

DISSERTATION

THE ROLE OF VITAMIN A AND MYCOBACTERIAL LIPIDS IN THE IMMUNOPATHOGENESIS OF
MYCOBACTERIUM TUBERCULOSIS INFECTIONS

Submitted by

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ABSTRACT

THE ROLE OF VITAMIN A AND MYCOBACTERIAL LIPIDS IN THE IMMUNOPATHOGENESIS OF *MYCOBACTERIUM TUBERCULOSIS* INFECTIONS

Until recently Tuberculosis (TB), caused by *Mycobacterium tuberculosis* (*Mtb*), was the deadliest and most ubiquitous infectious disease in the world, infecting an estimated 1.7 billion people as of 2018 and killing 1.6 million people in 2021^{1,2}. However, recently COVID-19 has displaced this disease as the most fatal disease, and in the process of doing so has disrupted integral structural apparatuses meant to monitor and treat TB in endemic countries. This degradation has led to an unprecedented rise in disease transmission with a 4.3% rise in infection, with 10.6 million new infections in 2021³. Further compounding the problem of this wave of *Mtb* infections is the rise of multidrug resistant infections, accounting for 3.9% of all new infections and responsible for 14% of *Mtb* fatalities in 2017^{2,4}. With an upsurge in case incidence, faltering antibiotic regimens and a variably effective 100-year-old vaccine, there is a new push in understanding disease comorbidities and alternative treatments for TB.

Although over 1 billion people are presumed to be infected with *Mtb*, though not all of these patients have clinical TB. In fact, the WHO estimates that 1/3 of infections results in latent disease, a non-clinical phase of the infection which can last indefinitely or eventually progress into active, clinical apparent TB. Patient comorbidities have become an intense area of study for further defining the delineating factors that direct infected individuals into either active infection, latent infection, or overcoming the infection. Two defining comorbidities, HIV status and diabetes have been correlated to an increased risk for having progressive TB. HIV infections in itself has been demonstrated to cause a 20 to 30 fold increase risk in clinical manifestations of a *Mtb* infection, leading to 187,000 deaths in 2021^{3,5}.

An equally prolific area of Mtb research lies in developing new, efficacious prophylactic and active infection treatment regimens. As previously mentioned, current first line drugs regimens, such as rifampicin and isoniazid, are faltering against multidrug resistant Mtb. Additionally, the only widely available vaccine, the Bacillus Calmette-Guerin vaccine, has high efficacy against several forms of TB, like adolescent TB meningitis, but has variable to low efficacy in control of classic pulmonary TB. These factors are driving research of novel treatments and vaccines that utilize niche characteristics of Mtb, host immunity and overall TB disease pathogenesis to prevent clinical disease manifestation. This thesis centers at the intersection of these two areas of this immunologic research, Mtb comorbidities and investigating a novel branch of immunology, by investigating the immunopathogenesis of TB in relation to a newly recognized comorbidity, vitamin A deficiency, and examining the utility of harnessing a niche lipid-based branch of immunology, CD1 lipid restricted immunology, to combat TB disease progression.

In chapter one, this thesis, stemming from the foundational epidemiological work of Dr. Megan Murray, endeavored to investigate the pathophysiology of vitamin A deficiency and TB in a highly translatable guinea pig model. This novel vitamin A animal model demonstrated that vitamin A deficiency leads to a dysregulated immune system with atypically granulomatous lesions, skewed inflammatory population and contradicting inflammatory gene profiles. These changes lead to progressive clinical disease with increased pulmonary bacterial burden and splenic lesion burdens. Additionally, this chapter was able to demonstrate the ability to partially alter the detrimental effects of vitamin A deficiency during the course of an active Mtb infection via reintroduction of a vitamin A sufficient diet.

In chapter two, this thesis aimed to establish the kinetic expression of CD1 glycoproteins, the lipid antigen presenting molecule homologous to MHC I and the linchpin to lipid-restricted immunology, within tissues of infected guinea pigs. This work demonstrated

CD1b upregulation during the early adaptive immunology phase of infection by profiling of CD1 glycoproteins via flow cytometric analysis, immunohistochemical tissue evaluation, and CD1 specific qPCR profiling. This study went further by not only establishing kinetic expression of this molecule but correlating that expression back to a functional cytolytic response driven by CD1-restricted T cells.

Lastly, chapter three of this thesis aimed to build on the CD1 work in chapter two by assessing the role CD1 lipid restricted immunity plays in the disease pathogenesis of Mtb infections. To performed this work CD1 glycoprotein invivo modulation techniques were perfected via the use of CD1 ortholog specific synthetic antisense RNA, morpholinos, to down regulate CD1 protein translation. To stimulate CD1 expression upregulation, a pleotropic growth factor, FLT3-L, previously shown to upregulate CD1, was utilized. This chapter demonstrated that these compounds were able to alter CD1 expression *in vivo* when evaluated via flow cytometric analysis, immunohistochemically, and via altered qPCR profiles. Additionally, this chapter showed the ability to hamper the functional cytolytic activity of CD1-restricted T cells via CD1 down regulation. Although CD1 glycoprotein expression was altered, disease progression of Mtb in the guinea pig, as measured by lesion and bacterial burden, remained unaltered.

Collective these aims serve as the beginning investigative studies into two unique and potential promising arenas of translational Mtb research. The discovery that vitamin A resupplementation mitigates vitamin A deficiency within chapter 1 has launched a host of different investigations examining the ability of this essential micronutrient to not only prevent clinical TB but to also serve as an immunologic boost to enhance the efficacy of a variety of Mtb treatment regimens. Chapters 2 and 3 reexamined CD1 immunity and the utility of the guinea pig for lipid immunologic work. Though much work has yet to be done in this niche branch of immunology, these chapters lay the ground work and provide the new tools for future studies into potential efficacious and translatable lipid-based vaccination schemes. Tuberculosis,

caused by *Mycobacterium tuberculosis*, is a disease with global ramifications that requires innovative research to meet the new challenges on the horizon. This dissertation looked to meet those challenges by contributing new knowledge to two developing fields of immunologic research while also laying out the tools for future groundbreaking work.

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develop and perform world renowned research. Additionally, his novel CD1 reagents, synthetic Mtb lipids and funding were nothing less than instrumental to my own research and the completion of this dissertation.

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INTRODUCTION

Vitamin A Deficiency and *Mycobacterium Tuberculosis* Infections:

Vitamin A metabolism:

In 2017 Dr. Megan Murray demonstrated, through a nested case-control study design of household contacts, that vitamin A deficient patients correlated with a 10 fold increase in clinical active TB⁶. This correlation, and the inequitable distribution of this micronutrient deficiency, has made it an important risk factor for further investigation⁷. Vitamin A is a pleotropic, fat soluble, micronutrient that is well known for its instrumental role in epithelial homeostasis, vision and organogenesis; however, its role in immunology, and in particular infectious immunology, has yet to be fully elucidated. In collaboration with Dr. Murray, the Podell lab created a vitamin A deficient guinea pig model that replicates human subclinical vitamin A deficiency. Utilizing this novel animal model this dissertation aimed to answer key questions about this comorbidity including: changes in lesion burden, lesion morphology, ability of the bacteria to systemically spread, and overall bacterial burden. Additionally, we aimed to monitor the status and movement of vitamin A in sufficient animals to comprehend how and where vitamin A is utilized kinetically during a Mtb infection. By understanding the intricates of the immunopathogenesis of this essential vitamin we can aim to mitigate negative effects, or potentially harness vitamin A to aid in vaccinations or treatment regimens to provide a boost in immunologic functionality in a time of need. However, to accomplish this aim a basic understanding of vitamin A metabolism and functionality was needed.

Vitamin A, functionally known as retinol, is a lipid soluble vitamin that is essential for several different systems within the body. The body has evolved a complex system of metabolic pathways and mechanisms of movement in order to delivery retinol to cell of need and facilitate its essential functions. The only sources of vitamin A are dietary and include retinyl palmitate or

beta-carotene, which enterocytes can convert into retinol⁸. Once ingested by enterocytes the retinol is brought and stored in hepatic stellate cells in the form retinyl ester in lipid droplet formation⁹. When systemically needed retinyl ester is converted back to retinol, bound to retinol binding protein 4 (RBP4), a small molecular weight protein, and released into circulation. Importantly, RBP4 is highly regulated and linked to levels of retinol release, providing a potential monitoring of vitamin A movement¹⁰. Once released holo-RBP4 binds with transthyretin, which prevents filtration of holo-RBP4 through kidney glomeruli. Retinol is then brought to a variety of extra-hepatic cells via Stra6 with the apo-RBP4 dissociating from transthyretin and thus excreted through the kidney- providing another parameter for monitoring vitamin A movement.

After entering the cytoplasm of target cells, retinol needs to be converted into a biologically functional form. This required a series metabolic steps involving the conversion of retinol to retinal via retinol alcohol dehydrogenase, and then the rate limiting conversion of retinal to either all trans-retinoic acid (ATRA) or 9-cis retinoic acid (9-cis) acid via retinal aldehyde dehydrogenase¹¹. ATRA and 9-cis are the biologically active form of vitamin A, with ATRA being the predominant form, working at the level of the nucleus to stimulate cellular effects

Once ATRA or 9-cis transmigrates from the cytoplasm to the nucleus they induce cellular change via enhancement of transcription of genes via the binding to retinoic acid receptors (RAR), that can bind to ATRA, or retinoic X receptors (RXR), that can bind with 9-cis¹². Once these heterodimers are formed, they can go to bind with retinoic acid receptor elements (RARE) to induce increase target gene expression. Several isoforms of RAR and RXR exist to diversify the role that vitamin A can play in cellular function, growth and overall homeostasis (**Fig. 1**).

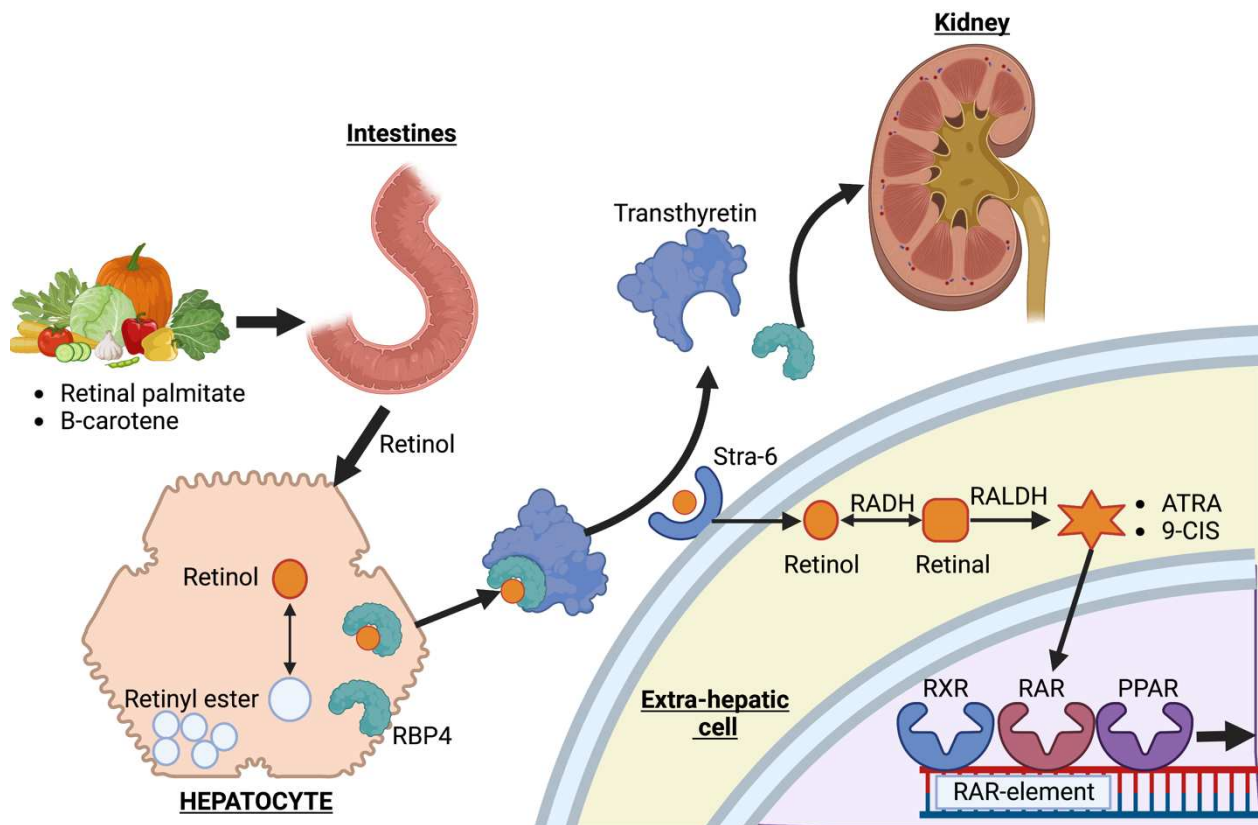


Figure 1. Retinol metabolism and movement. Dietary sources of vitamin A are absorbed by intestinal enterocytes, converted in to retinol and brought to the stellate cells and hepatocytes in the liver. Hepatic retinol is then either stored, as retinyl ester, or released into circulation via binding to retinol binding protein (RBP). Once in circulation RBP binds to tranthyretin to prevent premature filtration in the kidney. The RBP-tranthyretin complex deposits retinol to the cytosol of target cells via stra-6. Once in the cytoplasm retinol is converted, in a 2-step enzymatic reaction, to biologically functional forms ATRA or 9-cis. These functional forms of retinol then transmigrate to the nucleus to dictate transcriptional modification via DNA located retinol receptors (RAR, RXR or PPAR). Figure made utilizing Biorender.

Vitamin A immunological functionality:

Although the intricacies of vitamin A metabolism and its role in vision and cellular growth has been established its role in immunology is still an area of investigation. However, vitamin A has been found to have a key role to T cell diversity, maturation, and migration. Specifically, vitamin A has been found to be essential for Th17 cell differentiation and CD4+ T cell mediated

adaptive immune responses¹³. Not only does vitamin A seem to be an essential driver for Th17 differentiation but it also seems to skew inflammatory T helper cells from a Th1 to Th2 lineage^{14,15}. In addition retinol is essential for T cell functionality, with several studies demonstrating retinol is critical for CD4+ T cells processes. In one study, T cells in a vitamin A deficient state are less efficient in polyclonal activation and T cell receptor interactions¹⁶.

Vitamin A has profound effect on histiocytic cell lineages, with dendritic cells and macrophages acting as major producers of ATRA at sites of inflammation with studies demonstrating RXR heterodimerization being essential for macrophage survival and pathogen killing¹⁷. Retinol acts as a major regulator for dendritic maturation and inflammatory functions. Under non-inflammatory environments retinol signals induce dendritic apoptosis. However, under the influence of inflammatory cytokines retinol drives the maturation of dendritic cells and increased expression of MHC II complexes¹⁸. Despite this knowledge there is an ongoing debate within the literature as to whether retinol acts as a pro-inflammatory or anti-inflammatory mediator^{19,20}.

Retinol not only serves as an important nuclear factor for cellular differentiation and overall functionality, but has also plays a role in cellular migration, particularly in mucosal associated immunity. Retinol binding to RARX nuclear receptors in T cells displays increased expression CCR9 and $\alpha 4\beta 7$ integrins, essential for mucosal homing of inflammatory cells. Retinol binding protein itself has been shown to act as a potent chemokine for CXCR3 and CXCR5 on dendritic cells, driving them to the site of inflammation and retinol use²¹.

Cumulatively the literature demonstrate that vitamin A plays a complex, and at times varied, role in immunology. Retinols driving of both T cell and dendritic cell differentiation and functionality and its potent chemotactic abilities no doubt plays a complex role in infectious and bacteria disease pathogenesis. In fact, several studies have shown a precipitous decrease in retinol serum levels in the face of acute immunity^{22,23}. Whether that corresponds to utilization of

retinol at the site of inflammatory or lack of movement from hepatic storage needs further investigation. By further exploring retinol's complex role in immunologic processes, the better we can understand the effects of vitamin A deficiency for a myriad of infectious process.

Vitamin A role in *Mycobacterium tuberculosis* infections:

In-depth investigation of the intricate relationship of vitamin A status and Mtb infections is just now becoming an intense area of research. However, several studies have further investigated the role of vitamin A plays in the pathogenesis of TB. Invitro studies illustrate that ATRA inhibits the growth of Mtb of intracellular macrophages via the upregulated of NPC2 gene, a cholesterol regulator²⁴. *In vivo* models of transgenic mice furthered this work, establishing the increased efficacy of anti-tuberculosis drugs with ATRA coadministration²⁵. Lastly, several observational human cohort studies found that healthy individuals had a significantly higher retinol serum levels compared to TB infected vitamin A sufficient individuals²⁶. Collectively, these findings indicate that while vitamin A deficiency may serve as a major comorbidity to clinical active TB, correct supplementation of vitamin A may not only mitigate this comorbidity but act as a synergistic component to current and developing Mtb treatment regimens.

CD1 Immunology and *Mycobacterium Tuberculosis* Infections:

CD1 immunology background:

A hallmark of cellular immunity is the key interaction of antigen presenting cells (APCs) with T cells via MHCII glycoproteins and costimulatory molecules to drive leukocyte proliferation, cellular recruitment, and systemic changes via cytokine and chemokine release. The linchpin to this immunologic cascade, is the detection of protein antigens followed by loading of those proteins on to MHC complexes to stimulate T cells, via MHC class II, or allow for cytotoxic targeting of potentially infected cells, via MHC class I. This well-established dogma of protein based immunologic detection and response was, until recently, thought to serve as the only

source of APC driven T cell stimulation, primarily functioning under the adaptive immune umbrella. However, in the early 1990s CD1 glycoproteins were discovered, shifting that dogma and further expanding our understanding of immunologic detection of immunogenic antigens²⁷.

CD1 glycoproteins represent a family of antigen presenting, membrane bound proteins with homologous structuring to MHC class I found on a variety of APCs with the ability to present antigens to a variety of CD1-restricted T cell subsets. In contrast to MHC, CD1 is uniquely able to load and present a host of exogenous and endogenous lipid-derived antigens^{28,29,30}. Further contrasting MHC complexes, CD1 glycoproteins have a highly conserved tertiary structure, binding motifs, and ability to present antigens to CD1-restricted T cells^{31–33}. This non-polymorphic characteristic allows for a unique opportunity as we investigate and try to harness this branch of immunity for both infectious and autoimmune disease processes. First, the conserved nature allows for clear identification of specific CD1 isoforms with specific and conserved binding grooves, and how these binding capacities interact, load and present specific lipid antigens (**Table 1**). Second, the highly conserved nature allows for the possibility of particularly efficacious, population wide, therapies with little individual to individual variability.

Since the initial discovery of CD1 glycoproteins five isoforms have been discovered CD1a-e in humans^{34–36}. These isoforms have been divided into 2 functions groups, group 1 including CD1a-c and group 2 CD1d. In brief, group 1, found on APCs, thymocytes and specialized endothelium, have been correlated to infectious agent responses, such as *Mycobacterium tuberculosis* (Mtb), and exhibit inducible expression when lipid antigen is detected^{37–40}. Group 2, constitutively expressed on a variety of cells types, is correlated to primarily endogenous lipid detection; though new studies indicate a potentially larger role in microbial surveillance^{41–43}.

A major blockade to investigations into this distinctive branch of immunology is the relative lack of a highly translatable animal model. Small rodent models, under the suborder of myomorpha, rats and mice, lack the CD1 group 1 isoforms making these frequently utilized animal models ineffective for in-depth and translatable CD1 investigations. However, suborders lagomorphs, represented by rabbits, and cavia, represented by guinea pigs, have both functional groups with a similar isoform repertoire to humans^{44–46}. Several studies have utilized the guinea pig to further elucidate the functionality of CD1-restricted lipid immunology and the role of CD1 in several disease processes, particularly the lipophilic bacteria *Mycobacterium tuberculosis*.

In this review, we will evaluate the current comparative knowledge base of CD1 glycoproteins both in humans and in the guinea pig. We then will examine the current understanding of CD1-restricted immunology, emphasizing CD1 protein to CD1-restricted T cell interaction and CD1 T cell diversity and functionality. Finally, we will discuss the current understanding of CD1 immunology in relation to *Mycobacterium tuberculosis* infection in the human and guinea pig as well as highlighting the current gaps in knowledge in relation to CD1 immunopathogenesis.

