phenol. At this point the next metabolite yet to be synthesized is IMDA adduct **94**. Both of these possible metabolites should be considered on the path to stephacidin A, especially **94** as it is not only the product of the oxidation of notoamide T, but it could also be the product of the IMDA of **92**. Knowing that these two metabolites could still be potential precursors is a major step in understanding the biosynthesis of the stephacidin and notoamide family of natural products.

With the help of our collaborators we hope to resolve this puzzle on a molecular level as well as genetic level. Through the genome based studies of the Sherman group alongside our incorporation studies, we believe that we can fully characterize the biosynthesis of (+) and (–)-stephacidin A as well as the origin of their divergence within the two fungi.

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### Chapter 2: Progress Toward the Synthesis of Chrysogenamide A

## 2.1 Introduction

## 2.1.1 Isolation

Chrysogenamide A was isolated by Zhu and coworkers in 2008 from Penicillium chrysogenum No. 005 in northwest China from the root of a parasitic plant Cistanche deserticola.<sup>1</sup> The stem of the C. deserticola plant has been used for the treatment of kidney deficiency and neurasthenia in ancient Chinese medicine. C. deserticola phenylthanoid glycosides have been reported to display free radical scavenging activity, and have also been used in the treatment and prevention of cranial nerve diseases. While searching for new neuroprotective compounds from endophytic fungi in C. deserticola, three active strains were screened using SH-SY5Y cells (human neuroblastoma cells). The culture broth of fungus No. 005 (authenticated as Penicillium chrysogenum) showed significant neurocyte protection effects against oxidative stress-induced cell death in SH-SY5Y cells. Chrysogenamide A (129) was found to be the active constituent of this strain.



Figure 6: Structure of chrysogenamide A.

Fungus No. 005, was grown under static conditions at 24°C in 35 1000-mL flasks in a medium (300 mL/flask) composed of glucose (10 g/L), maltose (20 g/L), mannitol (20 g/L), monosodium glutamate (10 g/L), KH<sub>2</sub>PO<sub>4</sub> (0.5 g/L), MgSO<sub>4</sub>•7H<sub>2</sub>O (0.3 g/L), corn steep Liquor (1.0 g/L) and yeast extract (3.0 g/L) and seawater at a pH of 7.0. After 30 days the fermentation broth (10.5 L) was extracted three times with EtOAc and concentrated under reduced pressure to give the crude extract (9.5 g). The crude extract was chromatographed on a silica gel column where 15 fractions were collected by eluting in a step gradient of petroleum ether and acetone. The fourth fraction collected was further purified by reverse phase column chromatography giving 9 additional fractions. Extensive PHPLC separation of fractions 4-9 in 80% MeOH at 4.0 mL/min. gave chrysogenamide A (4.0 mg) as a pale yellow solid.

# 2.1.2 Characterization

Chrysogenamide A was characterized by high resolution mass spectrometry, NMR (<sup>1</sup>H, <sup>13</sup>C, NOESY, HMBC, HMQC, DEPT, COSY), and IR. The molecular formula was determined by HRESI-MS -observed  $[M+H]^+$  at *m*/*z* 448.2951, calcd  $[M+H]^+$  C<sub>28</sub>H<sub>38</sub>N<sub>3</sub>O<sub>2</sub>, 448.2964. <sup>1</sup>H-NMR spectra revealed

three methyl groups, as well as three ortho coupled aromatic signals, indicating a 1,2,3-trisubstituted benzene ring. An olefinic proton coupled with methylene protons, along with two vinylic methyls, indicated the presence of a prenyl group in **129**. The <sup>13</sup>C-NMR and DEPT spectra showed 28 signals and confirmed the presence of a prenyl group as well as a trisubstituted benzene ring. Two carbonyls, four quaternary carbons, two methines and six methylenes were also observed in the <sup>13</sup>C-NMR spectra.





Chrysogenamide A showed a close relation to the marcfortine group of fungal metabolites.<sup>2</sup> Based on further evaluation of the spectral data and subsequent <sup>1</sup>H-<sup>1</sup>H COSY and HMBC results, 6 out of 12 unsaturations were accounted for, from which a heptacyclic structure could be inferred. A similar relation to marcfortine A<sup>2</sup> was assessed due to further HMBC correlation, differences in the benzene side chain with a prenyl group rather than a dioxapine ring, and a methyl group instead of hydrogens at the C17 of the pipecolic acid portion. NOSEY data were used to elucidate the relative stereochemistry of **129**, which was found to be in agreement with the relative

stereochemistry of marcfortine A (**131**).<sup>2</sup> The Cotton effect at 200-250 nm from an n- $\pi$ \* transition of the monoketopiperazine amide bonds was indicitive of a bicyclo[2.2.2]diazaoctane core system. The CD spectrum of chrysogenamide A correlated to the relevant regions of (+)-brevianamide A (**130**), thereby permitting the absolute stereochemistry to be assigned.<sup>3</sup> The absolute stereochemistry of **129** was therefore assigned as 3*S*, 11*S*, 13*S*, 17*S*, 21*R*, and the compound was given the name chrysogenamide A (**129**).

#### 2.1.3 Pharmacological Properties

MTT assays on neurocytes were evaluated using oxidative stressinduced cell death in SH-SY5Y cells for a preliminary evaluation of the protective effect of chrysogenamide A.<sup>1</sup> Using 2,2-diphenyl-1-picrylhydrazyl (DPPH) as a free radical donor, the free radical scavenging activity of **129** was compared to that of vitamin C (IC<sub>50</sub>: 29.0  $\mu$ M) and showed reduced protective character (IC<sub>50</sub>: >100  $\mu$ M) at concentrations up to 100  $\mu$ M. At concentrations of 1x10<sup>-4</sup>  $\mu$ M, cell viability in the presence of hydrogen peroxide was improved; 59.6% of cells survived in comparison to only 43% of the control group. Chrysogenamide A therefore displayed protective effect against oxidative stress-induced cell death, though not through its antioxidant activity.

## 2.1.4 Background

Chrysogenamide A (**129**) is believed to be a member of the marcfortine family of prenylated indoles due to its bicyclo[2.2.2]diazaoctane ring system.<sup>2</sup> However, **129** contains the relative structural framework of an intermediate of the cintrinadins A and B, suggesting that chrysogenamide A could be a biosynthetic intermediate in the cintrinadin pathway.<sup>4</sup>

Structurally, chrysogenamide A is unique in that its pipecolic acid is methylated at C17, and it contains a C7 prenyl group on the spiro-oxindole. This stands in contrast to the dioxepin ring of marcfortine A and the pyran ring of marcfortine C. Related C7 prenylated molecules have been shown to exhibit potent antiparasitic activity,<sup>5</sup> inhibition of human tumor cells,<sup>6</sup> and cytotoxicity.<sup>7</sup>

#### 2.1.4a Trost's Synthesis of (±)-Marcfortine B

Marcfortine B was first synthesized by Trost and co-workers in 2007, through a key palladium catalyzed carboxylative trimethylenemethane (TMM) [3+2]-cycloaddition reaction to form the spiro-oxindole cylcopentene core.<sup>8</sup> The bicyclo[2.2.2]diazaoctane ring system was expected to form through alkylation of 2-hydroxypipecolic amide, intramolecular Michael-addition,<sup>9</sup> and radical ring closure. Further manipulations of the core would give (±)marcfortine B (Scheme 37).<sup>8</sup>



Scheme 37: Trost's synthesis of marcfortine B.

Starting from known oxindole **132**, treatment with acetone in the prescence of HCl gave the  $\alpha$ , $\beta$ -unstaturated oxindole, which, when treated with Boc anhydride and DMAP, gave **133**. The key TMM step proceeded nicely through the use of palladium acetate, triisopropyl phosphine ligand, and TMM donor **134** gave **135** in a 93% yield after methylation of the resultant carboxylic acid. Epoxidation of the exomethylene with *m*CPBA, followed by ring opening of the epoxide with DBU, gave primary alcohol **136**. Mesylation and displacement of the primary alcohol followed by elimination of the secondary alcohol in the piperdine ring gave **138**; the desired substrate for the Michael addition<sup>9</sup> cyclization. Treatment of **138** with KHMDS smoothly afforded the Michael addition<sup>9</sup> product as a single diastereomer, which was protected as the PMB oxindole in a 95% yield over two steps. Reduction to the

xanthante ester, gave the radical cyclization precursor for the key closure of the bicyclo[2.2.2]diazaoctane core. Treatment of xanthate ester 140 with superstoichiometric AIBN and catalytic Bu<sub>3</sub>SnH initiated the radical cyclization to the bicyclo[2.2.2]diazaoctane core. In this step, the nitrogen centered radical of the AIBN participates in a 1,4-hydrogen abstraction to the alkyl radical, which undergoes fragmentation to give alkene **141**. Reduction of the resultant olefin with Crabtree's catalyst in the prescence of H<sub>2</sub>, followed by deprotection of the PMB group, gave 142 in 81% yield over two steps. Cleavage of the methoxy ethers with boron tribromide to give the catechol, followed by prenylation gave the desired prenyl ether. Marcfortine B was completed in three more steps: epoxidation of the prenyl ether with mCPBA, an exclusive endo cyclization with tin tetrachloride to give the seven membered ring, followed by elimination of the secondary alcohol. The target was achieved in 22 linear steps from oxindole **132**, with an overall 3% yield.<sup>8</sup> The Trost group showed the utility of the developed TMM chemistry to form the spirocyclic tricycle, in which the configuration of the spiro center was used to relay the remaining stereochemistry. Formation of the strained bicyclo[2.2.2]diazaoctane core was achieved through a radical-mediated cyclization in a moderate yield to give the core of marcfortine B (Scheme 37).<sup>8</sup>

### 2.1.4b Williams' Synthesis of Marcfortine C

Our group has also participated in the synthesis of the marcfortine family of natural products with the total synthesis of marcfortine C.<sup>10</sup> Our approach to marcfortine C involved a biosynthetic approach, relying on a tryptophan derivative and a hydroxylated pipecolic acid to form a diketopiperazine. With the use of the previously described intramolecular hetero-Diels-Alder<sup>11</sup> reaction to form the bicyclo[2.2.2]diazaoctane ring system, the core structure of marcfortine C could be accessed (Scheme 38).



Scheme 38: Williams' synthesis of marcfortine C.

The synthesis of marcfortine C started from the previously described tryptophan derivative **72**.<sup>12</sup> Coupling with cis-hydroxypipecolic ester **143** gave the Fmoc protected dipeptide **144**, which was deprotected and cyclized to give diketopiperazine **145** in 72% yield over two steps. With the key

precursor in hand for the intramolecular hetero-Diels-Alder<sup>11</sup> reaction, **145** was treated with tributyl phosphine and DEAD at 40°C to facilitate both the Mitsonobu elimination<sup>13</sup> and tautomerization to the corresponding azadiene for the Diels-Alder reaction, giving a 1 : 2.4 mixture of *anti* (**147**) to *syn* (**146**) diastereomers. Reduction of tertiary amide **146** with DIBAI-H, followed by an oxidative Pinacol-like<sup>14</sup> rearrangement with Davis' oxaziradine<sup>15</sup> (**149**) in the presence of PPTS, gave marcfortine C (**150**). Completion of the synthesis took five steps from the previously reported tryptophan derivative, giving marcfortine C in 30% overall yield (Scheme 38).<sup>10</sup> This synthesis successfully capitalized on a proposed biosynthetic route in which an intramolecular hetero-Diels Alder<sup>11</sup> reaction was used to form the bicycle[2.2.2]diazaoctane core.

### 2.2 Synthetic Goals and Approach to Chrysogenamide A

#### 2.2.1 Synthetic Goals

Our synthetic goal for the synthesis of chrysogenamide A was to develop a simple, high yielding route that would enable the incorporation of labeled substrates, possibly leading to the elucidation of the compound's biosynthesis. The synthetic tasks were constructing two separate portions of the molecule for use in the well established IMDA<sup>11</sup> reaction to form the bicyclo[2.2.2]diazaoctane ring system. The first synthetic challenge was to synthesize a tryptophan portion containing a 7-prenyl and 2-reverse prenyl

indole moiety; this tryptophan derivative would act as a possible biomimetic intermediate. The second goal was to construct an  $\alpha$ -methyl pipecolic acid derivative that could be coupled to the desired tryptophan, again in a process possibly mimicking the actual biosynthesis. With the two pieces joined, our group's well established IMDA<sup>11</sup> chemistry could be used to complete the synthesis of chrysogenamide A.

### 2.2.2 Retrosynthesis of Chrysogenamide A

Retrosynthetically, we envisioned chrysogenamide A arising from an oxidative rearrangement of the corresponding indole containing bicyclo[2.2.2]diazaoctane system, followed by DIBAI-H reduction of the tertiary amide to afford **151**. Amine **151** could come from a tautomerization event leading to an IMDA reaction of unsaturated DKP **152**. The Diels-Alder precursor would be the product of the coupling and cyclization of two amino acid derivatives to give the corresponding DKP. Two amino acid derivatives would be needed to form the DKP: a tryptophan derivative (153) and pipecolic acid derivative **154**. The tryptophan derivative could come from a Mannich<sup>16</sup> reaction to form the gramine, followed by a Somei-Kametani<sup>17</sup> coupling to afford tryptophan 153. The indole portion of 153 would come from the reverse prenylation of a previously reported 7-prenylindole (**156**).<sup>18</sup> We envisioned the pipecolic acid portion of the molecule coming from an aldol addition of Williams' lactone **159** to aldehyde **160** to give **158**.<sup>19</sup> Cleavage of
the lactone template and reductive amination would give the desired pipecolic acid piece (Scheme 39).



Scheme 39: Retrosynthesis of chrysogenamide A.

# 2.3 Tryptophan Portion

## 2.3.1 Prenylation and Reverse Prenylation

Our initial synthetic efforts were aimed at the synthesis of the desired tryptophan derivative. Previously, it has been shown that 7-prenylindole **156** could be synthesized in high yields through a scalable four step process.<sup>18</sup> Propargylation of indoline with chloride **162** in the presence of catalytic CuCl and TEA gave *N*-propargyl indoline in 91% yield. Reduction of the alkyne to the alkene with Lindlar's catalyst under an atmosphere of H<sub>2</sub>, followed by a microwave assisted aza-Claisen<sup>20</sup> rearrangement, gave 7-prenyl indoline.

Oxidation of the indoline with  $MnO_2$  gave the desired indole in a 62% overall yield for the four steps (Scheme 40).



Scheme 40: Synthesis of 7-prenyl indole.

With the 7-prenyl indole in hand, the next task was to install the desired C2 reverse prenyl group following the protocol developed by Danishefsky and coworkers.<sup>21</sup> Employing NCS rather than *t*-BuOCI (as in the Danishefsky method) prevented any possible chlorination of the tri-substituted olefin. Chlorination of indole **156** with NCS gave the desired C3 chloroindole **157** in 80% yield. Subjecting of indole **157** to prenyl-9BBN with TEA did not give the desired product; rather, this reaction led to the recovery of starting material (Scheme 41).





This unfortunate result led us to believe that the prenyl group at the C7 position of the indole may block the boron from adopting the correct conformation, preventing the reverse prenylation at the indole's C2 position. The steric interaction between the prenyl group of the indole with the

cyclooctane ligand of the boron may prevent the overlap needed for reverse prenylation.



Figure 8: Steric interaction of the C7 prenyl group with 9BBN.

Previously unpublished work from our group showed that the C7 O-Boc chloroindole was also unreactive to the prenylation conditions described above. This led us to believe that any bulky substituent at the indole C7 position would prohibit the use of the previously described prenylation conditions. One solution to this problem may be the use of smaller ligands bound to boron as these may allow for sufficient rotation of the boron to achieve the needed overlap for the reverse prenylation event. However, these experiments were never explored as we found a different method to solve the problem.

### 2.3.2 Aryl Triflate Reduction

Our next attempt to access the desired indole was through a previously described Claisen<sup>22</sup> rearrangement. In our synthesis of notoamide S, we showed that propargyl ether **103** underwent a Claisen<sup>22</sup> rearrangement to give the desired 6-hydroxy-7-prenyl indole. Following reduction of the alkyne, we were ultimately able to synthesize notoamide S.<sup>23</sup> We hoped to use this same

reaction to access a 6-hydroxy-7-prenyl indole, which could undergo a metalmediated reduction to afford the desired 7-prenyl indole.





The synthesis of our phenolic intermediate started from 6-hydroxy-2reverse prenyl indole **162**. Propargylation of indole **162** with alcohol **163** in the presence of TFAA, DBU and CuCl<sub>2</sub>•2H<sub>2</sub>O gave **98** in a 73% yield. Reduction of the alkyne with Lindlar's catalyst under an atmosphere of H<sub>2</sub> gave the corresponding alkene, which when heated in CH<sub>3</sub>CN underwent a Claisen<sup>22</sup> rearrangement to afford 6-hydroxy-7-prenyl indole in near quantitative yield. Triflation of the phenol with triflic anhydride in the presence of TEA and catalytic DMAP gave aryl triflate **164** in a modest 65% yield (Scheme 43).





Our next step to gain access to the indole core of chrysagenamide A was to reduce the aryl triflate using metal mediated transfer hydrogenation in order to avoid reduction of the prenyl groups. Various aryl triflate reduction

methods failed to afford the desired reduced indole system, resulting instead in the recovery of starting material. More highly functionalized aryl triflates were also subjected to reduction conditions; these substrates unfortunately gave the same result (Scheme 44).



Scheme 44: Conditions used for reduction of aryl triflate.



Scheme 45: Reduction of gramine and tryptophan derivatives.

## 2.3.3 Oxindole Formation

In the preliminary studies towards the syntheses of chartellamide A and B by Weinreb and coworkers, an aryl lithium moiety was added to the amide of a Boc-protected oxindole species to give the corresponding hemiaminal (**171**).<sup>24</sup> This hemi-aminal was then methylated and eliminated under acidic conditions to give imine **174**, which was alkylated with allyl Grignard to provide their key substrate.



Scheme 46: Weinreb's preliminary studies toward chartellamide A and B.

We saw Weinreb's Grignard addition methodology<sup>24</sup> as a possible means to gain access to C2 substituted indoles. To test this hypothesis, a simple model system was used, starting with the protection of the oxindole nitrogen as the *t*-butyl carbamate to give *N*-Boc oxindole **177**. Treatment of **177** with freshly prepared prenyl Grignard gave the corresponding hemiaminal (**179**) in 60% yield, with the deprotected oxindole comprising the balance of the material.



**Scheme 47:** Grignard addition to *N*-Boc oxindole.

Treating hemiaminal **179** with excess TFA in CH<sub>2</sub>Cl<sub>2</sub> lead to indole **180** in moderate yield after a 24 hour period. Due to the sluggish nature and moderate yield of the TFA deprotection and subsequent dehydration, an alternative method was needed (Scheme 48). Refluxing hemi-aminal **179** in toluene in the presence of Amberlyst 15 acidic resin gave the desired indole **180** cleanly in 35 minutes and near quantitative yield (Scheme 48).





With the deprotection and dehydration of the hemi-aminal resolved, the next step was to add a prenyl group at the seven position of the oxindole starting material. Intial attempts focused on an intramolecular Friedel-Crafts reaction developed by Beer.<sup>25</sup> Propargylation of aniline with chloride **162** in the presence of CuCl and TEA gave the desired derivative **182** in 95% yield. Reduction of the alkyne followed by an acid mediated aza-Claisen<sup>20</sup> rearrangement gave 7-prenyl aniline. Treatment with chloroacetyl chloride and potassium carbonate in acetonitrile, gave  $\alpha$ -chloroamide **183**, which we

expected to cyclize to the desired oxindole when treated with AlCl<sub>3</sub>. However, when **183** was heated with neat AlCl<sub>3</sub>, the desired oxindole was not seen. The same decomposition was observed when **183** was refluxed with AlCl<sub>3</sub> in toluene, with the major product showing loss of the prenyl group (Scheme 49).



Scheme 49: Attempted Beer type oxindole synthesis.

With the product of the Friedel-Crafts not being observed, and the high reactivity of the prenyl group now known, this route to a 7-prenyl oxindole was abandoned. Although AlCl<sub>3</sub> was the only Lewis acid tried, one possible solution to this problem may be the use of a different Lewis acid.

In another attempt to access the desired 7-prenyl oxindole, a radical method was chosen as the target reaction. Wolfe and coworkers have shown that when *N*-acyl-2-iodo- or 2-chloroaniline are treated with excess base (in this case LDA) and irradiated with a 240nm light, the desired oxindole can be obtained in high yield (Scheme 50).<sup>26</sup> This transformation can also be accomplished by irradiating *N*-acyl 2-iodo or 2-chloroaniline in potassium amide and ammonia, but the yields were found to be lower.

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**Scheme 50:** Wolfe's oxindole formation through an S<sub>RN</sub>1 reaction.

Starting with 2-iodoaniline, propargylation of the amine with chloride **162** in the presence of CuCl and TEA gave propargyl aniline. Reduction of the alkyne, however, was problematic. Treatment of propargyl aniline with Lindlar's catalyst under an atmosphere of  $H_2$  returned only starting material with none of the desired alkene being formed (Scheme 51). Higher pressures of  $H_2$  were not attempted for fear of over reduction of the alkyne.





With these disappointing results for the direct formation of a 7-prenyl oxindole, an alternative route was sought for the oxidation of 7-prenyl indole to its corresponding oxindole. Previously, it was shown we could access 7-prenyl indole in a scalable and high-yielding four step sequence (Scheme 40).<sup>18</sup> With the rapid availability of **156**, a variety of oxidation conditions could be screened for the synthesis of 7-prenyl oxindole. The first of the oxidation conditions chosen were developed by Payack and Vazquez.<sup>27</sup> Treatment of *N*-Boc indole with LDA, followed by addition of triisopropylborate, gave the

corresponding 2-(indolyl) borate, which was used without further purification in the second step. The borate can then be hydrolyzed to the boronic acid and oxidized to the oxindole with Oxone<sup>®</sup> in a one-pot procedure (Scheme 52). We anticipated success, since one of the examples shown was a 7-methyl indole being oxidized to 7-methyl oxindole in moderate yield.



Scheme 52: Payack and Vazquez oxindole formation.

Boc protection of **156** proceded smoothly with the use of Boc anhydride, TEA, and DMAP to give *N*-Boc indole **192** in high yield. Treatment of **192** with LDA and (i-PrO)<sub>3</sub>B, followed by oxidation, gave one product in high yield. Characterization revealed that the oxidation took place at the allylic carbon of the prenyl group (**194**) rather than giving desired oxindole **193** (Scheme 53).



Scheme 53: Oxidation of the prenyl group of 192.

With the unexpected oxidation of the allylic-benzylic carbon of the prenyl group, a different oxidation technique was sought. Funk and coworkers had shown that treatment of indole with two equivalents of NBS in

the presence of a 10:1 mixture of *t*-BuOH and H<sub>2</sub>O gave the desired 3-bromo oxindole in high yield.<sup>28</sup> It was thought that reducing the number of equivalents of NBS would provide the desired oxindole without overbromination. However, treatment of **192** with NBS in a mixture of *t*-BuOH and H<sub>2</sub>O did not provide the desired oxindole, but instead gave **195** through reaction with the prenyl group (Scheme 54).



#### Scheme 54: NBS mediated oxindole formation.

From this reaction it was seen that the olefin of the prenyl group is very reactive to a variety of oxidative conditions and must therefore be masked to prevent formation of these undesired side products. It was determined that formation of the methyl ether would be the best way to overcome this problem. Protection of the trisubstituted olefin was accomplished by refluxing **156a** in MeOH in the presence of CSA, followed by refluxing with MnO<sub>2</sub> in toluene to provide **196** in decent yield. With the reactivity of the prenyl group moderated, the oxidative conditions of NBS, *t*-BuOH and H<sub>2</sub>O were revisited to see whether the desired oxindole could be formed. Indeed, the protection of the olefin of the prenyl group allowed for the oxidation of indole **196** to the desired oxindole (**197**) (Scheme 55).

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**Scheme 55**: Protection of the prenyl olefin and oxidation to the oxindole.

The next step in the approach to a 7-prenyl-2-reverse prenyl indole was to Boc protect oxindole **197** in preparation for the Grignard addition. After screening a variety of conditions, Boc protection of oxindole **197** was found to be unsuccessful. It is possible that placing the Boc group adjacent to the methyl ether (**196**) is too sterically demanding. In order to rectify this problem, it was decided to remove the methyl ether, regenerating the olefin. Treatment of protected oxindole **197** with Amberlyst 15 acidic resin in refluxing toluene eliminated the methyl ether to give oxindole **184**. However, protection of oxindole **184** failed to give the desired oxindole for the Grignard addition (Scheme 56).



Scheme 56: Synthesis of 7-prenyl oxindole.

Beak and coworkers have shown that when using Boc protected indolines, one can lithiate ortho to the carbamate and trap the lithiated species with a variety of electrophiles.<sup>29</sup> Using this same type of ortho-lithiation method, **199** was protected as the methyl ether, then treated with *t*-BuLi and prenyl bromide as the electophile. However, the 7-prenylated

product was not formed. Changing to *s*-BuLi in the presence of TMEDA still failed to produce the desired product (Scheme 57).



Scheme 57: Attempted ortho-lithiation to form 7-prenyl indoline.

The synthesis of an oxindole system containing a bulky prenyl group at the C7 position with an equally bulky carbamate protecting group on the nitrogen proved more difficult than expected. With sterics apparently playing a large role, a different route was to be pursued in which steric interaction of the C7 prenyl group could be avoided. This method does show promise for the synthesis of C2 substituted indoles through the corresponding oxindole precursor.

### 2.3.4 Thio-Claisen Reaction

With attempts at synthesizing indole **155** through a Grignard addition to a protected oxindole having failed, a common trend of unfavorable steric interactions was recognized. The bulk of the C7 prenyl group, in conjunction with its moderately reactive olefin, makes it a significant obstacle in the synthesis of **155**. In 1976, Tomita and coworkers showed that C2 reverse prenyl indole can be synthesized through the use of a thio-Claisen<sup>22</sup> reaction.<sup>30</sup> Treatment of ethyl prenyl sulfide (**201**) with NCS affords the alkylprenylsulfonium chloride **202**, which when treated with indole gives the indole-3-sulfonium chloride **203**. Warming the reaction to 35°C causes the indole-3-sulfonium chloride to undergo a thio-Claisen<sup>22</sup> reaction to give C2 reverse prenyl vinyl sulfide **204**. Reduction of the C3 vinyl sulfide with Raney Nickel or Zn–acetic acid gave the desired C2 reverse prenyl indole **180** (Scheme 58).





This type of thio-Claisen reaction deals exclusively with the C2 and C3 position of indole, far removed from the C7 position. It was therefore hoped that this method would alleviate this steric problem.<sup>30</sup> With indole **156** in hand, the first step for the thio-Claisen<sup>22</sup> reaction was the synthesis of ethyl prenyl sulfide **201**. A freshly prepared solution of sodium methoxide was treated with ethane thiol and mixture of prenyl (**205**) and reverse prenyl (**206**) chlorides to afford the desired sulfide (**201**) in 80% yield. Treatment of **201** with NCS at -30°C, followed by the addition of indole **156**, gave a golden-colored solution. Upon heating to 35°C over the course of an hour, the golden solution became dark brown in color. Evaporation of solvent, followed by flash chromotagraphy, gave the desired indole sulfide **207** in 43% yield.



Scheme 59: Synthesis of C7 prenyl C2 reverse prenyl indole sulfide.

Attempts to improve the yield by varying the conditions of the reactions failed to produce yields higher than 45%. In looking at other thio-Claisen reactions, Walsh and coworkers used t-BuOCI instead of NCS to initiate a thio-Claisen reaction in high yields.<sup>31</sup> They also utilized a one pot method as opposed to Tomita's pre-formation of the sulfonium chloride followed by addition of the indole to afford the sulfide.<sup>30,31</sup> Utilizing Walsh's one-pot t-BuOCI method, a solution of ethyl prenyl sulfide (201) and 156 in CH<sub>2</sub>Cl<sub>2</sub> was treated with *t*-BuOCl at -78°C.<sup>31</sup> Upon warming to room temperature, the reaction mixture turned dark brown in color, and the consumption of starting material was monitored by TLC. The reaction mixture was then heated to 35°C over the course of one hour and allowed to remain at that temperature for another hour. Evaporation of the solvent, followed by flash chromotagraphy, afforded indole sulfide **207** in 52% yield (Scheme 60). Varying the conditions of the reaction, it was found that slowly warming to room temperature and allowing to stir for 18 hours gave an improved 65% yield. This reaction could also be run on a 10 gram scale without significant loss in yield.

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Scheme 60: Improved synthesis of indole sufide 207.

# 2.3.5 Sulfide Reduction

Removal of the sulfide group was the next challenge in the synthesis of indole **155**. Tomita and coworkers had shown that treatment of indole **204** with Raney nickel in EtOH gave the desired indole in an 85% yield (Scheme 58).<sup>200</sup> However, when treating indole sulfide **207** with Raney nickel in EtOH the desired product was not obtained; instead, a variety of products varying from reduction of the primary olefin to reduction of the sulfide and primary olefin were isolated (Scheme 61). Changing solvents from EtOH to THF had no effect on the outcome of the reduction, and varying grades of Raney nickel again resulted in failure to form the product.



Scheme 61: Major biproducts of the Raney nickel reduction.

Tomita also showed that treatment of indole sulfide **204** with zinc in acetic acid at 60°C for 10 hours gave the desired indole in a moderate 61% yield.<sup>31</sup> Treatment of indole **207** with zinc in acetic acid at 60°C for 24 hours failed to give **155** (Scheme 61). Freshly washed zinc powder and zinc granules were tested, however, but both failed to give the desired product.

Our next approach to removal of the sulfur group was through the reduction of the indole to the indoline, followed by elimination of the sulfide to give the corresponding indole. Treatment of indole **207** with excess  $BH_3 \cdot Me_2S$  in a 1:1 mixture of THF/TFA gave the desired indoline in 70% yield. To our delight the use of excess  $BH_3 \cdot Me_2S$  not only facilitated the reduction of the indole but also caused the elimination and reduction to indoline **210**. The indoline was then oxidized to the indole by refluxing in toluene in the presence of  $MnO_2$  (Scheme 62).



Scheme 62: BH<sub>3</sub>•Me<sub>2</sub>S reduction of sulfide 207.

All attempts to use only one equivalent of hydride failed to give indole **155** exclusively, instead giving a 2:1 mixture of indoline **210** and indole **155** in 50% yield (Scheme 62). This two-step procedure provided the desired indole

**155** in a 68% overall yield. However, with the undesirable use of excess borane and a large excess of TFA for the first step, as well as nine equivalents of  $MnO_2$  for the oxidation, more efficient conditions were sought for removal of the sulfide. In 1981, Trost and coworkers showed that treatment of vinyl phenyl sulfides with 2-propylmagnesium bromide and catalytic (Ph<sub>3</sub>P)<sub>2</sub>NiCl<sub>2</sub> at reflux for 36–48 hours affords reduction of the sulfide with retention of the olefin geometry.<sup>32</sup> Wenkert and coworkers later elaborated this methodology by changing from an aryl sulfide to alkyl sulfide under the same conditions, and found that the desired olefin could be synthesized (Scheme 63).<sup>33</sup>

Trost 1981 SEt (Ph<sub>3</sub>P)<sub>2</sub>NiCl<sub>2</sub> OMe OMe *i*-PrMgBr ÓМе ក់Ma THF 212 211 Δ 36–48 h 76% Wenkert 1982 (dppp)NiCl<sub>2</sub> SEt i-PrMgBr Me THE 214 213 Δ 36–48 h 60%

Scheme 63: Trost's and Wenkert's reduction of vinyl sulfides.