Table 1. Currently known lipid specific antigens for individual CD1

CD1a		CD1b		CD1c		CD1d	
Antigen	Origin	Antigen	Origin	Antigen	Origin	Antigen	Origin
Sulfatide	Self	Sulfatide	Self	Sulfatide	Self	Sulfatide	Self
Phosphoglycerolipids	Self/ foreign	Gangliosides (GM1, GD1a, GD1b, GT1b, GQ1b)	Self	Mannosyl-β1-phosphodolichol	Self	Isoglobotrihexosylceramide	Self
Didehydroxymycobactin	Mtb	Glucose monomycolate	Mtb	Unknown antigen on human APC	Self	α-galactosylceramide	Self
wax ester	Self	Lipoarabinomannan	Mtb	Mannosyl-β-1-phosphomycoketide	Mtb	α-galacturonosylceramide	Bacteria
squalene	Diverse	Phosphatidylinositolmannosides	Mtb			α-glucuronosylceramide	Bacteria
		Diacylsulfoglycolipid	Mtb			1,2-diacyl-3-O-α-galactosyl-sn-glycerol	Bacteria
		Sphingomyelin	Self			phenyl-pentamethyldihydrobenzofuran sulfonates	Bacteria
		Mycolic acid	Mtb			Sphingomyelin	Self
		Lipomannan	Mtb			Gangliosides (GM1, GD1a, GD1b, GT1b, GQ1b)	Self
						Cardiolipon	Self
						Phosphoglycerolipids	Self/ foreign
						Lyso-phospholipids	Self/ foreign
						Ether lyso-phospholipids	Self
						α-Glucosyldiacylglycerol	Bacteria
						Pentamethyl-dihydrobenzofuran sulfonate	Chemical

CD1 structure and assembly:

Structurally all isoforms in humans have homologous structuring to MHC class 1 molecules with three extracellular domains composed of a heavy chain, composed of α_1 , α_2 and α_3 that non-covalently bind β_2 microglobulin (**Fig, 2A**)^{28,47}. One of the main questions initially posed is how these genetically non-polymorphic glycoproteins bind to a large array of endogenous and exogenous lipids; while in contrast MHC glycoproteins rely on genetic polymorphism for diverse antigen surveillance. This question was answered via creation of the crystal structure of each CD1 isoform. It was determined that each isoform has a binding channels A' and F'. With CD1b having two additional binding units the channel C' and connecting tunnel T'. Regardless of composition, all channels are found deep within the α_1 , α_2 molecules, in contrast to the superficial binding apparatus of MHC complexes⁴⁸ (**Fig. 2C**). These binding channels bind and hold varying long hydrophobic alkyl tails, while typically leaving a hydrophilic head group exposed for possible T-cell receptor (TCR) interaction. These hydrophilic head groups are commonly composed of carbohydrate, phosphate, sulfate or peptide moieties. To aid in binding of a variety of lipid antigens, the CD1 glycoproteins utilize endocytic environments to expose key structures of lipids and assist in loading of large hydrophobic lipid tails into binding grooves⁴⁹⁻⁵¹. To further direct their cellular trafficking many CD1 isoforms in the human, CD1b, c and d, have intracytoplasmic YXXZ tyrosine motifs. Whereas the Y would represent a tyrosine, and the Z representing a large hydrophobic amino acid^{47,52,53}.

Guinea pigs have both group 1 and group 2 CD1 glycoprotein functional groups, homogenous to humans. Further investigation reveals that guinea pigs have the CD1 isoforms; CD1b, CD1c, CD1d and CD1e, missing the CD1a isoform that are found in both humans and rabbits. Additionally, and in contrast to humans, guinea pigs have 4 orthologs of CD1b, CD1b1-4, and 3 orthologs of CD1c, CD1c1-3 (**Fig. 2C**). 6 of the 7 of these group 1 orthologs CD1

complexes have equivocal tyrosine motifs^{54,55}. Several studies have identified structural differences in these orthologs, leading to functional differences and thus presenting plausible reasoning for why the guinea has such a wide group 1 CD1 repertoire^{56,57}.

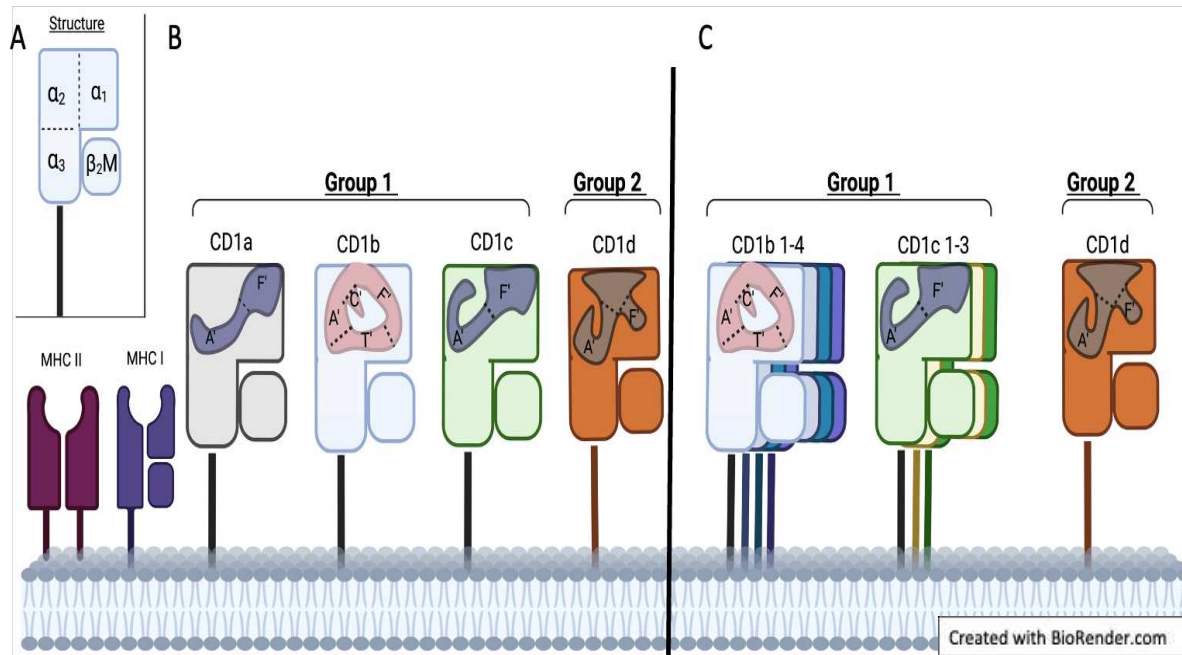


Figure 2. Human CD1 structure and isoform binding grooves. A) CD1 is homologous to MHC I and composed of a cytoplasmic tail, 3 α subunits and heavy β_2 microglobulin. B) each CD1 isoform has a different binding groove composed of a combination of A', F' and C' Channels with CD1b having a tunnel connects the A' and F' channels. Each isoform has a different binding capacity with CD1a having the smallest capacity and CD1b having the greatest capacity. C) Guinea pigs have similar CD1 isoforms and propose binding grooves to humans. However, guinea pigs have additional orthologs of CD1b, CD1b1-4, and CD1c, CD1c1-3, compared to humans. Additionally depicted in B) and C) are the two known function groups of CD1, group 1 composed of CD1a-c and group 2 composed of CD1d. These functional groups differ in overall expression and specific T cell subset that they interact with. Created using Biorender

CD1b:

CD1b isoforms in all species have the largest binding apparatus, measuring 2230Å, of all CD1 complexes, allowing for binding of large carbon alkyl tails up to 80c⁵⁸. The binding region contains three 3 binding channels A', F', C'. Channels A' able to hold 17.3 carbons, in α_2 , and F' able to hold 12 carbons, in α_1 , are connected by a tunnel structure T' able to hold 23 carbons.

The T' channel connecting the deep aspect of the A' channel to the superficial aspect of F' channel allows for escape of large alkyl tails through the F' portal^{28,59–61}. The unique C' channel allows for binding smaller lipids molecules, 16 carbons. Adding to the complexity of the binding groove of CD1b is a secondary entry and exit portal, C' portal, at the end of the C' channel. This double opened channel has been coined an “escape hatch” for large alkyl chain lipids that can't fit within the confines of the A', F' and C' channels. This hydrophobic channel complexity allows for CD1b to have a large diversity in binding potential of exogenous and endogenous lipids. In essence, CD1b unlike other CD1 isoforms is not confined to the volume of the binding groove due to its multiple escape routes of large alkyl tails^{59–61}. Lipid antigen detection and binding is broad, including phospholipids, glycosphingolipids, lipoglycans, mycolates, and diacylated sulfoglycolipids.

Guinea CD1b and the related orthologs, CD1b1-3, have similar binding channel structuring and binding potential as human counterparts. However, it has been exhibited that guinea pig CD1b4 lacks a cysteine amino acid in positions 131 and 145, which make up the functional structure of the C' portal; likely indicating an inability of this ortholog to bind large alkyl chain lipids like the human CD1b isoform. This difference of structure requires further investigation to determine differences in lipid binding ability and overall functionality^{48,54}.

CD1c:

Compared to other CD1 isoforms CD1c has an intermediate size binding capacity of 1780Å⁶². The binding apparatus is composed of two binding channels A' and F'. The F' channel along the superficial aspect of $\alpha 1$ has the largest portal opening, likely allowing for presentation of potentially larger head groups and lipoproteins⁶³. The A' binding channel has a convoluted, coiled shape that has been demonstrated to be essential for positioning alkyl tails appropriately for presenting the head group through the F' portal and interaction with CD1c-restricted T cell receptors⁶⁴. This structure allows for binding and presentation of glycosphingolipids,

phospholipids, mycoketides, phosphatidic acids and a host of un-defined headless lipids.

Structurally no differences in the guinea pigs three CD1c orthologs have been appreciated or reported in the literature.

CD1d:

CD1d has been identified in a variety of species, including humans and mice. It is the newest discovered CD1 isoform for the guinea pig. Like CD1c the binding channels include A' and F', with F' being superficial and in the $\alpha 1$ glycoprotein. In the human the overall binding groove is smaller than CD1b and CD1c with a total volume of 1650Å^{63,65}. The A' channel has a counter clockwise orientation, and may have a similar function to the A' channel in CD1c for ensuring properly orientated of the hydrophilic head of the lipid. Maximally the A' channel can bind a 24-carbon alkyl chain. The F' channel in contrast is straight in configuration, and can maximally bind 18 carbon alkyl chain^{28,65}. This asymmetry allows for high affinity binding of certain lipids such as glycolipids such as α -galactosylceramide, an extensively studied stimulatory lipid, and sphingolipids^{65,66}. Functional CD1d genes have been established within the guinea pig; however, the crystal structure of this species has yet to be investigated within the literature⁶⁷.

Isoform specific cd1 cellular trafficking:

Assembly and initial membrane presentation of CD1 glycoproteins is conserved among all isoforms and orthologs regardless of species. Initial assemble of CD1 occurs within the endoplasmic reticulum, requiring endogenous hydrophobic lipid chaperones to occupy the binding grooves, loaded via microsomal triglyceride transfer protein, to mediate proper folding and maturation^{68,69}. Following maturation, CD1 glycoproteins are glycosylated which allows for the association of CD1 with ER chaperone proteins calnexin and calreticulin^{49,70,71}. Once associated with these chaperone proteins CD1 quickly transmigrates from the ER to the Golgi

apparatus and then to the plasma membrane surface. Once at the cellular membrane each CD1 isoform in the human, and to a degree each ortholog in the guinea pig, have their own unique intracellular endosomal trafficking pattern.

Once at the cell membrane all CD1 isoforms enter the endosomal and endosomal recycling apparatuses, via clathrin coated pits. Although there is evidence that while on the cellular surface CD1 glycoproteins can bind to extracellular lipids within the cellular milieu, via CD1a and c^{72,73}. It has been determined that some isoforms such as CD1b and d have significantly lower efficacy of binding of antigen at the cell membrane when compared to binding within the intracellular environment^{74–76}. Several studies have examined the need of endosomal compartmentalization for highly effective lipid binding. Several studies postulate this being due to the need for intracellular processing of lipid antigens to allow for the loading to non-variant binding sites, the needed mechanism to remove the preloaded endogenous lipids during CD1 maturation in the endoplasmic reticulum, or in the case of late endosomes the need of an acidic environment to aid in the loading of the lipid antigens via denaturing of the CD1 binding groove. This ability to survey for lipids both on the cellular membrane and heightened surveillance via endocytic and phagocytic pathways allows complete monitoring of both endogenous and exogenous cells throughout the cellular compartments^{77–80}.

This type of cell surface-endosomal trafficking of CD1 glycoproteins is unique when compared to MHC class II proteins. In immature dendritic cells MHC class II is typically found in unique intracellular lysosome termed MHC class II compartment (MIIC), where several CD1 isoforms are also found. Upon activation and maturation of dendritic cells MHCII has a significant shift from MIIC to the cell surface with relatively little reinternalization into the intracellular space. However, upon activation CD1 isoforms in dendritic cells were still observable on the cell surface, in recycling endosomes, in early endosomes, in late endosomes and in MIIC^{81,82}. These studies indicate that CD1 traffics via independent mechanisms to MHCII

and may indicate the overt importance of CD1 distribution throughout many cellular compartments to provide complete lipid surveillance^{52,83}.

As mentioned, each CD1 isoform within the human has its own cellular-endosomal trafficking patterns after reaching the cellular surface (**fig. 3**). These unique trafficking patterns centers on previously eluded to cytoplasmic tail tyrosine YXXZ motif. This endosomal tagging motif binds to the U-subunit of adaptor protein-2 (AP-2), which directs the glycoprotein to early endosomal structures. Certain CD1 isoforms can further be distributed via the hydrophobic amino acid on the cytoplasmic tail motif allowing for association of the U-subunit in the AP-3 complex. AP-3 directs proteins to the late endosome, MIIC, or phagolysosome. Regardless of the distribution within endosomal compartments all CD1 isoforms make their way back to the cellular surface with either the inert endogenous ER loaded lipid or a lipid antigen that is permissive for interaction with the CD1-lipid restricted TCRs^{48,57,84}. Guinea pig CD1 isoforms has similarly cell trafficking; however, individual orthologs within CD1b and CD1c isoform families have trafficking differences which leave questions about the functionality of these orthologs.

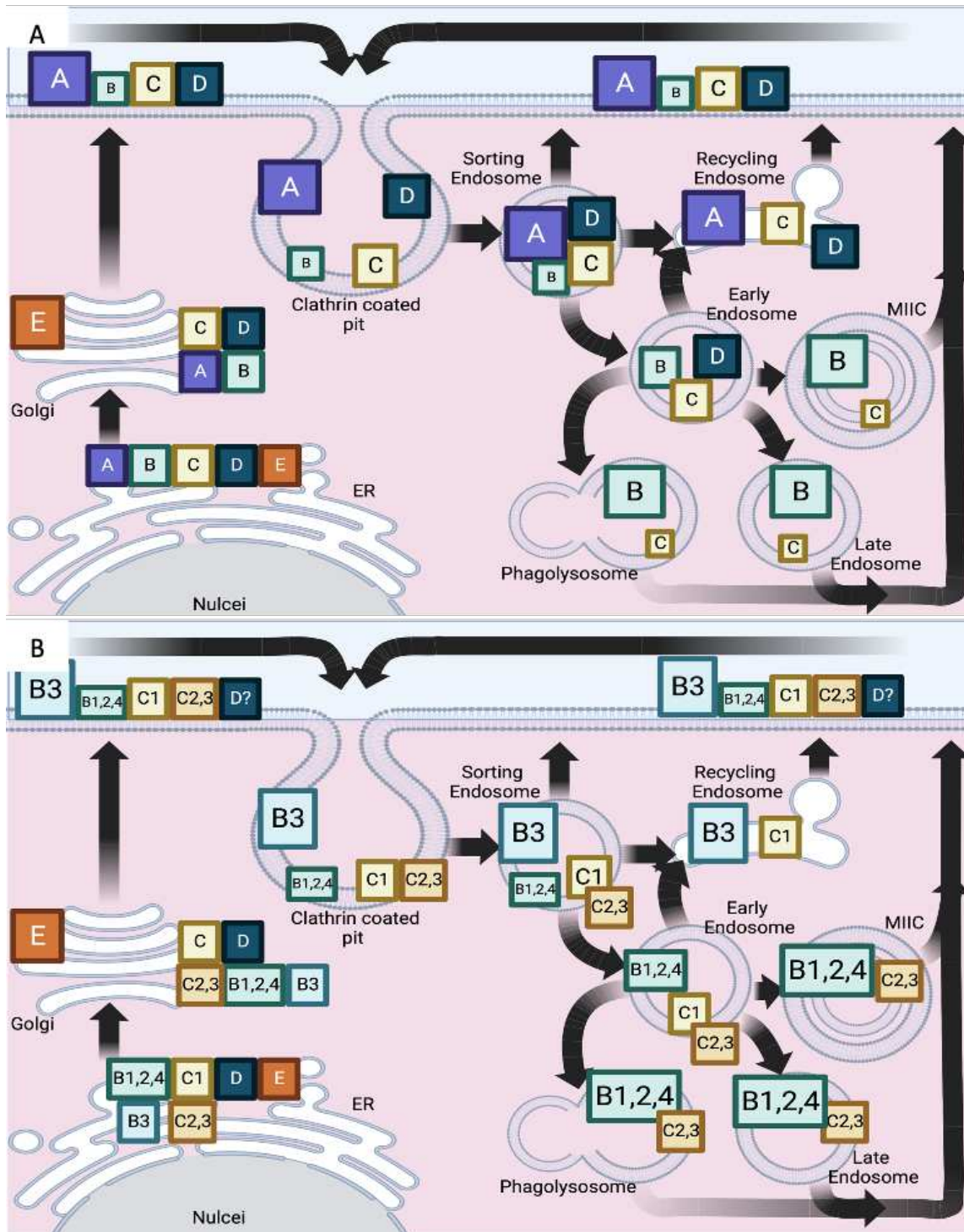


Figure 3. Human and guinea pig specific isoform specific cellular trafficking. A) Human CD1 isoform specific trafficking into early endosomes, late endosomes, phagolysosome or MIIC compartments. B) Guinea pig isoform and corresponding ortholog specific cellular trafficking into early endosomes, late endosomes, phagolysosomes, or MIIC compartments. Regardless of trafficking all CD1 complexes return to the membrane surface for interaction with CD1-restricted T cells. Created using Biorender.

CD1b:

In humans, that only have one CD1b ortholog, CD1b has the tyrosine YXXZ motif that can interact with both AP-2 and AP-3. Thus, CD1b has been observed on the cellular surface, as well as within early and late endosomes. This isoform favors late endosomal localization, with a relatively small ratio of proteins appreciated on the surface or in early endosomes (**Fig. 3A**). This late endosomal distribution seems to be essential for CD1b lipid loading due to its large binding groove. Several studies have demonstrated CD1b relative inability to load large alkyl chain lipids along the cell surface. This efficacy dramatically changes within the late endosome within an increasingly acidic environment leading to denaturing of the CD1 binding groove. The denaturing seems essential for large lipid hydrophobic tails to properly access and bind to the large hydrophobic groove of CD1b^{74,76}.

In contrast to human's guinea pigs have 4 CD1b orthologs, CD1b 1-4. Three of the four CD1b orthologs, CD1b1, CD1b2 and CD1b4, have similar YXXZ motifs to humans and have been demonstrated to have similar late endosomal trafficking patterns. Specific studies examining CD1b1 find this ortholog colocalizing with MHC class II proteins within late endosomes⁷¹(Fig. 3B).

Uniquely, CD1b3 lacks this essential tyrosine motif and has unique cellular trafficking pattern. The change in the motif is due to a single point mutation from TAT, codon for tyrosine, to TGT, a codon for cysteine. CD1b3, lacking the tyrosine motif is found almost exclusively on cellular surface and superficial recycling endosomal structures. This type of trafficking mimics that of human CD1a, which is the only CD1 glycoprotein lacking the tyrosine motif in humans. Interestingly, guinea pigs lack CD1a, which may indicate an evolutionary adaption of CD1b3 for increased surface and recycling endosomal lipid antigen surveillance, like human CD1a. In humans, it has been demonstrated that CD1a shifts from the cell surface to predominately early

endosomal compartment during Mtb infections⁸⁵. Additional studies are needed to investigate if a similar shift with stimulation is appreciated in the guinea pig CD1b3^{54,55,71}.

Further contrasting gpCD1b3 from other orthologs is its high, constitutive expression on B cells in naïve animals^{71,86}. Although CD1 expression has been documented in humans B cells, this type of uniform expression is unique to guinea pig CD1b3 glycoproteins. The function of this expression and type of trafficking, with such a large binding groove, needs further investigation to elucidate overall significance.

CD1c:

In humans CD1c has the YXXZ motif and thus are found both on the cell surface and within endosomal compartments. Unlike CD1b, CD1c does not interact with AP-3 thus is primarily observed within the early endosome before returning to the cell surface via the early endosome compartments^{62,87}. Additionally, when compared to CD1b, CD1c had a high ratio of cell membrane to endocytic localization; whereas CD1b demonstrates an antithetical distribution. Interestingly, A small subset of CD1c is found in late endosomes, and colocalizing with MHC class II. This finding likely points to a secondary, though unknown, mechanism for endosomal distribution of CD1c^{57,62}. Studies have investigated the need of endosomal location of CD1c and the ability of CD1c to present lipid antigens. This included blocking endosomal acidification and observing that antigen presentation via CD1c was relatively unaffected, while decreasing CD1b presentation. This study further adds to the credence that CD1b and CD1c survey different cellular compartments, and that like CD1a, CD1c can effectively bind lipids within the cellular milieu⁸⁸.

Less is understood about guinea pig CD1c cellular trafficking, with one major limitation being limited antibodies to colocalize all three CD1c orthologs⁸⁹. However, two studies were able to determine that CD1c2 and 3 have similar trafficking to human CD1b^{54,71}. This could

indicate that these guinea pig CD1c orthologs are able to interact with AP-3, or rely heavily on an unknown mechanism to descend into deeper intracellular compartments. Additional studies to localize CD1c1 trafficking as well as the significance of deeper trafficking of CD1c2 and 3 are needed.

CD1d:

CD1d has been most extensively studied in the mouse and human, and is the most recently identified CD1 glycoprotein in the guinea pig. Within humans CD1d contains a tyrosine motif that lacks the inability to bind to the AP-3 endosomal tag that drives late endosomal distribution. This indicated that human CD1d is predominately found on the cell surface and early endosomes matching a similar CD1c distribution^{90,91}. Several studies have affirmed this functional distribution with loading and presentation of endogenous lipid not being affected by endosomal trafficking⁵⁶. However, exogenous lipid presentation does require some degree of endosomal trafficking, and is markedly decreased when the tyrosine tail motif is removed⁸⁸.

This trafficking stands in marked contrast to mice, which have a motif that can bind to the AP-3 endosomal tag, thus allowing for late endosomal trafficking. Studies in the mouse found that both endogenous and exogenous lipid presentation required endosomal trafficking. There is speculation on the ability of mouse CD1d to traverse all endosomal compartments to compensate for the lack of other group 1 isoforms of CD1^{65,92}. For the guinea pig, and other animals, one study by Lorrinh Van Beeck Et al, found functional CD1d transcripts; however, additional studies are required to elicit the cellular trafficking and functionality of this CD1 isoform in the guinea pig⁶⁷.

CD1e:

Relatively little is known when comparing human and guinea pig CD1e glycoproteins. In brief for what has been established in humans, CD1e has been shown to have a unique trafficking

pattern compared to other CD1 isoforms. Unlike CD1a-d, CD1e never migrates to the cellular surface to be continually cycled through endosomal pathways; but rather accumulates within the Golgi apparatus after transmigration from the ER. Only in maturing and/or activated antigen presenting cells does this accumulation shift from the Golgi apparatus to late endosomal compartments^{93,94}. This continual internalization and movement to endosomes adds to the theory that both insoluble and soluble CD1e could play an invaluable role in the lipid loading process for CD1b and Cd1d glycoproteins^{95,96}.

Lipid-antigen presentation and TCR interaction:

Several different antigen presentation models have been proposed and validated to address the central question of how highly conserved antigen presenting proteins, such as CD1, can present a diverse array of lipids and stimulate polyclonal CD1-restricted T cells. The first discovered model, examining CD1b and CD1d, is akin to the MHC antigen presentation dogma. In this model CD1b loads a lipid antigen via the lipid's hydrophobic alkyl tails, which exposing its unique head group. A heterodimer TCR would interact with this unique head group and CD1 protein to stimulate antigen specific T cell activation⁹⁷⁻⁹⁹(**Fig. 4D**).

A second validated TCR interaction model, is the model of interference. This model examines CD1a binding ability and noted that many of CD1a loaded lipids lack large head groups for TCR interactions^{100,101}. Further investigation of the crystal structure of the TCR and CD1a interaction suggested that the TCR interacts with CD1a protein itself and not the specific lipid antigen. In anthesis to the head group TCR model, it was discovered that lipids with large head groups interfere with TCR CD1a interaction. The key to this theory lies in the asymmetry of the F' portal for antigen binding (**Fig. 4A**). Small lipids with little to no head groups bind, without protruding headgroups from the F' portal on the left of $\alpha 1$, leaving $\alpha 2$ exposed for TCR

interaction; however, a large lipid with a prominent head group will protrude from the F' portal and block intimate association of $\alpha 2$ subunit of CD1a with the TCR^{98,100,101}.

A final and emerging TCR interaction model relies on the theory of CD1 remodeling. This theory is based on antigen presentation from CD1c. It postulates that binding of a lipid in the CD1c binding groove modifies CD1c, opening a new portal on the right side of $\alpha 2$, the G' portal. This structure change to CD1c allows for speculation that the lipid antigen structures changes CD1c leading to the ability to interact with CD1c restricted TCRs, with no need for specific lipid antigen interaction^{98,102–104}.

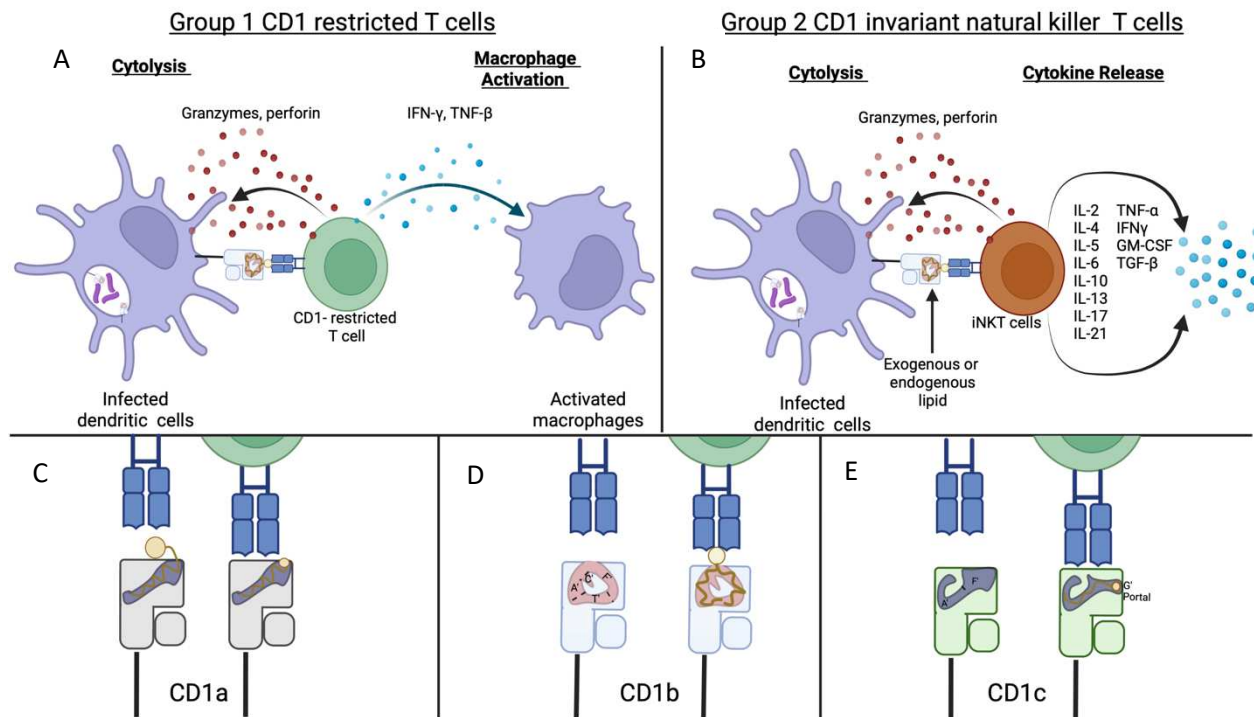


Figure 4. T cell- CD1 complex interaction. A) Hypothesized mode of T cell activation, and release of corresponding cytokines and granzymes follow interaction with lipid CD1 group 1 complexes. B) Hypothesized mode of iNKT cell activation, and release of corresponding cytokines and granzymes follow interaction with lipid CD1 group 2 complexes. C-D) hypothesized/validated methods of TCR-CD1 interaction. C) Proposed method of TCR CD1a interaction, interference method. Lipid antigens with large head groups block interaction of the TCR with CD1, while lipids with small head groups are permissive. D) Head group interaction method, the heterogeneous headgroup acts as a specific source of T cell interaction. E) Complex modulation, Lipid antigen structurally modifies the complex all for direct TCR complex interaction.

CD 1- restricted t cells:

Knowledge of CD1-restricted T cells have been limited compared to the foundational work done on the actual lipid antigen presenting protein, CD1. However, recently advances in CD1 isoform tetramers, and synthetic lipids, have allowed for innovative work leading to a marked expansion of knowledge regarding these cells and their related TCR structures. It has been established that each functional group of CD1 proteins, group 1 and 2, have their own subset of T cell population and thus deviate in their functional immune responses.

Group 1 CD1 T cells:

Group 1 CD1-restricted T cells are composed of variable polymorphic $\alpha\beta$ TCR T cells and rarely $\gamma\delta$ TCR T cells with a distribution of CD4+ and CD8+, double positive and double negative cells (DN)¹⁰⁵. These lipid restricted T cells are homologous to MHC restricted counterparts and have been shown to differentiate into both T helper cells or into Th1 or Th2 cells. Upon activation and proliferation many of these cells have been shown to produce large quantities of IFN- γ and TNF- α . A large portion of these activated cells show a propensity for cytolytic actions^{106,107}.

Human CD1 tetramers have allowed for a degree of investigation of the proportion of these specialized T cells subsets within the generalize T cell population and distribution of the cells within tissues^{103,108,109}. Initially group 1 CD1-restricted T cells were thought to be rare, to a percentage deemed potentially biologically insignificant; however, CD1-restricted T cells have been appreciated in the peripheral blood ranging from 0.01 to 10% of the total T cell population^{87,110,111}. Additionally, high numbers of auto-reactive and allergen induced CD1a T cells have been appreciated within the dermis, potentially indicating a specialized role of CD1a to lipid surveillance and immunopathogenesis within the skin^{112,113}.

The use of tetramers has also allowed for evaluation of the previous dogma that all group 1 CD1 T cells have polymorphic TCRs. CD1b tetramer isolated T cell subsets reactive to Mtb glucose monomycolate, coined GEM T cells, have a relative invariant α chain, TRAV1-2, which is similar to the invariant NK cells which will be discussed with CD1d^{31,114}. Further investigation found that slight variation in the β chain allows for differentiation of antigen selection between glucose monomycolate and mycolic acid. This highly conserved TCR, found to be conserved in all donors from polyclonal T cells populations, has exciting implication for mycobacterial immunology, diagnostics and treatment methodologies that will be discussed in later sections^{31,115}.

As mentioned beforehand, there is a consistent endosomal CD1 trafficking regardless of dendritic cell maturation, which is in contrast to MHC proteins⁸². Given this difference, there is evidence that CD1-restricted T cells may be able to detect and proliferate faster than MHC-restricted T cells. This potential stark difference in activation abilities of immature dendritic cells may allow for proliferation of CD1-restricted T cells at the site of inflammation rather than tertiary lymphoid structures such as regional lymph nodes. Proliferation at the local site of inflammation may explain the relatively small populations of peripheralized group 1 CD1 restricted T cells appreciated in individuals regardless of their clinical state⁸¹.

Much of the Group 1 T cell work has been done within *in vitro* human cell culture or transgenic mice. A few studies have examined T cell responses in the guinea pigs assessing cellular proliferation abilities and cytotoxic effect¹¹⁶(**Fig. 4A**). However, more *in vivo* and ex-vivo studies are needed within the guinea pig to establish the role of group 1 T cells, and establish any true species differences between the guinea pig and what has been establish in human cell culture and observational clinical studies. One promising technique, which has been utilized in humans, and is now available for the use in the guinea pig are CD1 isoform and homology specific tetramers via the NIH tetramer core. Utilizing these tetramers, one could examine CD1-

restricted T cells in a lipid antigen specific manner *in vivo* via immunohistochemistry, ex-vivo via flow cytometry, and isolate these population via a cell sorter. However, to the knowledge of the authors there is limited use of these innovative guinea pig specific tetramers in the literature. Investigative studies are still required for the innovative guinea pig tetramers such as creating and validating tetramer lipid binding protocols, establishment of a validated guinea pig CD1-restricted T cell line for use a tetramer loading and binding control, as well as making a robust measure of guinea pig T cell activation, such as IFN- γ Elispot or quantitative IL-2 assay.

Group 2 CD1-restricted T cells:

Group 2 CD1-restricted T cells are driven solely by CD1d lipid antigen presentation and termed either type 1 natural killer cells, invariant natural killer cells (iNKT cells), or type 2 natural killer cells. Type 1 iNKT cells, representing 0.3-1.5% of peripheral T cells in the mouse, have semi-invariant TCR with a highly conserved α chain, TRAV, and limited variable β chain, TRBV^{117,118}. This invariant TCR allows for a highly conserved binding motif that is not only conserved in humans, but also in many mammalian species that possess CD1d proteins^{119,120}. Besides the invariant TCR iNKT cells have a number of unique properties. First, iNKT cell positive selection occurs via thymocyte mediated mechanism rather than the classic thymic cortical epithelium mechanism. This deviation in maturation allows for antigen mature T cells rather than traditional naïve T cell populations. Additionally, iNKT cells have the ability to rapidly respond to TCR interaction leading to almost immediate and copious cytokine response (**figure 4B**). This rapid TCR-mediated response leads to iNKT cells to commonly be referred to as the cross road of innate and adaptive immunity³⁵. Depending on the iNKT cell subset the cell can produce a large range of cytokines including: IL-2, -3, -4, -5, -6, -9, -10, -13, -17 and -21, IFN- γ , TNF- α , granulocyte monocyte-colony stimulating factor and several chemokines¹²¹. Compared to type I NKT cells relatively little is known about type 2 NKT, with the expectation

that upon activation they are thought to play a regulatory role for type NKT, other T cells subsets and B cells activation.

CD1d has been shown to respond to a host of endogenous lipids as well as exogenous microbial lipid to stimulate these NK cell subsets. One proposed reason of self-lipid detection by CD1d proteins is the lipid composite placed within the CD1d proteins will shift, from endogenous glycosphospholipids to lysoglycosphospholipids, when a cell is in an inflammatory environment or infected with a viral etiology. This shift in endogenous lipid composition can be detected by both type 1 and 2 NK cells and in congruence of a cytokine rich environment, such as IL-12 production, would lead to NK cell activation, iNKT cell cytokine production and proliferation¹²².

CD1 immunity and *Mycobacterium tuberculosis*:

As indicated throughout this review CD1 lipid-based immunity have been shown to play an essential role in surveying for foreign microbial lipids in the cellular milieu and within intracytoplasmic endocytic compartments. This niche branch has been shown to have an out-size role in the immunopathogenesis of *Mycobacterium tuberculosis* infection and tuberculosis^{123,110,124}. Many observational studies and invitro experiments have been performed in humans predominately on peripherally derived CD1 restricted T cells and histiocytic cellular populations. Many of these foundational studies found T cell activation, via IFN- γ ELISpots, and proliferation from infected individuals^{125–127}. Other investigations demonstrated that Mtb infections of dendritic cells augmented overall CD1 expression ex-vivo^{128–130}.

In the early 2000s the field of CD1-restricted T cells was revolutionized with the creation of CD1 specific tetramers. These reagents allowed for identification, isolation and study of antigen specific T cell populations in both healthy and Mtb infected individuals. Specific T cell subset have now been observed, with populations including Mtb lipid antigen specific T cells to

glucose monomycolate, mycolic acid, phosphomycoketides, and sulfoglycolipids. In several of these studies these populations were detected in MTB infected patients and not healthy individuals, indicating a proliferation of these T cell subset populations in the face of active Mtb infections^{131–133}. However, little is known about this branch immunology in the face of Mtb infection at the tissue level and how these lipid presenting proteins direct disease pathogenesis. This limitation stems directly from the fact that relative few rodent lab animals possess both group 1 and group 2 CD1 proteins. A transgenic mouse model, possessing orthologs of human CD1 isoforms, has been created but are not a natural model and have less translatable Mtb lesions.

The guinea pig, having a similar CD1 repertoire to humans and translatable Mtb lesions, served a perfect CD1 MTB model, with a plethora of studies performed in the early to mid 2000s performed by Steve Porcelli, Branch Moody, and Christopher Drascher^{33,134,135}. These innovative investigations and experiments lead to an understanding of lipid specific cellular cytotoxicity of CD1-restricted T cells and proliferation in guinea pigs immunized to the Bacillus Calmette-Guerin vaccine. These studies went further than the human literature performing interventional studies with Mtb lipid vaccines that demonstrated immunized guinea pigs with whole lipid Mtb extractions lead to decreased pulmonary pathology. Furthermore, these studies lead to the foundational creation of isoform and ortholog specific anti-guinea pig CD1 monoclonal antibodies, and CD1 isoform specific transfected guinea pig fibroblasts. However, the difficulty working with the guinea pig tissue and cells, as well as lack of a wide breadth of immunological reagents for this animal model have left many investigations yet to be performed to fully understand the role of CD1 lipid-based immunity in relation to Mtb infections and overall disease pathogenesis.

Concluding remarks:

Since the discovery of CD1, a myriad of understanding within this niche branch of immunity have been achieved; however, many gaps within this field remain to be answered. Though we understand the basics of CD1 cellular trafficking and lipid loading we still need to come to terms with the complex interaction of CD1-restricted T cells with CD1 molecules, such as what co-stimulatory molecules, like CD28 and CD40, lead to CD1- T cell activation. We also need to comprehend where these T cells interact with lipid antigen presenting cells and how they respond in an *in vivo* environment. Lastly, and most importantly we need to assess whether harnessing CD1 glycoproteins or CD1 restricted T cells can be utilized to aid in the control of a host of diseases ranging from infectious etiologies to autoimmune processes.

The guinea pig can play an outsized role in filling in the essential gaps of knowledge in the field of CD1 immunology, particularly in relation to Mtb infections. As mentioned, the guinea has been utilized to demonstrate that lipid vaccination effects pulmonary lesion burden with Mtb infected animals. However, many of these studies were performed using whole-lipid extract from bacteria, which have the high potential of protein-antigen contamination potentially confounding findings. It is the opinion of these authors that the guinea pig model should first be utilized to appreciate the basics of CD1 lipid immunity at the tissue level of Mtb infected animals. This includes solidifying our understanding of the role of CD1 within the adaptive immunity via kinetic studies of CD1 APCs and CD1-restricted T cells at the tissue level. Then we can utilize this knowledge to assess the importance of CD1 in Mtb pathogenesis and whether this unique immune system branch can be exploited for prophylactic vaccine approach or mid-infection intervention.

If a CD1 lipid-based treatment is found to be efficacious for decreasing Mtb disease burden CD1 immunology has several advantages that may aid to make it a more potential treatment compared to other vaccine routes. This lies in the basis of CD1 glycoproteins non-

polymorphic characteristics. These highly conserved CD1 proteins are invariant from individual human to human, and in large part from humans to animals. This nature could allow for one, highly translatable animal model studies, and two, a degree of efficacy of these treatments throughout the otherwise diverse human population. It is the authors hope that renewed interest in the guinea pig, and advancement of immunologic guinea pig reagents, will allow for additional investigation that will no doubt lay the groundwork for the assessment of Mtb lipid-based vaccines, and treatment schemes that could have profound effects of controlling deadly Mtb infections.

CHAPTER 1: The role of Vitamin A in the immunopathogenesis of *Mycobacterium tuberculosis* infection in a guinea pig model¹

¹ Parts of this chapter have been previously published and are reprinted here with permission from: Podell, B. K., Aibana, O., Huang, C. C., DiLisio, J. E., Harris, M. C., Ackart, D. F., ... & Murray, M. B. (2022). The Impact of Vitamin A Deficiency on Tuberculosis Progression. *Clinical Infectious Diseases*, 75(12), 2178-2185.

This chapter contains new, never-before published, data including reanalyzed histologic quantification, retinol binding protein 4 quantification, and Nanostring gene expression work.

Summary:

Vitamin A deficiency is one of the most common micronutrient deficiencies in developing countries, with up to 50% of preschool-aged children deficient of this essential vitamin. Vitamin A serves a host of biological functions through its transcriptional nuclear activity and need for vision physiology. However, the role of this vitamin in immunology and a host of disease pathogenesises is still debated in the literature. In 2017, Dr. Murray, discovered that vitamin A deficiency is one of the predominate micronutrient comorbidity for clinically active *Mycobacterium tuberculosis* infections. This study aimed to build on this groundbreaking work to understand the interplay of vitamin A and disease pathogenesis of tuberculosis in a vitamin A deficient guinea pig model. Three treatment groups were infected with *Mycobacterium tuberculosis*, a vitamin A sufficient group, a vitamin A deficient group, and a vitamin A deficient group with reestablishment of a vitamin A sufficient diet during infection. Results demonstrated a breakdown of normal granuloma structuring, increased splenic lesion burden and increased splenic bacterial burden in deficient animals. Further cellular examination revealed a marked proliferation of lymphocytic populations, with skewed CD4 to CD8 T cell ratios, and aberrant genetic expression of key inflammatory mediator in deficient animals compared sufficient animals. Interestingly, vitamin A resupplemented animals are partially rescued from detrimental effect observed in vitamin A deficient animals. Collectively, these study shows dysregulation of

the complex inflammatory environment elicited in *Mycobacterial tuberculosis* infections, which furthers our understanding of Vitamin A deficiency as an important comorbidity for tuberculosis.

Introduction:

Mycobacterium tuberculosis (Mtb) the causative agent of tuberculosis (TB) was, until recently displaced by COVID-19, the leading cause of death for infectious diseases, causing an estimated 1.6 million fatalities in 2021^{3,136}. As with other diseases, pandemic disruption caused a precipitous breakdown in surveillance and treatment Mtb apparatuses leading to a 4.3% in infection rate of 10.6 million people in 2021. This rise in cases and fatalities coupled with the rise of multidrug resistant Mtb and a 100-year-old, variably efficacious, Bacillus Calmette-Guerin vaccine has steered Mtb investigators to reexamine TB comorbidities related to active disease states.