The methodology developed by Trost and Wenkert was applied to the reduction of the sulfide of indole **207**.<sup>32·33</sup> Isopropylmagnesium bromide was added to a solution of indole **207** and the appropriate Ni<sup>(II)</sup> catalyst in THF, which was then heated to reflux. After 48 hours the reaction was stopped; decomposition of the starting material was observed. Both Ni<sup>(II)</sup> catalysts

were tried, and both led to decomposition of the starting material (Scheme 64).



**Scheme 64**: Attempted reduction of the vinyl sulfide with a Ni<sup>(II)</sup> catalyst.

Our next attempt at sulfide reduction focused on Lewis acid mediated disulfide elimination. Fujita and coworkers have shown that aryl sulfides can be reduced in the presence of AlCl<sub>3</sub> and excess of EtSH at room temperature.<sup>34</sup> Treatment of 1-naphthyl ethyl sulfide with 1.5 equivalents of AlCl<sub>3</sub> and 5 equivalents of EtSH at room temperature for 5.5 hours afforded naphthalene in 89% yield (Scheme 65). It is proposed that the reduction of the sulfide takes place through a disulfide formation with AlCl<sub>3</sub> as a one-electron oxidant.<sup>34</sup>



**Scheme 65**: Reduction of an aryl sulfide through a disulfide mechanism.

One concern with this approach was the use of a stronge Lewis acid like  $AICI_3$  in the presence of the prenyl group. It has been shown previously

that strong acids reacted with the C7 prenyl group to give a variety of undesired side products. The possibility that the AICl<sub>3</sub> would react exclusively as a one electron oxidant dictated that the sulfide reduction be faster than any interactions with the prenyl group. Treatment of AICl<sub>3</sub> in CH<sub>2</sub>Cl<sub>2</sub> with EtSH to form an AICl<sub>3</sub>•HSEt complex afforded the reduced indole in almost quantitative yield, unfortunately with the addition of EtSH to the prenyl group to give **221** (Scheme 66).



Scheme 66: Reduction of the 207 with addition of EtSH to the prenyl group.

Switching from the Lewis acid AlCl<sub>3</sub> to a Bronsted acid such as H<sub>2</sub>SO<sub>4</sub> in the presence of EtSH also gave the reduced product, but again with the addition of EtSH to the prenyl group to afford **221**. In both cases, the acid used was strong; we therefore shifted our focus to the possibility of using a weaker Lewis acid to promote the reaction without interfering with the prenyl group. Moving from AlCl<sub>3</sub> to the relatively less acidic BF<sub>3</sub>•Et<sub>2</sub>O was the first attempt at reducing the sulfide without affecting the prenyl group. Following the same procedure as before, BF<sub>3</sub>•Et<sub>2</sub>O was treated with EtSH, followed by indole **207**. After 18 hours, the reaction had gone to completion. The major product of the reaction was the desired indole **155** in 90% yield, with approximately a 5% yield of the EtSH addition product **221** (Scheme 67). The only major drawback to switching from AlCl<sub>3</sub> to BF<sub>3</sub>•Et<sub>2</sub>O was the reaction time need to consume the starting material: from 5 hours with  $AICI_3$  to 18 hours with  $BF_3 \bullet Et_2O$ .



Scheme 67: Sulfide reduction with the use of BF<sub>3</sub>•Et<sub>2</sub>O and EtSH.

The desired indole **155** for the tryptophan portion of chrysogenamide A could then be accessed in two steps from the previously reported 7-prenylindole **156** in a scalable 58% yield. The key thio-Claisen<sup>22</sup> reaction allows formation of the indole portion with the 7-prenyl group already attached, obviating a late stage installation of the 7-prenyl moiety. However, the two-step reduction/oxidation used for removing the sulfide provides indole **155** in conjunction with the large excess of TFA, BH<sub>3</sub>•Me<sub>2</sub>S and MnO<sub>2</sub> made this route unappealing on larger scale. Through screening of a variety of reduction condition, the BF<sub>3</sub>•Et<sub>2</sub>O mediated disulfide formation proved to be not only scalable, but high yielding.

### 2.3.6 Tryptophan Synthesis

The synthesis of the tryptophan portion of **129** follows the same steps described in the previous chapter. With a scalable route to the 7-prenyl-2-reverse prenyl indole **155** now developed, the first step in the tryptophan synthesis was a Mannich<sup>16</sup> reaction of indole **155** with the imine of dimethyl amine and formaldehyde to give gramine **222**. Gramine **222** was then

subjected to Somei-Kametani<sup>17</sup> conditions to form the protected tryptophan in high yield. Hydrolysis of the benzophenone with 1 M HCl gave the desired free amine of the newly formed tryptophan derivative **153** (Scheme 68). This scaleable and high yielding route allowed for any protecting group manipulations that might be needed later in the synthesis.



Scheme 68: Synthesis of the tryptophan derivative 153.

## 2.4 Pipecolic Acid

### 2.4.1 Lactone Approach

The pipecolic acid portion of chrysogenamide A was the next task at hand. Our first approach was inspired by previous group work in the synthesis of tetrazomine.<sup>19,35</sup> Commercially available lactone **223** was converted into the corresponding boron enolate with di-*n*-butyl boron triflate.<sup>19</sup> Aldol condensation of the boron enolate with 4-pentenal gave the anti- $\beta$ -hydroxy aldol product **224** in 69% yield. Ozonolysis of the primary alkene under reductive conditions afforded aldehyde **225**, which underwent reductive amination during catalytic hydrogenation of the *N*-Cbz group to afford **226**. Finally, removal of the chiral template through catalytic hydrogenation with PdCl<sub>2</sub> gave  $\beta$ -hydroxy pipecolic acid **227** in 92% yield, and greater than 99 enantiomeric ratio (Scheme 69).<sup>19</sup>



**Scheme 69**: Williams' synthesis of  $\beta$ -hydroxy pipecolic acid **227**.

With the chemistry for the pipecolic acid well established, we decided to use this same approach for **154**. Staring from commercially available 5hydroxy-2-pentanone, oxidation of the primary alcohol with PCC in the presence of silica gel gave aldehyde **160**. Boron enolate formation of lactone **159** with di-*n*-butyl boron triflate and TEA, followed by aldol addition to aldehyde **160**, gave  $\beta$ -hydroxy lactone **230** in 60% yield (Scheme 70).



**Scheme 70**: Synthesis of  $\beta$ -hydroxy lactone **270**.

At this point, we envisioned a reductive amination of the secondary amine onto the ketone in a facially selective manner influenced by the bisphenyl group of the template. Treatment of lactone **230** with catalytic palladium on carbon under an atmosphere of H<sub>2</sub> gave the desired bicyclic lactone. Unfortunately, there was little facial selectivity during the reductive amination step (Scheme 71). A crystal structure of the major diastereomer was obtained, which displayed the relative stereochemistry shown in **231** (Figure 9).







Figure 9: Crystal structure of the major diastereomer 231.

Under an atmosphere of H<sub>2</sub> at 40 psi, the lactone template was removed with catalytic PdCl<sub>2</sub> to afford  $\alpha$ -methyl- $\beta$ -hydroxy pipecolic acid **233**.

With only a 2:1 selectivity from the reductive amination, the total yield for the four step sequence was a disappointing 13.5%. Although the synthesis of pipecolic acid **233** could be completed through this sequence the low yields and poor diastereoselectivity led us to pursue a more efficient route.

By this point, we had concluded that the stereochemistry of the  $\alpha$ methyl group was the most important aspect of **154**. Beak and coworkers have shown that treatment of *N*-Boc-2-methyl piperidine **234** with *s*-BuLi in the presence of TMEDA affords the ortho-lithiated species, which can be trapped with a variety of electrophiles (Scheme 72).<sup>36</sup> Chackalamannil and coworkers have shown that the resolution of (±)-2-methyl piperidine **236** with L-tartaric acid gives (*S*)-2-methyl piperidine tartaric acid salt in good yield.<sup>37</sup> Boc protection of the resultant tartaric acid salt with (Boc)<sub>2</sub>O in aqueous NaOH afforded the (*S*)-N-Boc-2-methyl piperidine (**238**) needed for the preparation of pipecolic acid **154** (Scheme 72).



Scheme 72: Alkylation and resolution of 2-methyl piperidine.

Combining Chackalamannil's<sup>37</sup> resolution of  $(\pm)$ -2-methyl piperidine with Beak's ortholithiation method, we believed that **154** could be synthesized.

This proved to be successful; (*S*)-*N*-Boc-2-methyl piperidine was obtained in 41% overall yield on 200 gram scale. Treatment of a solution of **238** and TMEDA with s-BuLi, according to Beak's method<sup>36</sup> gave the desired ortholithiated intermediate, which, when reacted with methylchloroformate gave the desired (*S*)-*N*-Boc-2-methyl pipecolic methyl ester **239** (Scheme 73).



Scheme 73: Synthesis of (S)-N-Boc-2-methyl piperidine methyl ester.

For the IMDA<sup>11</sup> to work properly, a degree of unsaturation is required in the pipecolic acid portion. In the previous synthesis of stephacidin A,<sup>38</sup> a hydroxy proline moiety was coupled to a tryptophan derivative, which later underwent elimination to afford enamide **76**. Tautomerization of the enamide in a solution of MeOH and KOH provided azadiene **84**, which underwent an IMDA to give stephacidin A (Scheme 74).<sup>38</sup>



Scheme 74: Tautomerization, Diels-Alder for the synthesis of stephacidin A.

To install a functional group that could be used for the formation of the desired enamide, we turned to the use of diphenyl disulfide.<sup>39</sup> Deprotonation of **239** with LiHMDS, followed by quenching with diphenyl disulfide, gave the desired  $\alpha$ -phenylsulfide **240**. This reaction could also be accomplished with the use of pheylselenium chloride; however, we chose to use the much less toxic diphenyl disulfide. We envisioned that removal of the Boc group would cause the elimination of the phenylsulfide through formation of the imine, which would tautomerize to give enamine **154** (Scheme 75). Enamine **154** could then be coupled and cyclized to give DKP **152**.



Scheme 75: Proposed synthesis of enamide 154.

The synthesis of enamine **154** was only continued to the installation of the phenylsulfide group before another idea was explored. We are confident that enamine **154** can be synthesized through the remaining proposed step, which has been taken into consideration as an alternative plan.

### 2.5 Proposed Biosynthesis

#### 2.5.1 Previous Work

Previously in completed the synthesis of our group, we malbrancheamide B (243) using an approach centered around an IMDA.<sup>40</sup> This work was prompted by a desire to interrogate the biosynthetic pathway leading to malbrancheamide B due to its structural differences in comparison to related natural products.<sup>38:40</sup> Specifically, while malbrancheamide B lacks the tertiary amide functionality and exists as a monoketopiperazine, realated products – e.g. stephacidin A – exist as the diketopiperazine. This in itself is neither new nor novel, as there are many monoketopiperazine natural products, including the malbrancheamides, marcfortines, and paraherquamides. However, during a series of feeding studies focusing on the halogenation of malbrancheamide B, an interesting discovery was made. When the producing fungus was fed doubly <sup>13</sup>C labeled diketopiperazine derivative **241**, no incorporation into malbrancheamide B was found.<sup>40</sup> When the fungus was fed doubly <sup>13</sup>C labeled monoketopiperazine derivative **242**, it was found to incorporate into 243 (Scheme 76).

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Scheme 76: Incorporation studies for the biosynthesis of malbrancheamide B.

This was not the first incident of a diketopiperazine not being incorporated into its monoketopiperazine counterpart. Previously, former group member Dr. Emily Stocking interrogated the biosynthesis of the catechol-derived dioxepin ring formation of paraherquamide A (**12c**) through labled incorporation studies.<sup>41</sup> During her studies a variety of labeled precursors were synthesized and fed to *Penicillium fellutanum* (ATCC:20841) to examine the timing of the formation of the dioxepin ring. Feeding of doubly <sup>13</sup>C labled **243a**, **243b**, **12b**, and **12d** to *P. fellutanum* proved that only **12b** showed significant incorporation into paraherquamide A (Scheme 76a).



**Scheme 76a**: <sup>13</sup>C labled incorporation studies for the biosynthesis of paraherquamide A.

This demonstrating possiblility again the that the bicyclo[2.2.2]diazaoctane ring-containing monoketopiperazine natural products may be the products of a completely different biosynthetic pathway than the diketopiperazine-containing natural products. More interesting results leading to a possible new biosynthetic propsal were realized when feeding *P. fellutanum* a second set of <sup>13</sup>C labled precursors **243c**, **243d**, 243e, 243f, and 243g.<sup>12</sup> Results of the feeding incorporation studies showed that none of these potential precursors were incorporated intact, and only **243f** and **243g** showed any incorporation into paraherquamide A. However, only the C18 of 243f and 243g showed incorporation (0.44 and 0.92%, repectively) with no incorporation at C12. This suggests that 243f and 243g are catabolized, and that 3(S)-methyl-L-proline is re-incorporated, but the reverse prenylated tryptophan moiety is not.

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**Figure 9a**: <sup>13</sup>C labeled metabolites fed to *Penicillum fellutanum* for paraherquamide A studies.

It has been shown through feeding incorporation studies that a few substrates do incorporate into paraherquamide A (Scheme 76b). These substrates are generally simple amino acids: L-tryptophan, 3(*S*)-methyl-L-proline and L-isoluecine, with the most complex metabolite being monoketopiperazine **12b** (Scheme 76b).<sup>III</sup> This leads to questions concerning the timeline of the biosynthetic pathway: at what point is the carbonyl of the tryptophan moiety reduced, and when is the bicyclo[2.2.2]diazaoctane ring formed?



**Scheme 76b**: <sup>13</sup>C metabolites that incorporated into paraherquamide A.

Regarding the first question, is it possible that there is a net twoelectron reduction leading to the formation of the azadiene for the IMDA? This proposal would stand in contrast to the oxidation pathway followed in the cases of the stephacidins and notoamides. Alternatively, it is possible that the monoketopiperazine based bicyclo[2.2.2]diazaoctane containing natural products could proceed through a completely different mechanism. We believe that the monoketopiperazine of natural products such as the paraherquamides, malbrancheamides, and marcfortines is produced early in their biosynthesis through the possible reduction of tryptophan.

This led us to explore the possibility that the reduction of the tertiary amide may take place before the IMDA reaction. It may also be possible that the same reduction may lead to formation of the azadiene needed to facilitate the IMDA. With chrysogenamide A being a monoketopiperazine derivative, we wanted to explore the hypothesis that the reduction of the tertiary amide, or a mimic of this reduction, could lead to bicyclo[2.2.2]diazaoctane ring system.

#### 2.5.2 Amended Retrosynthesis

This new hypothesis in mind, we revisited our synthetic route to incorporate this key reaction. As before, chrysogenamide A could arise from an oxidative rearrangement of its indole precursor. The bicyclo[2.2.2]diazaoctane ring system of **129** could come from an IMDA

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reaction of enamine **244**. Condensation of the secondary amine of the pipecolic acid onto the aldehyde of tryptophan **245** would afford enamine **244**. The tryptophan derivative **153** could be synthesized as previously discussed. The pipecolic acid could also be formed through chemistry discussed earlier, but without the installation of the phenylsulfide (Scheme 77).



**Scheme 77**: Amended retrosynthesis for chrysogenamide A.

### 2.5.3 Revised Pipecolic Acid

As mentioned above, pipecolic acid **246** was to be synthesized through the use of the previously discussed chemistry. Our first synthesis of **246** was achieved through hydrolysis of methyl ester **239** with LiOH in THF. This proceeded nicely to give the desired acid in 80% yield (Scheme 78). Upon reexamination however, we decided to access **246** directly from the previously synthesized **238**. Treatment of a solution of **238** and TMEDA with *s*-BuLi afforded the desired lithiated species, which, when reacted with CO<sub>2</sub> gas and aqueous acid, gave pipecolic acid **246** in 70% yield (Scheme 78).<sup>42</sup>



Scheme 78: Synthesis of pipecolic acid 246.

## 2.5.4 Progress Towards the Synthesis of Chrysogenamide A

With both amino acid derivatives in hand, the next step toward the synthesis of **129** was to couple pipecolic acid **246** with tryptophan **153**. Treatment of a solution of acid **246** and amine **153** with HATU and *i*-Pr<sub>2</sub>NEt at room temperature gave the desired coupled product in 68% yield. Reduction of the ester to aldehyde **248** with DIBAI–H at -78°C afforded the product in a low 20% yield. This poor result was circumvented through reduction of ester **247** to its corresponding alcohol with LiBH<sub>4</sub> in THF to give a separable 1:1 mixture of two diastereomers in 80% combined yield. The first attempt at oxidizing the alcohol to the desired aldehyde through Swern oxidation<sup>43</sup> resulted in a complex mixture of products, with none of the desired aldehyde obtained (Scheme 79).



Scheme 79: Coupling and formation of aldehyde 248.

This led us to try oxidation of **249** with PCC and silica gel in CH<sub>2</sub>Cl<sub>2</sub>. After stirring for five hours, only 15% of the aldehyde was obtained, despite complete consumption of the starting material. Finally, DMP<sup>44</sup> was employed treating a solution of **249** in wet CH<sub>2</sub>Cl<sub>2</sub>, which afforded the desired aldehyde as a 1:1 mixture of diastereomers in 95% yield.

Our next step in the syntesis of **129** was deprotection of the Boc group, followed by condensation onto the aldehyde. We were interested to see whether a tautomerization would occur during the deprotection/condensation step to give rise to the azadiene needed for the IMDA. We proposed that this might be possible under strongly acidic conditions (Scheme 80).



Scheme 80: Proposed condensation/tautamerization for the IMDA.

Treatment of **248** with TFA in THF for 24 hours gave a mixture of products, but one had the distinct NMR peaks of the IMDA<sup>11</sup> cycloadduct. Scaling the reaction up and subjecting **248** to the same conditions still failed to produce more than a 2% yield of cycloadduct, with insufficient product obtained to complete all of the NMR studies needed for the determination of the relative stereochemistry.

One of the drawbacks to this type of IMDA reaction is the selectivity of both the diene and dieneophile. Taking this fact into account, there are four possible products from the IMDA<sup>11</sup> (Figure 10).

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Figure 10: Possible IMDA products.

To improve the overall yield of the cyclization, a variety of conditions were screened. Our first attempt involved switching from TFA in THF to HCl in dioxane, but none of the desired product was formed.<sup>45</sup> Switching solvents from THF to CH<sub>2</sub>Cl<sub>2</sub> with TFA as the acid source yielded a new product which was eventually found to be formed from the addition of water to the 7-prenyl group of the indole along with cyclization to enamine **260**. Attempts at thermal deprotection of the Boc group led to recovered starting material. Treatment of **248** with PTSA in toluene under microwave conditions gave complete decomposition of the starting material (Scheme 81).<sup>46</sup> Absorption of aldehyde **248** onto silica gel, followed by heating to 180°C under vacuum, failed to produce any cycloadduct, again resulting in recovery of starting material.<sup>47</sup> Exposure of **248** to HCl in MeOH led to deprotection of the Boc group and formation of the dimethoxy acetal of aldehyde **258**. Finally, treatment of aldehyde **248** with TMS–OTf in the presence of 2,6-lutidine led to

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the exclusive formation of cyclized enamine **259** upon workup with NH<sub>4</sub>Cl (Scheme 81).<sup>48</sup>



Scheme 81: Reaction conditions and products of *N*-Boc deprotection.

With only the TFA in THF conditions succeeding to give the cycloadduct in one step, we decided to examine the two-step cyclization of aldehyde **248**. Deprotection of the Boc group with TMS–OTf and 2,6-lutidine gave the crude enamine in seemingly high yield; however, the product decomposed during flash chromotagraphy and only 40% was recovered. It was also noted that the clean enamine began to decompose in CDCl<sub>3</sub> when taking the NMR; the substrate was therefore used without purification in the step that followed the deprotection. Subjecting **259** to one equivalent of TFA in THF gave a single product resembling the cycloadduct of an IMDA in a low 20% yield (Scheme 82).



Scheme 82: Cyclization of enamine 259 to IMDA product 254.

Currently, full characterization of the proposed cycloadduct, along with structural determination, is underway in hopes of confirming our hypothesis for the plausible IMDA of a monoketopiperazine. We are also exploring the use of AcCl to afford cyclization to the bicyclo[2.2.2]diazaoctane ring system; however, this reaction takes 14 days to complete and is currently underway.<sup>49</sup>

### 2.6 Future Work

### 2.6.1 Oxidative Rearrangement

The end game for the chrysogenamide A project has two possibilities. The first, assuming the product of the previously described IMDA can be detected, is to attempt the oxidative rearrangement of indole **151** to **129** and its diastereomer (**261**). The oxidative rearrangement can take place by first treating the cycloadduct with PPTS to prevent any *N*-oxide formation of the tertiary amine, followed by the addition of Davis' oxiziradine<sup>15</sup> (Scheme 83), as was shown in the synthesis of marcfortine C.<sup>10</sup>



Scheme 83: Oxidative rearrangement of indole 151 to chrysogenamide A.

This should provide chrysogenamide A and its C3 diastereomer in a 1:1 ratio if results follow those of the marcfortine C synthesis.<sup>10</sup> This route is fully dependent on the IMDA of the indole in the previous step. If the correct precursor can be synthesized, this final step should pose no problem in the synthesis of chrysogenamide A.

#### 2.6.2 IMDA of the Oxindole

The second plausible concluding strategy for the synthesis of chrysogenamide A is to perform the oxidative rearrangement before the IMDA. It has been shown that the IMDA on the oxindole moiety provides the *anti*-cycloadduct exclusively. Alternatively, when cyclized on the indole there is usually a greater than 2:1 ratio favoring the *syn*-cycloadduct. We envision the possibility of performing the oxidative rearrangement following the coupling of the pipecolic acid with **247**. This would allow for the IMDA to take place on the oxindole substrate and possibly give the *anti*-cycloadduct exclusively (Scheme 84).<sup>50</sup>



Scheme 84: Early stage oxidative rearrangement to the IMDA.

In the case of the early stage oxidative rearrangement, there would be four possible products in the end, assuming that the spirooxindole diastereomers could not be separated along the way. If the two diastereomers are separable, there would be two possible products at the end of the IMDA. This is a more appealing route to chrysogenamide A due to the possibility of being able to separate the two spirooxindoles before the key IMDA. However, if they are not separable, there is the possibility of not being able to separate the four products by normal purification methods.<sup>51</sup>

### 2.6.3 Acid vs Base

The final consideration in the synthesis of chrysogenamide A lies in the conditions used for the final IMDA reaction. In every case discussed above, the IMDA was proposed through an acid-catalyzed reaction. However, in the

majority of the sytheses of related natural products, base was used to facilitate the tautomerization event that led to the IMDA. For this reason, it may be in our best interest to treat the enamine that is formed from the deprotection/cyclization of the secondary amine with a solution of KOH in MeOH as shown in previous syntheses.

#### 2.7 Conclusions

In our progress toward the synthesis of chrysogenamide A, we have shown that typical prenylation conditions were not suitable for our 7-prenyl indole **156**. Because of this, we were forced to use unconventional methods to gain access to the desired indole **155**. Though the thio-Claisen reaction of Tamita and coworkers worked well for our substrate,<sup>30</sup> the reported reduction of the sulfide failed. Adapting the work of Fujita and coworkers,<sup>34</sup> we utilized a weaker Lewis acid to facilitate the reduction of sulfide **207**, thereby affording indole **155** in high yield. We showed that indole **155** can be converted to tryptophan **153** in moderate yield to give one half of chrysogenamide A. The synthesis of the pipecolic acid portion of **129** was made through a resolution, as reported by Chackalamannil and coworkers,<sup>37</sup> followed by an ortholithiation of (S)-*N*-Boc-2-methyl piperidine and quenching with CO<sub>2</sub> and aqueous acid to give **246**. We also believe that the origin of the bicyclo[2.2.2]diazaoctane ring system in the monoketopiperazine could arise from the condensation of the secondary amine, or possible reduction of the amide, to form an enamine.

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This enamine could undergo a tautomerization to give the azadiene for the

IMDA. We envision that through the correct conditions, the IMDA should take

place and give rise to the synthetic target: chrysogenamide A.

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# **Chapter 3: Experimentals**

#### **3.1 General Considerations**

Unless otherwise noted, all materials were obtained from commercial sources and used without purification. All reactions were carried out under anhydrous conditions, unless otherwise specified, and performed under positive pressure of argon using flame-dried glassware. Dichloromethane, acetonitrile, toluene, and tetrahydrofuran were degassed with argon and dried through a solvent purification system (J.C. Meyer of Glass Contour). Flash chromatography was performed on standard grade silica gel (230 x 400 mesh) from Sorbent Technologies with the indicated solvent. Microwave reactions were run using a CEM Discover set to a constant temperature and allowing for variable power. <sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded on Varian 300 and 400 MHz spectrometers as indicated. Chemical shifts are reported in parts per million downfield from tetramethylsilane. Peak multiplicities are denoted s (singlet), bs (broad singlet), d (doublet), t (triplet), m (multiplet) or by a combination of these e.g. dd (doublet of doublets). Infrared spectra were recorded on a Bruker Tensor 27 IR spectometer on

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NaCl plates. Mass spectra were obtained at the Colorado State University CIF on a Fisons VG Autospec.

# 3.2 Notoamide S and Notoamide T



7-(3-Methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1H-indol-6-ol (97). To 98 (100 mg, 0.37 mmol, 1 eq) in MeOH (3 mL) was added Lindlar's catalyst (10 mg, 10 wt %) at rt followed by purging with a balloon of hydrogen gas. The reaction was vigorously stirred for 30 min. The reaction was monitored by NMR for loss of alkyne proton. The reaction was then filtered through a plug of Celite and concentrated under reduced pressure to give a crude vellow oil. The resultant crude yellow oil was then taken up in toluene (3 mL) and the reaction was heated to 150°C in a microwave reactor for 30 min. The reaction was then concentrated under reduced pressure to give the crude 97 as a brown oil. The residue was purified by flash chromatograph (AcOEt/hexane, 1:20) to give indole 97 (87 mg, 87%) as an off white solid.  $R_{\rm f} = 0.38$ (AcOEt/hexane, 1:4); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) d 7.79 (bs, 1 H), 7.20 (d, J = 8.3 Hz, 1 H), 6.57 (d, J = 8.3 Hz, 2 H), 6.18 (s, 1 H), 6.03 (dd, J = 17.3, 10.5 Hz, 1 H), 5.37 (t, J = 7.25 Hz, 1 H), 5.10 (d, J = 17.3 Hz, 1 H), 5.08 (d, J = 10.5Hz, 1 H), 4.70 (s, 1 H), 3.55 (d, J = 7.25 Hz, 2 H), 1.84 (s, 3 H), 1.75 (s, 3 H),

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1.42 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 148.7, 146.5, 144.5, 136.4, 134.0, 123.1, 122.3, 118.4, 112.0, 110.1, 108.1, 97.99, 38.3, 27.5, 25.9, 24.5, 18.1; IR ( $n_{max}$ ) 3447, 1621, 1103, 913, 852 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>18</sub>H<sub>23</sub>NO (M + H) 270.1852, found 270.1851.





**3-((Dimethylamino)methyl)-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3en-2-yl)-1***H***-indol-6-ol (99) from 97. To AcOH (500 mL) was added at rt, Me<sub>2</sub>NH (6 mL, 0.074 mmol, 1 eq, 40% aqueous solution) followed by H<sub>2</sub>CO (8 mL, 0.074 mmol, 1 eq, 37% aqueous solution) and stirred for 30 min. To this was added at rt a solution of 97 (20 mg, 0.074 mmol, 1 eq) in AcOH (500 mL) and stirred for 4 h. The reaction was basified with 2M NaOH to a pH of 10–12 and extracted with Et<sub>2</sub>O (3 x 10 mL). The organic layers were combined and dried over anhydrous MgSO<sub>4</sub>, filtered and concentrated under reduced pressure, to give <b>99** (4 mg, 20%) as a yellow oil.

(99) from 100. To 16 (100 mg, 0.30 mmol, 1 eq) in MeOH (3 mL) was added at rt Lindlar's catalyst (10 mg, 10 wt %) followed by purging with a balloon of hydrogen gas. The reaction was vigorously stirred for 30 min. The reaction was monitored by NMR for loss of the alkyne proton. The reaction was then filtered through a plug of Celite and concentrated under reduced pressure. The resultant yellow oil was then taken up in toluene (3 mL) and subjected to microwave conditions of 150°C for 30 min. The reaction was then concentrated under reduced pressure to give crude **15** (75 mg, 75%) as a yellow oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) d 7.89 (bs, 1 H), 7.35 (d, J = 8.4 Hz, 1 H), 6.60 (d, J = 8.4 Hz, 1 H), 6.16 (dd, J = 17.5, 10.6 Hz, 1 H), 5.35 (t, J = 7.3, 1 H), 5.16 (d, J = 17.3, 1 H), 5.12 (d, J = 10.6, 1 H), 3.59 (bs, 1 H), 3.53 (d, J = 7.3 Hz, 2 H), 2.24 (s, 6 H), 1.84 (s, 3 H), 1.75 (s, 3 H), 1.51 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 148.8, 146.5, 134.4, 133.8, 122.6, 117.4, 111.8, 109.9, 107.8, 54.0, 45.3, 39.5, 30.5, 29.8, 27.3, 25.9, 25.7, 24.4, 18.1; IR (n<sub>max</sub>) 3457, 1623, 1241, 1011, 915, 842 cm<sup>-1</sup>; HRMS (ESI/APCI+), calcd for C<sub>19</sub> H<sub>24</sub>NO (M – N(CH<sub>3</sub>)<sub>2</sub>) 282.1852, found 282.1860.





N,N-Dimethyl-1-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-yl)oxy)-1H-indol-3-yl)methan- amine (100). To AcOH (1.5 mL) was added at rt Me<sub>2</sub>NH (320 mL, 2.84 mmol, 1.05 eq, 40% aqueous solution) followed by H<sub>2</sub>CO (230 mL, 2.84 mmol, 1.05 eq, 37% aqueous solution) and stirred for 30 min. To this was added at rt a solution of 98 (724 mg, 2.70 mmol, 1 eq) in AcOH (1.5 mL) and stirred for 4 h. The reaction was basified with 2M NaOH to a pH of 10–12 and washed with Et<sub>2</sub>O (3 x 10 mL). The organic layers were combined and dried over anhydrous MgSO<sub>4</sub>, and concentrated under reduced pressure, to give **100** (835 mg, 95%) as a yellow solid. <sup>1</sup>H NMR (400 MHz,  $CDCI_3$ ) d 7.78 (bs, 1 H), 7.52 (d, J = 8.5 Hz, 1 H), 7.15 (d, J = 1.9 Hz, 1 H), 6.90 (dd, J = 8.5, 1.9 Hz, 1 H), 6.16 (dd, J = 17.5, 10.5, 1 H), 5.17 (d, J =17.5, 1 H), 5.13 (d, J = 10.5, 1 H), 3.54 (s, 2 H), 2.50 (s, 1 H), 2.20 (s, 6 H), 1.60 (s, 6 H), 1.53 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 146.4, 140.8, 133.9, 127.0, 119.0, 115.9, 112.0, 103.9, 73.3, 73.0, 54.2, 45.5, 42.1, 39.4, 29.8, 27.3, 25.8; IR (n<sub>max</sub>) 3301, 1622, 1463, 1231, 1134, 1042, 1012, 968, 914 cm<sup>-</sup> <sup>1</sup>; HRMS (ESI/APCI+), calcd for  $C_{19}H_{22}NO$  (M - N(CH<sub>3</sub>)<sub>2</sub>) 280.1696, found 280.1695.