As of 2018, the WHO estimated that 1.7 billion people were infected but several studies classify up to 1/3 of these patients to be in a latent, non-clinical, phase of the disease. An intense area of study is focused on the comorbidities that cause a patient to either present as an active, clinical, infection or a latent non-clinical state. Several known comorbidities have been established such as a patient's HIV status and whether a patient is diabetic. HIV is the greatest known risk increasing the risk of active or reactivated infection 20 to 30 fold compared to non-HIV infected individuals, leading to 187,000 deaths in 2021^{3,5}. Other comorbidities have become of increasing interest for research to further help prevent clinical Mtb, such as malnutrition and in particular micronutrients. In 2017, Dr. Megan Murray, assessing disease status with micronutrient status discovered vitamin A deficiency led to a 10-fold increase in active TB⁶.

Vitamin A, retinol, is a fat-soluble micronutrient that undergoes hepatic and target cell metabolism to form retinoids including 9-cis-retinoic acid and all-trans retinoic acid (ATRA), the most biologically active form. The linchpin of retinoic acid functionality is based on the ability to

interact with nuclear retinoic acid receptors (RAR) and RA-X receptors (RXR) to enhance transcriptional activity of target genes. Through this action, vitamin A plays a multitude of roles to ensure physiologic homeostasis for bodily processes, particularly for epithelial cell integrity, organogenesis and vision. This micronutrient has essential roles within the immune system including, dendritic cell maturation, T cell differentiation and functionality as well as acting as an anti-inflammatory molecule via inhibiting M1 macrophage differentiation^{137,138}.

Vitamin A deficiency is known to cause a host of pathologic changes in children and adults, including multiorgan metaplasia and hyperkeratosis, nyctalopia, growth/dental abnormalities, and potential infertility. Unfortunately, vitamin A deficiency is considered to be one of the major nutritional deficiencies worldwide, with 190 million preschool and 19 million pregnant women considered to be deficiency as of 2005^{139,140}. Further compounding the problem is the unequitable distribution of this deficiency with prevalence being highest in regions with low socio-economic status¹⁴¹. Inopportunely, the distribution of this essential nutrient deficiency closely aligns with the region's most heavily affected by Mtb infections, which speaks to the relative importance of this comorbidity correlation². Although many symptoms of vitamin A deficiency are known, the interaction of vitamin A deficiency in relation to Mtb and the pathophysiology of progressive TB is unknown.

Utilizing our expertise of Mtb infected Dunkin Hartley guinea pig models, our lab designed a vitamin A deficient animal model to evaluate the pathophysiology of Mtb infections in the face of a subclinical vitamin A deficiency. This includes assessing changes in lesion morphology, bacterial burdens and changes in gene expression profiles from deficient and sufficient animals. By further understanding the interplay of this micronutrient deficiency and TB disease pathogenesis we may be able to prevent a portion of the population from having clinical disease, thus lowering the burden on already strained health care systems while potentially also stemming the rise of multidrug resistant strain of *Mycobacterium tuberculosis*.

Methods:

Vitamin A deficient diet administration:

Specific diet administration and diet composition is described in Podell et al., 2017²². In brief, to limit retinol liver storage capacities, neonatal Dunkin-Hartley guinea, 9 to 12 days in age. were obtained from Charles Rivers Laboratories (Wilmington, Ma). Guinea pigs were divided into 3 groups: vitamin A sufficient (VAS), vitamin A deficient (VAD) and Vitamin A resupplemented (VAD resupp.) (n=5 per group). All guinea pig received a similar dietary composition from (Dyets Inc., Bethlehem, PA). However, vitamin A, in the form of retinyl palmitate, was devoid in the diet composition fed to VAD and VAD resupp. animals. Quantification of vitamin A levels, evaluated via high-performance liquid chromatography, demonstrated 25,100 IU/kg and 100IU/kg of retinoid activity in sufficient and deficient diets respectively. Both VAD and VADresup animals were given oral doses of 100 units of retinyl palmitate to prevent clinically evident vitamin A deficiency, presenting as multiorgan metaplasia and hyperkeratosis. In the infection model, VAD resupp. animal's diets were switch at day 30 post infection to the retinyl palmitate diet in line with that VAS animals were receiving to allow for reestablishment of a vitamin A sufficient status.

Monitoring vitamin A deficient status of animals:

Assessment of vitamin A status from all guinea pigs was performed via biweekly evaluation of blood plasma obtained via intrathoracic blood draw. In depth procedures have been validated by Podell et. al²². In short, Guinea pigs were anesthetized via positive pressure intrapulmonary administration of 5% isoflurane. Once anesthetized, animals were positioned into dorsal recumbency and an 18-gauge needle was inserted into the 2nd to 3rd intracostal space to collect blood from the cranial vena cava, brachiocephalic artery or associated arterial offshoot. 1.5 to 3

mls of blood was collected and placed in an EDTA 7ml Monoject tube (Covidien, Dublin, Ireland). Blood was centrifuged at 1000X g for 10 minutes and plasma collected off the top of the cell pack and frozen at -80°C. Plasma retinol concentrations were quantified by HPLC via Michigan State University Veterinary Diagnostic Laboratories, with protocols detail in Podell et al²². Guinea pigs were considered to be in a vitamin A deficient state if plasma retinol level were at or below 20 ug/dl.

Mycobacterium infection and euthanasia:

Infection was performed with H37Rv obtained from BEI Resources (ATCC) and passaged in animal infections, grown to phase growth as pellicle in Proskauer-Beck broth, then explained to log-phase single cell suspension in Proskauer-Beck broth. Infection protocols follow previously described laboratory protocols^{142,143}. Low-dose, 20 bacilli, aerosol exposure of guinea pigs to *M. tuberculosis* was performed using the Madison chamber aerosol generation device (College of Engineering Shops, University of Wisconsin, Madison, WI). Infections were carried to 60 days post initial infection, ending with guinea pig euthanasia. Euthanasia was performed by anesthetic induction with 40 mg of ketamine and 6 mg of xylazine before intraperitoneal injection with an overdose of 3 mL/kg of sodium pentobarbital.

Tissue collection:

Once euthanized, animal tissues and cells were harvested which included alveolar macrophages by bronchoalveolar lavage, PBMCs from anticoagulated peripheral blood, and cell suspensions derived from lung parenchyma, as previously reported^{144,22}. In brief, one lung lobe was clamped off and removed and processed for PCR via RNeasy lysis solution (ThermoFisher, cat #: AM7020), protein extraction, or for bacterial enumeration by colony forming units (CFU).

Alveolar macrophages were collected via post mortem bronchoalveolar lavage utilizing an 18-gauge catheter and flushing with 25 ml of HBSS containing 0.01 μ M EDTA solution in 5 ml intervals. Following bronchoalveolar lavage, the remaining lung was perfused with 5 ml of collagenase at 5 μ g/ml (Sigma-Aldrich, Cat#: C9263) and incubated for 30 minutes in a 37°C water bath. The digested lung parenchyma was processed through 40 μ m cell strainers. Once strained, all samples were subjected to erythrocyte lysis solution for 20 to 45 seconds. PBMCs were collected via a left ventricular intracardiac blood draw directly following euthanasia, diluted 1:2 in HBSS and separated on a density gradient using Lympholyte mammal (Cedarlane, cat#: CL5110) per manufacturer's instructions. In brief, up to 6mls of obtained blood was diluted 1:1 in HBSS solution. The diluted blood was added to a 15ml conical tube containing 3mls of Lympholyte at a 45° angle as to maintain separation of blood and the Lympholyte. Samples were then centrifuged at 800XG for 20 minutes with no decelerating brake. Lastly the separated buffy coat, PBMCs, were collected and washed.

Histologic assessment and quantification:

Sections of lung, liver and spleen were fixed in 4% paraformaldehyde for 24 to 72 hours then transfer to PBS solution for long term storage. Trimmed specimens were routinely processed, sectioned at 5 microns, collected on charged slides, and stained with hematoxylin and eosin (HE) for evaluation by light microscope. Cut sections were digitized at 20X magnification via an Olympus VS120 (Olympus, Shinjuko, Japan) or a Vectra Polaris spectral imaging scope (Akoya, Boston, MA). Histologic morphology and burden quantification were quantified via Visiopharm software (Visiopharm, Medicon Valley, Denmark). Quantification relied on area lesion and lesion characteristics including necrosis, fibrosis, lymphoid aggregates, and mineralization as compared to whole lesion area and/or whole tissue area.

RNA extraction and nanostring gene expression analysis.

Freshly isolated lung tissue from all guinea pigs was minced in RNALater (Sigma, Cat#: R0901), incubated at 4°C for 24 hours, then frozen at -80°C until RNA extraction. Tissues were thawed at room temp and ~50 mg of tissue homogenized using Lysing Matrix A tubes (VWR, cat# 75784-610) in a bead beater instrument at full speed for 20 seconds twice (MP Biomedical, C321001). Proteinase K was added to the homogenate, incubated at 55°C for 15 minutes, then RNA was extracted with the RNeasy mini kit (Qiagen cat. 74106) per manufacturer's instructions. After Qiagen protocol completion nucleic acid was eluted from the column, digested with DNase-I for 30 minutes at 37°C, then purified again using the RNeasy mini kit protocol. Sample concentration and 260/280 ratios were measured using a Nanodrop spectrophotometer. Isolated samples with an RNA concentration of greater than 100ng/ul and 260/280 ratio of between 2 and 2.2 were deemed acceptable and saved at -80°C. Once all tissue RNA extraction was complete sample were sent on dry ice over night to the University of Utah for Nanostring gene expression quantification. The gene list and associated accession filing are provided in supplemental material (sup. table 1). Received data was analyzed utilizing Nanostring nSolver, providing fold change volcano plots. Unsupervised heatmaps were generated using Shiny's reactivity with built-in functions using R version 4.2.1, created in part by Forrest Ackart¹⁴⁵.

Assessing retinol binding protein 4 liver and plasma concentrations

Isolated tissue sections frozen at -80°C are thawed and placed in a lysis matrix A tube (VWR, cat# 75784-610) with 600ul of IP buffer (ThermoFisher, cat # 87787) and homogenized using a bead beater (MP, cat# C321001) at full speed for 10 secs. Samples were then centrifuged at 10,000x G at 4°C for 10 minutes, followed by isolation of protein supernatant. All samples were

then quantified utilizing Pierce BSA protein assay kit (ThermoFisher, cat# 23225) following manufacturer instruments and read on a spectra max plate reader M2 (Molecular devices, San Jose CA) at an absorption of 562nm. All sample were standardized to 10ug/ul. 5ul of XT 4X sample buffer (Biorad, cat# 1610791) was added to samples which were denatured via a boiling of solution for 10 minutes at 95°C. While samples were boiled SDS page criterion XT Bis-Tris page gels (Biorad, cat# 3450124) were prepared in a criterion vertical electrophoresis cell (Biorad, cat# 1656020) with 1X mops running buffer (Biorad cat# 1610788). After denaturing, samples were pipetted into gel wells followed by 1ul of chemiluminescent magic mark ladder (ThermoFisher, cat# LC5602) and 5ul of chromogenic ladder (Biorad, cat# 1610394) to the last well. Gel electrophoresis was run by PowerPac (Biorad, cat# 1645052) at 180V constant. Once gel electrophoresis was complete protein samples were transferred to a PVDF protein membrane (Biorad, 1620177). Membrane transfer was performed via criterion blotter (Biorad, 1704070) onto PVDF at 100V constant for 45 minutes. Following transfer, the membrane was then blocked-in block buffer (1X TBST, 0.05% 20tween, 5% BSA) for thirty minutes on rocker at room temperature. Block was then decanted and retinol binding protein 4 antibody (Proteintech, cat# 11774-1-AP) was added to the membrane at 1:300 in block buffer on a rocker overnight at 4°C. The next day the membrane was washed in wash buffer (1X TBST, 0.05% 20tween) 3 times. Membranes were then incubated with the secondary HRP antibody (ThermoFisher, cat# 31460) for 2 hours at room temperature on a plate rocker. Lastly the membrane chemiluminescence was developed (ThermoFisher, cat# P132106) according to manufacturer instructions. Membranes were imaged on a ImageQuant LAS 4000 biomolecular imager (GE, Boston MA) at an exposure time ranging from 10-30 seconds. Image analysis and band density quantification was performed via imageJ (NIH) freeware.

Flow cytometry:

Flow cytometry was performed as described in the Podell et al. 2022 manuscript²². In short, Pulmonary leukocytes, collected via bronchoalveolar lavage, granuloma-associated leukocytes were collected, counted, processed and assessed. The conjugated monoclonal antibodies described in (Supp. Table 1²²). Non-viable cells were excluded using the Zombie Yellow viability dye (BioLegend). After labeling, cells were fixed with 4% paraformaldehyde for 10 minutes and data was collected using the Aurora spectral cytometer (Cytek). Leukocyte phenotypes were identified after gating on scatter, single cells, viable cells, and CD45 positive leukocytes (Supplementary Figure 5). Phenotypes are expressed as percent of total leukocytes, lymphocytes, or myeloid cells.

Enumeration of bacterial burden:

Lung, and spleen bacterial burden was quantified as previously described¹⁴⁶. In brief, tissue homogenate was plated on 7H11 agar quad plates in 5-fold serial dilution and colonies counted after 8 weeks of incubation. Colony-forming units were log₁₀-transformed and expressed as colony-forming units per gram of tissue.

Statistical analysis:

Statistical analysis on flow cytometric and CFU enumeration are described in Podell et al., 2022. Statistical analysis for quantitative histologic analysis was performed via a one-way ANOVA with Dunnet correction for multiple comparisons. Analysis of RBP western blot data was performed using a two-way ANOVA with a Sidak correction for multiple comparison. Statistical significance

for fold change of gene expression was performed and calculated utilizing Nanostring Nsolver V4.0.

Results:

Guinea pigs are able to become vitamin A deficient through dietary modifications.

All neonatal animals are considered to have a vitamin A deficient state at time of arrival from Charles River Laboratories, when compared to older VAS guinea pigs (Sup. fig 1A). Following 12 weeks of a vitamin A deficient diet all VAD guinea pigs reach the limit of detection for retinol serum and hepatic levels, indicating a deficiency (Sup. fig. 1B & 1C). Unfortunately, pilot initial studies found this level of vitamin A level to have clinical manifestations of vitamin A deficiency including systemic metaplasia and keratosis of visceral organs (Sup. fig. 2). To correlate to sub-clinical vitamin A deficiency seen in humans, a subset of guinea pigs were supplemented with a weekly dose of 100 units of retinyl palmitate VAD sup.

Vitamin A sufficient animals lose serum retinol in the face of acute Mtb infection

Retinol serum values were assessed on a biweekly basis to measure changes of retinol status of all three treatment groups (**Fig. 5A²²**). As expected, VAD animals, who obtained deficiency prior to infection, maintained their deficient state throughout infection being below detectable limits, 20ug/dl, by 20 days post infection. Unexpectedly, results were observed in VAS guinea pigs. During the innate immunity and extending well into the adaptive immunity VAS animal serum retinol drops, with a peak reduction of 40% reduction in retinol levels ($13.94 \text{ ug/dL} \pm 6.73$, $P= 0.24^{22}$) by 20 days post infection. Serum retinol is able to rebound as VAS animals enter the early adaptive time point, day 30 post infection, reaching peak recover by day 40 post infection.

VAD guinea pigs lose vitamin A storage capacity in liver tissue.

To evaluate the effect of a vitamin A deficient diet on retinol storage capacity in the face of a Mtb infection samples of liver were submitted for retinol quantification from both naïve and infected animals. In both naïve and infected guinea pig groups VAD animals had significantly depleted liver retinol storage ($P \leq 0.01^{22}$). In conjunction with VAS retinol serum levels, the overall retinol storage capacity of infected VAS guinea pigs was decreased when comparing VAS naïve animals (Fig. 5B²²).

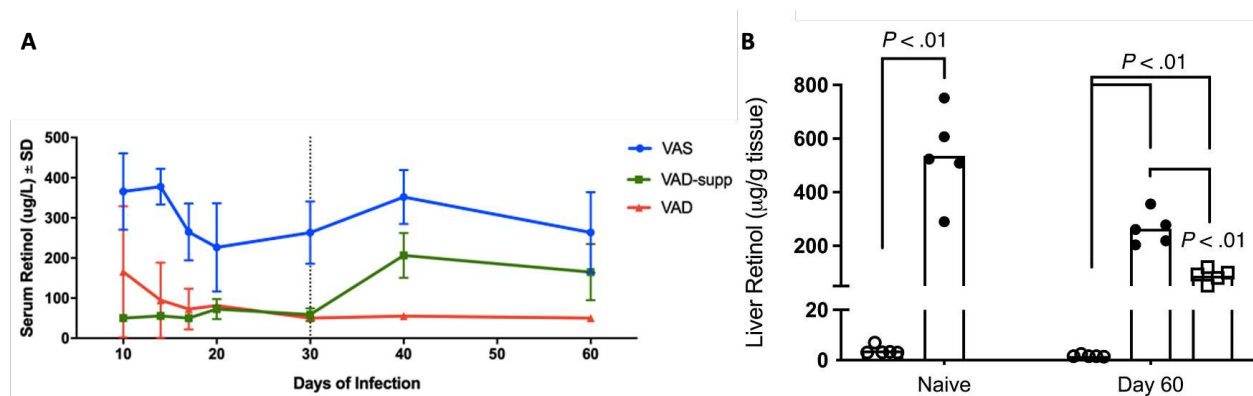


Figure 5. Impact of *Mycobacterium tuberculosis* infection of serum and liver retinol levels. A) Serum retinol concentrations measured in duplicative experiments over the course of infection. B) Liver retinol stores measured at day 60 of infection compared with liver retinol concentrations in age-matched naïve guinea pigs. Open circle, vitamin A deficient animals; close circle, vitamin A sufficient animals; open squares, vitamin A re supplementation animals. Reproduced from Podell et al. 2022²².

Retinol binding protein 4 distribution shifts in vitamin A deficient guinea pigs

To further comprehend the changes of retinol metabolism and movement during an infection we assessed and quantified retinol binding protein 4 (RBP4). This essential protein for retinol circulatory movement is synthesized in the stellate cells and hepatocytes and is released into circulation with retinol (holo-RBP4). In congruence with retinol serum data, RBP4 distribution shifts are observed in VAD to VAS guinea pigs in both naïve and infected groups. Based on western blot analysis there is a significant decrease in terminally collected serum distribution of retinol binding protein in both VAD naïve ($P \leq 0.01$) and infected animals ($P \leq 0.001$) compared to VAS animals. Interestingly, the resupplemented animals although able to partially recover serum retinol, still had significantly decreased serum RBP4 concentrations ($P \leq 0.05$) (**Fig. 6A**). In stark contrast to the serum RBP4, liver RBP4 VAD animals were significantly higher than VAS in both naïve animals ($P < 0.0001$) and infected animals ($P < 0.001$). In concordance with terminal serum data is the alignment of the VAD resupp. treatment group with the VAS animals rather than the Deficient as observed (**Fig. 6B**). Images of western blot analysis are provided in the data supplement (Sup. Fig 3).

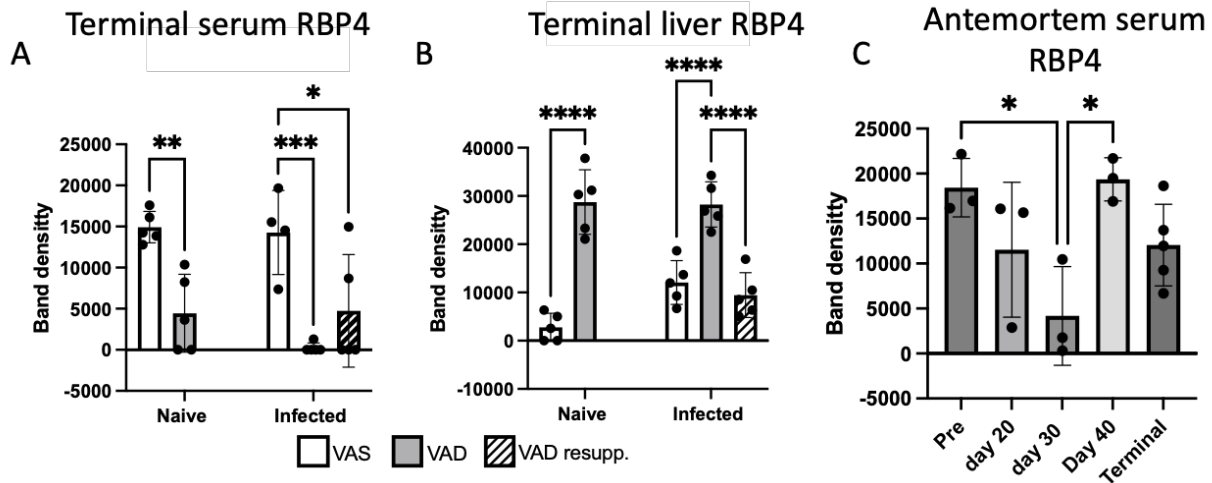


Figure 6. Impact of *Mycobacterium tuberculosis* infection on retinol binding protein 4 levels. Retinol binding protein 4 (RBP4) protein concentration was assessed via western blot techniques. A) Terminal serum RBP4, 60 days post infection, concentrations between naïve and infected VAS, VAD and VAD resupp. animals. B) Terminal liver RBP4, 60 days post infection, concentrations between naïve and infected VAS, VAD, and VAD resupp. animals. C) Antemortem RBP4 concentrations of VAS animals throughout the course of the study. Naïve animal cohort had no VAD resupp. treatment group. * $P \leq 0.05$, ** $P \leq 0.01$, *** $P \leq 0.001$, **** $P \leq 0.0001$; two-way ANOVA and Sidak correction test.

Retinol binding protein 4 shifts kinetically during Mtb infection in vitamin A sufficient guinea pig.

To investigate the observed, and unexpected, significant drop in serum retinol levels in infected VAS animals during the innate and early adaptive immunity of Mtb infections, serum RBP4 concentrations were analyzed via obtained antemortem blood draws. As with the terminal RBP4 serum levels, the antemortem RBP4 closely mirrors the findings of aberrant loss of serum retinol, with the largest loss of RBP4 protein at day 30 post infection. Preinfection sufficient RBP4 is significantly higher than day 30 post infection RBP4 protein concentration ($P \leq 0.05$). RBP4 returns to or above pre-infection levels swiftly, in line with observed rebounding of retinol serum, at 40 days post infection. The recovery of RBP4 is significantly higher than day 30 post infection RBP4 level ($P \leq 0.05$) (Fig. 6C).

Vitamin A status changes lesion morphology but not overall lesion burden at the primary site of infection.

To assess the effect of Vitamin A status on tissue pathology H&E slides were cut processed and analyzed via quantitative software, Visiopharm. Lung tissue sections were analyzed for overall lesion burden and lesion morphology, including degree of lymphocytic infiltration, and degree of lesion necrosis. Regardless of vitamin A status, all animals had relatively consistent lesion burden of approximately 15% of the whole lung section (**Fig. 7A**). Lesion morphology both quantitatively and visually were vitamin A status dependent. Necrosis is significantly higher in VAS animals than both VAD and VAD resupp animals ($P \leq 0.01$) (**Fig. 7B**). Interestingly, and of unknown significance, VAD resupp. animals had increased lymphocyte infiltration in the granuloma compared to both VAD and VAS animals, being significantly higher than VAD animals ($P \leq 0.05$) (**Fig. 7C**).

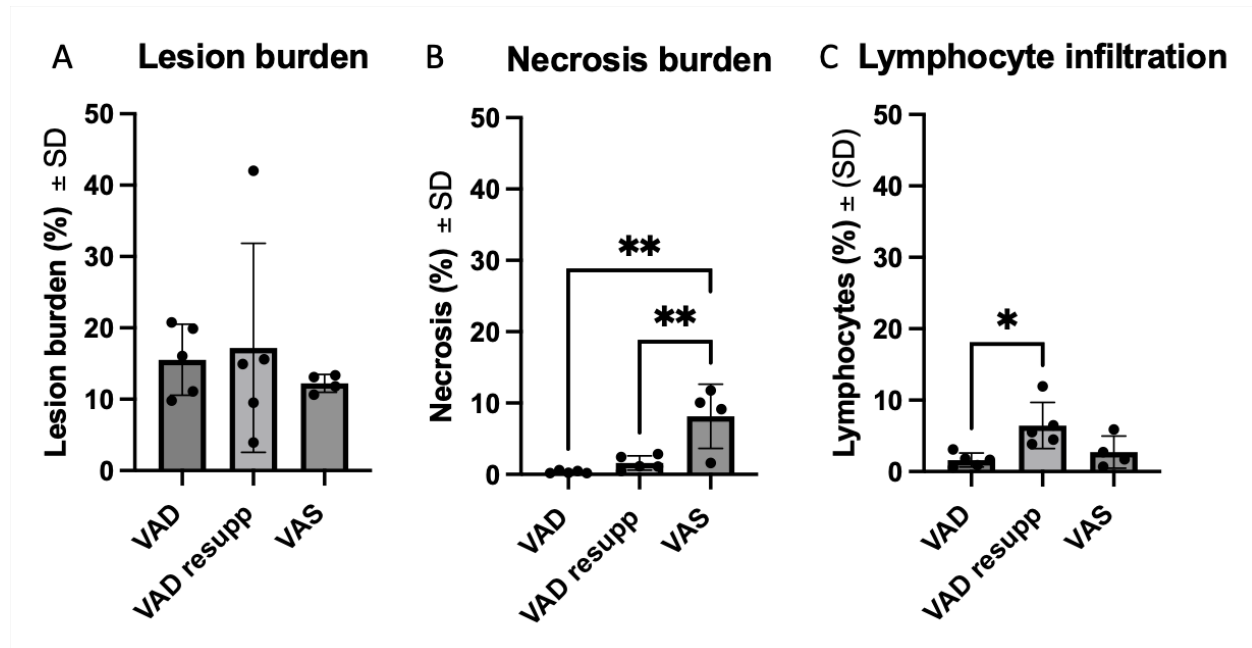


Figure 7. Impact of vitamin A status on Mtb lung lesion burden and morphology. Quantification of lesion burden and lesion morphology in the lung between vitamin A groups. A) Percentage of overall lesion burden based on overall lesion area compared to the area of the whole tissue. B) Percent of necrosis within lesions based on all necrosis area vs whole lesion area. C) Percent of lymphocytic infiltration based on overall lymphocytic population area vs the whole lesion area. *P≤0.05, **P≤0.01; one-way ANOVA and Dunnet correction test.

On microscopic examination there is an appreciable difference in morphology of Mtb granulomas. VAS animals had numerous well-demarcated lesions circumscribed by variable degree of fibrosis and outer ring of lymphocytes, inner foamy to epithelioid macrophages with central caseous necrosis, representing a classic Mtb granuloma for guinea pig models (**Fig. 8A-B**)¹⁴⁷. VAD guinea pigs had poorly delineated inflammatory aggregations with collapse of distinct structuring leading to a lesion composed of randomly distributed clusters of lymphocytes and macrophages (**Fig. 8C-D**). Intriguingly, VAD resupp. animals demonstrate a mixed phenotype with some poorly demarcated, non-classic, granulomas and others forming discrete classic granulomas, demonstrating a potent shift of lesion morphology with vitamin A reintroduction (**Fig. 8E-F**).

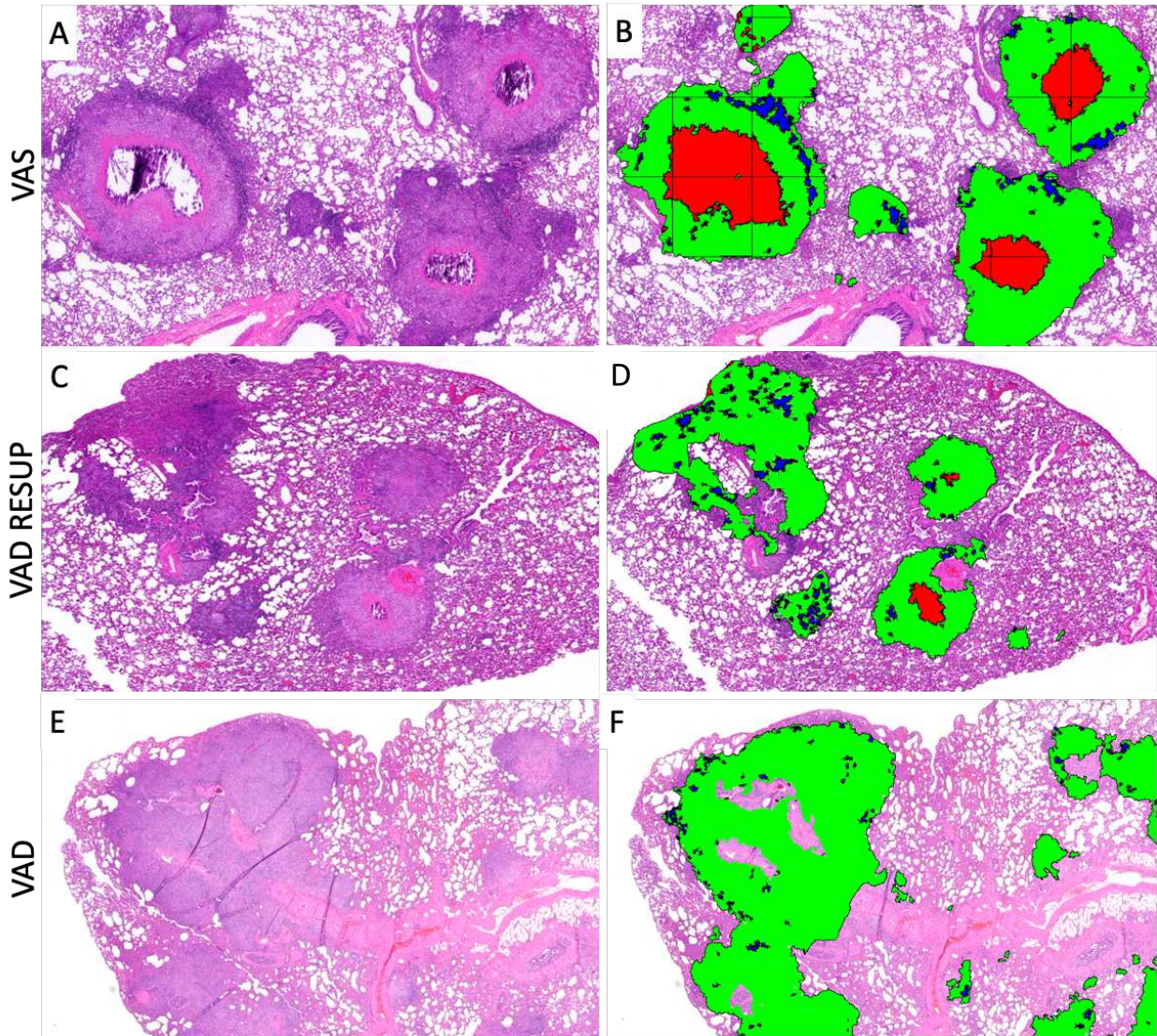


Figure 8. Vitamin A status effects lung morphology. Representative sections of lung from guinea pigs 60 days post infection of varying vitamin A status with or without visiopharm analysis. A-B) Lung sections from vitamin sufficient animals with prominent discrete granulomas. C-D) Lung sections from vitamin A re supplemented animals demonstrating a mosaic of lesion morphology. E-F) Lung sections from vitamin A deficient animals demonstrating poorly delineated granulomatous lesions.

Vitamin A status effects distant organ lesion burden.

Lesion burden in visceral organs, other than the lung, serve as an indication of bacteria spread and overall disease progression. To assess this, lesion burden was quantitatively measured in

both the liver and splenic parenchyma (**Fig. 9A-B**). In the liver both VAD resupp. and VAS guinea pigs burden trends lower than VAD animals (**Fig. 9C**). In the spleen, VAS animals had a significant decrease in lesion burden compared to VAD animals ($P \leq 0.05$). Vitamin A resupplementation is able to partially reverse the effects of vitamin A deficiency, with VAD resupp. guinea pigs cutting lesion burden within the liver almost in half compared to the VAD treatment group (**Fig. 9D**).

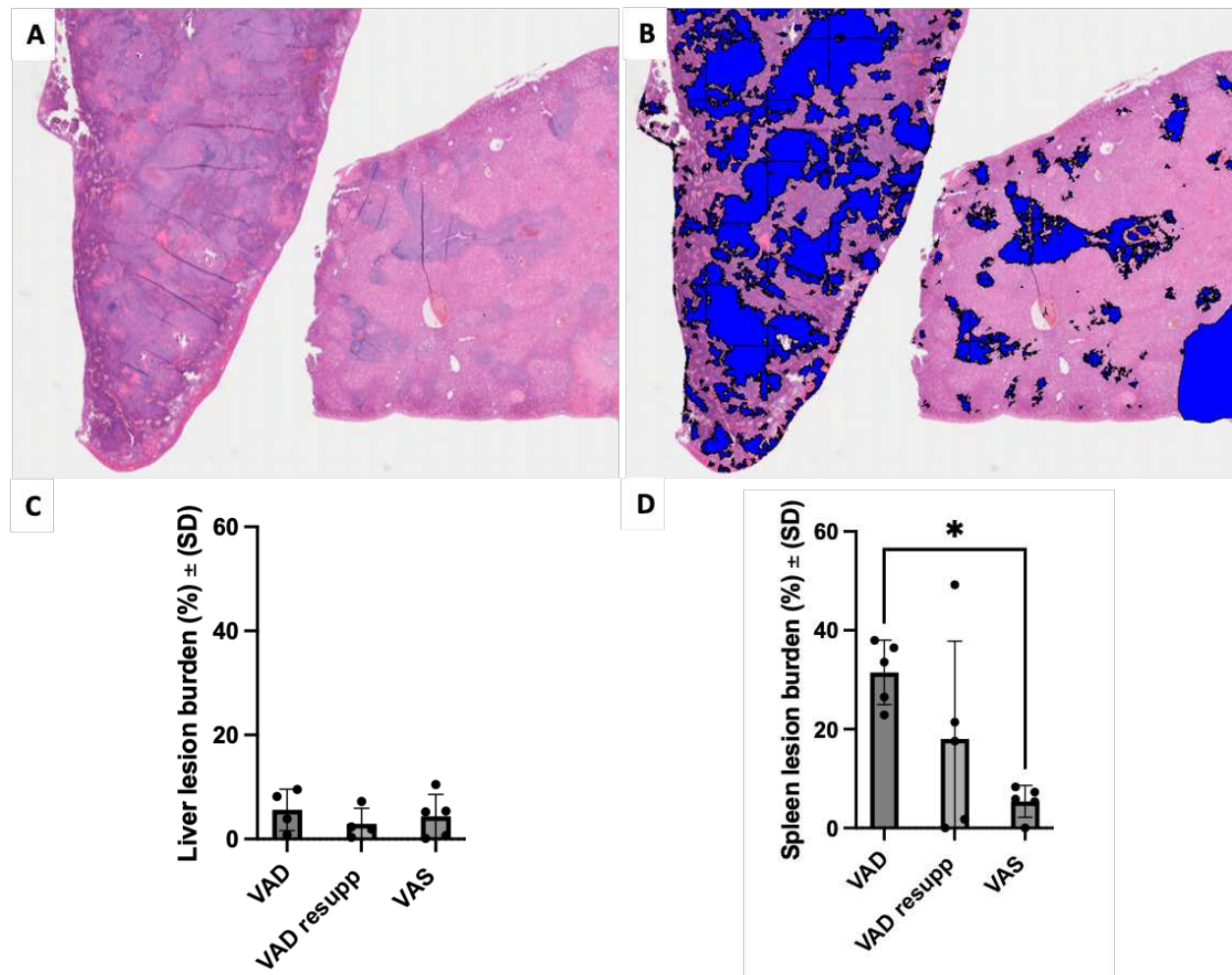


Figure 9. Vitamin A status affects splenic lesion burden. Histologic quantification of liver and spleen from VAS, VAD, VAD resupplementation animals at 60 days post infection. A-B) Representative samples of liver and spleen with lesion and correlating Visiopharm analysis. C) Percent lesion of liver based on lesion area vs whole tissue area. D) Percent lesion of spleen based on lesion area vs whole tissue area. $*P \leq 0.05$; utilizing a one-way ANOVA and Dunnett correction test.

Lymphocytic and myeloid cellular population shift in relation to vitamin A status

To breakdown shifts of inflammatory cellular subsets flow cytometry was performed on CD45+ cell from infected tissues from sufficient, deficient and resupplemented animals on bronchiolar alveolar lavage cells and isolated granulomas. Bronchiolar lavage derived cells demonstrate significant shifts in lymphocytic and myeloid populations, with VAD animals having increased percentages of lymphocytes ($P \leq 0.03$) and decreased percentages of myeloid cells ($P \leq 0.03$) compared to VAS animals (**Fig. 10A**). Further assessment of the lymphocyte T cell lineages reveals a dramatic shift in percentages of CD4+ and CD8+ T cells. VAD animals had a significantly higher population of CD8+ T cells, 33.97% CD8+ $\pm$ 5.025%, compared to VAS animals, 12.27% CD8+ $\pm$ 4% ($P \leq 0.01$). In juxtaposition VAD animals had decreased CD4 populations, 37.75% CD4+ $\pm$ 2.60%, compared to VAS animals, 57.72% CD4+ $\pm$ 19.62% ($P \leq 0.01$) (**Fig. 10B**). These shifting populations gave VAD animals a CD4:CD8 ratio of 1.11 compared to VAS animals with a CD4:CD8 ratio of 4.7. Interesting resupplementation guinea pigs had a mixed phenotype of the two other groups in both myeloid and lymphocytic cellular populations and CD4+/CD8+ distributions.

Cells derived from granulomas lose significant changes of myeloid and lymphocytic cellular populations in the face of vitamin A status differences (**Fig. 10C**); however, a significant shift of CD4+ and CD8+ is in line with bronchiolar alveolar lavage cells ($P \leq 0.02$) (**Fig. 10D**). With granuloma derived VAD CD4:CD8 ratio at 1.88 and VAS CD4:CD8 ratio at 2.6. Gating strategy of flow cytometric analysis is provided in supplemental (Sup. fig .4). Remainder of data and breakdown of myeloid cellular populations in provided in the supplement (Sup. fig. 5).

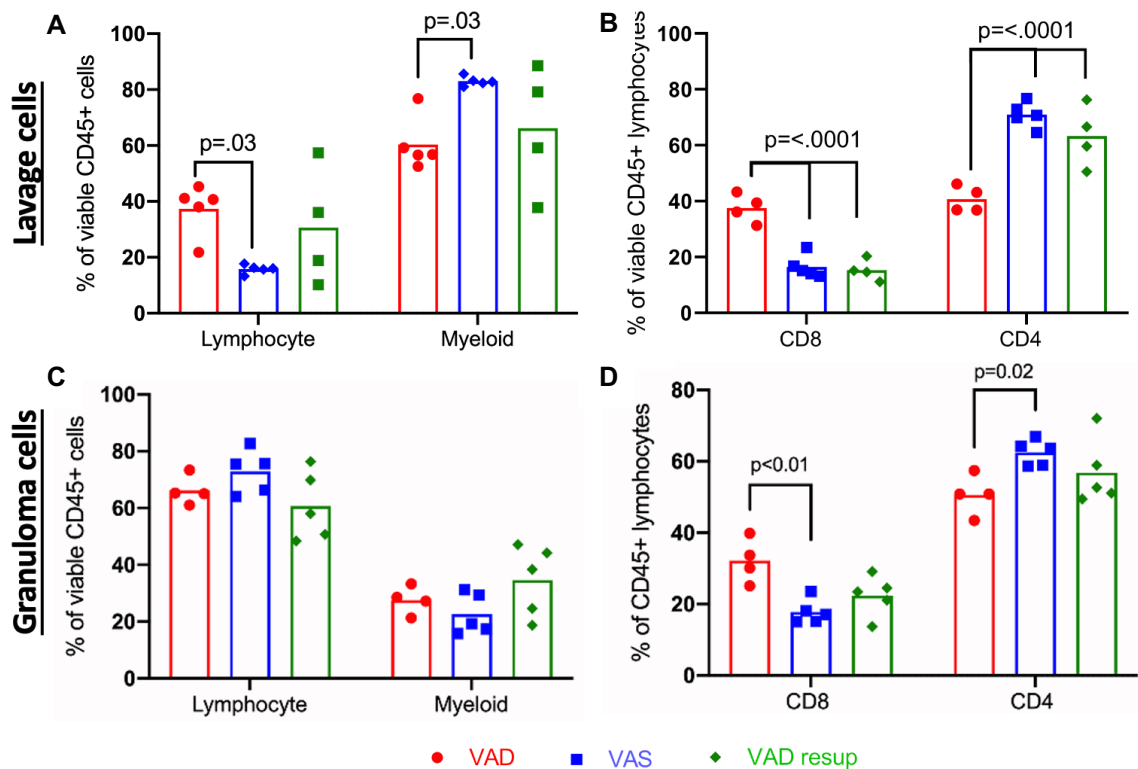


Figure 10. Vitamin A status effects inflammatory cell prevalence and composition. A-B) Flow cytometric analysis of bronchiolar alveolar derived cells. C-D) Flow cytometric analysis of cells derived from isolated and processed granulomatous lesions. A, C) Breakdown of CD45+ into myeloid and lymphocytic cellular population based on cellular size, forward scattered, and cellular complexity, side scatter. B, D) further differentiation of lymphocytic T cell populations utilizing CD8 and CD4 antibodies. Statistical analysis was performed via a two-way ANOVA using a Tukey's correction. Reproduced from Podell et al. 2022²².

Vitamin A sufficient and vitamin A deficient animals have different genotypic profiles in both naïve and infected states

To examine how vitamin A status skews gene expression in regards to inflammatory pathways, lipid metabolism and vitamin A metabolism both infected and naïve lung homogenates from VAS, VAD and VAD resup. animals were processed and submitted for RNA Nanostring expression quantification. In unsupervised heat map clustering of normalized gene expression, VAS and resupplemented animals cluster together and not with VAD animals in inflammatory pathways (**Fig. 11**), as well as lipid mediator and vitamin A metabolic pathways (**Fig. 12**).