Ethyl-2-amino-3-(6-hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3en-2-yl)-1H-indol-3-yl)pro-panoate (95). To a solution of 99 (61 mg, 0.18 mmol, 1 eq) and N-(diphenylmethylene)glycine ethyl ester (52 mg, 0.19 mmol, 1.05 eq) in acetonitrile (2 mL) was added at rt Bu<sub>3</sub>P (10 mL, 0.074 mmol, 0.4 eq). The reaction was heated under reflux for 24 h. The reaction was cooled to rt and concentrate under reduced pressure. The residue was taken up in THF (3 mL) and 1 M HCl (2 mL) was added and the reaction was stirred at rt for 3 h. The solution was basified with sat. aq. NaHCO<sub>3</sub> and washed with AcOEt (3 x 10 mL). The organics were combined and dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated under reduced pressure. The yellow residue was purified by flash chromatography (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 0:1-1:20) to give **95** (7 mg, 10%) as a yellow oil.  $R_{\rm f} = 0.36$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) d 7.86 (bs, 1 H), 7.13 (d, J = 8.4 Hz, 1 H), 6.49 (d, J = 8.4 Hz, 1 H), 6.11 (dd, J = 17.5, 10.5 Hz, 1 H), 5.33 (t, J = 7.3 Hz, 1 H), 5.14 (dd, J = 17.4, 11.8 Hz, 2 H), 4.16-4.07 (m, 2 H), 3.85-3.81 (m, 1 H), 3.53 (d, J = 7.3 Hz, 2 H), 3.29 (dd, J = 14.4, 4.7 Hz, 2 H), 3.00 (dd, J = 14.4, 4.5 Hz, 2 H), 1.84 (s, 3 H), 1.74 (s, 3 H), 1.49 (s, 6 H), 1.18 (t, J = 7.1 Hz, 3 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 175.4, 149.2, 146.4, 139.0, 134.9, 133.4, 124.2, 122.9,

116.8, 111.9, 110.1, 108.3, 106.7, 61.1, 55.8, 39.3, 31.2, 28.0, 27.9, 25.9, 24.3, 18.1, 14.2; IR ( $n_{max}$ ) 3449, 1730, 1621, 1444, 1100, 917, 799 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>23</sub>H<sub>32</sub>N<sub>2</sub>O<sub>3</sub> (M + H) 385.2486, found 385.2483.





Ethyl-2-amino-3-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-yl)oxy)-1H-indol-3-yl)propane- ate (102). To a solution of 100 (180 mg, 0.52 mmol, 1 eq) and N-(diphenylmethylene)glycine ethyl ester (155 mg, 0.57 mmol, 1.1 eq) in acetonitrile (5 mL) was added at rt Bu<sub>3</sub>P (29 mL, 0.21 mmol, 0.4 eq). The reaction was taken to 70°C and stirred for 24 h. Cool to rt and concentrate under reduced pressure. The residue was then taken up in THF (5 mL) at rt was added 1M HCl (1 mL) and stirred at rt for 1 h. The solution was basified with 2M NaOH and washed with Et<sub>2</sub>O (3 x 10 mL). The combined organic layers were washed with Brine (15 mL), dried of over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated under reduced pressure to give crude **102** as a yellow oil. The residue was purified by flash chromatography  $(MeOH/CH_2CI_2, 0:100-1:20)$  to give **102** (160 mg, 80%) as a light yellow foam.  $R_{\rm f} = 0.36$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) d 8.41 (bs, 1 H), 7.35 (d, J = 8.5 Hz, 1 H), 7.16 (s, 1 H), 6.89 (d, J = 8.5 Hz, 1 H), 6.12 (dd, J = 17.5, 10.5 Hz, 1 H), 5.12 (d, J = 17.5 Hz, 1 H), 5.08 (d, J = 10.5 Hz, 1 H), 4.08-3.96 (m, 2 H), 3.79 (q, J = 5.3 Hz, 1 H), 3.25 (dd, J = 14.4, 5.3 Hz, 1 H), 3.02 (dd, J = 14.3, 9.3 Hz, 1 H), 2.49 (s, 1 H), 1.56 (s, 6 H), 1.48 (s, 6 H), 1.07 (t, J = 7.1 Hz, 3 H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) d 175.8, 151.1, 146.2, 140.2, 134.4, 118.5, 116.1, 112.2, 104.3, 87.0, 73.5, 73.1, 61.0, 56.1, 39.3, 31.5, 29.8, 28.0, 24.6, 24.4, 23.9; IR ( $n_{max}$ ) 3267, 1733, 1622, 1464, 1136, 969 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for  $C_{23}H_{31}N_2O_3$  (M + H) 383.2329, found 383.2328.





(2*S*)-(9*H*-Fluoren-9-yl)methyl-2-((1-(2-(2-methylbut-3-en-2-yl)-6-((2methylbut-3-yn-2-yl)oxy)-1*H*yl)carbamoyl)pyrrolidine-1-carboxylate (103). To a solution of 102 (50 mg,

0.130 mmol, 1 eq) and *N*-(9-fluorenylmethoxycarbonyl)-L-proline (46 mg, 0.137 mmol, 1.05 eq) in acetonitrile (1.5 mL) at 0°C was added HATU (62 mg, 0.163 mmol, 1.25 eq) followed by *i*-Pr<sub>2</sub>NEt (47 mL, 0.287 mmol, 2.2 eq). The reaction was allowed to warm to rt and was stirred for 12 h. The solution was concentrated under reduced pressure and the residue was purified by flash chromatography (AcOEt/hexane, 1:5–9:20) to give dipeptide **103** (77 mg, 85%) as a white foam.  $R_f = 0.35$  (AcOEt/hexane, 1:1); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>, mixture of rotomers, diastereomers) d 7.79-7.77 (comp, 3 H), 7.61-7.58 (comp, 2 H), 7.37-7.26 (comp, 5 H), 7.14 (bs, 1), 6.93-6.89 (comp, 1 H), 6.17-6.07 (comp, 1 H), 5.22-5.14 (comp, 2 H), 4.79-4.70 (comp, 1 H), 4.45-4.33 (comp, 2 H), 4.29-4.15 (comp, 3 H), 4.00-3.77 (comp, 3 H), 3.51-3.33 (comp, 2 H), 3.29-3.08 (comp, 3 H), 2.48 (bs, 1 H) 1.99-1.93 (comp, 2 H), 1.92-1.80 (comp, 2 H), 1.59 (bs, 6 H), 1.54 (bs, 6H), 1.01-0.89 (comp, 3 H).

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>, mixture of diastereomers) d 172.6, 151.1, 151.0, 145.9, 144.2, 144.1, 141.4, 134.3, 127.9, 127.3, 125.4, 120.1, 112.4, 104.5, 87.1, 87.0, 73.6, 73.1, 67.8, 61.4, 60.6, 53.8, 47.3, 39.3, 29.8, 27.7, 21.2, 14.4, 13.9, 13.8; IR ( $n_{max}$ ) 3346, 1684, 1126, 968, 739 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>43</sub>H<sub>47</sub>N<sub>3</sub>NaO<sub>6</sub> (M + Na) 724.3357, found 724.3355.





(3*S*,8a*S*)-3-((7,7-Dimethyl-2-(2-methylbut-3-en-2-yl)-1,7dihydropyrano[2,3-g]indol-3-yl)methyl)hex-ahydropyrrolo[1,2a]pyrazine-1,4-dione (83) and (3*R*,8a*S*)-3-((7,7-Dimethyl-2-(2-methylbut-3-en-2-yl)-1,7-

dihydropyrano[2,3-g]indol-3-yl)methyl)- hexahydropyrrolo[1,2a]pyrazine-1,4-dione (104). To 103 (71 mg, 0.10 mmol, 1 eq) in acetonitrile (1.5 mL) at rt was added Et<sub>2</sub>NH (700 mL) and the reaction was stirred for 1 h at rt. The reaction was concentrated under reduced pressure to give an orange/brown residue. The residue was dissolved in toluene (3 mL) and heated under reflux for 18 h. The solution was then concentrated under reduced pressure and the residue was purified by flash chromatography (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20) to give **83** (15 mg, 36%) & **104** (19 mg, 44%). (**9**)  $R_{\rm f} =$ 0.41 (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) d 7.91 (s, 1 H), 7.21 (d, *J* = 8.5 Hz, 1 H), 6.65 (d, *J* = 8.5 Hz, 1 H), 6.58 (d, *J* = 9.7 Hz, 1 H), 6.13 (dd, *J* = 17.5, 10.4 Hz, 1 H), 5.70 (bs, 1 H), 5.67 (d, *J* = 9.7 Hz, 1 H), 5.21-5.10 (m, 2 H), 4.44-4.33 (m, 1 H), 4.12-4.00 (m, 1 H), 3.75-3.51 (m, 3 H), 3.12 (dd, *J* = 15.3, 11.7 Hz, 1 H), 2.41-2.27 (m, 1 H), 2.21-1.81 (m, 3 H), 1.54 (s, 6 H), 1.46 (s, 6 H); <sup>13</sup>C NMR (75.5 MHz, CDCl<sub>3</sub>) d 169.5, 166.0, 149.1, 146.0, 140.1, 131.0, 130.2, 123.9, 118.2, 117.0, 112.8, 111.1, 105.2, 105.1, 76.0, 59.4, 55.1, 45.6, 39.2, 28.6, 28.1, 27.6, 27.5, 26.1, 22.8; HRMS (FAB) calcd for  $C_{26}H_{32}N_3O_3$  [M + H] 434.2444, found 434.2422.



(104)  $R_f = 0.29$  (MeOH/ CH<sub>2</sub>Cl<sub>2</sub>, 1:20); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) d 7.87 (bs, 1 H), 7.25 (d, J = 8.4 Hz, 1 H), 6.64 (d, J = 8.4 Hz, 1 H), 6.58 (d, J = 9.7 Hz, 1 H), 6.13 (dd, J = 17.5, 10.6 Hz, 1 H), 5.84 (d, J = 3.7 Hz, 1 H), 5.66 (d, J =9.9, 1 H), 5.19 (d, J = 11.7 Hz, 1 H), 5.15 (d, J = 4.4 Hz, 1 H), 4.31-4.22 (m, 1 H), 3.76-3.55 (m, 2 H), 3.52-3.35 (m, 2 H), 3.26 (dd, J = 14.6, 9.0 Hz, 1 H), 2.37-2.21 (m, 1 H), 2.05-1.62 (m, 4 H), 1.52 (s, 6 H), 1.45 (s, 6 H); <sup>13</sup>C NMR (75.5 MHz, CDCl<sub>3</sub>) d 168.8, 166.0, 148.9, 146.4, 140.2, 130.9, 130.0, 123.7, 118.9, 117.0, 112.0, 110.8, 105.7, 104.8, 75.9, 58.7, 58.4, 45.7, 39.3, 30.0, 29.2, 28.2, 28.0, 27.5, 22.1; HRMS (FAB) calcd for C<sub>26</sub>H<sub>32</sub>N<sub>3</sub>O<sub>3</sub> [M + H] 434.2444, found 434.2422.





(3*S*,8*aS*)-3-((6-Hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2yl)-1*H*-indol-3-yl)methyl)- hexahydropyrrolo[1,2-a]pyrazine-1,4-dione (82) (Notoamide S) and (3*R*,8*aS*)-3-((6-hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2methylbut-3-en-2-yl)-1*H*-indol-3-yl)methyl)hexahydropyrrolo[1,2-

**a**]**pyrazine-1,4-dione (105).** To **103** (286 mg, 0.41 mmol, 1 eq) in MeOH (4 mL) was added at rt Lindlar's catalyst (28 mg, 10 wt %) followed by purging with a balloon of hydrogen. The reaction was vigorously stirred for 30 min. The reaction was monitored by NMR for loss of the alkyne proton. The reaction was then filtered through a plug of Celite and concentrated under reduced pressure to give the alkene as yellow foam which was directly taken on to the next step without further purification. Et<sub>2</sub>NH (2 mL) was added to a solution of the crude alkene in acetonitrile (3 mL) and the reaction was stirred for 1 h at rt. The reaction was dissolved in toluene (5 mL) and heated under reflux for 18 h. The reaction was then concentrated under reduced pressure and purified by flash chromatography (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20) to give **82** (51 mg, 29%), as a yellow oil. *R*<sub>f</sub> = 0.67 (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:10); <sup>1</sup>H NMR (300 MHz,

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CDCl<sub>3</sub>) d 8.01 (bs, 1 H), 7.15 (d, J = 8.5 Hz, 1 H), 6.65 (d, J = 8.5 Hz, 1 H), 6.15 (dd, J = 17.5, 10.3 Hz, 1 H), 5.75 (bs, 1 H), 5.38 (t, J = 7.2 Hz, 1 H), 5.18 (d, J = 5.35 Hz, 1 H), 5.13 (s, 1 H), 4.41 (dd, J = 10.7, 2.5 Hz, 1 H), 4.08 (t, J = 7.3 Hz, 1 H), 3.71-3.61 (m, 2 H), 3.57 (d, J = 7.2 Hz, 2 H), 3.15 (dd, J = 15.3, 11.6 Hz, 1 H), 2.39-2.31 (m, 1 H), 2.12-2.00 (m, 2 H), 1.88 (s, 3 H), 1.79 (s, 3 H), 1.50 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 169.4, 166.0, 149.4, 145.9, 139.9, 134.9, 133.9, 123.6, 122.5, 116.2, 112.6, 110.5, 104.5, 59.4, 55.1, 45.5, 39.1, 29.8, 28.5, 28.0, 27.9, 25.9, 24.3, 22.8, 18.1; IR (n<sub>max</sub>) 3362, 1665, 1438, 919, 800 cm<sup>-1</sup>; HRMS (ESI/APCl+) calcd for C<sub>26</sub>H<sub>32</sub>DN<sub>3</sub>O<sub>3</sub> [M + Na] 459.2477, found 459.2475.



(**105**) (35 mg, 20%)  $R_{\rm f}$  = 0.53 (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:10); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) d 7.94 (bs, 1 H), 7.15 (d, J = 8.4 Hz, 1 H), 6.63 (d, J = 8.4 Hz, 1 H), 6.11 (dd, J = 17.4, 10.3 Hz, 1 H), 5.83 (bs, 1 H), 5.76 (bs, 1 H), 5.32 (t, J = 7.4 Hz, 1 H), 5.15 (dd, J = 17.4, 10.3 Hz, 2 H), 4.24-4.21 (m, 2 H), 3.78-3.74 (m, 1 H), 3.68-3.61 (m, 1 H), 3.53 (d, J = 7.4 Hz, 2 H), 3.48-3.37 (m, 3 H), 3.22 (dd, J = 14.4, 10 Hz, 1 H), 2.32-2.27 (m, 1 H), 1.99-1.86 (m, 2 H), 1.84 (s, 3 H), 1.74 (s, 3 H), 1.45 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 168.7, 166.0, 149.4, 146.3, 139.9, 135.0, 133.6, 123.3, 122.7, 116.7, 111.8, 110.3, 108.3, 105.0, 58.9, 58.4, 45.7, 39.2, 30.1, 29.2, 28.0, 27.8, 25.9, 24.3, 22.1, 18.1; IR (n<sub>max</sub>) 3336, 1655, 1448, 918, 802 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>26</sub>H<sub>33</sub>N<sub>3</sub>NaO<sub>3</sub> [M + Na] 458.2414, found 458,2413.




N,N,N-trimethyl-1-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-

yl)oxy)-1*H*-indol-3-yl)methanaminium iodide (106). To a solution of Mel (9.5 mL, 147.93 mmol, 40 eq) was added a solution of 100 (1.2 g, 3.69 mmol, 1 eq) in Et<sub>2</sub>O (48 mL) over a period of 2 h. The reaction continued to stir for an additional 3 h at which time it was concentrated under reduced pressure. The crude yellow white solid was used in the proceeding step as a mixture of iodide salt and dimmer. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  11.32 (bs, 1 H), 7.58 (d, J = 8.8 Hz, 1 H), 7.29 (s, 1 H), 6.84 (t, J = 8.8 Hz, 1 H), 6.20 (dd, J = 10.4, 17.4 Hz, 1 H), 5.18 (dd, J = 10.4, 17.4 Hz, 2 H), 4.70 (s, 2 H), 3.64 (s, 1 H), 3.32 (s, 2 H), 3.07 (s, 3 H), 2.98 (s, 6 H), 1.56 (s, 6 H), 1.50 (s, 6 H).





dimethyl ((2*S*)-1-((3aS,6R)-8,8-dimethyl-2,2-dioxidohexahydro-1*H*-3a,6methanobenzo[*c*]isothiazol-1-yl)-3-(2-(2-methylbut-3-en-2-yl)-6-((2methylbut-3-yn-2-yl)oxy)-1*H*-indol-3-yl)-1-oxopropan-2-

yl)carbonimidodithioate (106a). To a solution of 107 (1.1 g, 2.90 mmol, 1.1 eq) in THF (10 mL) was added LDA (310 mg, 2.90 mmol, 1.1 eq) at -78°C. The reaction was allowed to stir at -78°C for 1 h. In a separate flask a solution of 106 (1.23 g, 2.63 mmol, 1 eq) in THF (8 mL) was cooled to -78°C, at which time the solution of 107 was canulated at -78°C. The mixture was allowed to warm to room temperature where in stirred for 5 h. Sat. NH<sub>4</sub>Cl (20 mL) was added and the mixture was partitioned in to  $CH_2Cl_2$  (30 mL). The organic layer was washed with H<sub>2</sub>O (3 x 15 mL), brine (15 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure afforded the crude residue. Purification by flash chromatography (EtOAc / hex., 1 : 5) to provide 106a (738 mg, 68%) as a white foam. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.71 (bs, 1 H), 7.60 (d, *J* = 8.6 Hz, 1 H), 7.07 (d, *J* = 2.1 Hz, 1 H), 6.87 (dd, *J* = 2.1, 8.6 Hz, 1 H), 6.14 (dd, *J* = 10.1, 17.0 Hz, 1 H), 5.51 (t, *J* = 7.3 Hz, 1 H),

5.13 (dd, J = 10.1, 17.0 Hz, 2 H), 4.67 (m, 1 H), 4.03 (m, 1 H), 3.85-3.80 (m, 1 H), 3.46 (m, 1 H), 3.35 (d, J = 7.3 Hz, 2 H), 3.28-3.26 (m, 1 H), 3.10 (d, J = 2.9 Hz, 1 H), 2.55 (s, 1 H), 2.50 (s, 1 H), 2.37 (s, 3 H), 2.28 (s, 3 H), 1.93-1.80 (m, 6 H), 1.61 (s, 3 H), 1.60 (s, 6 H), 1.56 (s, 3 H), 1.12 (s, 3H), 0.93 (s, 3 H), 0.86 (s, 3 H), 0.74 (s, 3 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  171.8, 150.6, 146.5, 140.3, 134.1, 126.4, 119.8, 115.6, 111.4, 105.4, 103.5, 87.0, 73.0, 72.8, 66.9, 66.4, 65.2, 53.1, 48.1, 47.4, 44.6, 39.5, 38.1, 32.8, 29.8, 29.6, 29.5, 27.6, 27.5, 26.3, 20.3, 19.7, 15.7, 14.8, 14.7, 14.1; HRMS (ESI/APCI+) calcd for C<sub>33</sub>(<sup>13</sup>C)H<sub>46</sub>N<sub>2</sub>(<sup>15</sup>N)O<sub>4</sub>S<sub>2</sub> [M + H] 658.2649, found 658.2655.





(S)-2-((bis(methylthio)methylene)amino)-3-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-yl)oxy)-1*H*-indol-3-yl)propanoic acid (108). To a solution of **106a** (1.2 g, 1.82 mmol, 1 eq) in THF (12 mL) and  $H_2O$  (6 mL) at 0°C was added solid LiOH (218 mg, 9.11 mmol, 5 eq). The reaction mixture was warmed to room temperature where it remained stirring for 24 h. The THF was removed under reduced pressure and the remaining aqueous solution was acidified with 1 M HCl (5 mL) to a pH of 2. The solution was extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 x 10 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided crude 108, which was purified by flash chromatography (MeOH /  $CH_2CI_2$ , 1 : 30) to afford **108** (752 mg, 90%) The sultam can also be recovered during flash as a white solid. chromatography. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.69 (bs, 1 H), 7.27 (d, J = 8.5 Hz, 1 H), 7.07 (d, J = 2.0 Hz, 1 H), 6.83 (dd, J = 2.0, 8.5 Hz, 1 H), 6.02 (dd, J = 10.6, 17.5 Hz, 1 H), 5.08 (dd, J = 10.6, 17.5 Hz, 2 H), 4.67 (m, 1 H), 3.56 (m, 1 H), 3.49 (dq, J = 3.6, 14.6 Hz, 1 H), 3.17 (m, 1 H), 2.45 (s, 1 H),2.32 (s, 3 H), 2.09 (s, 3 H), 1.55 (s, 6 H), 1.49 (s, 3 H), 1.48 (s, 3 H).





(S)-ethyl 1-((S)-2-amino-3-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-yl)oxy)-1H-indol-3-yl)propanoyl)pyrrolidine-2-carboxylate (110). To a solution of **108** (300 mg, 0.65 mmol, 1 eq) and **109** (125 mg, 0.68 mmol, 1.05 eq) in CH<sub>3</sub>CN (10 mL) was added consecutively HATU (310 mg, 0.81 mmol, 1.25 eq) and *i*-Pr<sub>2</sub>NEt (240 µL, 1.43 mmol, 2.2 eq) at 0°C. The reaction was warmed to room temperature and allowed to stir for 24 h. 1 M HCl (5 mL) was added to the reaction mixture and stirring continued for 3 h. The mixture concentrated under reduced pressure and purified was by flash chromatography (MeOH /  $CH_2CI_2$ , 1 : 20) to provide **110** (218 mg, 70%) as a white solid. NMR is a mixture of four products: free amine and DKP of the alkyne, as well as free amine and DKP of the pyran ring. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) & 8.33-8.31 (comp., 2 H), 8.10-8.05 (comp., 1 H), 7.25-7.23 (comp., 2 H), 6.93-6.91 (comp., 1 H), 6.18-6.08 (comp., 1 H), 5.28-5.17 (comp., 2 H), 4.34-4.28 (comp. 1 H), 4.17-4.00 (comp., 2 H), 3.77-3.70 (comp., 1 H), 3.47-3.38 (comp., 2 H), 3.14-3.09 (comp., 2 H), 2.55 (bs, 1 H), 1.54 (bs, 12 H); HRMS (ESI/APCI+) calcd for  $C_{26}(^{13}C)_2H_{38}N(^{15}N)_2O_4$  [M + H] 484.2865, found 484.2877.





## (3S,8aS)-3-((6-hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2yl)-1*H*-indol-3-yl)methyl)hexahydropyrrolo[1,2-a]pyrazine-1,4-dione (111). To a solution of 110 (183 mg, 0.37 mmol, 1 eq) in MeOH (5 mL) was added Lindlar's catalyst (18 mg, 10 weight %) followed by a balloon of H<sub>2</sub>. The reaction was vigorously stirred for 1 h. Filtration through a pad of Celite followed by concentration under reduced pressure provided the crude alkene. The alkene was diluted with CH<sub>3</sub>CN (5 mL) and heated to reflux for 18 h. Concentration under reduced pressure and purification by flash chromatography (MeOH / CH<sub>2</sub>Cl<sub>2</sub>, 1 : 20) provided **111** (112 mg, 68%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) $\delta$ 8.02 (bs, 1 H), 7.11 (d, J = 8.3 Hz, 1 H), 6.62 (d, J = 8.3 Hz, 1 H), 6.05 (dd, J = 10.5, 17.6 Hz, 1 H), 5.38 (t, J = 7.4 Hz, 1 H), 5.12 (dd, J = 10.5, 17.6 Hz, 2 H), 4.40-4.35 (m, 1 H), 4.09-4.03 (m, 1 H), 3.73-3.61 (m, 2 H), 3.55 (d, J = 7.4 Hz, 2 H), 3.10 (t, J = 12.8 Hz, 1 H), 2.36-2.32 (m, 1 H), 2.06-2.02 (m, 2 H), 1.88 (s, 3 H), 1.78 (s, 3 H), 1.50 (s, 6 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 169.2, 169.1, 165.9, 165.7, 149.3, 145.7, 139.7, 134.9, 133.4, 123.3, 122.4, 115.9, 112.3, 110.3, 108.4, 104.2, 45.3,

45.2, 38.9, 28.3, 27.8, 27.7, 25.9, 25.7, 24.0, 22.5, 17.9; HRMS (ESI/APCI+) calcd for  $C_{24}(^{13}C)_2H_{33}NaN(^{15}N)_2O_3$  [M + Na] 462.2422, found 462.2428.





(2*S*,3*R*)-(9*H*-fluoren-9-yl)methyl 2-((1-ethoxy-3-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-yl)oxy)-1*H*-indol-3-yl)-1-oxopropan-2-

yl)carbamoyl)-3-hydroxypyrrolidine-1-carboxylate (116). To a solution of 102 (1.0 g, 2.61 mmol, 1 eq) and 115 (970 mg, 2.74 mmol, 1.05 eq) in CH<sub>3</sub>CN (25 mL) was added consecutively HATU (1.25 g, 3.26 mmol, 1.25 eq) and *i*- $Pr_2NEt$  (940 µL, 5.75 mmol, 2.2 eq) at 0°C. The reaction was warmed to room temperature and allowed to stir for 24 h. The mixture was concentrated under reduced pressure and purified by flash chromatography (EtOAc / hex., 1 : 5–2 : 5) to provide 116 (1.08 g, 58%) as a white foam. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.26 (bs, 1H), 7.74-7.62 (comp., 3 H), 7.57-7.55 (comp., 1 H), 7.36-7.17 (comp., 6 H), 6.92-6.89 (comp., 1 H), 6.14-5.93 (comp., 1 H), 5.18-5.09 (comp., 2 H), 4.82-4.68 (comp., 1 H), 4.39-4.31 (comp., 1 H), 4.28-4.16 (comp. 3 H), 3.91-3.84 (comp., 2 H), 3.64-3.56 (comp., 2 H), 3.38-3.20 (comp., 3 H), 2.48 (s, 1 H), 2.01-1.94 (comp., 1 H), 1.53-1.35 (comp., 12 H).





(8*R*,8a*S*)-8-hydroxy-3-((6-hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2methylbut-3-en-2-yl)-1*H*-indol-3-yl)methyl)hexahydropyrrolo[1,2-

a]pyrazine-1,4-dione (114). To a solution of 116 (322 mg, 0.44 mmol, 1 eq) in MeOH (4.5 mL) was added Lindlar's catalyst (96 mg, 30 weight %) followed by a balloon of  $H_2$ . The reaction was stirred vigorously for 1 h. The mixture was filtered through a pad of Celite and concentrated under reduced pressure. The crude material was diluted with CH<sub>3</sub>CN (5 mL) and Et<sub>2</sub>NH (2 mL) was added. The reaction mixture continued to stir for 5 h. at room temperature. The mixture was concentrated under reduced pressure and placed under vacuum for 18 h. CH<sub>3</sub>CN (5 mL) was added to the residue and heated to reflux for 18 h. The reaction was then concentrated under reduced pressure and purified by flash chromatography (MeOH / CH<sub>2</sub>Cl<sub>2</sub>, 1 : 20) to afford **114** (102 mg, 51%) as a yellow foam. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.04 (bs, 1 H), 7.09 (d, J = 8.4 Hz, 1 H), 6.61 (d, J = 8.4 Hz, 1 H), 6.03 (dd, J= 10.4, 17.5 Hz, 1 H), 5.95 (bs, 1 H), 5.35 (t, J = 7.2 Hz, 1 H), 5.11 (dd, J =10.4, 17.5 Hz, 2 H), 4.66 (t, J = 3.5 Hz, 1 H), 4.37-4.33 (m, 1 H), 4.08 (bs, 1 H), 3.94-3.79 (m, 1 H), 3.73-3.63 (m, 2 H), 3.55 (d, J = 7.3 Hz, 2 H), 3.05 (dd,

*J* = 11.7, 15.2 Hz, 1 H), 2.17-2.10 (m, 1 H), 2.06-1.95 (m, 2 H), 1.87 (s, 3 H), 1.77 (s, 3 H), 1.48 (s, 6 H).





**3-((6-hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1***H***indol-3-yl)methyl)-2,3,6,7-tetrahydropyrrolo**[**1,2-***a***]<b>pyrazine-1,4-dione (113).** To a solution of DEAD (100 µL, 0.22 mmol, 2 eq) in CH<sub>2</sub>Cl<sub>2</sub> (2 mL) at 0°C was added Bu<sub>3</sub>P (55 µL, 0.22 mmol, 2 eq). The reaction was allowed to stir at 0°C for 15 min. at which time a solution of **114** (50 mg, 0.11 mmol, 1 eq) in CH<sub>2</sub>Cl<sub>2</sub> (1 mL) was added. The reaction was stirred at room temperature for 3 h., and then concentrated under reduced pressure. The crude residue was purified by flash chromatography (EtOAc / hex., 1 : 1) to give **113** (19 mg, 40%). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.02 (bs, 1 H), 7.13 (d, J = 8.5 Hz, 1 H), 6.68 (d, J = 8.5 Hz, 1 H), 6.16 (t, J = 3.0 Hz, 1 H), 6.02 (dd, J= 10.5, 17.4 Hz, 1 H), 5.78 (bs, 1 H), 5.37 (t, J = 7.2 Hz, 1 H), 5.10 (dd, J =10.5, 17.4 Hz, 2 H), 4.44 (bd, J = 10.4 Hz, 1 H), 4.05 (td, J = 3.5, 9.4 Hz, 2 H), 3.62 (dd, J = 3.5, 14.5 Hz, 1 H), 3.56 (d, J = 7.2 Hz, 2 H), 3.09 (dd, J = 11.4, 14.5 Hz, 1 H), 2.77 (m, 2 H), 1.87 (s, 3 H), 1.77 (s, 3H), 1.48 (s, 6 H).





(3*S*,8a*S*)-3-((7,7-dimethyl-2-(2-methylbut-3-en-2-yl)-8-(phenylthio)-1,7,8,9tetrahydropyrano[2,3-*g*]indol-3-yl)methyl)hexahydropyrrolo[1,2-

**a**]**pyrazine-1,4-dione (124).** To a solution of **83** (50 mg, 0.11 mmol, 1 eq) in benzene (1 mL) was added PhSH (26  $\mu$ L, 0.25 mmol, 2.2 eq) and AIBN (1 mg, 0.0046 mmol, 0.04 eq) and heated to reflux for 24 h. The mixture was diluted with EtOAc (5 mL) and the organic layer washed with 2 M NaOH (3 x 5 mL), H<sub>2</sub>O (3 x 5 mL), brine (10 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provide the crude residue which was purified by flash chromatography (EtOAc / hex., 1 : 1–4 : 5) to afford **124** (43 mg, 70%),as a mixture of diastereomers, as a yellow foam. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  complex mixture; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  complex mixture; HRMS (ESI/APCI+) calcd for C<sub>32</sub>H<sub>37</sub>N<sub>3</sub>O<sub>3</sub>S [M + H] 544.2628, found 544.2629.