Investigating fold change expression of individual genes, utilizing VAS animals as base line, demonstrated significant shift in several genes involved with lymphocytic cell proliferation and vitamin A metabolism for VAD animals. In naïve animals there is clear and significant down regulation of vitamin A metabolism with lowered expression of DHRS3, RARB, RARG, RBP1 ($P \leq 0.05$ and $P \leq 0.01$), and an attempt to increase any available ATRA with marked down regulation of ATRA catabolic enzymes CYP26A1 and CYP26B1 ($P \leq 0.01$). Naïve VAD animals had heightened inflammatory status compared to sufficient animals with increased expression of STAT3, CCR5, LCN2, CD1B4, CD1C2, CXCR2, CXCR1, CCR1 and A4B7 ($P \leq 0.05$ and $P \leq 0.01$). Additionally, T cell differentiation in deficient animals skewed towards Th17 T cells and follicular helper T cells with increased expression of STAT3 and BCL6 ($P \leq 0.05$ and $P \leq 0.01$) (**Fig. 13**).

While naïve VAD guinea pigs had coordinated regulatory changes in both immune status and vitamin A metabolism infected VAD animals lost coordination and had dysregulated immune and metabolic responses. Infected VAD animals both enhanced, via DHRS9, and down regulated, via RARG, RXRG, RARB, ADH1C, vitamin A metabolism ($P \leq 0.05$ and $P \leq 0.01$). A similar dysregulated response was appreciated in immune status with contradicting expression of T cell inhibitory molecules, CTLA4 and KLRG1, and inflammatory interleukin receptors, IL-12 and IL-1 ($P \leq 0.05$) (**Fig 14A**). In line with much of the previous data, VAD resupp. animals lacked any significant gene variation compared to VAS animals (**Fig. 14B**). All Nanostring heatmaps, including day 30 post infection Nanostring evaluation and list of evaluated genes is in supplemental (Sup Fig 6, Sup table 2).

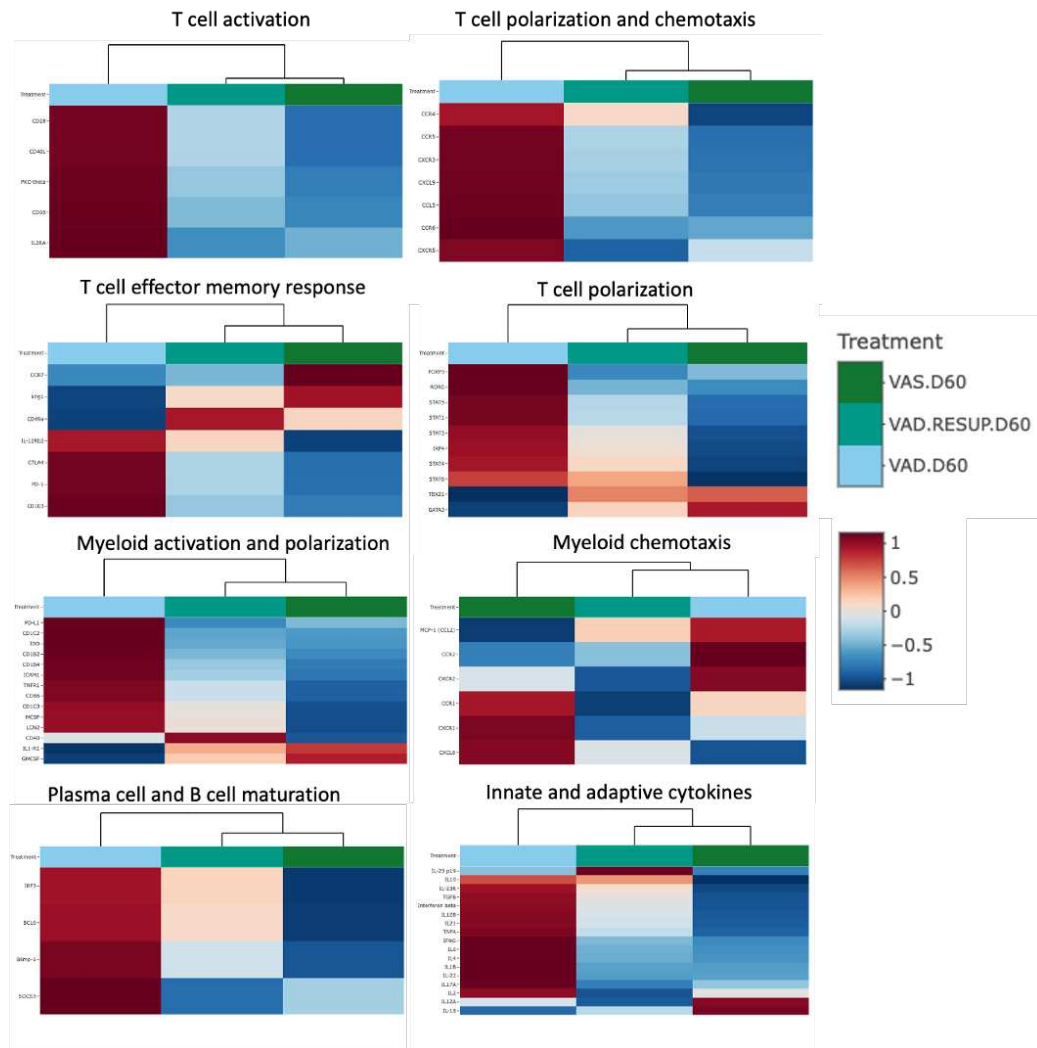


Figure 11. Day 60 post infection VAD animals cluster separately from VAS and VAD resupp.. Unsupervised clustered of gene expression of inflammatory pathways in relation to a variety of T cell, B cell and myeloid cellular processes and chemotaxis.

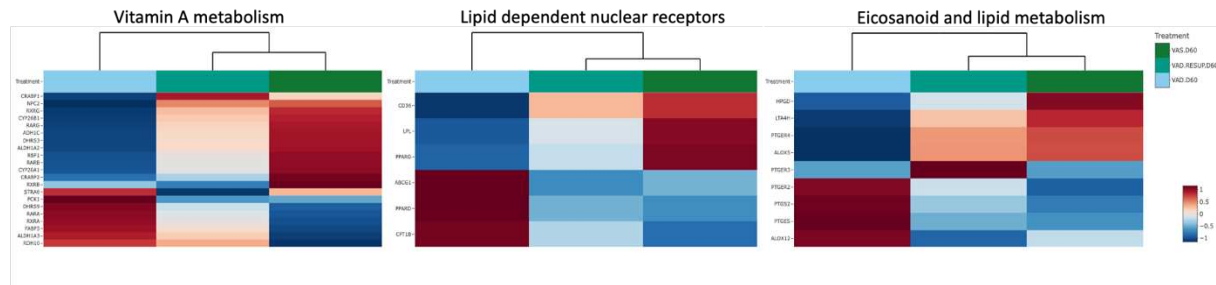


Figure 12. Day 60 post infection VAD animals cluster separately from VAS and VAD resupp. Unsupervised clustered of gene expression for a host of lipid and vitamin A metabolic pathways.

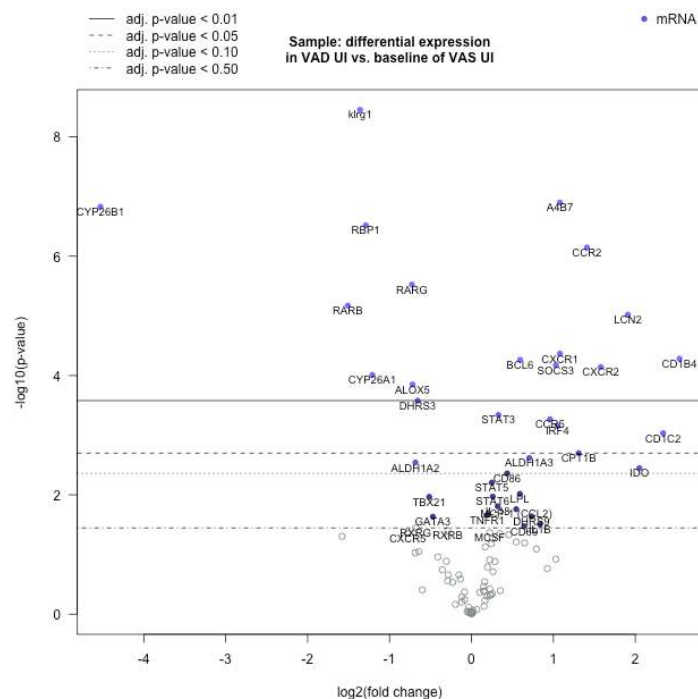


Figure 13. Volcano plot demonstrates differences between naive VAD and VAS animals in key pathways. VAD fold changes and significance compared to VAS baseline gene expression.

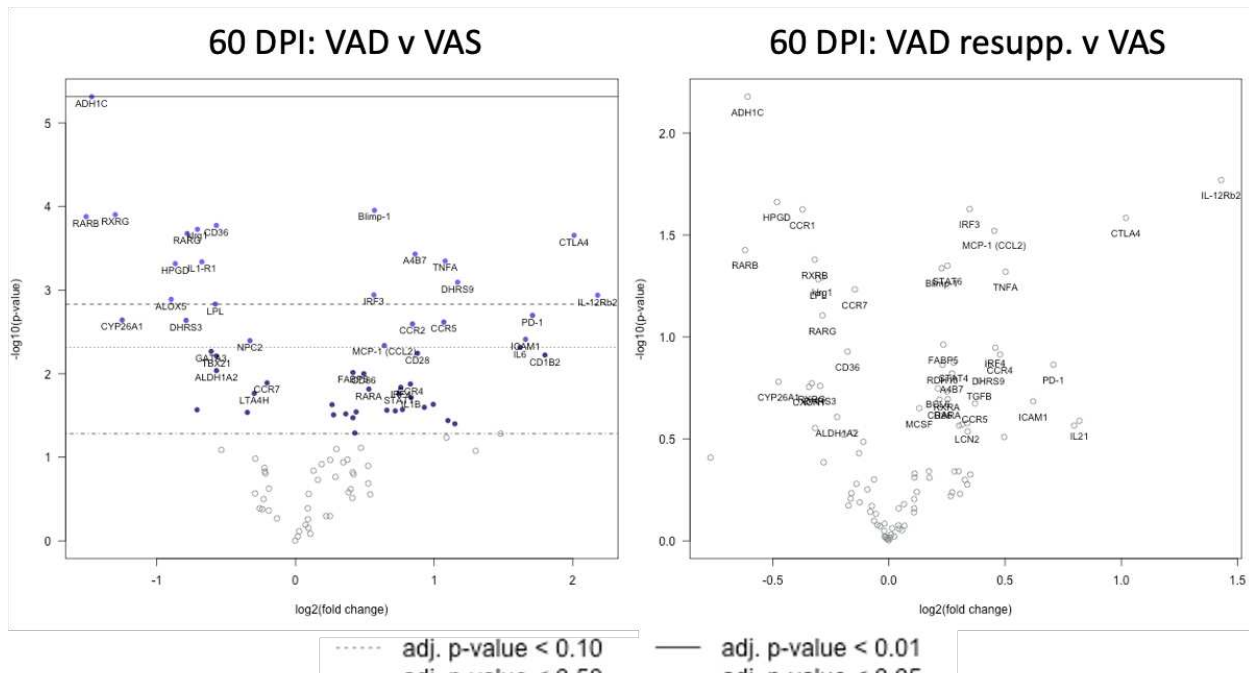


Figure 14. Volcano plot demonstrates differences between VAD and VAS animals in key pathways. VAD and VAD resupp. fold changes and significance compared to VAS baseline gene expression.

Bacterial burden in the lung is dependent on vitamin A status.

Bacterial burden was assessed via colony forming unit counts. CFU counts from the lung demonstrate a significantly higher bacterial burden in the lung in VAD animals compared to both VAS and VAD resupp. animals ($P \leq 0.05$) (**Fig. 15A**). In contrast to the significant increase of VAD splenic lesion burden, no significant CFU changes were observed in splenic tissue between VAD and VAS animals. However, VAD resupp. does trend down in splenic CFU counts compared to both VAS and VAD animals (**Fig. 15B**).

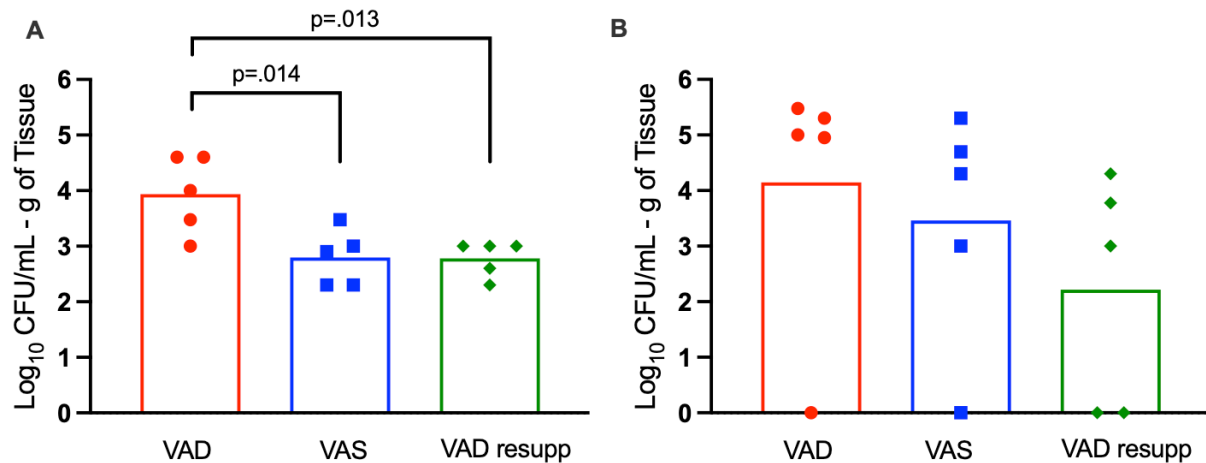


Figure 15. Bacterial burden in the lung is dependent on vitamin A status. Bacterial enumeration, via CFU count, of VAD, VAS and VAD resupp. guinea pigs 60 days post infection. A) Colony forming units count of infected lung homogenates. B) Colony forming units counts of infected splenic homogenates. Reproduced from Podell et al. 2022²².

Discussion:

Vitamin A retinol deficiency leads to a host of disease manifestations centered around dysregulation of physiological homeostasis in a variety of cell types and organ systems^{148,149}. Several studies have discerned the invaluable role vitamin A plays in the functionality of both innate and adaptive immune processes^{13,150,151}. In 2017, Dr. Megan Murray, correlating micronutrient abnormalities to active and progressive Mtb infections discovered a remarkable relationship of subclinical vitamin A deficiency to clinical tuberculosis⁶. However, the pathophysiology of this correlation had yet to be elucidated in humans or transitional animal models. This chapter aimed to close this gap in knowledge by creating the first vitamin A deficient guinea model and examining differences in lesion morphology, lesion burden, bacterial burden and genotypical immunological profiling between both naïve and infected vitamin A sufficient and vitamin A deficient animals.

To accomplish this study guinea pigs were fed a diet devoid of retinyl palmitate, the dietary source of vitamin A. This diet, fed to neonatal animals, was able to prevent hepatic retinol storage and lower serum retinol levels to below the definition of subclinical vitamin A deficiency, 20 ug/dl¹⁵². The model required further refinement with initial animals demonstrating overt clinical effects of vitamin A deficiency with systemic epithelial metaplasia and hyperkeratosis. Further finesse, with weekly supplementation of 100 units of retinyl palmitate, allowed for achievement of a reliable subclinical vitamin A deficient animal model with translational ramifications to vitamin A deficiency in humans.

With a validated vitamin A deficient model in place, VAS, VAD and VAD re-supplementation animals were infected with Mtb. As expected, retinol serum level in VAD animals was relatively unaffected throughout the course of the infection, continuing a downward trend during the acute phase of infection, reaching the limit of detection by 30 days post infection. However, an unexpected finding was the precipitous drop in VAS animals from days 14 post infection to days 40 post infection. Previous studies have described a relative drop in serum retinol levels during the acute stages of innate immunity^{153,154}; however, the physiology of this drop requires further investigation. Some theories on decreased serum levels include: the reduction of retinol movement via decreased retinol binding proteins as negative acute phase proteins are ramped up in the face of a systemic inflammatory response¹⁵³; increased use of retinol metabolism by macrophages and dendritic cells at sites of inflammation, as seen in *in vitro* studies¹⁵⁵; overt loss of retinol and retinol binding protein through glomeruli filtration^{156,157}. One study in vitamin A sufficient rats observed a significant down regulation of retinol binding protein and serum retinol levels with the induction of lipopolysaccharide induced inflammation and correlated the decrease to a reduction in hepatocellular retinol binding protein synthesis²³. This study, in concert with a variety of observational human studies, established that serum plasma levels are not an accurate measure of vitamin A status during an inflammatory state^{158–}

¹⁶⁰. This known phenomenon is something that we could be appreciating in this study; however, the delay of infection to onset of serum retinol loss warrants further mechanistic investigation.

To Further evaluate the loss of retinol of infected sufficient guinea pigs future studies utilizing isotope specific retinol are needed to trace distribution of these macromolecules to fully elicit how retinol is utilized in the face of an intracellular pathogen and corresponding inflammatory response¹⁶¹. Additionally, evaluation of urine retinol is needed in future studies to provide a complete picture of vitamin A metabolism and lost in the face of an Mtb infection.

As briefly mentioned, another clinical parameter for evaluating vitamin A status in human patients is quantifying retinol binding protein 4 (RBP4). RBP4 is primarily made within hepatocytes and stellate cells, the primary cells for retinol storage in the form of retinyl esters, and is only released from cells when bound to retinol (holo-RBP4) ¹⁶². Holo-RBP4 levels thus can be utilized as an indirect methodology for assessing retinol concentration^{163,164}. Evaluation of RBP4 via western blot analysis in this study further confirms the utility of RBP4 to assess vitamin A status in both serum and liver samples. Of note, kinetic assessment of serum RBP4 levels of infected VAS animals demonstrated a drop of overall protein concentration beginning at days 20 post infection and rebounding at days 40 post infection. This significant reduction closely aligns with decreased serum retinol levels in the same animals. Again, further studies are required to evaluate if the RBP4 is down regulated, sequestered in hepatocytes, lost in glomerular filtration or is delivering retinol to the site of active inflammation and then is excreted in the urine as apo-RBP. Terminal serum RBP4 protein concentrations further coincides with serum retinol levels with significant decreased protein concentration between VAS and VAD and variable drop of RBP4 protein between VAS naïve and infected animals.

Further confirming RBP4 physiologic release from hepatocytes only as a holo protein, evaluation of terminal liver RBP4 levels shows a significant increase in protein concentration in VAD animals compared to VAS. Collectively, serum retinol levels and RBP data demonstrates a

complete collapse of retinol and retinol-associated transport apparatuses in both naïve and infected VAD animals, while leaving intriguing questions regarding retinol metabolism in infected sufficient animals.

Looking past retinol and RBP serum status and examining tissue level differences, vitamin A status has minimal effect on overall lesion burden at the site of primary Mtb infection, the lung; however, there is an observable difference in lesion morphology between groups. VAS animals demonstrated classic primary caseous Mtb granulomas with well demarcated lesions defined by circumscribing fibrosis, outer pockets of lymphocytes, with inner foamy macrophages and centralized necrosis¹⁶⁵. This morphology stands in stark contrast to the VAD animals that have poorly demarcated lesions lacking well organized layering of inflammatory cells, indicating a dysregulated inflammatory response to the intracellular bacteria. Leading into the larger debate of the role of the granuloma and its benefit to the host of the bacteria^{166,167}; this change of lesion morphology and lack of coordinated inflammatory response may explain the reason for an increase in CFU burden in the lung and significant increase of lesion burden in distant visceral organs, such as the spleen.

To further examine vitamin A's role in the immunopathogenesis on Mtb infections, gene expression profiles between naïve and infected vitamin A groups were investigated. Examining naïve VAD animals against the baseline of naïve VAS animals, there is significant upregulation and down regulation of several genes correlating to specific cellular and immune pathways. Naïve VAD animals have an enhanced inflammatory state compared to VAS animals with heightened expression of variety of chemokines, and enhanced immune pathways with upregulated IRF4, a lymphocytic regulator, LCN2, a pleiotropic mediator of a variety of inflammatory processes, and CD1b4, a lipid antigen binding complex^{168,169}. Not only do VAD animals have generalized upregulation of inflammatory genes, but they also have increased propensity for T differentiation towards follicular helper T cells and expanded humoral immunity

via the synergistic upregulation of STAT3 and BCL6^{170,171}. Follicular T cells are essential for B cell maturation and germinal center formation and Interestingly, have been shown to play a beneficial role in Mtb protection in mice¹⁷². Within naïve VAD animals there is a distinct down regulation of gene regulating vitamin A pathways correlating to a lack of systemic retinol or functional retinoic acid to induce upregulation of this subset of genes.

Gene expression of infected VAD animals demonstrated a generalized dysregulated response and contradictory changes in terms of both inflammatory and vitamin A pathways. The genes with the most pronounced upregulation are IL-12rb2 and and CTLA4. These genes have contradicting role with IL-12 receptors promoting T cell activation, particularly to Th1 lineages, while CTLA4 in combination with CD80 induces T cell anergy. Further indicating immune functional dysregulation is the upregulation of inflammatory promoters IRF3, TNF α with downregulation of inflammatory mediator IL-1-R1. Similar dysregulation of vitamin A pathways is appreciated with down regulation of many vitamin A metabolic genes but upregulation of a key metabolic gene DHRS9, responsible for converting retinol to retinoic acid.