(3S,8aS)-3-((6-hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2yl)-1*H*-indol-3-yl)methyl)hexahydropyrrolo[1,2-a]pyrazine-1,4-dione (82) and (3R,8aS)-3-((6-hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3en-2-yl)-1H-indol-3-yl)methyl)hexahydropyrrolo[1,2-a]pyrazine-1,4-dione (105). To a solution of 124 (26 mg, 0.047 mmol, 1 eq) in THF (1 mL) at -40°C was added a solution of K-Naphth. until the reaction mixture remained green in color for 10 min. H<sub>2</sub>O (5 mL) was added slowly at -40°C and the mixture was allowed to warm to room temperature. The mixture was diluted with EtOAc (5 mL) and separated. The organic layer was washed with H<sub>2</sub>O (10 mL), brine (10 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure afforded the crude material which was purified by flash chromatography (MeOH /  $CH_2CI_2$ , 1 : 50) to afford 82 (6 mg) and 105 (5 mg) in a 55% yield as a 1 : 1 mixture.  $R_{\rm f} = 0.67$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:10); <sup>1</sup>H NMR  $(300 \text{ MHz}, \text{CDCl}_3) \text{ d } 8.01 \text{ (bs, 1 H)}, 7.15 \text{ (d, } J = 8.5 \text{ Hz}, 1 \text{ H)}, 6.65 \text{ (d, } J = 8.5 \text{ Hz})$ Hz, 1 H), 6.15 (dd, J = 17.5, 10.3 Hz, 1 H), 5.75 (bs, 1 H), 5.38 (t, J = 7.2 Hz, 1 H), 5.18 (d, J = 5.35 Hz, 1 H), 5.13 (s, 1 H), 4.41 (dd, J = 10.7, 2.5 Hz, 1 H), 4.08 (t, J = 7.3 Hz, 1 H), 3.71-3.61 (m, 2 H), 3.57 (d, J = 7.2 Hz, 2 H), 3.15 (dd, J = 15.3, 11.6 Hz, 1 H), 2.39-2.31 (m, 1 H), 2.12-2.00 (m, 2 H), 1.88 (s, 3 H), 1.79 (s, 3 H), 1.50 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 169.4, 166.0, 149.4, 145.9, 139.9, 134.9, 133.9, 123.6, 122.5, 116.2, 112.6, 110.5, 104.5, 59.4, 55.1, 45.5, 39.1, 29.8, 28.5, 28.0, 27.9, 25.9, 24.3, 22.8, 18.1; IR ( $n_{max}$ ) 3362, 1665, 1438, 919, 800 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>26</sub>H<sub>32</sub>DN<sub>3</sub>O<sub>3</sub> [M + Na] 459.2477, found 459.2475.



(105) (35 mg, 20%)  $R_{\rm f}$  = 0.53 (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:10); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) d 7.94 (bs, 1 H), 7.15 (d, J = 8.4 Hz, 1 H), 6.63 (d, J = 8.4 Hz, 1 H), 6.11 (dd, J = 17.4, 10.3 Hz, 1 H), 5.83 (bs, 1 H), 5.76 (bs, 1 H), 5.32 (t, J = 7.4 Hz, 1 H), 5.15 (dd, J = 17.4, 10.3 Hz, 2 H), 4.24-4.21 (m, 2 H), 3.78-3.74 (m, 1 H), 3.68-3.61 (m, 1 H), 3.53 (d, J = 7.4 Hz, 2 H), 3.48-3.37 (m, 3 H), 3.22 (dd, J = 14.4, 10 Hz, 1 H), 2.32-2.27 (m, 1 H), 1.99-1.86 (m, 2 H), 1.84 (s, 3 H), 1.74 (s, 3 H), 1.45 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 168.7, 166.0, 149.4, 146.3, 139.9, 135.0, 133.6, 123.3, 122.7, 116.7, 111.8, 110.3, 108.3, 105.0, 58.9, 58.4, 45.7, 39.2, 30.1, 29.2, 28.0, 27.8, 25.9, 24.3, 22.1, 18.1; IR (n<sub>max</sub>) 3336, 1655, 1448, 918, 802 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>26</sub>H<sub>33</sub>N<sub>3</sub>NaO<sub>3</sub> [M + Na] 458.2414, found 458,2413.





(7aS,12aS,13aS)-3,3,14,14-tetramethyl-2-(phenylthio)-

2,3,7,10,11,12,13,13a,14,15-decahydro-7a,12a-

(epiminomethano)indolizino[6,7-h]pyrano[3,2-a]carbazole-8,16(1H)-dione (127). To a solution of 1 (100 mg, 0.23 mmol, 1 eq) in DMSO (4 mL) was added PhSH (380 µL, 3.70 mmol, 16 eq) and PhS-SPh (101 mg, 0.46 mmol, 2 eq). The solution was degassed via freeze pump thaw method. The reaction was irradiated with  $h_V$  (400W medium pressure Hg arch lamp) for 48 h. The reaction mixture was diluted with Et<sub>2</sub>O (10 mL) and washed with 2 M NaOH (3 x 10 mL),  $H_2O$  (3 x 10 mL), brine (10 mL) and dried over MgSO<sub>4</sub>. Filtration and concentration under reduced pressure provided the crude residue which was purified by flash chromatography (MeOH /  $CHCl_2$ , 0 : 1–1 : 65) to provide **127** (100 mg, 80%) as a yellow solid. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.38-7.27 (comp., 7 H), 6.70-6.67 (comp., 1 H), 6.41 (bs, 1 H), 3.76-3.72 (comp., 1 H), 3.57-3.48 (comp., 1 H), 3.43-3.33 (comp., 1 H), 2.82-2.75 (comp., 1 H), 2.60-2.46 (comp., 2 H), 2.25-2.16 (comp., 1 H), 2.03-1.94 (comp., 2 H), 1.90-1.80 (comp., 4 H), 1.63 (bs, 3 H), 1.28-1.21 (comp., 6 H), 1.11-1.06 (comp., 3 h).





(5aS,12aS,13aS)-9-hydroxy-12,12-dimethyl-10-(3-methylbut-2-en-1-yl)-2,3,11,12,12a,13-hexahydro-1H-5a,13a-(epiminomethano)indolizino[7,6b]carbazole-5,14(6H)-dione (91). To solution of 127 (100 mg, 0.18 mmol, 1 eq) in THF (2 mL) as -40°C was added a solution of K-Naphth. until a green color remained for 10 min. H<sub>2</sub>O (5 mL) was added slowly at -40°C and the mixture was allowed to warm to room temperature. The mixture was diluted with EtOAc (5 mL) and separated. The organic layer was washed with H<sub>2</sub>O (10 mL), brine (10 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure afforded the crude material which was purified by flash chromatography (MeOH / CH<sub>2</sub>Cl<sub>2</sub>, 1 : 50) to afford **91** (29 mg, 40%) as a yellow solid. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.60 (bs, 1 H), 7.13 (d, J = 8.1 Hz, 1 H), 6.60 (d, J = 8.1 Hz, 1 H), 6.00 (bs, 1 H), 5.34 (t, J = 7.5 Hz, 1 H), 3.78 (d, J = 15.3 Hz, 1 H), 3.60-3.51 (m, 3 H), 3.46-3.36 (m, 2 H), 2.85-2.76 (m, 1 H), 2.60-2.54 (m, 3 H), 2.27-2.19 (m, 1 H), 2.08-1.92 (m, 4 H), 1.87 (s, 3 H), 1.76 (s, 3 h), 1.27 (s, 3 H), 1.09 (s, 3 H). HRMS (ESI/APCI+) calcd for C<sub>26</sub>H<sub>31</sub>N<sub>3</sub>O<sub>3</sub> [M + Na] 456.2258, found 456.2255.



## 3.3 Chrysogenamide A



**3-chloro-7-(3-methylbut-2-en-1-yl)-1***H***-indole (157).** To a solution of indole **156** (100 mg, 0.53 mmol, 1 eq) in DMF at 25°C was added NCS (65 mg, 0.48 mmol, 0.9 eq) in one portion. The reaction was stirred for 4 h at 25°C, at which time brine (20 mL) was added and the solution was partitioned between Et<sub>2</sub>O (20 mL) and H<sub>2</sub>O (20 mL). The aqueous layer was extracted with Et<sub>2</sub>O (3 x 10 mL). All organic layers were combined and extracted with H<sub>2</sub>O (3 x 20 mL) and brine (2 x 10 mL). The organic layers were dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated under reduced pressure. The residue was purified by flash chromatography (EtOAc / hex., 1:10) to give **157** as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.05 (bs, 1 H), 7.56 (d, *J* = 7.8 Hz, 1 H), 7.19 (t, *J* = 7.3 Hz, 1 H), 7.13 (s, 1 H), 7.10 (d, *J* = 7.3 Hz, 1 H), 5.42 (t, *J* = 7.1 Hz, 1 H), 3.56 (d, *J* = 7.1 Hz, 2 H), 1.85 (s, 3 H), 1.84 (s, 3 H).





## 7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1 H-indol-6-yl

trifluoromethanesulfonate (164). To a vigorously stirred solution of 98 (737 mg, 2.75 mmol, 1 eq) in MeOH (3 mL) was added Lindlar's catalyst (36 mg, 1.37 mmol, 0.5 eq) followed by a balloon of  $H_2$ . After stirring for 30 min. the reaction was filtered through a pad of Celite and concentrated under reduced pressure. The crude oil was taken up in CH<sub>3</sub>CN (4 mL) and heated to reflux for 6 h. Removal of the CH<sub>3</sub>CN under reduced pressure gave a yellowish oil, which was passed through a plug of silica eluting with 5% EtOAc / hex and concentrated under reduced pressure. Treatment of the yellow oil in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) consecutively with DMAP (458 mg, 3.75 mmol, 1.5 eq), TEA (2.45 mL, 17.50 mmol, 7 eq), and (Tf)<sub>2</sub>O (0.845 mL, 5.00 mmol, 2 eq) at 0°C. Stir at 0°C for 20 min. at which time sat. NaHCO<sub>3</sub> (5 mL) is added. Dilute with  $CH_2CI_2$ (10 mL) and separate. The organic layer is washed with sat. NaHCO<sub>3</sub> (10 mL), brine (10 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. The solution is filtered and concentrated under reduced pressure to give a yellow oil. Crude 164 is purified by flash chromatography (EtOAc / hex., 1 : 20) to give 164 (618 mg, 56%) as a pale yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.21 (bs, 1 H), 7.38 (d, J = 8.7 Hz, 1 H), 6.95 (d, J = 8.7 Hz, 1 H), 6.30 (d, J = 2.2 Hz, 1 H), 5.99

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(dd, *J* = 10.1, 5.6 Hz, 1 H), 5.34 (t, *J* = 7.3 Hz, 1 H), 5.12 (dd, *J* = 10.1, 5.6 Hz, 2 H), 3.65 (d, *J* = 7.3 Hz, 2 H), 1.89 (s, 3 H), 1.78 (s, 3 H), 1.45 (s, 6 H).




**3-((dimethylamino)methyl)-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1***H***-indol-6-yl trifluoromethanesulfonate (165).** To a solution of Me<sub>2</sub>NH (15 µL, 0.13 mmol, 1.1 eq, 40% aqueous) in AcOH (400 µL) was added H<sub>2</sub>CO (11 µL, 0.13 mmol, 1.1 eq, 37% aqueous) at 25°C. The reaction mixture was stirred for 30 min. before being canulaed to a solution of **164** (50 mg, 0.12 mmol, 1 eq) in AcOH (100 µL). After 8 h. the reaction was basified with 2 M NaOH (5 mL) and extracted with Et<sub>2</sub>O (5 x 10 mL). The organics were combined and dried with MgSO<sub>4</sub>, filtered and concentrated under reduced pressure to give a yellow oil, which was taken on crude to the next step. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.28 (bs, 1 H), 7.54 (d, *J* = 8.7 Hz, 1 H), 6.93 (d, *J* = 8.7 Hz, 1 H), 6.06 (dd, *J* = 10.1, 6.3 Hz, 1 H), 5.35 (t, *J* = 7.3 Hz, 1 H), 5.15 (dd, *J* = 10.1, 6.3 Hz, 2 H), 3.64 (d, *J* = 7.3 Hz, 2 H), 2.20 (s, 6 H), 1.89 (s, 3 H), 1.80 (s, 3 H), 1.54 (s, 6 H).





ethyl 2-((diphenylmethylene)amino)-3-(7-(3-methylbut-2-en-1-yl)-2-(2methylbut-3-en-2-yl)-6-(((trifluoromethyl)sulfonyl)oxy)-1*H*-indol-3-

**yl)propanoate (166).** To a solution of **165** (46 mg, 0.10 mmol, 1 eq) in CH<sub>3</sub>CN (2 mL) was added **101** (27 mg, 0.10 mmol, 1 eq) and Bu<sub>3</sub>P (12  $\mu$ L, 0.050 mmol, 0.5 eq). The reaction mixture was heated to reflux for 18 h., upon cooling to room temperature the reaction was concentrated under reduced pressure. The crude mixture was purified by flash chromatography (EtOAc / hex., 1 : 20–1 : 10) to give **166** (54 mg, 80%) as a clear oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.10 (bs, 1 H), 7.52 (d, *J* = 8.5 Hz, 2 H), 7.34-7.26 (m, 5 H), 7.16 (d, *J* = 8.5 Hz, 2 H), 7.01 (t, *J* = 7.4 Hz, 2 H), 6.67 (d, *J* = 8.7 Hz, 1 H), 5.77 (dd, *J* = 10.5, 17.5, 1 H), 5.32 (t, *J* = 5.3 Hz, 1 H), 4.99 (dd, *J* = 10.5, 17.5 Hz, 2 H), 4.37 (dd, *J* = 4.8, 8.2 Hz, 1 H), 4.19-4.17 (m, 2 H), 3.62 (d, *J* = 5.3 Hz, 2 H), 3.46 (dd, *J* = 4.8, 8.2 Hz, 2 ), 1.87 (s, 3 H), 1.80 (s, 3 H), 1.33 (s, 3 H), 1.30 (s, 3 H), 1.26 (t, *J* = 7.2 Hz, 3 H).





*tert*-butyl 2-oxoindoline-1-carboxylate (177). To a solution of 177 (2 g, 15.03 mmol, 1 eq) in THF (70 mL) was added Na<sub>2</sub>CO<sub>3</sub> (8 g, 75.15 mmol, 5 eq) followed by (Boc)<sub>2</sub>O (8.2 g, 37.57 mmol, 2.5 eq). The reaction was stirred at 25°C for 24 h. The mixture was then filtered through a medium porosity sintered glass frit and evaporated under reduced pressure. The crude mixture was purified by flash chromatography (EtOAc / hex., 1 : 20–3 : 20) to give **177** (2.3 g, 68%) as a pale yellow solid. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.76 (d, *J* = 8.7 Hz, 1 H), 7.32-7.22 (m, 2 H), 7.10 (t, *J* = 7.5 Hz, 1 H), 3.64 (s, 2 H), 1.64 (s, 9 H).





## tert-butyl 2-hydroxy-2-(2-methylbut-3-en-2-yl)indoline-1-carboxylate

(179). To magnesium turnings (281 mg, 11.27 mmol, 5.4 eq) in THF (2 mL) was added a solution of prenyl chloride (270 mg, 2.57 mmol, 1.2 eq) in THF (6 mL) over a period of 2 h. The reaction mixture continued to stir for 1 h. at 25°C. In a separate round bottom, 177 (500 mg, 2.14 mmol, 1 eq) in THF (4 mL) was cooled to -78°C at which time the freshly prepared Grignard was added dropwise over a period of 1 h. Stirring continued at -78°C for an additional 1 h, upon which sat. NH<sub>4</sub>Cl (5 mL) was added at -78°C and allowed to warm to room temperature. The reaction mixture was diluted with EtOAc (20 mL) and extracted. The organic lay was then washed with  $H_2O$  (15 mL) and brine (15 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure afforded crude 179, which was purified by flash chromatography (EtOAc / hex., 1:20) to give pure 179 (390 mg, 60%) as a white solid. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.79 (bs, 1 H), 7.74 (d, J = 7.7 Hz, 1 H), 7.20 (m, 1 H), 7.07-7.00 (m, 2 H), 5.90 (dd, J = 10.8, 17.3 Hz, 1 H), 5.25 (dd, J = 10.8, 17.3 Hz, 2 H), 3.75 (s, 2 H), 1.51 (s, 9 H), 1.30 (s, 6 H).





**2-(2-methylbut-3-en-2-yl)-1***H***-indole (180).** [A] To a solution of **179** (305 mg, 1.00 mmol, 1 eq) in CH<sub>2</sub>Cl<sub>2</sub> was added TFA (235  $\mu$ L, 3.01 mmol, 3 eq) at 25°C. The reaction mixture was stirred for 3 h, at which time it was concentrated under reduced pressure. To the crude mixture was added sat. NaHCO<sub>3</sub> (5 mL) followed by EtOAc (10 mL) and extracted. The organic layer was washed with H<sub>2</sub>O (2 x 5 mL), brine (5 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure afforded crude **180**. Purification by flash chromatograph (EtOAc / hex., 3 : 20) provided **180** (98 mg, 58%) as a clear oil.

[B] To a solution of 179 (200 mg, 0.65 mmol, 1 eq) in toluene (10 mL) was added Amberlyst 15 resin (400 mg). The reaction mixture was heated to reflux for 35 min. and cooled to room temperature. The mixture was filtered and concentrated under reduced pressure to give crude 180. Purification by flash chromatograph (EtOAc / hex., 3 : 20) provided **180** (121 mg, >99%) as a clear oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.88 (bs, 1 H), 7.56 (d, *J* = 8.3 Hz, 1 H), 7.30 (d, *J* = 8.1 Hz, 1 H), 7.17-7.06 (m, 2 H), 6.34 (s, 1 H), 6.01 (dd, *J* = 10.3, 17.6 Hz, 1 H), 5.12 (dd, *J* = 10.3, 17.6 Hz, 2 H), 1.50 (s, 6 H).





*N*-(2-methylbut-3-yn-2-yl)aniline (181a). To a solution of aniline (5 g, 53.70 mmol, 1 eq) in THF (250 mL) was added CuCl (531 mg, 5.37 mmol, 10 mol%) at 25°C and allowed to stir for 5 min. **162** (7.24 mL, 64.44 mmol, 1.2 eq) was added to the mixture over a period of 5 min., and allowed to stir for an additional 10 min. The reaction mixture was cooled to 0°C at which time TEA (9.02 mL, 64.44 mmol, 1.2 eq) was added over a period of 5 min. Warming to 25°C, the reaction mixture was allowed to stir for 18 h. The mixture was filtered through a small plug of silica gel and concentrated under reduced pressure. Purification by flash chromatography (EtOAc / hex., 1 : 10) provided **181a** (7.6 g, 90%) as a yellow oil that solidified upon freezing. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.22 (t, *J* = 8.6 Hz, 2 H), 6.95 (d, *J* = 8.6 Hz, 2 H), 6.82 (t, *J* = 7.3 Hz, 1 H), 3.68 (bs, 1 H), 2.39 (s, 1 H), 1.63 (s, 6 H).





*N*-(2-methylbut-3-en-2-yl)aniline (182). To a solution of 181a (1 g, 6.28 mmol, 1 eq) in MeOH was added Lindlar's catalyst (100 mg, 10 weight %) followed by a balloon of H<sub>2</sub>. The reaction was stirred at 25°C for 30 min., at which time it was filtered through a pad of Celite and concentrated under reduced pressure to give 182 (961 mg, 95%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.17 (t, *J* = 6.5 Hz, 2 H), 6.74 (d, *J* = 6.5 Hz, 3 H), 6.03 (dd, *J* = 10.7, 17.5 Hz, 1 H), 5.15 (dd, *J* = 10.7, 17.5 Hz, 2 H), 3.74 (bs, 1 H), 1.44 (s, 6 H).





**2-(3-methylbut-2-en-1-yl)aniline (182a).** To a solution of **182** (100 mg, 0.62 mmol, 1 eq) in toluene (5 mL) was added TFA (50  $\mu$ L, 0.62 mmol, 1 eq) and heated under microwave condition of 150°C for 10 min. Concentration of the reaction mixture under reduced pressure followed by addition of sat. NaHCO<sub>3</sub> (3 mL) and extraction with EtOAc (3 x 5 mL). The organic layer was washed with H<sub>2</sub>O (3 x 5 mL), brine (2 x 5 mL) and dried with Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure gave crude product **182a**. Purification by flash chromatography (EtOAc / hex., 1 : 10) afforded **182a** (90 mg, 90%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.06 (t, *J* = 7.5 Hz, 2 H), 6.79-6.69 (m, 2 H), 5.24 (t, *J* = 7 Hz, 1 H), 4.15 (bs, 2 H), 3.23 (d, *J* = 7 Hz, 2 H) 1.76 (s, 6 H).





**2-chloro-***N***-(2-(3-methylbut-2-en-1-yl)phenyl)acetamide (183).** To a solution of **182a** (970 mg, 6.01 mmol, 1 eq) and K<sub>2</sub>CO<sub>3</sub> (795 mg, 6.01 mmol, 1 eq) in CH<sub>3</sub>CN (50 mL) was added dropwise CICOCH<sub>2</sub>CI (622 mL, 7.82 mmol, 1.3 eq) at 0°C. The reaction was stirred at 0°C for 1 h., at which time it was allowed to warm to room temperature where it stirred for 18 h. The reaction mixture was filtered and diluted with CH<sub>2</sub>Cl<sub>2</sub> (100 mL) and washed with 1 M HCI (3 x 50 mL), H<sub>2</sub>O (3 x 50 mL), brine (50 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided **183** (1.2 g, 87%) as a white solid. No purification was required. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.38 (bs, 1 H), 7.89 (d, *J* = 8.0 Hz, 1 H), 7.28-7.12 (m, 3 H), 5.18 (t, *J* = 6.7 Hz, 1 H), 4.20 (s, 2 H), 3.33 (d, *J* = 6.7 Hz, 2 H), 1.75 (s, 6 H).





**2-iodo-***N***-(2-methylbut-3-yn-2-yl)aniline (187a).** To a solution of 2iodoaniline (200 mg, 0.91 mmol, 1 eq) in THF (9 mL) was added CuCl (18 mg, 0.1826 mmol, 20 mol%) at 25°C and allowed to stir for 5 min. **162** (125  $\mu$ L, 1.09 mmol, 1.2 eq) was added to the mixture over a period of 5 min., and allowed to stir for an additional 10 min. The reaction mixture was cooled to 0°C at which time TEA (110  $\mu$ L, 1.09 mmol, 1.2 eq) was added over a period of 5 min. Warming to 25°C, the reaction mixture was allowed to stir for 18 h. The mixture was filtered through a small plug of silica gel and concentrated under reduced pressure. Purification by flash chromatography (EtOAc / hex., 1 : 10) provided **187a** (229 mg, 88%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.70 (d, *J* = 7.9 Hz, 1 H), 7.35 (d, *J* = 9.6 Hz, 1 H), 7.26 (t, *J* = 7 Hz, 1 H), 6.52 (t, *J* = 7 Hz, 1 H), 4.30 (bs, 1 H), 2.43 (s, 1 H), 1.70 (s, 6 H).





*tert*-butyl 7-(3-methylbut-2-en-1-yl)-1*H*-indole-1-carboxylate (192). To a solution of 156 (500 mg, 2.69 mmol, 1 eq) in CH<sub>2</sub>Cl<sub>2</sub> (25 mL) was added consecutively (Boc)<sub>2</sub>O (884 mg, 4.04 mmol, 1.5 eq), DMAP (65 mg, 0.53 mmol, 0.2 eq) and TEA (455  $\mu$ L, 3.23 mmol, 1.2 eq) and allowed to stir for 18 h. 1 M HCI (20 mL) was added to the reaction mixture followed by dilution with CH<sub>2</sub>Cl<sub>2</sub> (25 mL) and extracted. The organic layer was washed with H<sub>2</sub>O (3 x 25 mL), brine (2 x 25 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided crude **192**, which upon purification by flash chromatography (EtOAc / hex., 1 : 20) provided **192** (538 mg, 70%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.50 (d, *J* = 3.8 Hz, 1 H), 7.39 (d, *J* = 3.6 Hz, 1 H), 7.15 (m, 2 H), 6.53 (d, *J* = 3.8 Hz, 1 H), 5.25 (t, *J* = 6.8 Hz, 1 H), 3.78 (d, *J* = 6.8 Hz, 2 H), 1.72 (s, 6 H), 1.63 (s, 9 H).





tert-butyl 7-(1-hydroxy-3-methylbut-2-en-1-yl)indoline-1-carboxylate (194). To a solution of 192 (500 mg, 1.75 mmol, 1 eq) in THF (2 mL) was added (*i*-PrO)<sub>3</sub>B (610 µL, 2.62 mmol, 1.5 eq) and cooled to 0°C. Freshly prepared LDA (245 mg, 2.27 mmol, 1.3 eq) was added dropwise over a period of 1 h. The reaction mixture was stirred at 0°C for an additional 30 min., at which time 1 M HCI (3.6 mL) was added to the mixture and allowed to stir for 5 min. The water was removed and the organic layer was washed with H<sub>2</sub>O (4 mL). Removal of the H<sub>2</sub>O followed by dilution of the organic layer with acetone (4 mL) and H<sub>2</sub>O (4 mL). Solid NaOH (105 mg, 2.62 mmol, 1.5 eg) and NaHCO<sub>3</sub> (1.2 g, 14.01 mmol, 8 eq) were added to the mixture and cooled to 0°C, and Oxone<sup>™</sup> (1.06 g, 1.73 mmol, 0.99 eq) was added in one protion. The reaction mixture was allowed to stir at 0°C for 30 min., upon which time Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (5 mL) was added. The mixture was partitioned in EtOAc and the organic layer was dried with Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provide crude **194**. Purification by flash chromatography (EtOAc / hex., 1 : 10) provided **194** (422mg, 80%). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 7.35 (d, J = 6.5 Hz, 1 H) 7.12-7.05 (m, 2 H), 5.67 (d, J = 7.9 Hz, 1 H), 5.47 (d, J = 7.9 Hz, 1 H), 4.44 (bs, 1 H), 4.28 (t, J = 8.5 Hz, 1 H), 3.78 (dd,

J = 10.1, 8.7 Hz, 1 H), 3.18-3.02 (m, 1 H), 2.79 (dd, J = 10.1, 8.7 Hz, 1 H), 1.71 (s, 3 H), 1.54 (s, 12 H).





**2-(2-bromopropan-2-yl)-1***H***-[1,3]oxazepino[5,4,3-***hi***]indol-4(2***H***)-one (195).** To a solution of **156** (40 mg, 0.21 mmol, 1 eq) in *t*-BuOH (1.15 mL) and H<sub>2</sub>O (350 µL) was added solid NBS (42 mg, 0.23 mmol, 1.1 eq) in one portion. The reaction mixture was allowed to stir at 25°C for 8 h., at which time sat. Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (4 mL) was added. The mixture was diluted with EtOAc (10 mL) and separated. The organic layer was washed with 1 M HCl (3 x 5 mL), H<sub>2</sub>O (3 x 5 mL), brine and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided crude product. Purification by flash chromatography (EtOAc / hex., 1 : 20) afforded **195** (22 mg, 40%). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.74 (d, *J* = 3.8 Hz, 1 H), 7.47 (d, *J* = 7.7 Hz, 1 H), 7.22 (t, *J* = 7.7 Hz, 1 H), 7.13 (d, *J* = 7.4 Hz, 1 H), 6.69 (d, *J* = 3.8 Hz, 1 H), 4.74 (d, *J* = 9.4 Hz, 1 H), 3.64 (d, *J* = 16.8 Hz, 1 H), 3.46 (dd, *J* = 9.4, 16.8 Hz, 1 H), 1.99 (s, 3 H), 1.93 (s, 3 H).





**7-(3-methoxy-3-methylbutyl)indoline (156b).** To a solution of **156a** (2.48 g, 13.24 mmol, 1 eq) in MeOH (75 mL) was added CSA (15 g, 66.21 mmol, 5 eq) and heated to reflux for 48 h. The reaction was cooled to room temperature and sat. NaHCO<sub>3</sub> (30 mL) was added. The mixture was extracted with EtOAc (3 x 25 mL), the organic layers were combined and washed with H<sub>2</sub>O (2 x 20 mL), brine (20 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided crude **156b**. Purification by flash chromatography (EtOAc / hex., 1 : 10–1 : 5) afforded pure **156b** (1.86 g, 65%). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.00 (d, *J* = 7.2 Hz, 1 H), 6.88 (d, *J* = 7.6 Hz, 1 H), 6.69 (t, *J* = 7.6 Hz, 1H), 3.78 (bs, 1 H), 3.58 (t, *J* = 8.4 Hz, 2 H), 3.24 (s, 3 H), 3.06 (t, *J* = 8.4 Hz, 2 H), 2.50 (m, 2 H), 1.77 (m, 2 H), 1.23 (s, 6 H).





**7-(3-methoxy-3-methylbutyl)-1***H***-indole (196).** To a solution of **156b** (2.8 g, 12.76 mmol, 1 eq) in toluene (130 mL) was added MnO<sub>2</sub> (11 g, 127.66 mmol, 10 eq). The reaction mixture was heated to reflux for 18 h., upon which it was filtered through a pad of Celite and concentrated under reduced pressure. Purification by flash chromatography (EtOAc / hex., 1 : 5) provided **196** (1.37 g, 50%) as a brown orange solid. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.95 (bs, 1 H), 7.53 (d, *J* = 7.3 Hz, 1 H), 7.22 (t, *J* = 2.8 Hz, 1 H), 7.10-7.00 (m, 2 H), 6.58 (t, *J* = 2.8 Hz, 1 H), 3.36 (s, 3 H), 2.94 (m, 2 H), 1.94 (m, 2 H), 1.28 (s, 6 H).





**7-(3-methoxy-3-methylbutyl)indolin-2-one (197).** To a solution of **196** (151 mg, 0.69 mmol, 1 eq) in *t*-BuOH (6.37 mL) and H<sub>2</sub>O (630 µL) was added solid NBS (148 mg, 0.83 mmol, 1.2 eq) in one portion. The reaction was covered in foil and allowed to stir for 18 h. After the allotted time Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (5 mL) was added and the mixture was extracted with EtOAc (3 x 10 mL). The combined organic layers were washed with H<sub>2</sub>O (3 x 10 mL), brine (20 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concetration under reduced pressure provided crude **197**. Purification by flash chromatography (EtOAc / hex., 1 : 5–9 : 20) to afford **197** (97 mg, 60%) as a yellow orange solid. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.48 (bs, 1 H), 7.04 (d, *J* = 7.1 Hz, 1 H), 6.98 (d, *J* = 7.6 Hz, 1 H), 6.90 (t, *J* = 7.6 Hz, 1 H), 3.51 (s, 2 H), 3.30 (s, 3 H), 2.60 (m, 2 H), 1.73 (m, 2 H), 1.20 (s, 6 H).





**7-(3-methylbut-2-en-1-yl)indolin-2-one (184).** To a solution of **197** (50 mg, 0.21 mmol, 1 eq) in toluene (2 mL) was added Amberlyst 15 (50 mg, 1 weight eq) and refluxed for 3 h. The mixture was cooled to room temperature, filtered and concentrated under reduced pressure to afford **184** (41 mg, 95%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.21 (bs, 1 H), 7.05 (d, *J* = 12.4 Hz, 1 H), 6.95 (t, *J* = 7.5 Hz, 1 H), 5.26 (t, *J* = 7.1 Hz, 1 H), 3.53 (s, 2 H), 3.29 (d, *J* = 7.1 Hz, 2 H), 1.75 (s, 6 H).





## *tert*-butyl 2-methoxy-2-(2-methylbut-3-en-2-yl)indoline-1-carboxylate (199). To a solution of NaH (4 mg, 0.16 mmol, 1 eq, 60%) in THF (2 mL) was added 179 (50 mg, 0.16 mmol, 1 eq) in THF (1 mL) at 0°C. The reaction was warmed to room temperature and allowed to stir for 10 min. MeI (10 mL, 0.17 mmol, 1.05 eq) was added to the reaction mixture and allowed to stir for 18 h. Sat. NH<sub>4</sub>Cl (3 mL) was added and the mixture was extracted with Et<sub>2</sub>O (2 x 5 mL). The organics were washed with H<sub>2</sub>O (2 x 5 mL), brine (5 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided crude 199. Purification by flash chromatography (EtOAc / hex., 1 : 5) afforded 199 (69 mg, 75%) as a white solid.


ethyl(3-methylbut-2-en-1-yl)sulfane (201). Na<sup>o</sup> (1.96 g, 85.34 mmol, 1.05 eq) was added slowly to MeOH (40 mL) at 0°C and allowed to stir until all Na<sup>o</sup> was dissolved. EtSH (6.1 mL, 82.90 mmol, 1.02 eq) was added to the solution drop wise over a period of 2 h. A mixture of **205** and **206** (8.5 g, 81.27 mmol, 1 eq) was added to the reaction mixture at a drop wise pace, upon addition the reaction was allowed to stir for 30 min. The mixture was poured into a separation funnel containing H<sub>2</sub>O (50 mL) and extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 x 15 mL). The organic layers were combined and concentrated under reduced pressure to afford **201** (8.4 g, 80%) as a pale yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  5.20 (t, *J* = 7.5 Hz, 1 H), 3.09 (d, *J* = 7.5 Hz, 2 H), 2.44 (q, *J* = 7.4 Hz, 2 H), 1.70 (s, 3 H), 1.62 (s, 3 H), 1.20 (t, *J* = 7.3 Hz, 3 H).





## 3-(ethylthio)-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1H-

**indole (207).** [A] To a solution of **201** (281 mg, 1.61 mmol, 1.5 eq) in  $CH_2CI_2$  (10 mL) at -30°C was added NCS (216 mg, 1.61 mmol, 1.5 eq), and allowed to stir at -30°C for 45 min. **156** (200 mg, 1.07 mmol, 1 eq) in  $CH_2CI_2$  (1 mL) was then added to the reaction mixture at -30°C and stirring continued for an additional 30 min. The mixture was then warmed to 30°C over a period of 1 h., where it remained for an additional 2 h. After cooling to room temperature,  $H_2O$  (5 mL) was added and the two phases separated. The organic layer was washed with 1 M HCl (2 x 10 mL),  $H_2O$  (3 x 10 mL), brine (10 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided crude **207**. Purification by flash chromatography (EtOAc / hex., 1 : 20) afforded **207** (145 mg, 43%) as a yellow oil.

[B] To a solution of **156** (100 mg, 0.53 mmol, 1 eq) and **201** (102 mg, 0.58 mmol, 1.1 eq) in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) at -78°C was added *t*-BuOCl (66  $\mu$ L, 0.58 mmol, 1.1 eq). The reaction mixture was allowed to stir at -78°C for 1 h., at which point it was allowed to warm to room temperature where it remained for 18 h. H<sub>2</sub>O (5 mL) was added and the two phases separated. The organic layer was washed with 1 M HCl (2 x 10 mL), H<sub>2</sub>O (3 x 10 mL), brine (10 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure

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provided crude **207**. Purification by flash chromatography (EtOAc / hex., 1 : 20) afforded **207** (109 mg, 65%) as a yellow oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.15 (bs, 1 H), 7.49 (d, *J* = 7.9 Hz, 1 H), 6.99 (t, *J* = 7.4 Hz, 1 H), 6.87 (d, *J* = 7.4 Hz, 1 H), 6.12 (dd, *J* = 10.3, 17.7 Hz, 1 H), 5.34 (t, *J* = 7.2 Hz, 1 H), 6.12 (dd, *J* = 10.3, 17.7 Hz, 2 H), 3.46 (d, *J* = 7.2 Hz, 2 H), 2.66 (q, *J* = 7.5 Hz, 2 H), 1.77 (s, 3 H), 1.71 (s, 3 H), 1.59 (s, 6 H), 1.16 (t, *J* = 7.5 Hz, 3 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  146.5, 145.5, 133.1, 132.9, 132.1, 123.3, 122.7, 121.6, 120.2, 117.0, 112.8, 101.1, 39.5, 31.1, 30.7, 27.2, 25.6, 17.8, 14.9. HRMS (ESI\_APCI) calcd for C<sub>20</sub>H<sub>27</sub>NS [M + H] 314.1937, found 314.1944.





**3-(ethylthio)-7-(3-methylbut-2-en-1-yl)-2-(***tert***-pentyl)-1***H***-indole (208).** To a solution of **207** (50 mg, 0.15 mmol, 1 eq) in EtOH (1.5 mL) was added Raney Ni<sup>o</sup> (50 mg, 1 weight eq) at room temperature. The solution was allowed to stir for 30 min., upon which it was filtered through a pad of Celite and concentrated to give **208** (42 mg, 85%). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.26 (bs, 1 H), 7.60 (d, *J* = 7.8 Hz, 1 H), 7.11 (t, *J* = 7.3 Hz, 1 H), 7.00 (d, *J* = 7.3 Hz, 1 H), 5.48 (t, *J* = 7.3 Hz, 1 H), 3.60 (d, *J* = 7.3 Hz, 2 H), 2.76 (q, *J* = 7.5 Hz, 2 H), 1.96 (q, *J* = 7.5 Hz, 2 H), 1.90 (s, 3 H), 1.83 (s, 3 H), 1.52 (s, 6 H), 1.26 (t, *J* = 7.5 Hz, 3 H), 0.77 (t, *J* = 7.5 Hz, 3 H).





**7-(3-methylbut-2-en-1-yl)-2-(***tert***-pentyl)-1***H***-indole (209).** To a solution of **207** (50 mg, 0.15 mmol, 1 eq) in THF (2 mL) was added Raney Ni<sup>o</sup> (50 mg, 1 weight eq) at room temperature. The solution was allowed to stir for 45 min., upon which it was filtered through a pad of Celite and concentrated to give **208** (37 mg, 75%). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.00 (bs, 1 H), 7.43 (d, *J* = 7.6 Hz, 1 H), 7.03 (t, *J* = 7.6 Hz, 1 H), 6.94 (d, *J* = 7.0 Hz, 1 H), 6.27 (dd, *J* = 2.1, 6.1 Hz, 1 H), 5.49 (t, *J* = 7.4 Hz, 1 H), 3.60 (d, *J* = 7.4 Hz, 2 H), 1.91 (s, 3 H), 1.83 (s, 3 H), 1.67 (q, *J* = 7.5 Hz, 2 H), 1.36 (s, 6 H), 0.82 (t, *J* = 7.5 Hz, 3 H).





7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)indoline (210). To a solution of 207 (951 mg, 3.03 mmol, 1 eq) in THF (15 mL) and TFA (15 mL) at 0°C was added drop wise BH<sub>3</sub>•Me<sub>2</sub>S (910 µL, 9.10 mmol, 3 eq). The reaction mixture was stirred at 0°C for 30 min., at which time H<sub>2</sub>O (25 mL) was added. The mixture concentrated under reduced pressure and azeotroped with toluene (3 x 40 mL). The residue was diluted with EtOAc (25 mL) and washed with 1 M NaOH (3 x 10 mL), H<sub>2</sub>O (3 x 10 mL), brine (20 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided crude **210** as a brown oil. Purification by flash chromatography (EtOAc / hex., 1 : 10–1 : 5) afforded **210** (542 mg, 70%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  6.98 (d, J = 7.1 Hz, 1 H), 6.89 (d, J = 7.4 Hz, 1 H), 6.69 (t, J = 7.4 Hz, 1 H), 5.89 (dd, J = 11.2, 17.1 Hz, 1 H), 5.30 (t, J = 7.2 Hz, 1 H), 5.08 (dd, J = 11.2, 17.1 Hz, 2 H), 3.90 (bs, 1 H), 3.77 (t, J = 8.9 Hz, 1 H), 3.23 (d, J = 7.2 Hz, 2 H), 3.10-2.88 (m, 2 H), 1.83 (s, 6 H), 1.09 (s, 3 H), 1.06 (s, 3 H).





**7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1***H***-indole (155).** [A] To a solution of **210** (150 mg, 0.58 mmol, 1 eq) in toluene (5 mL) was added MnO<sub>2</sub> (460 mg, 5.28 mmol, 9 eq) at room temperature. The reaction mixture was heated to reflux for a period of 18 h. Upon cooling the mixture was filtered through a pad of Celite and concentrated under reduced pressure. The residue was purified by flash chromatography (EtOAc / hex., 1 : 5) to provide **155** (145 mg, 98%) as a yellow oil.

[B] To a solution of BF<sub>3</sub>•Et<sub>2</sub>O (185 µL, 1.47 mmol, 1 eq) in CH<sub>2</sub>Cl<sub>2</sub> (15 mL) at 0°C was added EtSH (545 µL, 7.35 mmol, 5 eq). The reaction stirred for 5 min. at 0°C at which time a solution of **207** (461 mg, 1.47 mmol, 1 eq) in CH<sub>2</sub>Cl<sub>2</sub> (3 mL) was added to the mixture. Upon warming to room temperature the mixture continued to stir for 18 h. The mixture was then poured in to a cold solution of sat. NaHCO<sub>3</sub> (15 mL) and allowed to stir for 15 min. The solution was diluted with CH<sub>2</sub>Cl<sub>2</sub> (20 mL) and separated. The organic layer was washed with 1 M NaOH (3 x 10 mL), H<sub>2</sub>O (3 x 10 mL), brine (20 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration through a pad of Celite and concentration under reduced pressure provided crude **155**. Purification by flash chromatography (CH<sub>2</sub>Cl<sub>2</sub> / hex., 1 : 50–3 : 100) provided **155** (353 mg, 95%) as a yellow oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.85 (bs, 1 H), 7.32 (d, *J* = 7.7 Hz, 1 H), 6.92 (t, *J* =

7.3 Hz, 1 H), 6.83 (d, J = 7.3 Hz, 1 H), 6.21 (s, 1 H), 5.92 (dd, J = 10.7, 17.3 Hz, 1 H), 5.34 (t, J = 7.3 Hz, 1 H), 5.01 (dd, J = 10.7, 17.3 Hz, 2 H), 3.46 (d, J = 7.3 Hz, 2 H), 1.77 (s, 3 H), 1.70 (s, 3 H), 1.39 (s, 6 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  146.1, 145.1, 135.1, 132.6, 128.4, 123.0, 122.7, 120.9, 119.6, 118.1, 111.8, 97.9, 38.1, 31.1, 27.3, 25.6, 17.8. HRMS (ESI\_APCI) calcd for C<sub>18</sub>H<sub>23</sub>N [M + H] 254.1903, found 254.1907.





7-(3-(ethylthio)-3-methylbutyl)-2-(2-methylbut-3-en-2-yl)-1H-indole (221). To a solution of AlCl<sub>3</sub> (12 mL, 0.095 mmol, 1.5 eq) in CH<sub>2</sub>Cl<sub>2</sub> (200  $\mu$ L) at 0°C was added EtSH (25 µL, 0.31 mmol, 5 eq). The reaction stirred for 5 min. at  $0^{\circ}$ C at which time a solution of **207** (20 mg, 0.063 mmol, 1 eq) in CH<sub>2</sub>Cl<sub>2</sub> (100 µL) was added to the mixture. Stirring continued at 0°C for 5 h. The mixture was then poured in to a cold solution of sat. NaHCO<sub>3</sub> (5 mL) and allowed to stir for 15 min. The solution was diluted with  $CH_2CI_2$  (10 mL) and separated. The organic layer was washed with 1 M NaOH (3 x 5 mL), H<sub>2</sub>O (3 x 5 mL), brine (10 mL) and dried over  $Na_2SO_4$ . Filtration through a pad of Celite and concentration under reduced pressure provided the crude residue. Purification by flash chromatography (CH<sub>2</sub>Cl<sub>2</sub> / hex., 1 : 50–3 : 100) provided **221** (19 mg, 98%) as a clear oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.14 (bs, 1 H), 7.39 (d, J = 7.6 Hz, 1 H), 6.96 (t, J = 7.2 Hz, 1 H), 6.91 (d, J = 7.2 Hz, 1 H), 6.31 (s, 1 H), 6.01 (dd, J = 10.6, 17.4 Hz, 1 H), 5.09 (dd, J = 10.6, 17.4 Hz, 2 H), 2.91 (m, 2 H), 2.57 (q, J = 7.5 Hz, 2 H), 1.86 (m, 2 H), 1.49 (s, 6 H), 1.37 (s, 6 H), 1.28 (t, J = 7.5 Hz, 3 H).





N,N-dimethyl-1-(7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1Hindol-3-yl)methanamine (222). To a solution of Me<sub>2</sub>NH (81 µL, 0.64 mmol, 1.05 eq, 40% aqueous) in AcOH (1 mL) was added H<sub>2</sub>CO (48  $\mu$ L, 0.64 mmol, 1.05 eq, 37% aqueous) at room temperature. The mixture stirred at room temperature for 30 min. at which time it was added to a solution of 155 (155 mg, 0.61 mmol, 1 eg) in AcOH (1 mL). The mixture continued to stir for 8 h., upon which it was basified to a pH of 10 with 2 M NaOH (3 mL). The solution was extracted with Et<sub>2</sub>O (3 x 10 mL), and the organic layers dried with MgSO<sub>4</sub>. Filtration and concentration provided **222** (148 mg, 78%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.07 (bs, 1 H), 7.57 (d, J = 7.8 Hz, 1 H), 7.05 (t, J = 7.3 Hz, 1 H), 6.94 (d, J = 7.3 Hz, 1 H), 6.15 (dd, J = 10.6, 17.5 Hz, 1 H),5.47 (t, J = 7.3 Hz, 1 H), 5.17 (dd, J = 10.6, 17.5 Hz, 2 H), 3.63 (s, 2 H), 3.58 (d, J = 7.3 Hz, 2 H), 2.27 (s, 6 H), 1.89 (s, 3 H), 1.83 (s, 3 H), 1.60 (s, 6 H). $^{13}\text{C}$  NMR (100 MHz, CDCl\_3)  $\delta$  146.3, 140.6, 133.1, 132.6, 130.4, 122.9, 122.7, 120.8, 119.3, 117.0, 111.6, 108.8, 54.0, 45.3, 39.3, 31.2, 27.0, 25.6, 17.8. HRMS (ESI/APCI+), calcd for  $C_{19}H_{23}N$  (M - N(CH<sub>3</sub>)<sub>2</sub>) 266.1903, found 266.1912.





2-amino-3-(7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1Hethyl indol-3-yl)propanoate (153). To a solution of 222 (92 mg, 0.29 mmol, 1 eq) in CH<sub>3</sub>CN (3 mL) was added **101** (87 mg, 0.32 mmol, 1.1 eq) and Bu<sub>3</sub>P (16  $\mu$ L, 0.11 mmol, 0.4 eq). The reaction mixture was taken to reflux where it remained for 24 h. Upon cooling to room temperature 1 M HCI (2 mL) was added and allowed to stir for 3 h. The crude mixture was concentrated under reduced pressure and purified by flash chromatography (MeOH / CH<sub>2</sub>Cl<sub>2</sub>, 1 : 20) to provide **153** (89 mg, 82%) as a white foam. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.99 (bs, 1 H), 7.40 (d, J = 7.8 Hz, 1 H), 6.99 (t, J = 7.8 Hz, 1 H), 6.90 (d, J = 7.1 Hz, 1 H), 6.08 (dd, J = 10.6, 17.5 Hz, 1 H), 5.40 (t, J = 7.3 Hz, 1 H), 5.12 (dd, J = 10.6, 17.5 Hz, 2 H), 4.16-4.07 (m, 2 H), 3.81 (dd, J = 4.8, 9.8 Hz, 1 H), 3.52 (d, J = 7.3 Hz, 2 H), 3.28 (dd, J = 4.8, 9.8 Hz, 1 H), 2.99 (dd, J = 4.8, 9.8 Hz, 1 H), 1.84 (s, 3 H), 1.77 (s, 3 H), 1.53 (s, 6 H), 1.18 (t, J = 7.1 Hz, 3 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 175.4, 146.0, 139.9, 133.4, 132.7, 129.7, 122.9, 122.8, 121.2, 119.5, 116.6, 111.8, 107.1, 60.7, 55.9, 39.1, 31.2, 31.1, 27.8, 27.7, 25.6, 17.8, 14.0. HRMS (ESI\_APCI) calcd for C<sub>23</sub>H<sub>32</sub>N<sub>2</sub>O<sub>2</sub> [M + H] 369.2537, found 369.2542.





**4-oxopentanal (160).** To a solution of PCC (16.2 g, 73.43 mmol, 1.5 eq) and silica gel (25 g) in CH<sub>2</sub>Cl<sub>2</sub> (150 mL) was added **228** (5 g, 48.95 mmol, 1 eq) drop wise. The solution was allowed to stir for 16 h. at which time it was filtered through a plug of silica gel and concentrated under reduced pressure. The crude aldehyde was purified by flash chromatography (EtOAc / hex., 1 : 5-2:5) to give **160** (3.81 g, 65%) as a clear oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  9.69 (s, 1 H), 2.66 (s, 4 H), 2.11 (s, 3 H).





## (3*S*,5*S*,6*R*)-benzyl

## 3-(1-hydroxy-4-oxopentyl)-2-oxo-5,6-

diphenylmorpholine-4-carboxylate (230). To a solution of 159 (1.34 g, 3.45 mmol, 1 eq) in CH<sub>2</sub>Cl<sub>2</sub> at -5°C was added drop wise *n*-Bu<sub>2</sub>BOTf (6.9 mL, 6.90 mmol, 2 eq) followed by drop wise addition of TEA (1.45 mL, 10.36 mmol, 3 eq). The reaction mixture was stirred for 1 h. at -5°C. Cooling the reaction mixture to -78°C prepared for drop wise addition of 160 (415 mg, 4.14 mmol, 1.2 eq) in CH<sub>2</sub>Cl<sub>2</sub> (5 mL). The mixture stirred at -78°C for 1 h. At -78°C pH 7 phosphate buffer (25 mL) was added and the reaction was slowly warmed to room temperature. The aqueous layer was separated and extracted with EtOAc (3 x 15 mL). The organics were combined and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure afforded the crude mixture. Purification by flash chromatography (EtOAc / hex., 1:5-2 : 5) provided **230** (1.0 g, 60%) as a white solid. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 7.23-7.07 (m, 14 H), 6.94 (d, J = 9.1 Hz, 1 H), 6.52 (d, J = 9.1 Hz, 1 H), 5.09 (m, 2 H), 4.26 (m, 1 H), 4.07 (d, J = 3.7 Hz, 1 H), 3.02-2.95 (m, 1 H), 2.78-2.67 (m, 1 H), 2.42 (m, 1 H), 2.24 (s, 3 H), 1.94 (m, 1 H).





(3R,4S,6S,9S,9aS)-9-hydroxy-6-methyl-3,4-diphenylhexahydropyrido[2,1c][1,4]oxazin-1(6H)-one (231) and (3R,4S,6R,9S,9aS)-9-hydroxy-6-methyl-3,4-diphenylhexahydropyrido[2,1-c][1,4]oxazin-1(6H)-one (232). To a solution of 230 (4.3 g, 8.81 mmol, 1 eq) in THF (90 mL) was added 10% Pd/C (430 mg, 10 weight %) followed by a balloon of H<sub>2</sub>. The reaction was stirred at room temperature for 18 h. The H<sub>2</sub> balloon was removed and the reaction mixture was purged with argon for 5 min., after which time it was filtered through a pad of Celite. Concentration under reduced pressure afforded the crude mixture which was purified by flash chromatography (EtOAc / hex., 1 : 10-3 : 20) to provide 231 (1.28 g, 43%) and 232 (650 mg, 22%) as white solids. (231) <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 7.21-7.17 (m, 8 H), 7.05-7.02 (m, 2 H), 6.05 (d, J = 3.8 Hz, 1 H), 5.00 (s, 1 H), 4.53 (d, J = 3.8 Hz, 1 H), 3.96-3.88 (m, 1 H), 3.28 (d, J = 8.8 Hz, 1 H), 2.26-2.13 (m, 1 H), 2.11-2.04 (m, 1 H), 1.71-1.64 (m, 1 H), 1.51-1.38 (m, 1 H), 1.29 (d, J = 6.0 Hz, 3 H), 1.27-1.25 (m, 1 H). (232) <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 7.17-7.12 (m, 6 H), 7.05-7.03 (m, 2 H), 6.92-6.89 (m, 2 H), 5.94 (d, J = 3.7 Hz, 1 H), 4.39 (s, 1 H), 4.19 (d, J = 3.7 Hz, 1 H), 3.98 (s, 1 H), 3.96-3.93 (m, 1 H), 3.31-3.26 (m, 1 H), 2.05-1.99 (m, 1 H), 1.92-1.86 (m, 1H), 1.78-1.69 (m, 1 H), 1.45-1.39 (m, 1 H), 0.67 (d, J = 6.7 Hz, 3 H).





(*S*)-2-methylpiperidine-L-tartrate (237). To a solution of L-tartaric acid (200 g, 1.30 mmol, 1 eq) in H<sub>2</sub>O (133 mL), cooled in an ice bath, was added (±)-2-methylpiperidine (156g, 1.30 mmol, 1 eq) in portions, keeping the temperature below 30°C. The solution was concentrated under reduced pressure to give a thick syrup. The residue was dissolved in H<sub>2</sub>O (135 mL) heating with a heat gun to dissolve and then cooled in an ice bath. The solid was collected by filtration and washed with cold H<sub>2</sub>O (100 mL). The precipitate was dissolved in H<sub>2</sub>O (80 mL) and cooled in an ice bath. The solid was collected by filtration and recrystallized from H<sub>2</sub>O (30 mL). The solid was collected by filtration and dried under reduced pressure to afford **237** (200 g, 48%) as a white crystalline solid. <sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>)  $\delta$  3.59 (m, 1 H), 3.52 (m, 1 H), 3.30 (d, *J* = 7.9 Hz, 1 H), 3.15 (m, 1 H), 2.90 (t, *J* = 7.9 Hz, 1 H), 1.88-1.75 (m, 3 H), 1.70-1.32 (m, 3 H), 1.19 (d, *J* = 6.3 Hz, 3 H).



(*S*)-*tert*-butyl 2-methylpiperidine-1-carboxylate (238). To a solution of 237 (13 g, 45.56 mmol, 1 eq) in aqueous NaOH (40 mL, 10%) at 0°C was added a solution of (Boc)<sub>2</sub>O (57.7 g, 264.29 mmol, 5.8 eq) in THF (35 mL). The reaction mixture was warmed to room temperature where it stirred for 18 h. Sat. NH<sub>4</sub>OH (400 mL, 30%) was added to the reaction mixture slowly, and continued to stir for 2 h. The mixture was diluted with EtOAc (500 mL) and separated. The aqueous phase was extracted with EtOAc (2 x 100 mL) and the organics were combined and washed with 1 M HCI (200 mL), H<sub>2</sub>O (2 x 200 mL), brine (200 mL) and dried over MgSO<sub>4</sub>. Filtration and concentration under reduced pressure afforded the crude residue. Purification by flash chromatography (EtOAc / hex., 7 : 100) provided **238** (8.2 g, 89%) as a clear oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  4.35-4.31 (m, 1 H), 3.85 (dd, *J* = 4.0, 9.5 Hz, 1 H), 2.76 (td, *J* = 2.9, 10.4 Hz, 1 H), 1.59-1.45 (m, 5 H), 1.42 (s, 9 H), 1.35-1.28 (m, 1 H), 1.07 (d, *J* = 7.0 Hz, 3 H).





(2R,6S)-1-tert-butyl 2-methyl 6-methylpiperidine-1,2-dicarboxylate (239). To a solution of 238 (1 g, 5.01 mmol, 1 eq) and TMEDA (822 µL, 5.51 mmol, 1.1 eq) in Et<sub>2</sub>O (50 mL) at -65°C was added drop wise s-BuLi (3.95 mL, 5.51 mmol, 1.1 eq). The reaction was warmed to -20°C where it remained for 30 min. The mixture was then cooled to -78°C and CH<sub>3</sub>O<sub>2</sub>CCI (970 µL, 12.54 mmol, 2.5 eq) was added drop wise. The reaction mixture was warmed to room temperature where it remained for 3 h. The mixture was partitioned between H<sub>2</sub>O (20 mL) and Et<sub>2</sub>O (20 mL). The aqueous layer was extracted with Et<sub>2</sub>O (3 x 10 mL). The organics were combined and washed with brine (2 x 20 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided the crude mixture. Purification by flash chromatography (EtOAc / hex., 1:50-1:10) afforded 239 (900 mg, 69%) as a clear oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  4.13-4.09 (m, 1 H), 4.03-3.99 (m, 1 H), 3.61 (s, 3 H), 1.91-1.83 (m, 1 H), 1.79-1.67 (m, 2 H), 1.53-1.44 (m, 3 H), 1.33 (s, 9 H), 1.05 (d, *J* = 6.7 Hz, 3 H).





(6*S*)-1-*tert*-butyl 2-methyl 6-methyl-2-(phenylthio)piperidine-1,2dicarboxylate (240). To a solution of 239 (200 mg, 0.77 mmol, 1 eq) in THF (7 mL) at -78°C was added LiHMDS (893 µL, 0.89 mmol, 1.15 eq). The reaction mixture was stirred at -78°C for 30 min. A solution of PhS-SPh (186 mg, 0.85 mmol, 1.1 eq) in THF (2 mL) was added to the reaction mixture at -78°C. The reaction continued stirring at -78°C for 10 min., at which time it was allowed to warm to room temperature. The mixture was concentrated under reduced pressure and purified by flash chromatography (EtOAc / hex., 1 : 20) to provide 240 (127 mg, 45%) as a yellow oil. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.82 (m, 1 H), 7.74-7.71 (m, 2 H), 4.74 (m, 1 H), 3.66 (s, 3 H), 1.63-1.49 (m, 6 H), 1.43 (s, 9 H), 1.05 (d, *J* = 6.9 Hz, 3 H).





(6S)-1-(*tert*-butoxycarbonyl)-6-methylpiperidine-2-carboxylic acid (246). [A] To a solution of **239** (500 mg, 1.94 mmol, 1 eq) in THF (10 mL) was added an aqueous 1 M LiOH (10 mL) at 0°C. The reaction mixture was warmed to room temperature and allowed to stir for 5 h. The mixture was acidified with 3 M HCl (5 mL) and extracted with CH<sub>2</sub>Cl<sub>2</sub> (15 mL). The organic layer was extracted with 2 M NaOH (3 x 20 mL). The combined aqueous layers were acidified with 3 M HCl (100 mL) and extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 x 20 mL). The organic layers were combined and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided **246** (378 mg, 80%) as a clear oil.

[B] To a solution of **238** (1 g, 5.01 mmol, 1 eq) and TMEDA (822  $\mu$ L, 5.51 mmol, 1.1 eq) in Et<sub>2</sub>O (15 mL) at -78°C was added drop wise *s*-BuLi (4.3 mL, 6.02 mmol, 1.2 eq). The reaction was stirred at -78°C for 6 h., at which time a stream of CO<sub>2</sub> was bubbled into the reaction mixture for 1 h at -78°C. The reaction was stirred for an additional 1 h. at -78°C. 1 M HCI (20 mL) was added and the reaction was allowed to warm to room temperature. The mixture was separated and the aqueous layer was extracted with Et<sub>2</sub>O (3 X 10 mL). The organics were combined and basified with 2 M NaOH (30 mL).

(45 mL). The aqueous layer was extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 x 15 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure afforded 246 (854 mg, 70%) as a clear oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 4.15-4.12 (m, 1 H), 4.09-4.08 (m, 1 H), 1.98-1.92 (m, 1 H), 1.89-1.76 (m, 2 H), 1.59-1.52 (m, 2 H), 1.49-1.45 (m, 1 H), 1.38 (s, 9 H), 1.10 (d, *J* = 6.6 Hz, 3 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 178.7, 177.0, 80.75, 53.8, 47.4, 28.2, 27.7, 25.6, 18.7, 15.0.




(6S)-tert-butyl 2-((1-ethoxy-3-(7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3en-2-yl)-1H-indol-3-yl)-1-oxopropan-2-yl)carbamoyl)-6-methylpiperidine-1-carboxylate (247). To a solution of 153 (100 mg, 0.27 mmol, 1 eq) and **246** (70 mg, 0.28 mmol, 1.05 eq) in CH<sub>3</sub>CN (3 mL) was added consecutively HATU (130 mg, 0.33 mmol, 1.25 eg) and *i*-Pr<sub>2</sub>NEt (100 mL, 0.59 mmol, 2.2 eq) at room temperature. The reaction mixture was allowed to stir for 18 h., at which time it was concentrated under reduced pressure. Purification by flash chromatography (EtOAc / hex., 1:10-1:5) provided 247 (109 mg, 68%) as a white foam. Mixture of diastereomers. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.97 (bs, 1 H), 7.34 (t, J = 8.3 Hz, 1 H), 7.05-6.96 (m, 1 H), 6.91 (t, J = 6.3 Hz, 1 H) 6.59 (dd, J = 6.7, 52 Hz, 1 H), 6.07 (dd, J = 10.6, 17.5 Hz, 1 H), 5.34 (t, J = 7.3 Hz, 1 H), 5.15 (dd, J = 10.6, 17.5 Hz, 2 H), 4.21-4.16 (m, 1 H), 4.12-4.07 (m, 1 H), 3.99-3.91 (m, 1 H), 3.50 (d, J = 7.3 Hz, 2 H), 3.31-3.15 (m, 2 H), 1.84 (s, 3 H), 1.76 (s, 3 H), 1.54 (s, 6 H), 1.46 (s, 5 H), 1.33 (s, 4 H), 1.19 (d, J = 6.6 Hz, 3 H), 1.05 (t, J = 6.5 Hz, 3 H). HRMS (ESI/APCI) calcd for C<sub>35</sub>H<sub>51</sub>N<sub>3</sub>O<sub>5</sub> [M + H] 594.3901, found 594.3910.