Interestingly both naïve and infected VAD animals have enhanced expression of key regulators of lymphocyte differentiation and maturation, with BCL6 upregulated in naïve animals and BLIMP-1 up regulated in infected animals. The BCL6-BLIMP-1 axis has been extensively studied in several disease processes and general B cell and T cell maturation^{173,174}. As previously discussed, BCL-6 enhances follicular T cells and proliferation of germinal B cells¹⁷⁵. These cells, working in conjunction, lead to an enhanced humoral immunity and generalized immune regulation. In juxtaposition, BLIMP-1 enhances T cell differentiation in a variety of T helper cells, while downregulating follicular T cells¹⁷⁶. Furthermore, BLIMP-1 has shown to be upregulated in exhausted CD4+ T cells leading to CD8+ T cell dysfunction¹⁷⁷. The heightened expression of this essential gene axis may explain the large shifts in lymphocytes proliferation and CD4+ to CD8+ distributions from VAD to VAS animals as assessed via flow cytometry.

As stated, VAD animals have an expanded lymphocytic population and skewed CD4 to CD8 ratio compared VAS animals. Though there is no reference for a normal guinea pig CD4+/CD8+ ratio, a normal healthy ratio in humans 1.5 to 2.5¹⁷⁸. A lower ratio can be indicative immune dysfunction including in humans: HIV, hematopoietic pathology, multiple sclerosis or evidence of immune depletion due to chemotherapy¹⁷⁹. VAD bronchoalveolar lavage cells had a CD4+:CD8+ ratio of 1.11 compared to VAS ratio of 4.77. This marked cellular shift in VAD animal, in combination with the contradicting inflammatory gene profiles, speaks to the overt dysregulation of the immune response to an Mtb infection. Further, this skewed cellular ratio, and the importance of CD4 for driving granuloma formation, may help to explain the morphologic disparity between VAS and VAD animals.

This study not only aimed to examine the effect vitamin A deficiency has on tuberculosis disease progression but also looked to understand how the effects of that deficiency could be altered with the reintroduction of a vitamin A sufficient diet during an ongoing infection. Throughout almost all parameters and assays resupplemented animals were partially rescued from the observed detrimental effects of a vitamin A deficient state. In terms of retinol and RBP4 levels, VAD resupp. animals were able to resume movement and metabolism of any of the received dietary retinol with decreased liver RBP4 levels, partially recovering terminal serum RBP and retinol as well as a vitamin A metabolic gene profiling in line with VAS animals. Lung lesion morphology of VAD resupp. animal's perfectly blends the other two groups, with a mosaic of well delineated granulomas and poorly delineated disorganized granulomas. VAD resupp. animals align closely with VAS animals in terms of bacterial burden, inflammatory cell populations and all gene expression profiles. The partial rescue of VAD resupp. animals require more investigation to fully comprehend the effects of vitamin A supplementation during infection and whether increased supplementation or earlier dietary intervention can further sway animals away from deficient disease features to a more classic sufficient disease state.

Cumulatively, data from this study exhibits vitamin A status has a profound effect on the pathogenesis of tuberculosis in the guinea pig in terms of lesion morphology, T cell distributions, bacterial burden and genetic profiling of key inflammatory and retinoid regulators. Coinciding with Dr. Megan Murray's epidemiologic study linking vitamin A deficiency to clinically apparent Mtb, our deficient guinea pigs has progressive granulomatous lesions, and altered ability to respond to an Mtb infection. This study went further by establishing the ability to reverse detrimental effects of vitamin A deficiency via reintroduction of a sufficient diet during an active infection, which could have profound implications on human Mtb disease and treatment.

This study leaves many questions open for further investigation. Such as further understanding the pathophysiology of decreased serum retinol and RBP4 in sufficient animals during late innate immunity. Investigating the role vitamin A has on T cell functionality and CD4+ T cell proliferative abilities in the face of an Mtb infection; and exploring the cause and effect of the distinct morphologic differences between VAD and VAS granulomas.

As alluded to, vitamin A plays an essential role in a host of physiological processes throughout the body including immunologic functionality. This study, marrying previous human epidemiologic work, created a novel vitamin A deficient guinea pig model to evaluate intersecting pathophysiology of vitamin A deficiency and Mtb infections. This study found increased disease burden, altered lesion morphology, and dysregulated inflammatory responses corresponding to an increased lung bacterial infection. Though several questions remain unanswered, this study lays the foundation for understanding the role vitamin A has in intracellular pathogen such as Mtb. The need to understand comorbidities, such as this, is essential as we face mounting pressures with this deadly disease including collapse of surveillance systems, ineffective vaccines and ever-growing threat of multidrug resistant Mtb. By fully comprehending the interplay of vitamin A and Mtb we might not only be able to mitigate this

newly discovered comorbidity but may also be able to harness this essential micronutrient to diminish the prevalence of clinical active tuberculosis.

CHAPTER 2: Kinetic expression and functionality of CD-restricted lipid-based immunity at a tissue level in a *Mycobacterium tuberculosis* infection using a guinea pig model

Summary:

CD1 complexes are membranous antigen presenting glycoproteins that are homologous to MHC I complexes; however, CD1 complexes present lipid antigen rather than protein. Several studies have investigated CD1 complexes and the corresponding antigen-restricted immunity to specific lipid antigens of *Mycobacterium tuberculosis* (Mtb). However, understanding the role of CD1-restricted immunity in response to Mtb infection has been limited by availability of animal models naturally expressing a CD1 repertoire comparable to that of humans. Guinea pigs, in contrast to other rodent models, have a CD1 ortholog expression similar to humans. In these studies, we utilize the guinea pig to establish the kinetic protein and gene expression of CD1b orthologs, as well as Mtb lipid-antigen and CD1b-restricted activity at the tissue level over the course of Mtb infection. Our results indicate CD1b complex expression has transient upregulation during the effector phase of adaptive immunity that wanes with disease chronicity. In congruence with other studies, we show high CD1b3 expression on B cells, identifying CD1b3 as the predominant CD1 ortholog in pulmonary granuloma lesions. Cytotoxic activity of CD1b-restricted immunity closely paralleled the kinetic changes in CD1b expression in Mtb infected lung and spleen. Interestingly, ortholog-specific PCR revealed that each of the four CD1b orthologs in the guinea pig has a unique kinetic gene expression pattern, with two complexes being upregulated during the innate phase of immunity. This study demonstrates that CD1b expression is modulated by Mtb infection in lung and spleen, leading to establishment of CD1b-restricted immunity as a component of the antigen-specific response to Mtb infection.

Introduction:

CD1 lipid-restricted immunity represents a relatively novel branch of immunology that is driven by CD1 glycoprotein mediated expansion of CD1-restricted T cells and invariant natural killer T cells^{105,180}. CD1 glycoproteins have homologous structuring to MHC I antigen-presenting molecules but bind and present a variety of lipid antigens^{181, 32}. There are 5 known CD1 glycoproteins, CD1a-e, which are divided into two functional groups; group 1 composed of CD1a-c and group 2 composed of CD1d^{35,182,183}. Group 2 CD1 complexes have been found to be constitutively expressed on a variety of cell types and involved with a host of endogenous and exogenous lipids leading to the expansion of invariant natural killer T cells^{184, 185}. In contrast, Group 1 CD1 complexes have inducible expression and are confined to professional antigen presenting cells, loading a variety of endogenous and exogenous lipids, such as those derived from *Mycobacterium tuberculosis* (Mtb), leading to an expansion of a variety of functionally nonpolymorphic CD1-restricted $\alpha\beta$ and $\gamma\delta$ T cells^{117,186,187}. Due to their ability to encounter, load and present microbial lipids, group 1 CD1 complexes are relevant to the pathogenesis of several infectious diseases.

Owing to the lipid-rich composition of Mtb, multiple studies have investigated the potential role of CD1-restricted immunity in tuberculosis (TB). Several studies examining peripheral blood of Mtb-infected human subjects found increased expression of CD1 glycoproteins on myeloid cells and corresponding presence of CD1-restricted T cells^{125,188,189}. Other studies have taken additional strides in isolation of Mtb-lipid specific T cells via detection with lipid loaded CD1 tetramers^{190,191}. Though these studies lay a foundational understanding of CD1-restricted immunity during Mtb infection, there is still a multitude of fundamental gaps within the field including: whether CD1-restricted immunity contributes as a component of innate or adaptive branches of immunity, whether CD1 expression is modulated by Mtb infection *in*

vivo, and whether CD1 plays a functional role in disease pathogenesis and granuloma formation in the tissue response to infection^{35,125,182}.

One major limitation to further understanding of CD1 lipid-restricted immunity in response to Mtb is the lack of a translatable animal model with naturally occurring group I CD1 expression. The majority of rodent species naturally express only CD1d, group 2 CD1 complexes. However, guinea pigs have a similar CD1 complex repertoire to humans containing 4 orthologs of CD1b glycoproteins and 3 orthologs of CD1c from group 1, as well as CD1d^{134,192}. Previous studies have characterized this CD1 repertoire *in vivo* and *ex vivo* as well as in the face of administered lipids isolated from cultured Mtb, and BCG vaccination^{134,116,193}. However, there is limited understanding of how Mtb infection impacts CD1 expression and the development of CD1-restricted T cell immunity over the course of TB disease in infected tissue.

In this current study we utilized the guinea pig model of pulmonary TB and reagents specific to CD1b orthologs to kinetically measure CD1b-restricted immunity at innate, early adaptive, and late adaptive stages of TB within the lung and spleen. Overall, this work demonstrates that CD1 immunity actively responds to Mtb and indicates a possible larger functional role in the control of infection. Importantly, this study establishes the ability to assess CD1 immunity at the protein and molecular level within infected tissue utilizing a highly translatable model, which sets the stage for additional investigations and potential closure of fundamental knowledge gaps within the field of CD1-restricted immunity.

Material and Methods:

Animals:

Two separate animal studies were performed to kinetically monitor CD1b expression in blood and tissue over the course of Mtb infection. Female outbred Dunkin-Hartley guinea pigs

weighing 250-300 g were obtained from either Charles River Laboratories (Wilmington, MA) or Elm Hill Labs (Chelmsford, MA). In the first study, guinea pigs infected with Mtb were evaluated at 14-, 30- and 60-days post-infection (DPI) (n=6 per endpoint). In the second study, Mtb-infected guinea pigs evaluated at 14, 30 and 60 DPI (n=5 per endpoint) were compared to uninfected, naïve guinea pigs (n=3) at each necropsy endpoint to compare impact of infection over baseline CD1b expression. Tissues and cells from inbred strain 13 guinea pigs, a colony maintained at CSU, were utilized for assay development, including cytotoxicity, CD1 expression via flow cytometric analysis, and validation of antibodies for immunohistochemistry on fresh frozen tissue. All animals were pair housed and monitored via the Colorado State University (CSU) Lab Animal Resources staff and veterinarians. All animal studies were performed in accordance with protocols approved by the Institutional Animal Care and Use Committee at Colorado State University under protocol number 1401.

CD1-specific reagents:

104C1 guinea pig fibroblast cell lines transfected with CD1b1, CD1b2, CD1b3 and CD1b4 were previously described¹⁹⁴. Specific CD1b ortholog-expressing cell lines were compared to a mock-transfectant containing only the empty pcDNA3.1 vector. The process for generation of synthetic lipid antigens, glucose monomycolate and mycolic acid has been previously described¹⁹⁵. These lipid antigens were chosen as known CD1b ligands with demonstrated antigen-specific response in previous studies with antigen-specific IFN γ production or antigen-specific CD1b tetramer-based detection of cells in human peripheral blood^{195,196,197}. Guinea pig-specific reagents and synthetic lipid antigens were provided by Dr. D. Branch Moody, Brigham and Women's Hospital, including pure synthetic mycolic acid and glucose monomycolate Mtb lipids, CD1 transfected 104C1 cells (CD1B1-4 and CD1C1-3), and anti-guinea CD1 mouse monoclonal antibodies with varying ortholog specificity (sup. Fig. 8 & table 3)¹⁹⁴.

Infection and euthanasia:

In the first study, a low-dose aerosol exposure of guinea pigs to Mtb was performed using the Madison chamber aerosol generation device (College of Engineering Shops, University of Wisconsin, Madison, WI) calibrated to deliver approximately 20-50 bacilli of the H37Rv strain of Mtb isolated during log-phase growth in Proskauer-Beck media. The second study used the Glas-Col aerosol chamber (Terre Haute, IN) to deliver a low-dose exposure of <50 bacilli of the Erdman strain of Mtb. On pre-determined endpoints, 14, 30 and 60 DPI, guinea pig groups were euthanized. Euthanasia was performed via an initial induction of an anesthetic state via intramuscular injection of 30 mg of ketamine and 8 mg of xylazine in combination, followed by an intraperitoneal injection of an overdose of 3 ml/animal of 390 mg/ml sodium pentobarbital.

Necropsy and tissue processing:

Once euthanized, animal tissues and cells were harvested which included airway-accessible macrophages and other leukocytes by bronchoalveolar lavage, PBMCs from anticoagulated peripheral blood, and cell suspensions derived from lung and splenic parenchyma, as previously reported^{144,22}. In brief, the right cranial and caudal bronchi were clamped and lung lobes removed and processed for either RNA preservation in RNAlater solution (ThermoFisher, Waltham, MA), OCT-embedding for fresh-frozen immunohistochemistry (Sakura, Torrance, CA), or for bacterial enumeration by colony forming units (CFU). Airway-accessible leukocytes were collected via post mortem bronchioalveolar lavage utilizing an 18-gauge catheter and flushing with 25 ml of HBSS containing 0.01 μ M EDTA solution in five, 5 ml, intervals. Following bronchoalveolar lavage, the remaining lung was perfused with 5 μ g/ml collagenase (Sigma-Aldrich, cat#: C9263) and incubated for 30 minutes in a 37°C water bath. The digested lung parenchyma and collected spleen were individually processed through 40 μ m cell strainers.

Once strained, all samples were subjected to hypotonic solution for 20 to 45 seconds for lysis of erythrocytes. To increase overall cell viability for downstream assays, all tissue-derived cell suspensions were subjected to magnetic bead negative selection using biotinylated annexin V and streptavidin-conjugated magnetic nanobeads. To complete this protocol, cells were placed in 4 ml of 1X annexin binding buffer (Biolegend, cat#: 422201) with biotinylated annexin V (Biolegend, Cat # 640904) and incubated for 30 minutes. Following incubation, cells were centrifuged at 500 x g for 5 minutes, washed with additional binding buffer, centrifuged again and resuspended in 500 µl of binding buffer. Thirty µl of streptavidin nanobeads (Biolegend, cat#: 480016) were added and incubated for 20 minutes with intermittent vortexing. Following incubation additional binding buffer was added to bring solutions to a total of 4 ml. The cell suspension was placed on a magnetic rack for 10 minutes to allow for magnetic binding of nanobeads. Finally, unbound cells were collected with a serologic pipette. Collected cells were washed in Hank's balanced salt solution then viability and cell count assessed via a hemocytometer and trypan blue exclusion. Splenic and lung suspension cells were used for analysis of CD1b expression by flow cytometry and remaining cells plated in RPMI media containing 10% fetal bovine serum and an antimicrobial cocktail, then incubated overnight at 37°C with 5% CO₂ to allow for separation of adherent cells (histiocytic/dendritic in origin) and non-adherent cells (lymphocytes). PBMCs were collected via a right ventricular intracardiac blood draw directly following euthanasia, diluted 1:2 in HBSS and separated on a density gradient using Lympholyte mammal media (Cedarlane, cat#: CL5110) per manufacturer's instructions.

Intradermal *M. tuberculosis* antigen challenge:

Performed only in the second study and 48-hours prior to each euthanasia endpoint, both infected and naïve guinea pigs received an intradermal hypersensitivity challenge to either Mtb

lipid antigens, Mtb protein antigen in the form of PPD, or sterile PBS. Each guinea pig received seven intradermal injections: 1. Mycolic acid liposome, 2. Glucose monomycolate liposome, 3. Mycolic acid in PBS, 4. Glucose monomycolate in PBS, 5. Unloaded liposome, 6. Mtb purified protein derivative (PPD) (Tuberculin PPD Bovine, USDA Veterinary Services), or 7. Sterile PBS. Liposomes were made using distearoylphosphatidylcholine (DSPC) (Avanti lipids, cat#: 850365P) and cholesterol (Avanti lipids, cat#: 700100P) lipids with or without synthetic Mtb lipid (molar ratio 7:2:1, respectively) via a lipid extruder (Avanti Lipids, Alabaster, AL). For liposomal formulation, DSPC and cholesterol were weighed out to meet specific molar ratio and added to a pre-rinsed glass container. Lipids were dissolved in a solution of 10:1 chloroform and methanol, either with or without solubilized mycolic acid or glucose monomycolate. Once dissolved, the lipid solution was completely evaporated utilizing a nitrogen gas bath. Dried lipids were then suspended in PBS prewarmed to 68°C. The solution was then vortexed and incubated in a water bath at 68°C for 40 minutes, with vortexing every 10 minutes, to allow for liposome formation. During the incubation the liposome extruder apparatus (Avanti lipids, Alabaster, AL) was assembled to company specifications and warmed to 70°C. After incubation, the liposome solution was passed through the extruding system 7-8 times to allow for formation of a uniform unilamellar liposomes at approximately 150µm in diameter. Liposomal size was confirmed with a Nanosight instrument and capacity for macrophage phagocytosis confirmed using guinea pig bone marrow-derived macrophages in *in vitro* culture (sup. Fig 9). The free Mtb lipid solution was prepared with similar nitrogen drying methodology. PBS lipid suspensions were sonicated using a Misonix ultrasonic liquid processor at 80 A for 4 minutes on a 20-second-on, 20-second-off cycle. All lipid injection sites received 4 µg of lipid whether in liposomal formulation or free lipid in PBS. PPD was administered at 2 µg per site. Guinea pigs were ventrally shaved from the point of the caudal xiphoid to the cranial aspect of the pubis. Injection sites were labelled and administered via intradermal injection using an insulin syringe

at 50 µl of sample per site. All sites were measured at 24 hours and 48 hours after the initial injection using calipers to measure cranial to caudal and lateral diameters.

Kinetic CD1 expression:

CD1b expression was measured by flow cytometry on cell suspensions from lung and spleen, cells isolated from bronchoalveolar lavage, and PBMCs from each animal. Flow cytometry methods are previously described^{144,22}. Briefly, 1.5×10^6 cells from each sample were blocked with 2.24 µg/ml of guinea pig and rabbit immunoglobulin (Jackson ImmunoResearch, Cat#: 011-000-003 and 006-000-002) in FACS buffer (PBS containing 1% FBS and 0.01% sodium azide) or using Fc-receptor block (Innovex, Cat#: 50-486-808). Cells were labeled with an antibody panel targeting CD1 expression among professional antigen presenting cells. (sup. table 4). Viability of cells was assessed via Zombie Yellow amine-reactive permeability dye (BioLegend, Cat# 423103). Data were acquired using a spectral unmixing Cytex Aurora flow cytometer (Cytex, Fremont, CA) and analyzed via Flowjo software version 10.8 (Flowjo, Ashland, OR) using a minimum of 100,000 total events, based on the gating strategy described in supplemental Figure 10. The degree of surface CD1 expression was inferred by comparing the mean fluorescence intensity (MFI) values for lung and spleen cells from each infected guinea pig to naïve guinea pigs after applying the same gating strategy (sup. figure 11).

CD1 cytotoxicity:

Previous reports suggest cytotoxic activity as a major function of CD1-restricted T cell responses in peripheral blood and as induced by immunization with lipid antigens^{198,199}. To measure the cytotoxic activity of CD1b-restricted immunity over the course of Mtb infection, CD1b fibroblast transfectants (CD1b1-b4 or mock-transfected) served as target cells and were

incubated with effector cells, composed of the non-adherent cells isolated from the lung or spleen of each animal. Detection of cytotoxicity was performed using a flow cytometric technique previously described based on assessing membrane permeability, and thus, viability of target cell populations²⁰⁰. In brief, 1×10^7 CD1b1 and CD1b3 transfected cells were collected and incubated with 0.5 μ M Cell Trace Violet (ThermoFisher, C34557) for 15 minutes in unmodified RPMI media. The reaction was quenched with 10 ml of additional RPMI containing 10% FBS for 10 minutes and cells pelleted and washed twice. Cells were plated in a 96-well plate at 5×10^4 per well. Free lipid solutions were prepared as previously described for the intradermal skin testing and resuspended in RPMI media. Specified wells were then loaded with 4 μ g of either synthetic mycolic acid or glucose monomycolate Mtb lipid, or mock-loaded to use as unloaded controls for determination of lipid-antigen specific cytotoxicity. Cells and lipid were incubated overnight to allow for lipid cellular trafficking and lipid presentation in the context of CD1b. These target cells were then incubated with non-adherent inflammatory cells derived from the lung and spleen from either naïve or Mtb-infected guinea pigs for 4 hours at a target:effector ratio ranging from 1:0 to 1:8. Incubated cells were then stained for viability using Zombie Yellow dye, diluted at 1:500 in PBS dilution then added at 100 μ l per sample (BioLegend, Cat# 423103). A pilot study was performed to validate this methodology against the guinea pig fibroblast target cells and identify the best CD1b orthologs to utilize for the main experiment. From the pilot, CD1b1 and CD1b3 had the highest degree of cellular lysis (sup Fig. 12). Data were acquired using a Cytex Aurora spectral unmixing flow cytometer (Cytex, Fremont, CA). Data were analyzed via Flowjo software version 10.8 (Flowjo, Ashland, OR) using a minimum of 50,000 events following the gating strategy demonstrated in sup. Fig. 13 with fibroblasts identified based on size and presence of cell trace violet signal. Mock-transfected fibroblasts, containing the empty vector with no CD1b construct, or CD1b1 and CD1b3 transfected fibroblasts without added lipid antigen were used as negative controls to identify cytotoxicity associated with CD1 restriction and specific lipid antigens. Data were

normalized to background using the mean cellular death among CD1b1 and CD1b3 transfectants without addition of effector cells (1:0 target to effector ratio).