(6S)-tert-butyl 2-((1-hydroxy-3-(7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1H-indol-3-yl)propan-2-yl)carbamoyl)-6-methylpiperidine-1carboxylate (249). To a solution of 247 (200 mg, 0.33 mmol, 1 eq) in THF (3 mL) was added solid LiBH<sub>4</sub> (18 mg, 0.84 mmol, 2.5 eq). The reaction mixture was stirred at room temperature for 18 h. Sat. NH<sub>4</sub>Cl (5 mL) was added and the mixture was partitioned into EtOAc (10 mL). The organic layer was separated and washed with 1 M NaOH (15 mL), H<sub>2</sub>O (3 x 10 mL), brine (10 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided the crude mixture. Purification by flash chromatography (EtOAc / hex., 3 : 10-1 : 1) afforded 249 (148 mg, 80%) as a white foam. (249a) <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.91 (bs, 1 H), 7.41 (d, J = 7.8 Hz, 1 H), 6.92 (t, J = 7.2 Hz, 1 H), 6.85 (d, J = 7.2 Hz, 1 H), 6.17 (bd, J = 7.6 Hz, 1 H), 6.02 (dd, J = 10.6, 16.6 Hz, 1 H), 5.33 (t, J = 7.4 Hz, 1 H), 5.06 (dd, J = 10.6, 16.6 Hz, 2 H), 4.20 (m, 1 H), 4.04 (m, 1 H), 3.90 (t, J = 5.8 Hz, 1 H), 3.67 (m, 1 H), 3.48 (d, J = 7.8 Hz, 3 H), 2.97 (d, J = 8.5 Hz, 2 H), 2.81 (bs, 1 H), 1.78 (s, 3 H), 1.71 (s, 3 H), 1.47 (s, 6 H), 1.37 (s, 9 H), 1.09 (d, J = 6.7 Hz, 3 H).<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) d 191.0, 173.2, 146.1, 139.6, 133.3, 132.7, 129.9,

122.9, 122.8, 121.2, 119.6, 116.4, 111.8, 107.0, 80.5, 63.7, 55.4, 53.0, 48.2, 39.1, 31.1, 28.2, 27.6, 27.5, 27.4, 26.4, 25.6, 25.0, 18.7, 17.8, 15.2. HRMS (ESI/APCI+) calcd for  $C_{33}H_{49}N_3O$  [M + H] 552.3796, found 552.3802.



(249b) <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.31 (bs, 1 H), 7.72 (d, *J* = 7.9 Hz, 1 H), 7.37 (t, *J* = 7.2 Hz, 1 H), 7.26 (d, *J* = 7.2 Hz, 1 H), 6.42 (dd, *J* = 10.6, 17.4 Hz, 2 H), 5.70 (t, *J* = 7.2 Hz, 1 H), 5.49 (dd, *J* = 10.6, 17.4 Hz, 2 H), 4.60 (m, 1 H), 4.31 (t, *J* = 5.8 Hz, 1 H), 4.20 (m, 1 H), 3.90 (bs, 1 H), 3.86 (d, *J* = 7.2 Hz, 2 H), 3.52 (bs, 1 H), 3.39 (m, 2 H), 2.19 (s, 3 H), 2.11 (s, 3 H), 1.86 (s, 3 H), 1.85 (s, 3 H), 1.83 (s, 9 H), 1.67 (m, 2 H), 1.36 (d, *J* = 6.6 Hz, 3 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  191.0, 173.9, 145.8, 139.8, 133.3, 132.7, 130.0, 123.0, 122.7, 121.3, 120.0, 115.8, 112.0, 106.6, 80.6, 55.7, 53.1, 47.5, 39.0, 31.1, 28.3, 27.6, 27.4, 26.8, 25.6, 25.5, 24.9, 18.7, 17.8, 14.3. HRMS (ESI/APCI+) calcd for C<sub>33</sub>H<sub>49</sub>N<sub>3</sub>O [M + H] 552.3796, found 552.3802.





(2*S*)-*tert*-butyl 2-methyl-6-((1-(7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3en-2-yl)-1*H*-indol-3-yl)-3-oxopropan-2-yl)carbamoyl)piperidine-1-

carboxylate (248). To a solution of 249 (74 mg, 0.13 mmol, 1 eq) in wet CH<sub>2</sub>Cl<sub>2</sub> (4 mL) was added solid DMP (63 mg, 0.14 mmol, 1.1 eg) at room temperature. The reaction mixture was stirred at room temperature for 30 min., at which time it was concentrated under reduced pressure. The residue was diluted with  $Et_2O$  (5 mL) and washed with a 1 : 1 mixture of sat.  $Na_2S_2O_3$ (3 mL) and sat. NaHCO<sub>3</sub> (3 mL), H<sub>2</sub>O (3 x 5 mL), brine (10 mL) and dried with Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure provided the crude residue. Purification by flash chromatography (EtOAc / hex., 1 : 10–3 : 10) to provide **248** (70 mg, 95%) as a seperable mixture of diastereomers (**249a, 249b**). (**249a**) <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 9.44 (s, 1 H), 7.99 (bs, 1 H), 7.41 (d, J = 7.8 Hz, 1 H), 6.98 (t, J = 7.4 Hz, 1 H), 6.88 (d, J = 6.9 Hz, 1 H), 5.99 (dd, J = 10.6, 17.4 Hz, 1 H), 5.35 (t, J = 7.4 Hz, 1 H), 5.08 (dd, J =10.6, 17.4 Hz, 2 H), 4.81 (m, 1 H), 4.20 (t, J = 5.3 Hz, 1 H), 3.99 (m, 1 H), 3.47 (d, J = 7.4 Hz, 2 H), 3.36 (dd, J = 7.3, 14.4 Hz, 1 H), 2.96 (dd, J = 9.8, 14.4 Hz, 1 H), 1.97 (m, 1 H), 1.87 (m, 1 H), 1.79 (s, 3 H), 1.72 (s, 3 H), 1.47 (d, J = 2.3 Hz, 6 H), 1.34 (s, 9 H), 1.15 (d, J = 6.7 Hz, 3 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  200.8, 191.0, 173.2, 145.5, 139.9, 133.4, 132.9, 129.4, 123.1, 122.7, 121.5, 120.1, 116.4, 112.4, 104.8, 80.4, 58.8, 55.1, 48.0, 38.9, 31.1, 28.2, 27.6, 27.4, 27.1, 26.7, 25.6, 24.0, 19.3, 17.8, 14.5. HRMS (ESI/APCI+) calcd for C<sub>33</sub>H<sub>47</sub>N<sub>3</sub>O4 [M + H] 550.3639, found 550.3638.



(248b) <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  9.48 (s, 1 H), 7.97 (bs, 1 H), 7.35 (d, *J* = 7.8 Hz, 1 H), 6.90 (t, *J* = 7.8 Hz, 1 H), 6.88 (d, *J* = 7.1 Hz, 1 H), 6.71 (bd, *J* = 5.8 Hz, 1 H), 5.99 (dd, *J* = 10.5, 17.4 Hz, 1 H), 5.32 (t, *J* = 7.4 Hz, 1 H), 5.08 (dd, *J* = 10.5, 17.4 Hz, 2 H), 4.71 (m, 1 H), 4.15 (t, *J* = 5.2 Hz, 1 H), 3.66 (m, 1 H), 3.46 (d, *J* = 7.4 Hz, 2 H), 3.26 (dd, *J* = 8.6, 14.6 Hz, 1 H), 3.05 (dd, *J* = 8.6, 14.6 Hz, 1 H), 1.91 (m, 1 H), 1.78 (s, 3 H), 1.72 (s, 3 H), 1.46 (s, 6 H), 1.39 (s, 9 H), 1.05 (d, *J* = 6.7 Hz, 3 H). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  200.6, 191.0, 173.5, 145.5, 140.0, 133.4, 132.9, 129.6, 123.1, 122.6, 121.5, 120.2, 116.1, 112.4, 104.8, 80.4, 59.0, 55.0, 47.7, 39.0, 31.1, 28.2, 27.5, 26.6, 25.6, 24.0, 19.1, 17.8, 14.2. HRMS (ESI/APCI+) calcd for C<sub>33</sub>H<sub>47</sub>N<sub>3</sub>O4 [M + H] 550.3639, found 550.3644.







(6*S*)-*N*-(1,1-dimethoxy-3-(7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2yl)-1*H*-indol-3-yl)propan-2-yl)-6-methylpiperidine-2-carboxamide (258). To a solution of 248 (11 mg, 0.02 mmol, 1 eq) in MeOH (200  $\mu$ L) was added 1 M HCl in MeOH (40  $\mu$ L, 0.04 mmol, 2 eq). The reaction was heated to reflux for 6 h. Concentration under reduced pressure afforded crude residue. Purification by preprative chromatography (EtOAc / hex., 1 : 1) to afford 258

(7 mg, 80%) as a mixture of diastereomers. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 7.95 (bs, 1 H), 7.49-7.45 (m, 1 H), 7.03-6.96 (m, 1 H), 6.90-6.88 (m, 1 H), 6.09 (dd, J = 10.6, 17.1 Hz, 1 H), 5.41-5.36 (m, 1 H), 5.22-5.13 (m, 2 H), 4.52-4.48 (m, 1 H), 3.51 (d, J = 7.3 Hz, 2 H), 3.45 (s, 3 H), 3.34 (s, 3 H), 3.23-3.03 (m, 3 H), 1.84 (s, 3 H), 1.77 (s, 3 H), 1.56 (s, 6 H), 1.35-1.28 (m, 3 H), 1.01 (d, J = 6.5 Hz, 1.5 H), 0.77 (d, J = 6.5 Hz, 1.5 H).





(6*S*)-3-((7-(3-hydroxy-3-methylbutyl)-2-(2-methylbut-3-en-2-yl)-1*H*-indol-3yl)methyl)-6-methyl-2,6,7,8,9,9a-hexahydro-1*H*-pyrido[1,2-*a*]pyrazin-1one (260). To a solution of 248 (11 mg, 0.02 mmol, 1 eq) in CH<sub>2</sub>Cl<sub>2</sub> (200 µL) was added TFA (100 µL). The reaction was allowed to stir at room temperature for 3 h. Concentration under reduced pressure afforded the crude imine. Purification by preprative chromatograph (EtOAc / hex., 2 : 5) afforded 260 (5 mg, 65%). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.03 (bs, 1 H), 7.40 (d, *J* = 7.8 Hz, 1 H), 7.02-6.91 (m, 3 H), 6.50 (bs, 1 H), 6.09 (dd, *J* = 10.6, 17.1 Hz, 1 H), 5.24-5.10 (m, 4 H), 3.63 (s, 2 H), 3.56-3.52 (m, 1 H), 3.31-3.25 (m, 1 H), 2.83-2.75 (m, 3 H), 2.34-2.28 (m, 2 H), 2.04-1.99 (m, 1 H), 1.65 (s, 6 H), 1.55 (s, 6 H), 1.09 (d, *J* = 6.8 Hz, 3 H).





(6S)-6-methyl-3-((7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1Hindol-3-yl)methyl)-2,6,7,8,9,9a-hexahydro-1*H*-pyrido[1,2-a]pyrazin-1-one (259). To a solution of 248 (11 mg, 0.02 mmol, 1 eq) and 2,6-lutidine (6  $\mu$ L, 0.05 mmol, 2.5 eq) in CH<sub>2</sub>Cl<sub>2</sub> (500  $\mu$ L) at 0°C was added TMS-OTf (15  $\mu$ L, 0.08 mmol, 4 eq). The reaction mixture was allowed to stir at 0°C for 15 min. before removing the ice bath and stirring at room temperature for 95 min. The reaction was cooled to 0°C at which time sat. NH<sub>4</sub>Cl (3 mL) was added. The reaction mixture was extracted with EtOAc (3 x 5 mL). The organics were combined and washed with sat. NaHCO<sub>3</sub> (2 x 5 mL), brine (2 x 5 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. Filtration and concentration under reduced pressure afforded crude **259**. The crude product was used in further reactions. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.05 (bs, 1 H), 7.47-7.38 (m, 1 H), 7.02-6.92 (m, 2 H), 6.56 (bs, 1 H), 6.16-6.05 (m, 1 H), 5.46-5.41 (m, 1 H), 5.24-5.15 (m, 2 H), 5.14 (s, 1 H), 3.63 (s, 2 H), 3.54 (d, J = 7.3 Hz, 2 H), 3.29-3.26 (m, 1 H), 2.04-1.97 (m, 1 H), 1.86 (s, 3 H), 1.80 (s, 3 H), 1.50 (s, 6 H), 1.09 (d, J = 6.8 Hz, 3 H).



Appendix 1:

Publications

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# STUDIES ON THE BIOSYNTHESIS OF THE STEPHACIDINS AND NOTOAMIDES. TOTAL SYNTHESIS OF NOTOAMIDE S

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**Abstract** – Notoamide S has been suggested to be the final common precursor between two different *Aspergillus* sp. fungal strains before diverging to form enantiomerically opposite natural products (+)- and (-)-stephacidin A and (+)- and (-)-notoamide B. The synthesis of notoamide S comes from the coupling of *N*-Fmoc proline with a 6-hydroxy-7-prenyl-2-reverse prenyl tryptophan derivative that was synthesized via a late stage Claisen rearrangement from a 6-propargyl-2-reverse prenylated indole.

# INTRODUCTION

The paraherquamide,<sup>1</sup> brevianamide,<sup>2</sup> stephacidin,<sup>3</sup> and notoamide<sup>4</sup> families of prenylated indoles are of great interest to chemists and biologists because of their structural complexity and their biological properties; in particular, the members of these families containing the unique bicyclo[2.2.2]diazaoctane ring system have drawn the most attention. Since the isolation of (+)-stephacidin A (**2**) in 2002 by Bristol-Myers Squibb from *Aspergillus ochraceus*,<sup>3</sup> the biosynthetic pathway of the stephacidin family of alkaloids has been of particular interest to our laboratories.<sup>5</sup> (+)-Stephacidin A was also subsequently identified as a co-metabolite with several new prenylated indole alkaloids, the notoamides, by Tsukamoto and co-workers from a marine derived *Aspergillus* sp.<sup>4</sup> Our interest in the biosynthesis of the stephacidin A (**4**), was isolated from a terrestrial fungi, *Aspergillus versicolor* that was found growing in a Hawaiian forest (Figure 1).<sup>6</sup>

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Figure 1. (+) And (-)-stephacidin A and (+) and (-)-notoamide B.

This most intriguing discovery lead to the as of yet unanswered biosynthetic question, at what point does the biosynthetic pathway of these fungi diverge to produce these two enantomerically distinct secondary metabolites? This assumes of course, that the biosynthesis of stephacidin in each respective species of the *Aspergillus* sp. are related through a common intermediate. Previous work by Sammes<sup>7</sup> and Birch,<sup>8</sup> as well as from our laboratories,<sup>9</sup> has shown that the bicyclo[2.2.2]diazaoctane ring system found in many of these natural products likely arises *via* a biosynthetic intramolecular Diels-Alder of an azadiene. One postulate concerning the enantiomeric selectivity seen in each fungus is that the facial selectivity during the key intramolecular Diels-Alder is respectively, enantioselective in the two fungi.<sup>10</sup> If the intramolecular Diels-Alder is the point of stereochemical divergence, then both fungi could potentially produce the same achiral azadiene intermediate, which in turn is believed to be produced via a common biosynthetic pathway from a mutual precursor. During our genome-based characterization experiments of the marine-derived *Aspergillus sp.* aimed at elucidation of each step of the biosynthesis, it was shown that brevianamide F (**5**) undergoes a reverse prenylation at the C2 position of the indole to give deoxybrevianamide E (**6**) by a reverse prenyl transferase NotF (Scheme 1).<sup>11</sup>



Scheme 1. Proposed biosynthesis of stephacidin A.

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After oxidation of deoxybrevianamide E at C6, it was found that a prenylation takes place at C7 via the prenyl transferase NotC giving a previously undiscovered metabolite **8**, which we have named notoamide S.<sup>11</sup> We identified notoamide S (**8**) by <sup>1</sup>H and <sup>13</sup>C NMR as a metabolite constituted of two isoprene units, tryptophan and proline residues but lacking the bicyclo[2.2.2]diazaoctane ring system and pyranyl indole ring, as the possible precursor to the achiral azadiene.<sup>11</sup> Previously, we learned that notoamide E (**9**) is not a biosynthetic precursor to stephacidin A (**2**) through labeled precursor incorporation experiments, mandating that the putative intramolecular Diels-Alder preceeds the formation of the pyran ring. This narrowed the realm of possible precursors from **7** to stephacidin, suggesting that notoamide S is potentially the penultimate metabolite leading to the achiral azadiene, which can be transformed to either (+) or (-)-stephacidin A (Scheme 2).<sup>12</sup> In order to confirm the structure of natural **8**, an authentic sample was required; herein we detail the total synthesis of notoamide S (**8**).



Scheme 2. Notoamide S and the final achiral precursor (10) leading to (+)- or (-)-stephacidin A.

# **RESULTS AND DISCUSSION**

We envisioned that, notoamide S (8) could come from the coupling of commercially available *N*-Fmoc L-proline (12) with the 6-hydroxy-7-prenyl-2-reverseprenyl tryptophan derivative (11). This tryptophan derivative can be derived from the corresponding 6-hydroxy-7-prenyl-2-reverseprenyl indole (13), which can be synthesized from the known indole  $14^{13}$  (Scheme 3).



Scheme 3. Retrosynthetic analysis of notoamide S.

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The assembly of notoamide S began from the previously reported indole 14.<sup>13</sup> The treatment of 14 with Lindlar's catalyst under an atmosphere of hydrogen resulted in partial reduction of the alkyne (Scheme 4). The propargylic ether was heated under microwave conditions in toluene to give the desired 6-hydroxy-7-prenyl-2-reverseprenyl indole 13. Indole 13 was treated with dimethyl amine and aqueous formaldehyde in acetic acid to give the desired gramine 15, however in only 20% yield.



Scheme 4. Synthesis of gramine 15.

With the poor yield of the **15** it was decided to reverse the order of steps to see if the desired gramine could be synthesized in a higher yield. Formation of gramine **16** from **14** was followed by reduction and subsequent Claisen rearrangement to give the desired gramine **15** in a 71% overall yield (Scheme 5). Somei<sup>14</sup>-Kametani,<sup>15</sup> coupling of **15** with *N*-(diphenylmethylene)glycine ethyl ester in the presence of tributylphosphine followed by deprotection of the incipient benzophenone imine gave only a 10% yield of the desired tryptophan derivative **17**. It is believed that the free phenol is problematic in this reaction due to the acidity of the phenolic proton, therefore a different approach was adopted to avoid this problem.



Scheme 5. Synthesis of tryptophan derivative 17.

With **14** being readily available on multi-gram scale, we decided to explore the use of the propargyl group as a temporary protecting group for the phenol by performing the reduction and Claisen rearrangement at

a later stage (Scheme 6). Under Somei-Kametani<sup>14,15</sup> conditions **16** can be converted to the desired tryptophan derivative which upon removal of the benzophenone imine with aqueous acid gave the free amine **18**. Coupling of **18** with *N*-Fmoc L-proline (**12**) in the presence of 2-(7-aza-1*H*-benzotriazole-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate (HATU) and diisopropylethyl amine gave the coupled product **19** in 85% yield. However, after removal of the Fmoc group in **19**, the cyclization to the diketopiperazine was also accompanied by undesired pyran formation to give the natural product notoamide E (**9**) and *epi*-notoamide E (**20**) (Scheme 6).



Scheme 6. Unanticipated formation of notoamide E and epi-notoamide E.

Although unanticipated, the adventitious synthesis of notoamide E is three steps shorter than our previously reported route starting from the same indole substrate 14.<sup>16</sup> To obviate the formation of the pyran ring it was decided to reduce the alkyne to the alkene prior to the formation of the dioxopiperazine, thus allowing for the possibility of performing the desired Claisen and dioxopiperazine formation in one operation. Reduction of 19 with Lindlar's catalyst gave the desired alkene in quantitative yield. With the full skeleton in hand all that remained was cleavage of the Fmoc group of the proline residue followed by cyclization to the dioxopiperazine ring (Scheme 7). As shown previously with the formation of the pyran ring, it was believed that the Claisen rearrangement of the reverse prenyl ether would take place during the cyclization of the dioxopiperazine. Removal of the Fmoc group proceeded smoothly in the presence of diethylamine, and upon refluxing in toluene the desired compound **8** (notoamide S) was isolated in a modest yield (18%); however, three other products were also found to be present in the mixture. These include: *epi*-notoamide S (**21**) followed by notoamide E and *epi*-notoamide E. Further investigation

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revealed that the formation of the pyran ring was occurring during the Somei-Kametani<sup>14,15</sup> reaction, and these inseparable by-products were invariably carried through the synthesis. Suppression of this undesired side reaction can be achieved by lowering the temperature of the Somei-Kametani<sup>14,15</sup> reaction below reflux. Under these conditions, notoamide S was obtained in 29% isolated yield along with **21** (20%), **9** (8%) and **20** (5%). The synthetic notoamide S exactly matched the natural metabolite obtained from the marine-derived *Aspergillus* sp. (Tsukamoto) by <sup>1</sup>H nmr and retention time on LC.<sup>11</sup>



Scheme 7. Synthesis of notoamide S from the propargyl dipeptide 19.

# CONCLUSION

The synthesis of notoamide S (8) was accomplished in seven steps from known indole 14 in 19% overall yield. Synthetic access to this potentially pivotal intermediate, will allow us to further interrogate the steps from notoamide S to the distinct natural enantiomers of stephacidin A and notoamide B. These studies are in progress and will be reported in due course.

#### EXPERIMENTAL

**General.** Unless otherwise noted, all materials were obtained from commercial sources and used without purification. All reactions were carried out under anhydrous conditions, unless otherwise specified, and performed under positive pressure of argon using flame-dried glassware. Dichloromethane, acetonitrile, toluene, and tetrahydrofuran were degassed with argon and dried through a solvent purification system (J. C. Meyer of Glass Contour). Flash chromatography was performed on standard grade silica gel (230 x 400 mesh) from Sorbent Technologies with the indicated solvent. Microwave reactions were run using a CEM Discover set to a constant temperature and allowing for variable power. <sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded on Varian 300 and 400 MHz spectrometers as indicated. Chemical shifts are reported in parts per million downfield from tetramethylsilane. Peak multiplicities are denoted s (singlet),

bs (broad singlet), d (doublet), t (triplet), m (multiplet) or by a combination of these *e.g.* dd (doublet of doublets). Infrared spectra were recorded on a Bruker Tensor 27 IR spectometer on NaCl plates. Mass spectra were obtained at the Colorado State University CIF on a Fisons VG Autospec.

**7-(3-Methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1***H***-indol-6-ol (13).** To **14** (100 mg, 0.37 mmol, 1 eq) in MeOH (3 mL) was added Lindlar's catalyst (10 mg, 10 wt %) at rt followed by purging with a balloon of hydrogen gas. The reaction was vigorously stirred for 30 min. The reaction was monitored by NMR for loss of alkyne proton. The reaction was then filtered through a plug of Celite and concentrated under reduced pressure to give a crude yellow oil. The resultant crude yellow oil was then taken up in toluene (3 mL) and the reaction was heated to 150 °C in a microwave reactor for 30 min. The reaction was then concentrated under reduced pressure to give the crude **13** as a brown oil. The residue was purified by flash chromatograph (AcOEt/hexane, 1:20) to give indole **13** (87 mg, 87%) as an off white solid.  $R_f = 0.38$  (AcOEt/hexane, 1:4); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.79 (bs, 1 H), 7.20 (d, J = 8.3 Hz, 1 H), 6.57 (d, J = 8.3 Hz, 2 H), 6.18 (s, 1 H), 6.03 (dd, J = 17.3, 10.5 Hz, 1 H), 5.37 (t, J = 7.25 Hz, 1 H), 5.10 (d, J = 17.3 Hz, 1 H), 5.08 (d, J = 10.5 Hz, 1 H), 4.70 (s, 1 H), 3.55 (d, J = 7.25 Hz, 2 H), 1.84 (s, 3 H), 1.75 (s, 3 H), 1.42 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  148.7, 146.5, 144.5, 136.4, 134.0, 123.1, 122.3, 118.4, 112.0, 110.1, 108.1, 97.99, 38.3, 27.5, 25.9, 24.5, 18.1; IR (v<sub>max</sub>) 3447, 1621, 1103, 913, 852 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>18</sub>H<sub>23</sub>NO (M + H) 270.1852, found 270.1851.

**3**-((Dimethylamino)methyl)-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1*H*-indol-6-ol (15) from 13. To AcOH (500 µL) was added at rt, Me<sub>2</sub>NH (6 µL, 0.074 mmol, 1 eq, 40% aqueous solution) followed by H<sub>2</sub>CO (8 µL, 0.074 mmol, 1 eq, 37% aqueous solution) and stirred for 30 min. To this was added at rt a solution of 13 (20 mg, 0.074 mmol, 1 eq) in AcOH (500 µL) and stirred for 4 h. The reaction was basified with 2M NaOH to a pH of 10–12 and extracted with Et<sub>2</sub>O (3 x 10 mL). The organic layers were combined and dried over anhydrous MgSO<sub>4</sub>, filtered and concentrated under reduced pressure, to give 15 (4 mg, 20%) as a yellow oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.89 (bs, 1 H), 7.35 (d, *J* = 8.4 Hz, 1 H), 6.60 (d, *J* = 8.4 Hz, 1 H), 6.16 (dd, *J* = 17.5, 10.6 Hz, 1 H), 5.35 (t, *J* = 7.3, 1 H), 5.16 (d, *J* = 17.3, 1 H), 5.12 (d, *J* = 10.6, 1 H), 3.59 (bs, 1 H), 3.53 (d, *J* = 7.3 Hz, 2 H), 2.24 (s, 6 H), 1.84 (s, 3 H), 1.75 (s, 3 H), 1.51 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  148.8, 146.5, 134.4, 133.8, 122.6, 117.4, 111.8, 109.9, 107.8, 54.0, 45.3, 39.5, 30.5, 29.8, 27.3, 25.9, 25.7, 24.4, 18.1; IR (v<sub>max</sub>) 3457, 1623, 1241, 1011, 915, 842 cm<sup>-1</sup>; HRMS (ESI/APCI+), calcd for C<sub>19</sub> H<sub>24</sub>NO (M – N(CH<sub>3</sub>)<sub>2</sub>) 282.1852, found 282.1860.

*N*,*N*-Dimethyl-1-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-yl)oxy)-1*H*-indol-3-yl)methanamine (16). To AcOH (1.5 mL) was added at rt Me<sub>2</sub>NH (320  $\mu$ L, 2.84 mmol, 1.05 eq, 40% aqueous solution) followed by H<sub>2</sub>CO (230 μL, 2.84 mmol, 1.05 eq, 37% aqueous solution) and stirred for 30 min. To this was added at rt a solution of **14** (724 mg, 2.70 mmol, 1 eq) in AcOH (1.5 mL) and stirred for 4 h. The reaction was basified with 2M NaOH to a pH of 10–12 and washed with Et<sub>2</sub>O (3 x 10 mL). The organic layers were combined and dried over anhydrous MgSO<sub>4</sub>, and concentrated under reduced pressure, to give **16** (835 mg, 95%) as a yellow solid. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.78 (bs, 1 H), 7.52 (d, *J* = 8.5 Hz, 1 H), 7.15 (d, *J* = 1.9 Hz, 1 H), 6.90 (dd, *J* = 8.5, 1.9 Hz, 1 H), 6.16 (dd, *J* = 17.5, 10.5, 1 H), 5.17 (d, *J* = 17.5, 1 H), 5.13 (d, *J* = 10.5, 1 H), 3.54 (s, 2 H), 2.50 (s, 1 H), 2.20 (s, 6 H), 1.60 (s, 6 H), 1.53 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 146.4, 140.8, 133.9, 127.0, 119.0, 115.9, 112.0, 103.9, 73.3, 73.0, 54.2, 45.5, 42.1, 39.4, 29.8, 27.3, 25.8; IR ( $v_{max}$ ) 3301, 1622, 1463, 1231, 1134, 1042, 1012, 968, 914 cm<sup>-1</sup>; HRMS (ESI/APCI+), calcd for C<sub>19</sub>H<sub>22</sub>NO (M – N(CH<sub>3</sub>)<sub>2</sub>) 280.1696, found 280.1695.

**3**-((Dimethylamino)methyl)-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1*H*-indol-6-ol (15). To **16** (100 mg, 0.30 mmol, 1 eq) in MeOH (3 mL) was added at rt Lindlar's catalyst (10 mg, 10 wt %) followed by purging with a balloon of hydrogen gas. The reaction was vigorously stirred for 30 min. The reaction was monitored by NMR for loss of the alkyne proton. The reaction was then filtered through a plug of Celite and concentrated under reduced pressure. The resultant yellow oil was then taken up in toluene (3 mL) and subjected to microwave conditions of 150 °C for 30 min. The reaction was then concentrated under reduced **15** (75 mg, 75%) as a yellow oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.89 (bs, 1 H), 7.35 (d, *J* = 8.4 Hz, 1 H), 6.60 (d, *J* = 8.4 Hz, 1 H), 6.16 (dd, *J* = 17.5, 10.6 Hz, 1 H), 5.35 (t, *J* = 7.3, 1 H), 5.16 (d, *J* = 17.3, 1 H), 5.12 (d, *J* = 10.6, 1 H), 3.59 (bs, 1 H), 3.53 (d, *J* = 7.3 Hz, 2 H), 2.24 (s, 6 H), 1.84 (s, 3 H), 1.75 (s, 3 H), 1.51 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  148.8, 146.5, 134.4, 133.8, 122.6, 117.4, 111.8, 109.9, 107.8, 54.0, 45.3, 39.5, 30.5, 29.8, 27.3, 25.9, 25.7, 24.4, 18.1; IR (v<sub>max</sub>) 3457, 1623, 1241, 1011, 915, 842 cm<sup>-1</sup>; HRMS (ESI/APCI+), calcd for C<sub>19</sub> H<sub>24</sub>NO (M – N(CH<sub>3</sub>)<sub>2</sub>) 282.1852, found 282.1860.

Ethyl 2-amino-3-(6-hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1*H*-indol-3-yl)propanoate (17). To a solution of 15 (61 mg, 0.18 mmol, 1 eq) and *N*-(diphenylmethylene)glycine ethyl ester (52 mg, 0.19 mmol, 1.05 eq) in acetonitrile (2 mL) was added at rt Bu<sub>3</sub>P (10  $\mu$ L, 0.074 mmol, 0.4 eq). The reaction was heated under reflux for 24 h. The reaction was cooled to rt and concentrate under reduced pressure. The residue was taken up in THF (3 mL) and 1 M HCl (2 mL) was added and the reaction was stirred at rt for 3 h. The solution was basified with sat. aq. NaHCO<sub>3</sub> and washed with AcOEt (3 x 10 mL). The organics were combined and dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated under reduced pressure. The yellow residue was purified by flash chromatography (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 0:1–1:20) to give 17 (7 mg, 10%) as a yellow oil.  $R_f = 0.36$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20); <sup>1</sup>H NMR (400 MHz,

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CDCl<sub>3</sub>)  $\delta$  7.86 (bs, 1 H), 7.13 (d, J = 8.4 Hz, 1 H), 6.49 (d, J = 8.4 Hz, 1 H), 6.11 (dd, J = 17.5, 10.5 Hz, 1 H), 5.33 (t, J = 7.3 Hz, 1 H), 5.14 (dd, J = 17.4, 11.8 Hz, 2 H), 4.16-4.07 (m, 2 H), 3.85-3.81 (m, 1 H), 3.53 (d, J = 7.3 Hz, 2 H), 3.29 (dd, J = 14.4, 4.7 Hz, 2 H), 3.00 (dd, J = 14.4, 4.5 Hz, 2 H), 1.84 (s, 3 H), 1.74 (s, 3 H), 1.49 (s, 6 H), 1.18 (t, J = 7.1 Hz, 3 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  175.4, 149.2, 146.4, 139.0, 134.9, 133.4, 124.2, 122.9, 116.8, 111.9, 110.1, 108.3, 106.7, 61.1, 55.8, 39.3, 31.2, 28.0, 27.9, 25.9, 24.3, 18.1, 14.2; IR ( $v_{max}$ ) 3449, 1730, 1621, 1444, 1100, 917, 799 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>23</sub>H<sub>32</sub>N<sub>2</sub>O<sub>3</sub> (M + H) 385.2486, found 385.2483.

Ethyl 2-amino-3-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-yl)oxy)-1H-indol-3-yl)propaneate (18). To a solution of 16 (180 mg, 0.52 mmol, 1 eq) and N-(diphenylmethylene)glycine ethyl ester (155 mg, 0.57 mmol, 1.1 eq) in acetonitrile (5 mL) was added at rt Bu<sub>3</sub>P (29  $\mu$ L, 0.21 mmol, 0.4 eq). The reaction was taken to 70°C and stirred for 24 h. Cool to rt and concentrate under reduced pressure. The residue was then taken up in THF (5 mL) at rt was added 1M HCl (1 mL) and stirred at rt for 1 h. The solution was basified with 2M NaOH and washed with Et<sub>2</sub>O (3 x 10 mL). The combined organic layers were washed with Brine (15 mL), dried of over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated under reduced pressure to give crude 18 as a yellow oil. The residue was purified by flash chromatography (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 0:100–1:20) to give **18** (160 mg, 80%) as a light yellow foam.  $R_{\rm f} = 0.36$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.41 (bs, 1 H), 7.35 (d, *J* = 8.5 Hz, 1 H), 7.16 (s, 1 H), 6.89 (d, *J* = 8.5 Hz, 1 H), 6.12 (dd, J = 17.5, 10.5 Hz, 1 H), 5.12 (d, J = 17.5 Hz, 1 H), 5.08 (d, J = 10.5 Hz, 1 H), 4.08-3.96 (m, 2 H), 3.79 (q, J = 5.3 Hz, 1 H), 3.25 (dd, J = 14.4, 5.3 Hz, 1 H), 3.02 (dd, J = 14.3, 9.3 Hz, 1 H), 3.0 Hz, 1 H), 3.0 Hz, 1 H), 3.0 Hz, 1 H 1 H), 2.49 (s, 1 H), 1.56 (s, 6 H), 1.48 (s, 6 H), 1.07 (t, J = 7.1 Hz, 3 H); <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ 175.8, 151.1, 146.2, 140.2, 134.4, 118.5, 116.1, 112.2, 104.3, 87.0, 73.5, 73.1, 61.0, 56.1, 39.3, 31.5, 29.8, 28.0, 24.6, 24.4, 23.9; IR ( $v_{max}$ ) 3267, 1733, 1622, 1464, 1136, 969 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>23</sub>H<sub>31</sub>N<sub>2</sub>O<sub>3</sub> (M + H) 383.2329, found 383.2328.

Ethyl (2S)-(9H-Fluoren-9-yl)methyl-2-((1-(2-(2-methylbut-3-en-2-yl)-6-((2-methylbut-3-yn-2-yl)oxy)-1H-indol-3-yl)butan-2-yl)carbamoyl)pyrrolidine-1-carboxylate (19). To a solution of 18 (50 mg, 0.130 mmol, 1 eq) and *N*-(9-fluorenylmethoxycarbonyl)-L-proline (46 mg, 0.137 mmol, 1.05 eq) in acetonitrile (1.5 mL) at 0 °C was added HATU (62 mg, 0.163 mmol, 1.25 eq) followed by *i*-Pr<sub>2</sub>NEt (47  $\mu$ L, 0.287 mmol, 2.2 eq). The reaction was allowed to warm to rt and was stirred for 12 h. The solution was concentrated under reduced pressure and the residue was purified by flash chromatography (AcOEt/hexane, 1:5–9:20) to give dipeptide 19 (77 mg, 85%) as a white foam. *R*<sub>f</sub> = 0.35 (AcOEt/hexane, 1:1); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>, mixture of rotomers, diastereomers)  $\delta$  7.79-7.77 (comp, 3 H), 7.61-7.58 (comp, 2 H), 7.37-7.26 (comp, 5 H), 7.14 (bs, 1), 6.93-6.89 (comp, 1 H), 6.17-6.07 (comp, 1 H), 5.22-5.14 (comp, 2 H), 4.79-4.70 (comp, 1 H), 4.45-4.33 (comp, 2 H), 4.29-4.15 (comp, 3 H), 4.00-3.77 (comp, 3 H), 3.51-3.33 (comp, 2 H), 3.29-3.08 (comp, 3 H), 2.48 (bs, 1 H) 1.99-1.93 (comp, 2 H), 1.92-1.80 (comp, 2 H), 1.59 (bs, 6 H), 1.54 (bs, 6H), 1.01-0.89 (comp, 3 H).

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>, mixture of diastereomers) δ 172.6, 151.1, 151.0, 145.9, 144.2, 144.1, 141.4, 134.3, 127.9, 127.3, 125.4, 120.1, 112.4, 104.5, 87.1, 87.0, 73.6, 73.1, 67.8, 61.4, 60.6, 53.8, 47.3, 39.3, 29.8, 27.7, 21.2, 14.4, 13.9, 13.8; IR ( $\nu_{max}$ ) 3346, 1684, 1126, 968, 739 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>43</sub>H<sub>47</sub>N<sub>3</sub>NaO<sub>6</sub> (M + Na) 724.3357, found 724.3355.

 $(3S,8aS) - 3 - ((7,7-Dimethyl-2-(2-methylbut-3-en-2-yl)-1,7-dihydropyrano \cite{2,3-g} indol-3-yl) methyl) - 3 - (2-methylbut-3-en-2-yl) - 1,7-dihydropyrano \cite{2,3-g} indol-3-yl) methylbut-3-en-2-yl) - 1,7-dihydropyrano \cite{2,3-g} indol-3-yl) - 1,7-dihydropyrano$ hexahydropyrrolo[1,2-a]pyrazine-1,4-dione (9) and (3R,8aS)-3-((7,7-Dimethyl-2-(2-methylbut-3en-2-yl)-1,7-dihydropyrano[2,3-g]indol-3-yl)methyl)hexahydropyrrolo[1,2-a]pyrazine-1,4-dione (20). To 19 (71 mg, 0.10 mmol, 1 eq) in acetonitrile (1.5 mL) at rt was added Et,NH (700  $\mu$ L) and the reaction was stirred for 1 h at rt. The reaction was concentrated under reduced pressure to give an orange/brown residue. The residue was dissolved in toluene (3 mL) and heated under reflux for 18 h. The solution was then concentrated under reduced pressure and the residue was purified by flash chromatography (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20) to give 9 (15 mg, 36%) & 20 (19 mg, 44%). (9)  $R_{\rm f} = 0.41$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20); <sup>1</sup>H NMR (300 MHz,  $CDCl_3$ )  $\delta$  7.91 (s, 1 H), 7.21 (d, J = 8.5 Hz, 1 H), 6.65 (d, J = 8.5 Hz, 1 H), 6.58 (d, J = 8.5 Hz, 1 = 9.7 Hz, 1 H), 6.13 (dd, J = 17.5, 10.4 Hz, 1 H), 5.70 (bs, 1 H), 5.67 (d, J = 9.7 Hz, 1 H), 5.21-5.10 (m, 2 H), 4.44-4.33 (m, 1 H), 4.12-4.00 (m, 1 H), 3.75-3.51 (m, 3 H), 3.12 (dd, J = 15.3, 11.7 Hz, 1 H), 2.41-2.27 (m, 1 H), 2.21-1.81 (m, 3 H), 1.54 (s, 6 H), 1.46 (s, 6 H); <sup>13</sup>C NMR (75.5 MHz, CDCl<sub>3</sub>) δ 169.5, 166.0, 149.1, 146.0, 140.1, 131.0, 130.2, 123.9, 118.2, 117.0, 112.8, 111.1, 105.2, 105.1, 76.0, 59.4, 55.1, 45.6, 39.2, 28.6, 28.1, 27.6, 27.5, 26.1, 22.8; HRMS (FAB) calcd for C<sub>26</sub>H<sub>32</sub>N<sub>3</sub>O<sub>3</sub> [M + H] 434.2444, found 434.2422. (20)  $R_{\rm f} = 0.29$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.87 (bs, 1 H), 7.25 (d, J = 8.4 Hz, 1 H), 6.64 (d, J = 8.4 Hz, 1 H), 6.58 (d, J = 9.7 Hz, 1 H), 6.13 (dd, J = 17.5, 10.6 Hz, 1 H), 6.13 (dd,5.84 (d, J = 3.7 Hz, 1 H), 5.66 (d, J = 9.9, 1 H), 5.19 (d, J = 11.7 Hz, 1 H), 5.15 (d, J = 4.4 Hz, 1 H), 4.31-4.22 (m, 1 H), 3.76-3.55 (m, 2 H), 3.52-3.35 (m, 2 H), 3.26 (dd, J = 14.6, 9.0 Hz, 1 H), 2.37-2.21 (m, 1 H), 2.05-1.62 (m, 4 H), 1.52 (s, 6 H), 1.45 (s, 6 H); <sup>13</sup>C NMR (75.5 MHz, CDCl<sub>3</sub>) δ 168.8, 166.0, 148.9, 146.4, 140.2, 130.9, 130.0, 123.7, 118.9, 117.0, 112.0, 110.8, 105.7, 104.8, 75.9, 58.7, 58.4, 45.7, 39.3, 30.0, 29.2, 28.2, 28.0, 27.5, 22.1; HRMS (FAB) calcd for  $C_{26}H_{32}N_3O_3$  [M + H] 434.2444, found 434.2422.

(35,8aS)-3-((6-Hydroxy-7-(3-methylbut-2-en-1-yl)-2-(2-methylbut-3-en-2-yl)-1H-indol-3-yl)methyl)hexahydropyrrolo[1,2-*a*]pyrazine-1,4-dione (8) (Notoamide S). To 19 (286 mg, 0.41 mmol, 1 eq) in MeOH (4 mL) was added at rt Lindlar's catalyst (28 mg, 10 wt %) followed by purging with a balloon of hydrogen. The reaction was vigorously stirred for 30 min. The reaction was monitored by NMR for loss of the alkyne proton. The reaction was then filtered through a plug of Celite and concentrated under reduced pressure to give the alkene as yellow foam which was directly taken on to the next step without further purification. Et<sub>2</sub>NH (2 mL) was added to a solution of the crude alkene in acetonitrile (3 mL) and the reaction was stirred for 1 h at rt. The reaction was concentrated under reduced pressure to give a yellow oil. The residue was dissolved in toluene (5 mL) and heated under reflux for 18 h. The reaction was then concentrated under reduced pressure and purified by flash chromatography (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:20) to give 8 (51 mg, 29%), as a yellow oil.  $R_{\rm f} = 0.67$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:10); <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) 8 8.01 (bs, 1 H), 7.15 (d, J = 8.5 Hz, 1 H), 6.65 (d, J = 8.5 Hz, 1 H), 6.15 (dd, J = 17.5, 10.3 Hz, 1 H), 5.75 (bs, 1 H), 5.38 (t, J = 7.2 Hz, 1 H), 5.18 (d, J = 5.35 Hz, 1 H), 5.13 (s, 1 H), 4.41 (dd, J = 10.7, 2.5 Hz, 1 H), 4.08 (t, J = 7.3 Hz, 1 H), 3.71-3.61 (m, 2 H), 3.57 (d, J = 7.2 Hz, 2 H), 3.15 (dd, J = 15.3, 11.6 Hz, 1 H), 2.39-2.31 (m, 1 H), 2.12-2.00 (m, 2 H), 1.88 (s, 3 H), 1.79 (s, 3 H), 1.50 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) & 169.4, 166.0, 149.4, 145.9, 139.9, 134.9, 133.9, 123.6, 122.5, 116.2, 112.6, 110.5, 104.5, 59.4, 55.1, 45.5, 39.1, 29.8, 28.5, 28.0, 27.9, 25.9, 24.3, 22.8, 18.1; IR (v<sub>max</sub>) 3362, 1665, 1438, 919, 800 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>26</sub>H<sub>32</sub>DN<sub>3</sub>O<sub>3</sub> [M + Na] 459.2477, found 459.2475. (21) (35 mg, 20%)  $R_{\rm f} = 0.53$  (MeOH/CH<sub>2</sub>Cl<sub>2</sub>, 1:10); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.94 (bs, 1 H), 7.15 (d, J = 8.4 Hz, 1 H), 6.63 (d, J = 8.4 Hz, 1 H), 6.11 (dd, J = 17.4, 10.3 Hz, 1 H), 5.83 (bs, 1 H), 5.76 (bs, 1 H), 5.32 (t, J= 7.4 Hz, 1 H), 5.15 (dd, J = 17.4, 10.3 Hz, 2 H), 4.24-4.21 (m, 2 H), 3.78-3.74 (m, 1 H), 3.68-3.61 (m, 1 H), 3.53 (d, J = 7.4 Hz, 2 H), 3.48-3.37 (m, 3 H), 3.22 (dd, J = 14.4, 10 Hz, 1 H), 2.32-2.27 (m, 1 H), 1.99-1.86 (m, 2 H), 1.84 (s, 3 H), 1.74 (s, 3 H), 1.45 (s, 6 H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta \ 168.7, 166.0, 149.4, 146.3, 139.9, 135.0, 133.6, 123.3, 122.7, 116.7, 111.8, 110.3, 108.3, 105.0, 58.9, 10.5$ 58.4, 45.7, 39.2, 30.1, 29.2, 28.0, 27.8, 25.9, 24.3, 22.1, 18.1; IR  $(v_{max})$  3336, 1655, 1448, 918, 802 cm<sup>-1</sup>; HRMS (ESI/APCI+) calcd for C<sub>26</sub>H<sub>33</sub>N<sub>3</sub>NaO<sub>3</sub> [M + Na] 458.2414, found 458,2413.

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# Genome-Based Characterization of Two Prenvlation Steps in the Assembly of the Stephacidin and Notoamide Anticancer Agents in a Marine-Derived Aspergillus sp.

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Abstract: Stephacidin and notoamide natural products belong to a group of prenylated indole alkaloids containing a core bicyclo[2.2.2]diazaoctane ring system. These bioactive fungal secondary metabolites have a range of unusual structural and stereochemical features but their biosynthesis has remained uncharacterized. Herein, we report the first biosynthetic gene cluster for this class of fungal alkaloids based on whole genome sequencing of a marine-derived Aspergillus sp. Two central pathway enzymes catalyzing both normal and reverse prenyltransfer reactions were characterized in detail. Our results establish the early steps for creation of the prenylated indole alkaloid structure and suggest a scheme for the biosynthesis of stephacidin and notoamide metabolites. The work provides the first genetic and biochemical insights for understanding the structural diversity of this important family of fungal alkaloids.

#### Introduction

Structurally complex fungal-derived natural products account for a significant number of clinical therapeutics for treatment of human and animal diseases.1 Due to emerging appreciation for the high level of biodiversity within this group of eukaryotes, an increasing number of natural products have been isolated from fungal sources and screened for bioactive secondary metabolites.2 Recently, a family of fungal-derived prenylated alkaloids has attracted increasing interest for its remarkably diverse bioactivities including insecticidal, antitumor, anthelmintic, calmodulin inhibitory, and antibacterial properties, and intriguing structural features. These natural products are comprised of L-tryptophan, a second cyclic amino acid residue, and one or two isoprene units (Scheme 1).3 The isolation and characterization of two key biosynthetic intermediates, preparaherquamide (1) and premalbrancheamide (2), in the biosynthesis

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of the paraherquamides (3) and malbrancheamides (4),4,5 respectively, suggest that two amino acid residues are condensed to generate the cyclo-L-tryptophan-L-proline analog 5 or 6. The tryptophanyl subunit of the dipeptide is subsequently prenylated in a reverse manner to generate compound 7 or 8 (Scheme 1). The bicyclo[2.2.2]diazaoctane core in 1 and 2 possibly arises from an intramolecular Diels-Alder (IMDA) reaction after oxidizing 7 or 8 to form a putative pyrazine-derived azadienophile. However, the detailed understanding of assembly and modification of these biosynthetic building blocks remain highly obscure.

Recently, a group of new prenylated indole alkaloids, the notoamides (A=E, **9–13**), were isolated from a marine-derived Aspergillus sp. (Figure 1a).<sup>6,7</sup> Interestingly, stephacidin A (14) and deoxybrevianamide E (15) were purified from the same fungal strain, indicating the possible role of 15 as a common biosynthetic intermediate.7 In 2006, a bimodular nonribosomal peptide synthetase (NRPS) gene (ftmA) was mined from an A. fumigatus genome sequence, and its heterologous expression led to accumulation of the cyclo-L-tryptophan-L-proline product

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<sup>2254-6</sup> 

Scheme 1. Biosynthetic Subunits and Putative Route to Paraherquamide (3) and Malbrancheamide (4)<sup>a</sup>



<sup>a</sup> Molecules in the boxes have been validated as the building blocks or biosynthetic intermediates based on precursor incorporation studies.



Figure 1. Genetic studies of fungal alkaloids produced in the marine-derived fungus Aspergillus sp. (a) Selected fungal alkaloids isolated from the marinederived Aspergillus sp. Compound 16 was not reported in the fungal strain but was expected as the direct precursor of compound 15. (b) The notoamide (not) biosynthetic gene cluster derived from complete sequencing and bioinformatic mining of Aspergillus sp. MF297-2 genome.

brevianamide F (16).<sup>8</sup> We reasoned that an NRPS with a function coincident with FtmA would be expected for the notoamide biosynthetic pathway, where 16 in this marinederived *Aspergillus* sp. is elaborated in an alternative manner compared to *A. fumigatus*<sup>9</sup> that mediates biosynthesis of one ergot alkaloid, fumitremorgin. Herein, we report the identification of the first gene cluster for the biosynthesis of the stephacidin and notoamide family of prenylated alkaloids based on genome mining and biochemical analysis. These studies include a detailed characterization of the elusive deoxybrevianamide E synthase (e.g., reverse prenyl-transferase) as well as a second normal prenyltransferase that provide new insights into the assembly of the structurally and biologically diverse class of bicyclo[2.2.2]diazaoctane-derived natural products.

#### Results

Localization and Analysis of the Notoamide (Not) Gene Cluster from a Marine-Derived Aspergillus sp. through Genome Mining. The genome of the stephacidin- and notoamide-producing marine-derived Aspergillus sp. MF297-2 was sequenced to ~15 times coverage of the average published Aspergillus genome size (32.5 Mb) using Roche 454FLX technology (unpublished data). An open reading frame (orf) named notE (Figure 1b) was identified from the genome sequence using ftmA to probe for homologous genes.8 NotE is a presumed bimodular NRPS (Table 1) with adenylation (A)thiolation (T)-condensation (C)-A-T-C domain organization and shares 47% amino acid sequence identity with FtmA (Table 1). In addition to notE, eighteen other genes were identified in a 42456-bp region of the chromosome encompassed by four overlapping genome assembly nodes (Figure 1b). At the left end of the gene cluster, the product of orfl was predicted to be the N-terminus of a capsule polysaccharide biosynthesis protein

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ARTICLES

| Protein | Size<br>bp/aa | Exon                  | Function               | Relative<br>(identity/similarity [%]) | Accession<br>number |
|---------|---------------|-----------------------|------------------------|---------------------------------------|---------------------|
| Orf1    | 731/224       | 1-113, 173-731        | partial                | capsule polysaccharide                | XP_748327           |
|         |               |                       | polysaccharide         | biosynthesis protein from             |                     |
|         |               |                       | synthase               | Aspergillus fumigatus (43/63)         |                     |
| NotA    | 1199/339      | 1293-1568, 1643-1971, | negative               | NmrA family protein from              | EEH03447            |
|         |               | 2023-2179, 2234-2491  | regulator              | Ajellomyces capsulatus (45/64)        |                     |
| NotB    | 1344/401      | 3486-4079, 4141-4487, | FAD binding            | FAD binding domain protein from       | XP_0012685          |
|         |               | 4568-4829             | domain protein         | A. clavatus (44/63)                   |                     |
| NotC    | 1350/427      | 5819-6996, 7066-7168  | prenyl-<br>transferase | FtmH from A. fumigatus (50/66)        | BAH24002            |
| NotD    | 2025/621      | 8012-8294, 8389-8927, | oxidoreductase         | oxidoreductase from                   | EEQ33235            |
|         |               | 8996-10036            |                        | Microsporum canis (40/59)             |                     |
| NotE    | 6723/2241     | 10787-17509           | NRPS                   | FtmA from A.                          | XP_747187           |
|         |               |                       |                        | fumigatus (47/67)                     |                     |
| NotF    | 1431/453      | 17924-18053, 18126-   | prenyl-                | tryptophan dimethylallyltransferase   | EER24759            |
|         |               | 19354                 | transferase            | from Coccidioides posadasii (40/62)   |                     |
| NotG    | 1901/544      | 19899-20086, 20171-   | P450                   | cytochrome P450 from                  | XP_747185           |
|         |               | 20272, 20337-20635,   |                        | A. fumigatus (62/75)                  |                     |
|         |               | 20689-20810, 20879-   |                        |                                       |                     |
|         | 1026/502      | 21799                 | D 150                  | D 100 C                               | ND 001241           |
| NotH    | 1836/502      | 22422-22668, 22734-   | P450                   | cytochrome P450 from                  | XP_0012616          |
|         |               | 22822, 22897-22996,   |                        | Neosartorya fischeri (41/65)          |                     |
|         |               | 23060-23128, 23187-   |                        |                                       |                     |
| NotI    | 1422/424      | 23703, 23830-24237    | EAD binding            | EAD binding domain protain from       | VD 0012685          |
| NOTI    | 1423/434      | 24803-24902, 25021-   | domain protein         | A clavatus (44/63)                    | AF_0012085          |
| NotJ    | 1113/371      | 26390-27502           | unknown                | hypothetical protein from             | VP 0015373          |
|         | 1115/5/1      | 20590 21502           | unknown                | Salinispora arenicola (52/65)         | 11_0015575          |
| NotK    | 1851/564      | 28771-29141 29196-    | efflux numn            | MFS transporter from                  | XP 0012653          |
|         | 1001/001      | 29569, 29620-30389.   | eman pump              | N. fischeri (87/93)                   |                     |
|         |               | 30445-30621           |                        |                                       |                     |
| NotL    | 1455/484      | 31789-33243           | transcriptional        | C6 zinc finger domain protein from    | XP 0012653          |
|         |               |                       | activator              | N. fischeri (53/62)                   |                     |
| NotM    | 1266/402      | 33816-34597           | unknown                | hypothetical protein from             | XP 0024829          |
|         |               | 34654-35080           |                        | Talaromyces stipitatus (74/82)        |                     |
| NotN    | 1126/340      | 35192-35244, 35299-   | dehydrogenase          | alcohol dehydrogenase from            | XP_0021479          |
|         |               | 35895, 35948-36317    |                        | Penicillium marneffei (60/76)         |                     |
| NotO    | 993/331       | 36520-37512           | short-chain            | hypothetical protein from             | EEU36425            |
|         |               |                       | dehydrogenases/        | Nectria hematococca (66/80)           |                     |
|         |               |                       | reductase              |                                       |                     |
| NotP    | 1020/322      | 37770-37930,          | unknown                | metallo- $\beta$ -lactamase domain    | XP_0024829          |
|         |               | 37985-38789           |                        | protein from T. stipitatus (80/88)    |                     |
| NotQ    | 569/152       | 39871-40059, 40120-   | unknown                | hypothetical protein from             | XP_0024829          |
|         |               | 40316, 40370-40439    |                        | T. stipitatus (88/94)                 |                     |
| NotR    | 1517/461      | 40514-41140, 41212-   | transcriptional        | hypothetical protein from             | XP_0021448          |
|         |               | 41727, 41791-42030    | coactivator            | P. marneffei (45/61)                  |                     |

involved in a primary metabolic pathway. At the right end of the gene cluster, a protein encoded by notR showed 38% sequence identity to the AfIJ aflatoxin pathway transcriptional coactivator.<sup>10</sup> Bioinformatic analysis indicated that NotB and NotI show high similarity to FAD-dependent monooxygenases while NotD is a presumed flavin-dependent oxidoreductase. NotG and NotH show high sequence similarity to fungal CYP450s, both of which might be involved in the formation of the isoprene-derived pyran ring (Scheme 2). Furthermore, NotN and NotO are predicted to function as a dehydrogenase and a short-chain dehydrogenase/reductase, respectively. The notK gene encodes a putative efflux pump, which might specify excretion of alkaloid products from the cell. As with NotR, NotL shares high protein sequence similarity to AflR while NotA is a predicted biosynthetic pathway transcriptional repressor.<sup>11</sup> These regulators offer opportunities to understand the notoamide pathway gene expression, and the potential to manipulate fungal

alkaloid production in this unique marine-derived Aspergillus sp.12 NotC and NotF, two predicted aromatic prenyltransferases, presumably catalyze the two key prenylation reactions including a first reverse prenyltransfer step leading to 15. NotC shows a 50% sequence identity to FtmH (also called FtmPT2) in *A. fumigatus* while NotF shows the highest identity (40%) to a putative dimethylallyl tryptophan synthase (EER24759) in *Coccidioides posadasii.*<sup>13</sup> However, the putative functions of products encoded by notJ, notM, notP, and notQ remain unknown based on bioinformatics analysis.

Determination of NotF as the Deoxybrevianamide E Synthase. We first examined the role of NotF in notoamide biosynthesis. Its cDNA was prepared by removing the 72-bp intron using an overlapping PCR strategy (Supplementary Table 1). The recombinant enzyme was purified with Ni-NTA resin to about 90% purity, and its native protein status was determined as an oligomer with an observed molecular weight of 292 kDa

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<sup>*a*</sup> (a) The early stages in the stephacidin and notaomide biosynthesis. **25** serves as the common precursor to **13** and **14**. **13** is then converted into **11** and **12**. Substrates used in NotC studies were labeled in blue. (b) Notoamide A (9) and B (10) are possibly derived from **14**. The solid arrows represent reactions that have been confirmed with bioinformatic analysis, biochemical analysis, or precursor incorporation experiments, while the dashed arrows indicate proposed biosynthetic steps. The red symbol X indicates the reaction is not supported by the current study.

(53.6 kDa as the calculated monomeric size) by gel filtration (Supplementary Figure 1).

Next, the function of NotF was tested with doubly <sup>13</sup>C-labeled brevianamide F (17, Supplementary Figure 2). The product 18 exhibited the same retention time (17.38 min) as authentic 15 but its [M+H]<sup>+</sup> ion was 354.19, bearing the expected 2.00-Da shift from [15+H]<sup>+</sup> (352.19) (Figure 2a). In MS<sup>2</sup> analysis, 18 was fragmented in the same manner as 15 and the *m*/*z* differences (1 or 2 Da) of three major fragments (*m*/*z* values at 199.14, 286.17, and 298.14 for 18) in two MS<sup>2</sup> spectra originated from the two <sup>13</sup>C atoms in 17 (Supplementary Figure 3). These results demonstrate that NotF is the deoxybrevianamide E (15) synthase and catalyzes the key reverse prenylation at C-2 of the indole ring leading to the bicyclo[2.2.2]diazaoctane core during biosynthesis of many fungal alkaloids within this family. In contrast, 16 in *A. fumigatus* is ultimately converted to fumitremorgin following normal prenylation at C-2 by FtmB.<sup>9</sup>

As a next step, the substrate selectivity of NotF was investigated with L-Trp, **17**, cyclo-(L-Phe-L-Pro) **19**, cyclo-(L-Trp-L-Trp) **20**, and cyclo-(L-Trp-L-Tyr) **21** (Supplementary substrates were detected by LC-MS analysis. This result provides strong evidence for the early timing and high selectivity of the NotF-catalyzed reaction in the alkaloid biosynthetic pathway (Scheme 2a). Moreover, the structural similarities among **16**, **19**, **20**, and **21** suggested that both amino acid residues in **16** 

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are critical for selective interactions between the substrate and the NotF reverse prenyltransferase.

Determination of NotC as the 6-Hydroxy-7-prenyldeoxybrevianamide E Synthase. The role of NotC, the second predicted prenyltransferase from the marine-derived Aspergillus sp. MF297-2, was also investigated. Its cDNA was similarly generated by an overlapping PCR strategy and was expressed in *E. coli* (Supplementary Table 1). The recombinant protein was purified with a single Ni-NTA affinity column, and its native protein status was determined as a monomer with an observed molecular weight of 61 kDa (51.1 kDa as the calculated monomeric size) by gel filtration (Supplementary Figure 1).

Stephacidin A (14) is a central advanced intermediate featuring a pyran ring but lacking a spiroxindole implicating the mode of assembly and timing of the bicyclo[2.2.2]diazaotane family of fungal alkaloids.<sup>7,14</sup> We propose that 14 is produced from deoxybrevianamide E (15) in a series of reactions, including hydroxylation at C-6 (following 13 numbering system), followed by normal prenylation at C-7, oxidation of the dioxopiperazine ring, IMDA and ring closure to the pyran (Scheme 2a). This hypothesis and the order of these reactions were examined by the determination of NotC activity with four

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Figure 2. Determination of NotF and NotC prenyltransferase activities. (a) Identification of NotF product (18) by LC-MS/MS analysis as described (a) Manufacture for product (20) by LC-MS/ MS analysis as described in Methods. The product (25) by LC-MS/ MS analysis as described in Methods. The product (25) was further characterized by <sup>1</sup>H and <sup>13</sup>C NMR analysis. (c) Investigation of key residues in the reaction of the reverse prenyltransferase NotF by site-directed mutagenesis. Data shown are means  $\pm$  s.d. from two independent experiments.

structurally related putative substrates, 15, 22, 23, and 24 (Scheme 2a). Compounds 22 and 24 were synthesized according to Supplementary Schemes 1 and Scheme 2, respectively, NotC showed high selectivity toward 6-hydroxy-deoxybrevianamide E (22) with three additional substrates failing to be converted to products by the enzyme (Figure 2b). The m/z value of the product was 436.17, the same as that of the singly prenylated 22 (calculated MW of 435.25), in MS analysis. Also, three major fragment ions in the  $MS^2$  spectrum of the enzyme product exhibited m/z values at 280.20, 368.17, and 380.22 (Supplementary Figure 4). The difference between these and the major ions in MS<sup>2</sup> spectrum of 15 is 84-Da, which is consistent with the MW sum of one oxygen atom and one isoprene unit linked to the indole ring of 15. We also chemically synthesized notoamide S (6-hydroxy-7-prenyl-deoxybrevianamide E, 25)15 as the authentic standard and compared the authentic substance with the NotC product by LC and <sup>1</sup>H NMR analyses. Both compounds exhibit the same LC retention time (Supplementary Figure 5) and displayed identical <sup>1</sup>H NMR spectra (Supplementary Figure 6). The NotC product was further confirmed to

be notoamide S  $(25)^{15}$  by comparison with 13 (Supplementary Table 2). Chemical shifts of <sup>1</sup>H and <sup>13</sup>C NMR spectra between the product and 13 were essentially identical with the exception of C-25 and C-27.6 The significant differences at these two positions reflect the double bond position in the attached isoprene unit and also indicate that the pyran is not formed in 25. These results demonstrated that NotC catalyzes a normal prenyltransfer reaction at C-7 of the indole aromatic ring system in 22. Moreover, analysis of NotC substrate selectivity suggests that the biosynthetic pathway of 13 and 14 might not proceed through substrates 23 or 24 (Scheme 2a). Instead, 15 is first hydroxylated at C-6 of the indole ring, and the product 22 is subsequently prenylated at C-7 by NotC to generate 25, a biosynthetic precursor of both 13 and 14. Accordingly, notoamide J was isolated from the culture of this marine-derive fungus, and contains only one C-6 (following 13 numbering system) hydroxy group.  $^{16}$  The precise path from  $25\ to\ 14$ requires further investigation, although we have demonstrated that stephacidin A (14) does not arise directly from notoamide E (13), suggesting that generation of the pyran follows formation of the bicyclo[2.2.2]diazaoctane core<sup>6</sup>.

Biochemical Characterization of NotF and NotC. Both NotF and NotC tolerated a broad range of temperature (4 to 42 °C for NotF while 16 to 42 °C for NotC) and pH (6.0 to 9.0) (Supplementary Figure 7). Enzyme activity was independent of divalent cation, although addition of 5 mM Mg2+, Ca2+ or Mn2+ slightly enhanced catalysis (about 100-120%) (Supplementary Figure 8). Significant reduction of enzyme activity (2% 35%) was observed with Cu<sup>2+</sup>, Zn<sup>2+</sup>, Fe<sup>2+</sup>, or Sn<sup>2+</sup> (5 mM). Unlike previous observations with a normal aromatic prenyl-transferase (MaPT)<sup>17</sup> and CloQ,<sup>18</sup> EDTA caused only minor effects on NotF and NotC activity (remaining 90-95%), possibly indicating that the active-site pocket of both prenyltransferases might be less exposed to solvent. However, the exact mechanism for nonchelating inhibition of this group of metalindependent enzymes by EDTA remains unclear.

The reactions of both NotF and NotC followed Michaelis-Menten kinetics (Supplementary Figure 9). The  $K_m$  and  $V_{max}$ values for doubly 13C-labeled brevianamide F (17) in the NotF reactions were 4.33  $\pm$  0.43  $\mu$ M and 0.89  $\pm$  0.02  $\mu$ M/min, respectively, giving a maximal turnover value of 19.1  $\pm$  0.4 min<sup>-1</sup>. Similarly, the enzyme  $K_m$  and  $V_{max}$  values for dimethylallyl diphosphate (DMAPP) were 1.31  $\pm$  0.22  $\mu M$  and 1.18  $\pm$ 0.03 µM/min, respectively. Its maximal turnover value (25.3  $\pm$  0.6 min<sup>-1</sup>) and enzyme catalytic efficiency value (19.31  $\mu M^{-1} \cdot min^{-1}$ ) were slightly higher than those of 17 ( $k_{cal}/K_m =$ 4.41 µM<sup>-1</sup>·min<sup>-1</sup>). Compared to FtmB using 16 as a substrate in a normal prenylation step ( $K_m = 55 \ \mu M$ ,  $k_{cat}/K_m = 6.08$  $\mu M^{-1} \cdot min^{-1}$ ). NotF showed more restricted substrate selectivity and higher substrate binding affinity with a similar enzyme catalytic efficiency.19 In addition, we also determined the kinetic parameters for the NotC normal prenyltransferase. The  $K_m$  and  $V_{\text{max}}$  values for 22 in the NotC reactions were 2.64  $\pm$  0.33  $\mu$ M and  $1.30 \pm 0.04 \,\mu$ M/min, respectively, while for DMAPP these values were determined to be  $1.89 \pm 0.20 \,\mu\text{M}$  and  $1.45 \pm 0.03$ µM/min, respectively. Similar to NotF, the maximal turnover

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value (67.4  $\pm$  1.4 min<sup>-1</sup>) and enzyme catalytic efficiency value (35.66  $\mu$ M<sup>-1</sup>·min<sup>-1</sup>) of NotC were also slightly higher than those of 22 (60.5  $\pm$  1.9 min<sup>-1</sup>, 22.92  $\mu$ M<sup>-1</sup> · min<sup>-1</sup>, respectively).

In this study, three key amino acid residues located in the predicted NotF reaction pocket were mutated to probe the reaction mechanism of this reverse aromatic prenyltransferase (Supplementary Figure 10). R108 was predicted to be a substitute for the divalent metal ion and to interact with the DMAPP pyrophosphate group. Generation of the R108H and R108G mutants (Supplementary Figure 1a) resulted in proteins with less than 2% catalytic activity, confirming the vital role of R108 for effective catalytic function (Figure 2c). Another highly conserved key residue for substrate binding in NotF is E108, which may form a H-bond with N-H in the 16 indole ring system.20 Both E108D and E108G mutants lost at least 92% of their activity (Figure 2c). This result suggests that a specific side chain length in this acidic amino acid residue is important for H-bond formation. Recently, the crystal structure of FgaPT2, a normal fungal aromatic prenyltransferase, revealed the presence of a defined network consisting of five Tyr residues to prevent the DMAPP-derived carbocation from reacting adventi-tiously with nucleophiles.<sup>20</sup> All of these residues are conserved in NotF except Y413, which is replaced with W424 (Supplementary Figure 10). Although W424Y still retained about 25% of its activity for production of 18, the corresponding W424G lesion resulted in >98% loss of catalytic activity, validating the importance of this analogous aromatic network in the notoamide reverse prenyltransferase reaction (Figure 2c).

Proposed Biosynthetic Pathway for the Stephacidin and Notoamide Biosynthesis in Marine-Derived Aspergillus sp. MF297-2. Based on the biochemical characterization of two prenyltransferases and the predicted biochemical function of related gene products in the isolated gene cluster, a putative notoamide biosynthetic pathway is proposed (Scheme 2). Briefly, we presume that 16 is produced from L-Trp and L-Pro by the NotE NRPS and is subsequently reverse prenylated at C-2 by NotF to produce 15. In the next step, the indole ring is hydroxylated at C-6, which is likely catalyzed by one of the two not pathway-encoded P450s. NotC is then responsible for normal prenylation at C-7 position of 22 to produce 25. From this intermediate, notoamide E (13) is generated following ring closure to the pyran, a process possibly controlled by the second P450, and then may be converted into notoamide C (11) and notoamide D (12).6 The direct connection from 13 to 14 was not observed in double <sup>13</sup>C-labeled precursor incorporation experiments, whereby 13 was previously envisioned to be converted by an oxidase to the pyrazine-derived dienophile followed by IMDA to directly produce stephacidin A (14) (Scheme 2a).6 The enzyme(s) that promote bicyclo[2.2.2]diazaoctane formation remain unknown. Recently, a flavin-dependent oxidase in solanapyrone biosynthesis was shown to catalyze both oxidation and subse-quent Diels–Alder cycloaddition reactions,<sup>21</sup> indicating that the predicted oxidoreductases (e.g., NotB, NotD, and NotI) are possible candidates for catalyzing the IMDA reaction in the notoamide biosynthesis. Alternative to the pathway through 13, 14 may be produced from 25 after the stepwise oxidation. IMDA, and ring closure (Scheme 2a). We propose that 14 is

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regiospecifically hydroxylated by a monooxygenase, possibly NotB or NotI, to give 27 for subsequent pinacol-like rearrangement to produce notoamide B (10). Such an intriguing rearrangement reaction has not been previously observed in a natural product biosynthetic pathway, and a putative mechanism is proposed (Supplementary Figure 11). A rare N-hydroxylation reaction is required to generate the final notoamide A product (9) (Scheme 2b). The biosynthetic scheme proposed here provides an initial understanding of the assembly and modification of biosynthetic building blocks for this important group of bioactive prenylated fungal alkaloids.

## Discussion

The advent of next-generation sequencing has provided tremendous opportunities to identify novel natural products and their biosynthetic pathways through genome mining of bacterial, and plant23 genomes. This approach has proved fungal.2 increasingly important in current natural product biosynthesis studies with the availability of increasing numbers of microbial genome sequences. For example, many orphan biosynthetic gene clusters were identified from the genome sequence of wellstudied Streptomyces coelicolor A3(2), and novel natural products such as 2-alkyl-4-hydroxymethylfuran-3-carboxylic acids were uncovered with the guidance of genome mining. In this study, we employed an allied approach to study the biosynthesis of prenylated indole alkaloids and also to initiate an understanding of fungal genetic evolution and adaptation to different environmental niches. The entire genome of the notoamide-producing marine-derived Aspergillus sp. was sequenced at ~15X coverage, enabling the not gene cluster identification through sequence file database comparison to ftmA (Figure 1). With this gene cluster it is now possible to pursue studies toward a complete understanding of the assembly, tailoring, and regulation of this family of bioactive fungal alkaloids and to further develop them as medicinal agents.

Fungal aromatic prenyltransferases have attracted increasing interest because of their important roles in the biosynthesis of natural products and potential applications in drug development. Currently, more than 100 putative indole prenyltransferases have been revealed by BLAST searches in the public genome database.26 Biochemical investigations of over 10 recombinant enzymes in this group finds that catalytic functions are independent of divalent metal ions.<sup>26,27</sup> In these reactions, an isoprene unit can be transferred onto different positions of the indole ring system.<sup>27</sup> Moreover, in a normal prenylation reaction, DMAPP alkylates an aromatic substrate through its C1' atom via an S<sub>N</sub>2 displacement, while the C3' position is involved in the reverse prenyltransfer reaction via an S<sub>N</sub>2' displacement. Remarkably, these enzymes can utilize a series of structurally similar analogs as their aromatic substrates.<sup>17,27,28</sup> In contrast to other characterized enzymes in this group, NotF (reverse) and NotC (normal) prenyltransferases showed highly restricted substrate specificities. NotF specifically prenylates 16 (at C-2),

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confirming its role as the elusive deoxybrevianamide E synthase, while only 22 is utilized by NotC (C-7 alkylation) in the biosynthesis of the stephacidin and notoamides (Figure 2). The mechanism of the reverse prenyltransferase was also probed by site-directed mutagenesis to understand the reaction of this group of enzymes.<sup>17,20</sup> Future structural studies of NotF and the case of NotF and the comparison to  $FgaPT2^{20}$  are expected to contribute further information about the regio- and stereospecificity of the reverse and normal prenyltransfer reactions. We expect this analysis will likely illuminate the lack of facial selectivity previously observed for the reverse prenylation step29 and facilitate expansion of the enzyme substrate range and efficiency. More importantly, the combined studies of NotF and NotC provide direct evidence to establish a biosynthetic scheme for this family of bioactive prenylated fungal alkaloids. Finally, the high in vitro catalytic efficiencies of recombinant NotF and NotC suggest their potential value as biocatalysts for chemoenzymatic production of bioactive fungal alkaloid analogs in drug development.

Identification and characterization of the notoamide gene cluster also provides the initial basis to understand the formation of three pairs of antipodal natural products derived from a marine-derived and a terrestrial Aspergillus sp.30,31 In the marine-derived fungal strain, (–)-notoamide B (10), (–)-versicolamide B (38), and (+)-stephacidin A (14) are produced,<sup>7,30</sup> while their antipodal counterparts, 39, 40, and 41, respectively, are isolated from the terrestrial *A. versicolor* NRRL 25660 strain (Supplementary Figure 12).<sup>31</sup> Based on the putative notoamide biosynthetic pathway, we propose that formation of 14 and 41 might be controlled by the IMDA reaction. Subsequently, 10 and 39 are possibly derived from 14 and 41, respectively, in these two distinct fungal strains. It remains unclear whether generation of (-)- and (+)-versicolamide B (38 and 40) occurs in the pathway through stephacidin A due to their opposite stereogenic centers at C-6 (Supplementary Figure 12).30 Instead, 13 might be converted into 11, subsequently producing 38 in the following IMDA reaction in the marine-derived fungus. The detailed biochemical characterization of biosynthetic enzymes from both fungal strains is in progress and will shed more light on the biosynthesis of these unique antipodal natural products.

Identification of biocatalysts from fungal alkaloid biosynthetic pathways may also enable production of natural products and their analogs through heterologous expression and metabolic engineering.  $^{32-34}$  It is estimated that >99% of microorganisms in the environment fail to grow in the laboratory, and the potential to find pharmaceutically important natural products from fungal sources remains vastly underexplored. Introducing natural product gene clusters into more technically and industrially amenable microorganisms such as E. coli and yeast represents an attractive way to obtain suitable quantities of natural products and to identity novel leads in drug discovery and development programs.34 Moreover, a microorganism can

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be further optimized for the efficient production of a target metabolite using traditional mutation and selection methods, as well as new tools from systems biology and synthetic biology. Identification of the notoamide gene cluster provides such an opportunity to produce bioactive fungal alkaloids and analogs thereof through pathway engineering and heterologous expression.

Materials and Strains. Authentic deoxybrevianamide E (15). doubly 13C-labeled brevianamide F (17), and keto-premalbrancheamide (23) were synthesized following previously published procedures.<sup>4,36</sup> Standard methods for DNA isolation and manipulation were performed as described by Sambrook et al.37 Genomic DNA from Aspergillus MF297-2 was isolated with a MasterPure Yeast DNA Purification kit (Epicenter Biotechnologies) as described in the manual. The GenBank accession numbers for notC, notF, and the complete assembled *not* gene cluster are GU564534, GU564535, and HM622670, respectively. *E. coli* DH5α was used for cloning and plasmid harvesting while E. coli BL21 CodonPlus-(DE3)-RIPL was used for protein overexpression

Expression and Purification of NotC and NotF. Details about the preparation of notC and notF cDNAs and of notF mutant DNAs are included in the Supporting Information and Supplementary Table 1. The expressed enzymes were purified with a single Ni-NTA column (Supporting Information). As determined by SDS-PAGE analysis, the purity of proteins was more than 90%. The native status of proteins was determined by gel filtration (Supplementary Figure 1).

Determination of Enzyme Activities. Compounds 22 and 24 were chemically synthesized to examine NotC activity (Supporting Information). The 100- $\mu$ L reaction mixture contained 0.5  $\mu$ g of NotF, its mutants, or NotC; 5 mM MgCl<sub>2</sub>; 0.1 mM **17** (NotF or its mutants) or **22** (NotC); and 0.15 mM DMAPP in the reaction buffer (50 mM Tris-Cl, pH 7.5, 10% glycerol, and 3 mM  $\beta$ -mercaptoethanol). The reaction was initiated by adding enzyme after prewarming the other components at room temperature for 1 min. After mixing well and briefly centrifuging, the reactions were further incubated at room temperature for 45–60 min and stopped with 10 µL of 1.5 M trichloroacetic acid. The mixtures were mixed and centrifuged at 13 000g for 5 min. An aliquot of the 100-µL solution was subjected to HPLC coupled with an XBridge C18 column (5  $\mu$ m, 4.6 mm × 250 mm), at a wavelength of 222 nm. Solvent B (acetonitrile in 0.1% TFA) was increased from 30% to 40% for 5 min and then increased to 80% over 20 min for the detection of products. LC-MS<sup>2</sup> analysis was performed by using a ThermoFinnigan LTQ linear ion-trap instrument equipped with an electrospray source and a Surveyor HPLC system at room temperature. Separations were performed with an XBridge C18 (3.5  $\mu$ m, 2.1 mm  $\times$  150 mm) column at a flow rate of 200 µL/min with solvent A (water with 0.1% formic acid) and solvent B (acetonitrile with 0.1% formic acid). Solvent B was kept at 2% in solvent A for 4 min and then was gradually increased to 90% over 16 min. After being washed with 90% solvent B for 2 min, the column was further re-equilibrated with 2% solvent B for 10 min. The spectra were recorded in positive ion mode. Product **25** was further characterized with <sup>1</sup>H and <sup>13</sup>C NMR analysis (Supporting Information). **Kinetics Analysis.** The 100-μL reaction mixture contained 0.25

µg of NotF or 0.11 µg of NotC and 5 mM MgCl2 in the reaction buffer. Details about the experiment procedures were included in the Supporting Information. All experiments were performed in duplicate. The data were fit to the Michaelis-Menten equation in Prism 4.0 (GraphPad Software).

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Supporting Information Available: Remaining methods, including genome sequencing and assembly, assay for enzyme

metal independence, detailed synthetic procedures of **22** and **24**, determination of enzyme optimal conditions, characterization of **25**, antipodal fungal prenylated alkaloids, and the complete list of authors in ref 25. This material is available free of charge via the Internet at http://pubs.acs.org.

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Appendix 2:

**Research Proposal** 

## <u>Abstract</u>

Alchivemycin A (**1**) is a newly isolated metabolite from *Streptomyces sp.* containing an unprecedented 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring system (Figure 1).<sup>1</sup> This novel polycyclic polyketide also shows potent antimicrobial activity against *Micrococcus luteus* and inhibitory effects on tumor cell invation. Due to its interesting stucture and its potent activeity, alchivemycin A is an ideal synthetic target to explore the formation of the unprecedented 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring system.



Figure 1: Alchivemycin A.

The synthesis of **1** in envisioned to come from three separate pieces with a late stage installation of the unprecedented ring system (Figure 2). The cis-decalin ring system can come from a transannular Diels-Alder (TADA) reaction to give the resultant lactone. The hydroxyl amine containing side chain can be formed from the condensation of a hydroxyl amine derivative onto an acetinide protected aldehyde, derived from galactose, followed by a Mannich addition of a terminal alkyne to the nitrone. The formation of the 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring could arise through a possible biosynthetic pathway. Coupling of the hydroxyl amine side chain with an acid derivative of the cis-decaline system followed by Dieckmann-type condensation<sup>25</sup> would give the desired 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring system. Macrocyclization could be afforded through a Horner-Wadsworth-Emmons reaction<sup>21·22</sup> to give the desired E-olefin. Through a

series of deprotection and epoxidations, it is envisioned that the synthesis of alchivemycin A could be accessed.



Figure 2: Key disconnection for the synthesis of alchivemycin A.

One of the major concerns in the synthesis of alchivemycin A is the stability of this unprecedented ring system. Though it resides in the natural product the question remains as to what type of conditions this ring system can withstand. A second issue is that of the selectivity of the TADA reaction. Though this reaction has been performed to synthesize cis-decalin systems, the cis-methyl groups that are meta to each other in the cyclohexane ring my play a large role in the relative stereochemistry of the TADA. Keeping these potential problems in mind the synthesis of **1** can be divided into two parallel pieces, which can be brought together through what is thought to be a possible biosynthetic pathway.

## **Background**

A major key interest in **1** is the cis-decalin ring system. Though this system is not new, the epoxide containing system resembled the product of a Diels-Alder reaction (Scheme 1).



Scheme 1: Intramolecular Diels-Alder reaction to form the cis-decalin of 1.

Intamolecular Diels-Alder (IMDA) reactions have been used in the synthesis of a multitude of natural products such as  $(\pm)$ -ilicicolin H,<sup>2,3</sup> (+)-phomopsidin,<sup>4,5</sup> and (–)-oblongolide<sup>6</sup> to name a few. In each case the stereochemical outcome of the IMDA varied between a cis-decalin and transdecalin system. In the earliest case of these three examples Williams and coworkers used a IMDA reaction for the synthesis of (±)-ilicicolin H giving a trans-decalin system.<sup>2,3</sup> The key compound **2** when heated in *o*-dichlorobenzene at reflux for 5 minutes gave exclusively the exo-bridged Diels-Alder adducts (Scheme 2).



Scheme 2: Williams TADA to gain access to the core of ilicicolin H.

Although the product of the IMDA for ilicicolin H was a trans-decaline system, it gave exclusive formation of a chair conformer in the cyclohexane (B) ring with the C12 methyl substituent in an equatorial position. This important factor gives some insight into the geometry and transition state needed for the IMDA for alchivemycin A. One other factor that may need to be explored for the synthesis of alchivemycin A is the geometry of the olefins involved in the IMDA.

In the synthesis of (+)-phomopsidin, Nakada and coworkers used a TADA as the key step for the formation of a cis-decaline ring system to gain access to the molecules core.<sup>4,5</sup> One of the major differences between the IMDA of Nakada and Williams is the formation of a macrolactone for

transannular Diels-Alder versus an untethered chain of an IMDA. Macrocyclic lactone **5** was used in the synthesis of phomopsidin to entropically activate and diastereoselectively control the TADA (Scheme 3).



**Scheme 3**: TADA of a (*E*,*Z*)-diene to give the cis-decalin product.

One major reason to use **5** was because of the poor reactivity of (E,Z)dienes in Diels-Alder reactions predominantly due to their energetically unfavored *s*-cis conformation in the transition state. By restricting the rotation of the diene with the use of a macrocyclic lactone, activation of the (E,Z)olefins can be achieved for an intramolecular Diels-Alder reaction. It should also be noted that a chair conformer of the cyclohexan (A) ring with the methyl and OTIPS groups being in the equatorial position was achieved. The cis-decaline system of phomopsidin was achieved through the use of a (E,Z)diene, but this isn't always the case it has been shown by Roush and Hall that many (E,E)-dienes give cis-decaline systems.<sup>7</sup> Roush and Hall explore a varity of different IMDA systems and the decaline products obtained relative to their triene system (Scheme 4). The outcome of Roush and Hall's work explained that in a large number of cases the cis-decaline ring system in favored, however factors of substitution and reaction conditions play a major factor in observed product.



Scheme 4: Roush and Hall's observation into the IMDA of different trienes.

The synthesis of (–)-oblongolide by Shing and Yang took the previous information pioneered by Roush and Hall and used it to construct the core of 8 by varying the condition of the IMDA to construct the desired trans-decaline core.<sup>6</sup> Shing and Yang pursued the synthesis of oblongolide through the use of a IMDA knowing that in the key cyclization step the cis-decaline system would be the favored product as previously discussed by Roush and Hall. However, by varying the reaction temperature and reaction time of the IMDA Shing and Yang found that the trans-decaline could be achieved exclusively. Through the work of Roush and Hall, it was known that lower temperatures, in the range of 100-150°C, would lead to a cis-decaline product, so in a first attempt Shing and Yang performed the IMDA at 210°C for 56 hours. The products obtained were a 2 : 1 mixture of trans and cis-decaline systems, with the trans being the major. Extending the length of the reaction to 72 hours lead to only trans product in a 55% yield showing that changing the reaction condition and time can vary the products. Shing and Yang also looked at the use of a TADA for the formation of 8 and found that by making the macrolactone the TADA proceeded at a much lower temperature and a higher yield (Scheme 5).



Scheme 5: TADA of 10 to give exclusively trans-decaline 8.

The use of a TADA for the formation of the cis-decaline core of alchivemycin A has great potential. However, with the knowledge of the previously described Diels-Alder reactions a variety of different reaction conditions and olefin geometries may need to be explored. Through modeling of the macrolactone system there seems to be a favored conformation that could lead to the desired cis-decaline system. A synthesis that would allow access to each of the desired macrolactones (**11**, **12**, **13**, **14**; Figure 3) would be optimal for both exploratory and synthetic analog purposes.



Figure 3: Four different combinations of macrolactones for a TADA.

The second most intriguing aspect of alchivemycin A is the unprecedented 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring. It is believed that the biosynthesis of this ring system proceeds through a pathway similar to that of tetramic acid. The biosynthesis of tetramic acid is by condensation of an  $\alpha$ -amino acid with a growing polyketide chain followed by a Dieckmann condensation and release of an enzyme complex.<sup>8</sup> One could envision, *N*-hydroxyglycine, which could be derived from the *N*-hydroxylation of glycine,<sup>9,10</sup> incorporated on to the tail of a polyketide chain, followed by a Dieckmann-type cyclization to provide the 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring (Figure 4).



**Figure 4**: Proposed biogenesis of the 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring.

Synthetically the use of the same type of connections for the synthesis of the 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring can be used. Coupling of a N-hydroxy glycine with an acid derivative of the cis-decaline system, followed by a Dieckmann-type condensation should afford the desired 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring. This sequence of steps may also be used as the final steps in the formation of the macrocyclic core of alchivemycin A.

These two aspects of alchivemycin A combined with its potent antimicrobial activity and inhibitory effects on tumor cell invasion have made this molecule an interesting synthetic target. The use of a TADA to synthesize the cis-decaline ring, though not novel, does provide room for the construction of stereochemical analogs that can be used for further testing. Construction of the 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring through a proposed biosynthetic pathway also leads to the intrigue of unprecedented ring system. These two aspects along with current synthetic strategies should allow access to the total synthesis of alchivemycin A and any possible analogs.

## Synthetic Design

The synthesis of alchivemycin A can be broken down into three major parts. The first of these three parts being the cis-decaline system, which can arise through a TADA reaction. Second, a long sidechain containing the protected diols along with an *N*-hydroxy glycine for the synthesis of the 2Htetrahydro-4,6-dioxo-1,2-oxazine ring. The final piece of alchivemycin A will be the construction of the 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring through a proposed biosynthetic pathway where in the coupling of the *N*-hydroxy glycine derivative with the acid of the decaline system followed by a Dieckmann-type condensation to give the desired ring system.

The cis-decalin system can start from known anydride **15** and through 9 steps be desymmetrize to protected alcohol **16** through the procedure of Lautens and coworkers.<sup>11</sup> Displacement of the tosylate of **16** with TMS-acetalene followed by TMS deprodection will give **17**. A Sonanashira coupling<sup>12</sup> with ethyl (*E*)-3-iodo-2-butenoate will give the corresponding eneyne system, which reduction of the alkyne with Lindlar's catalyst under an atmosphere of H<sub>2</sub> would give the desired (*Z*,*E*)-diene **18a** for the TADA. Alternatively, **17** could be reacted with excess 9BBN-H and treated with benzaldehyde to convert the byproduct, 1,1-bisboryl adduct,<sup>13</sup> to the transalkenylborane, with can be use in a Suzuki–Miyara coulpling<sup>14</sup> with either (*E*) or (*Z*)-3-iodo-2-butenoate to give the corresponding diene **18b**, **18c** systems (Scheme 6).



Scheme 6: Synthesis of the three different diene systems.

Either 18s could be reduced to the alcohol with DIBAI-H, followed by condensation with diethyl phosphonacetic acid to give **19**. Deprotection of the ethoxyethyl group with PPTS would give the resultant alcohol which can be oxidized using Dess–Martin periodinane<sup>15</sup> to furnish **20**. Using the conditions

developed by Nakada and coworkers, in the synthesis of (+)-phomopsidin,<sup>5</sup> an intramolecular Horner–Wadsworth–Emmons would provide the desired macrolactone **11** needed for the TADA.<sup>4,5</sup> Finally, heating of lactone **11** in toluene with catalytic BHT should provide cis-decaline **21** (Scheme 7).



Scheme 7: Synthesis of the lacton 21 through a TADA.

Lactone **21** can be opened to the corresponding  $\beta$ -ketoester **22** with *n*-BuLi and ethyl acetate. Oxidation of the primary alcohol with Dess–Martin periodinane<sup>15</sup> followed by addition of methyl lithium and a second oxidation to give give **23**, which can be epimerized to give **24**. Hydrolysis of the  $\beta$ -ketoester with LiOH to the  $\beta$ -ketoacid **25** would complete the northern portion of alchivemycin A (Scheme 8).



Scheme 8: Synthesis of the northern portion of alchivemycin A.

The synthesis of the sidechain needed for **1** can start from ring opening of  $\zeta$ -lactone with H<sub>2</sub>SO<sub>4</sub> in MeOH, followed by protection of the primary alcohol as ethoxyethyl ether **26**. Hydrolysis **26** to the corresponding acid and subsequent formation of the acid chloride followed by addition of Evans auxiliary<sup>16</sup> ((*S*) stereochemistry) will afford **27**. Methylation of **27** with *n*-BuLi and methyl iodide followed by cleavage of the auxiliary with LAH will give the primary alcohol which can be oxidized to aldehyde **28** with Dess–Martin periodinane<sup>15</sup>. Aldehyde **28** can be converted to the primary alkyne **29** with Seyferth–Gilbert's reagent<sup>17,18</sup> to give a portion of the sidechain needed for **1**.



Scheme 9: Synthesis of alkyne needed for the sidechain of 1.

Treatment of alkyne **29** with *n*-BuLi followed addition of nitrone **30** will give the major portion of the sidechain of **1**.<sup>19</sup> Reduction of **31**, with Na and NH<sub>3</sub>, to the trans-alkene and deprotection of the benzylether followed by oxidation to the carboxyl acid and methylation will afford methyl ester **32**. **33** will be accessed by an asymmetric Sharpless dihydroxilation<sup>20</sup> to give the resultant diol, followed by protection of the diol as an acetanide and deprotection of the ethoxyether. Formation of the Horner–Wadsworth–Emmons<sup>21,22</sup> reagent of **33** through a two step process of halogenation and displacement of the primary alcohol followed by and Arbuzov reaction<sup>23,24</sup> to afford phosphonate **34** (Scheme 10).



Scheme 10: Synthesis of the sidechain for 1.

With the two pieces in hand, coupling of sidechain **34** with acid **25** in the presence of DCC will give **35**. Employing an intramolecular Dieckmann cyclization<sup>25</sup> will provide the desired 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring of **36**, in what is thought to be a biosynthetic manor<sup>1</sup> (Scheme 11).



Scheme 11: Coupling of 34 and 25 along with Dieckmann cyclization.

At this point, the macrocyclization can be completed by an intramolecular Horner–Wadsworth–Emmons<sup>21,22</sup> reaction to give the desired (*E*) olefin of **37** (Scheme 12). There is a possibility that the macrocyclization may also occur during the previous step with the Dieckmann cyclization<sup>25</sup> if enough base is used. This possible one pot procedure can be explored.



Scheme 12: Macrocyclization of 36 to give the core of 1.

Deprotection of the acetinides with TFA in MeOH would give both sets of diols, **38**. Epoxidation of the di- and tri-substituted olefins can be set through a Jacobsen–Katsuki<sup>26,27</sup> asymmetric epoxidation to give **39**. Alternatively, other epoxidation condition, such as the Shi epoxidation<sup>28</sup>, may be explored for an asymmetric epoxidation of the two olefins. Oxidation of the primary alcohol of **39** with Dess–Martin periodinane<sup>15</sup> followed by a zinc mediated addition of 2-iodo-2-butene with MgBr<sub>2</sub>•Et<sub>2</sub>O, for a keelation controlled addition of the resultant zinc reagent, will stereoselectively set the final alcohol to give **1** (Scheme 12).<sup>29</sup>



Scheme 12: Completion of 1 from 37.

The synthesis of alchivemycin A can be completed with the use of modern techniques through two key intermediates. With precedence on the use of TADA to form cis-decaline ring systems, this is a very viable and versatile approach for the cis-decaline system of **1**. There may be some exploratory work required for the correct olefin geometries to obtain the correct cis-decaline system but these substraits can easily be made in parallel. The formation of the 2H-tetrahydro-4,6-dioxo-1,2-oxazine ring through a proposed biosynthetic pathway also makes this synthesis of **1** an appealing target. These two major features along with the potent antimicrobial activity against *Micrococcus luteus* and inhibitory effects on tumor cell invasion make the synthesis of alchivemycin A (**1**) an interesting and useful synthetic target.

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