Kinetic expression of specific CD1b orthologs by qRT-PCR relative gene expression:

Kinetic relative gene expression of CD1b was measured with CD1b ortholog-specific primers (CD1b1-b4) designed *de novo*. All primer set sequences were created using Geneious software (Auckland, NZ) via a 4-sequence alignment and selecting for non-consensus primer sets, which were then synthesized by Integrated DNA Technologies (IDT) (Coralville, IA) (sup. table 5). Primer set specificity was evaluated via the use of synthetic gene segments from IDT for each CD1b sequence (sup. Fig. 14). Primers were demonstrated to be specific for each of the CD1b1-4 orthologs without cross-reactive detection, and expression of CD1b1-b4 was confirmed in each respective fibroblast transfectant (sup. Fig. 15). For RNA isolation, freshly isolated lung tissue at each endpoint of day 14, 30 or 60 post-infection was minced in RNALater (Sigma, cat#: R0901), incubated at 4°C for 24 hours, then frozen at -80°C until RNA extraction. Tissue was thawed at room temperature and approximately 50 mg of tissue homogenized in RLT buffer (Qiagen) containing β -mercaptoethanol using Lysing Matrix A tubes (VWR, cat# 75784-610) in a bead beater instrument at full speed for two cycles of 20 seconds (MP Biomedical, cat# C321001). Proteinase K was added to the homogenate, incubated at 55°C for 15 minutes, then RNA was extracted with the RNeasy mini kit (Qiagen, cat# 74106) per manufacturer's instructions. Nucleic acid was eluted from the column, digested with DNase-I for 30 minutes at 37°C, then purified again using the RNeasy mini kit. Sample concentration was measured using a Nanodrop spectrophotometer and quality analyzed on an Agilent TapeStation. Generation of cDNA was performed using the iScript cDNA synthesis kit (BioRad cat# 1708890), reverse transcribing 1 μ g of RNA per reaction. 25 ng of cDNA template was added to an iScript Sybr Green master mix containing 1.5mM MgCl₂ and 0.1 μ M of each forward

and reverse primer. Relative gene expression was measured by normalizing log₂-fold expression to reference genes HPRT and β-actin using the ΔΔCt method, as previously described^{22,142}.

Distribution of tissue CD1 expression by immunohistochemistry:

Lung, and spleen were isolated fresh at necropsy, embedded in OCT medium (Thermofisher, cat#: 23-730-571) and cryopreserved in a liquid nitrogen bath, then stored at -80°C until further use. OCT-embedded tissue was sectioned using a cryotome maintained at -16 to -18°C, and a 7 μm section adhered to charged glass slides. After sectioning, tissues were immediately placed in a 100% chilled methanol fixation for 45 minutes to fix the tissue and inactivate the Mtb organism. All DAB chromogenic and fluorescent immunohistochemistry protocols were completed on methanol fixed tissue sections utilizing a Leica Bond RXm automated slide stainer using previously validated protocols (sup. tables 6 & 7). Fluorescent multiplex IHC was achieved using Opal fluorochromes 570 and 690 (Akoya Biosciences, cat# 570- FP1488001KT, 690- FP1495001KT, 690- FP1497001KT) to detect CD1-ortholog specific expression and distribution across myeloid/histiocytic, based on morphology, and B cell subsets, based on Pax5 transcription factor expression (clone 24, CellMarque, cat# 312M). All tissues were blocked in 2.5% goat serum solution (Vector Labs, cat# S-1012-50) and primary antibodies diluted in 2.5% goat serum. Primary antibodies were detected using a goat anti-mouse HRP secondary (Vector Labs, cat# MP-7452-50) and incubated with DAB substrate for chromogenic IHC or Opal fluorochromes for fluorescent IHC protocols. Quantification of immunohistochemistry was performed using Visiopharm analytical software (Hoersholm, Denmark). In brief, a nuclei-detect application was developed to discern between DAPI stained nuclei and Pax5 positive nuclei as well as identifying all cells within tissue preparations. This application was further developed to

delineate nuclei based on membranous CD1 expression. The end outputs of the analysis were Pax5⁻ CD1⁻ cells, Pax5⁻ CD1⁺ cells, Pax5⁺ CD1⁻ cells, and Pax5⁺ CD1⁺ cells.

Quantification of tissue bacterial burden:

Lung bacterial burden was quantified as previously described²². In brief, tissue homogenate was plated on 7H11 agar quad plates in 5-fold serial dilutions. Plates were incubated for 8 weeks and counted. Colony-forming units were log₁₀-transformed and expressed as colony-forming units per gram of tissue.

Statistical analysis:

All analyses, with the exception of the cytotoxicity assay, were performed utilizing Prism version 9.4.1 (GraphPad, San Diego, CA). Data distribution was evaluated via a Shapiro-Wilks test. Based on distribution, a One-Way ANOVA test or Kruskal-Wallis test was performed to determine statistical significance for flow cytometric analysis, gene expression, and histologic quantifications. Statistical significance was set at $p \leq 0.05$. For the cytotoxicity assay, all analyses were performed using R Statistical Software (v4.2.1; R Core Team 2021). AUC was calculated based on the ratio of effector to target cells and normalized percent killing via the metrumrg R package (v5.57)²⁰¹. A 2-factor ANOVA with Sidak's correction was used to determine the significance between groups or time with the rstatix R package (v0.7.0)²⁰²

Results:

Kinetic upregulation of CD1b expression within tissue during Mtb infection is largely restricted to small leukocyte populations:

Initially, we sought to evaluate the impact of Mtb infection on CD1b expression over the course of TB disease. Surface CD1b expression was evaluated across two independent *in vivo* infection studies in spleen and lung of Mtb-infected guinea pigs among viable CD45+ leukocytes. An increased frequency of CD1b+ cells were demonstrated among infected animals compared to time matched naïve controls via flow cytometric analysis (**Fig. 16A-D**).

Inflammatory cells derived from lung parenchyma had similar basal surface CD1b expression during the initial innate response at day 14 of infection, with $11.55\% \pm 6.3\%$ CD1b+ among infected and $9.96\% \pm 6\%$ among naïve controls. The frequency of CD1b-expressing cells in lung of infected animals was greatest at day 30 of infection, a point during infection when the effector adaptive response has peaked. At day 30 of infection, there was a mean $43.5\% \pm 10.7\%$ CD1b+ cells in infected lung, compared to $10.0\% \pm 6\%$ CD1b+ cells among naïve, uninfected guinea pigs. CD1b expression among infected guinea pigs at day 30 of infection was significantly greater than naïve time-matched guinea pigs and kinetically compared to infected guinea pigs at either day 14 or day 60 of infection ($p \leq 0.0001$). Frequency of CD1b expressing leukocytes decreased with infection chronicity in the late adaptive immune phase at day 60 of infection, $24.1\% \pm 6.3\%$ CD1b+. Guinea pigs at day 60 of infection had a significantly greater frequency of CD1b+ leukocytes compared to animals at day 14 of infection ($P \leq 0.05$) but was not significantly greater than time matched naïve guinea pigs (**Fig. 16B**). Splenic CD45+ leukocytes followed similar surface CD1b expression kinetics to lung CD45+ cells. The greatest frequency of CD1b+ positive cells in spleen was observed at day 30 of infection ($37.8\% \pm 8.7\%$ CD1b+) with significant upregulation compared to guinea pigs at day 14 of infection ($16.5\% \pm 4.2\%$ CD1b+), guinea pigs at day 60 of infection ($20.3\% \pm 8\%$ CD1+), and naïve time matched control guinea pigs ($p \leq 0.0001$). In contrast to lung CD45+ cells, splenic CD45+ cells had significantly increased CD1b expression at day 14 of infection compared to naïve controls ($p \leq 0.05$) (**Fig. 16B**).

To further discriminate the CD45⁺ leukocyte populations expressing CD1b over the course of infection, cells were gated by forward and side scatter to differentiate small and large leukocyte populations, previously shown to capture lymphocyte and myeloid populations, respectively^{144,203,22}. Large cell leukocytes from both the lung and spleen had low basal surface expression of CD1b with no significant kinetic upregulation over the course of Mtb infection or compared to time-matched naïve control guinea pigs (**Fig. 16C**). In contrast, lung derived small cell leukocytes had marked upregulation at day 30 of infection (51.2% ± 17.4% CD1b⁺) with significant upregulation compared to naïve guinea pigs ($p \leq 0.001$) and exposed guinea pigs at Day 14 (17% ± 5.1% CD1b⁺), and day 60 post-infection (19.9% ± 5.2% CD1b⁺) ($p \leq 0.0001$). Similarly, CD1b expression peaked among splenic small cell leukocytes at day 30 post-infection (40.6% ± 19.7%) compared to time-matched naïve, uninfected control animals ($p \leq 0.01$) and guinea pigs at day 14 post-infection (22.8% ± 12% CD1b⁺) ($p \leq 0.05$) (**Fig. 16D**).

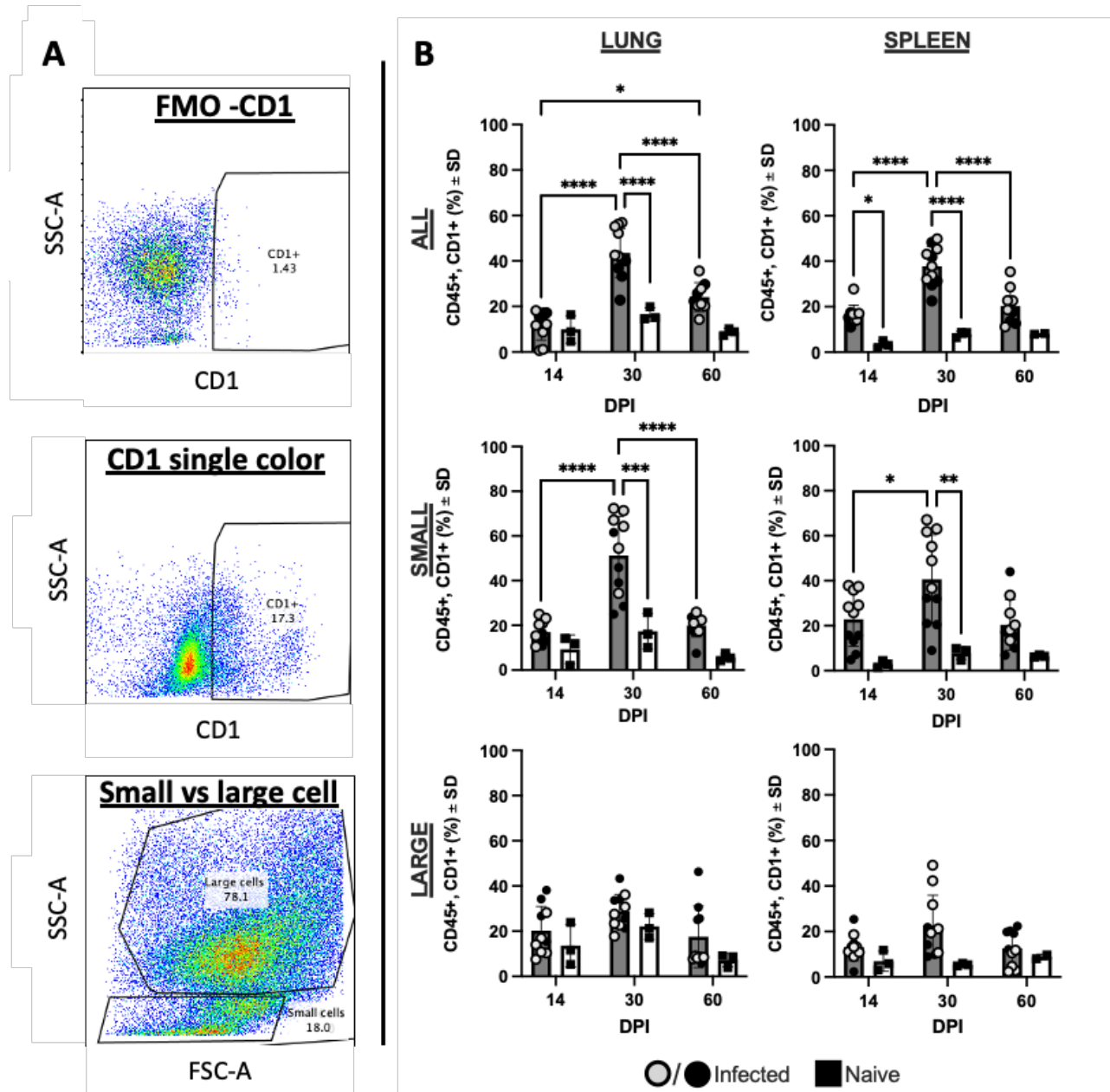


Figure 16. CD1b expression over the course of *Mtb* infection. Flow cytometric analysis of leukocytes at 14-, 30- and 60-days post infection (DPI). Cells were gated for live, CD45+ cells and evaluated for overall CD1 expression using the anti-CD1b monoclonal antibody, 1B12. Open and closed circles represent two separate experimental sets of identical study design. Naïve, time-matched controls were performed solely in one of two experiments. (A) Scatter plot gating of CD1 positive cells, demonstrating fluorescence-minus-one FMO gating control (top), gating of CD1b-expressing cells (middle), and gating strategy for isolating small vs large CD45+ leukocytes (bottom). (B-D) Proportion of cells expressing CD1b among lung- and spleen-derived cells. Graphs represent All CD45+ cells (B), delineated large leukocyte populations (C), and delineated small leukocyte populations (D). * $P \leq 0.05$, ** $P \leq 0.01$, *** $P \leq 0.001$, and **** $P \leq 0.0001$; (B-D) Two-way ANOVA with Tukey post-hoc correction for multiple comparisons.

To evaluate the degree of CD1 modulation on individual CD1-positive antigen presenting cells, mean fluorescence intensity (MFI) was measured in Mtb-infected guinea pigs compared to naïve, uninfected animals at all three endpoints, 14, 30, and 60 days of infection. In correlation with the cellular breakdown by scatter parameters, MFI was examined in all CD45+ cells, large leukocytes, and small leukocytes (sup fig. 11). Increased surface expression of CD1b was observed primarily in lung-derived cells at day 30 of infection compared to naïve guinea pigs, the same endpoint when greater frequency of CD1b+ cells were observed ($p \leq 0.05$). No significant differences were observed at 14 DPI. These data suggest that increased expression of CD1b over the course of Mtb infection is the result of both increased cell frequency and upregulation of surface expression on cells capable of expressing CD1b.

Guinea pig CD1b orthologs have different spatial cellular expression profiles and kinetically specific gene expression patterns during the course of Mtb infection:

Having identified changes in CD1b expression among leukocytes responding to Mtb infection in tissue, we sought to evaluate the specific CD1b orthologs expressed such that antigen-specific CD1-restricted T cell immunity, as measured by cytotoxicity, could be evaluated in an ortholog-specific manner. Among monoclonal antibodies available to discriminate individual CD1b orthologs, the 1D12 clone is specific for CD1b1 and MsGp9 is specific for CD1b3 (sup. fig 8). Lung and spleen tissue from naïve guinea pigs were evaluated for expression of these specific orthologs by immunohistochemistry (**Fig 17A-F**). Cell- and ortholog-specific expression patterns were evident across lung and spleen tissue. In lung, alveolar macrophages and interepithelial myeloid cells within the airways expressed CD1b1. In contrast, CD1b3 was expressed within the B cell regions of the splenic white pulp, corroborating with previous literature¹⁹⁴. In disparity to CD1b3, CD1b1 within spleen is rare and limited predominantly to myeloid cells within the sinuses of the splenic red pulp.

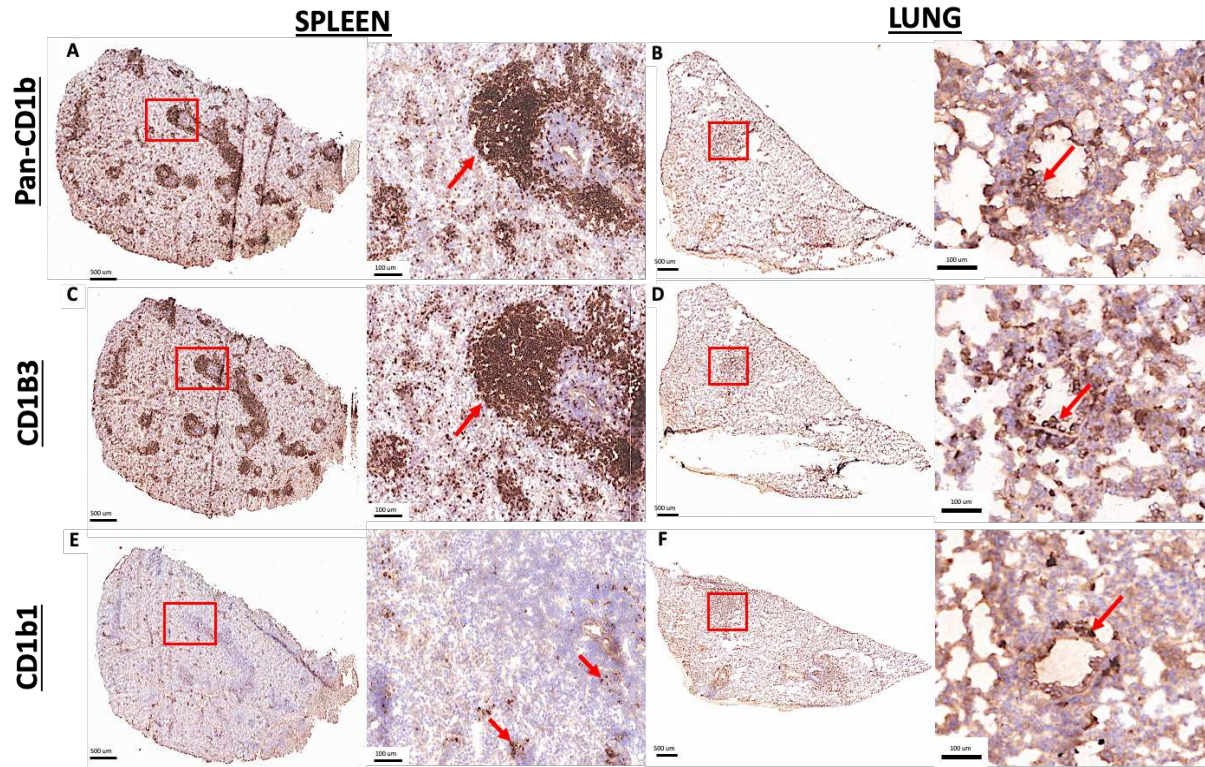


Figure 17. Tissue distribution of specific CD1b orthologs. Fresh-frozen naïve lung and spleen tissue cryosections were fixed in absolute methanol and labeled by immunohistochemistry using monoclonal antibodies against all CD1b orthologs, or specifically targeting CD1b1 and CD1b3. (A-B) Distribution of all CD1b orthologs in spleen and lung after labeling with pan anti-CD1b antibody, 6B5. (C-D) Distribution of the CD1b3 ortholog, specifically, in spleen and lung after labeling with the anti-CD1b3 monoclonal antibody, MsGP9. (E-F) Distribution of the CD1b1 ortholog, specifically, in spleen and lung after labeling with the anti-CD1b1 monoclonal antibody, 1D12. High magnification images are representative of the areas delineated by red boxes in each figure A-F.

The same chromogenic IHC approach and antibodies were utilized to evaluate specific ortholog spatial expression within lung granulomas. Serial sections of lung from an infected guinea pig at the day 30 endpoint were evaluated. IHC performed with the pan-CD1b antibody, 6B5, highlights a population expressing CD1b within the lymphocytic regions at the periphery of primary granulomas. Further delineating ortholog-specific expression, IHC with the CD1b3-specific MsGp9 clone demonstrated strong membranous immunolabeling among morphologically small cells consistent with lymphocytes (**Fig. 18A, B**). In contrast, CD1b1

assessed by IHC with clone 1D12, showed weak membranous immunolabeling in the wall of the granuloma among the region of cell infiltrate also expressing CD1b3 (**Fig 18C**). The clear differences across tissue and cellular distribution of CD1b1 and CD1b3 expression would suggest that tissue compartments and cellular populations may have specific regulation of CD1b orthologs in naïve tissue and during the course of Mtb infection

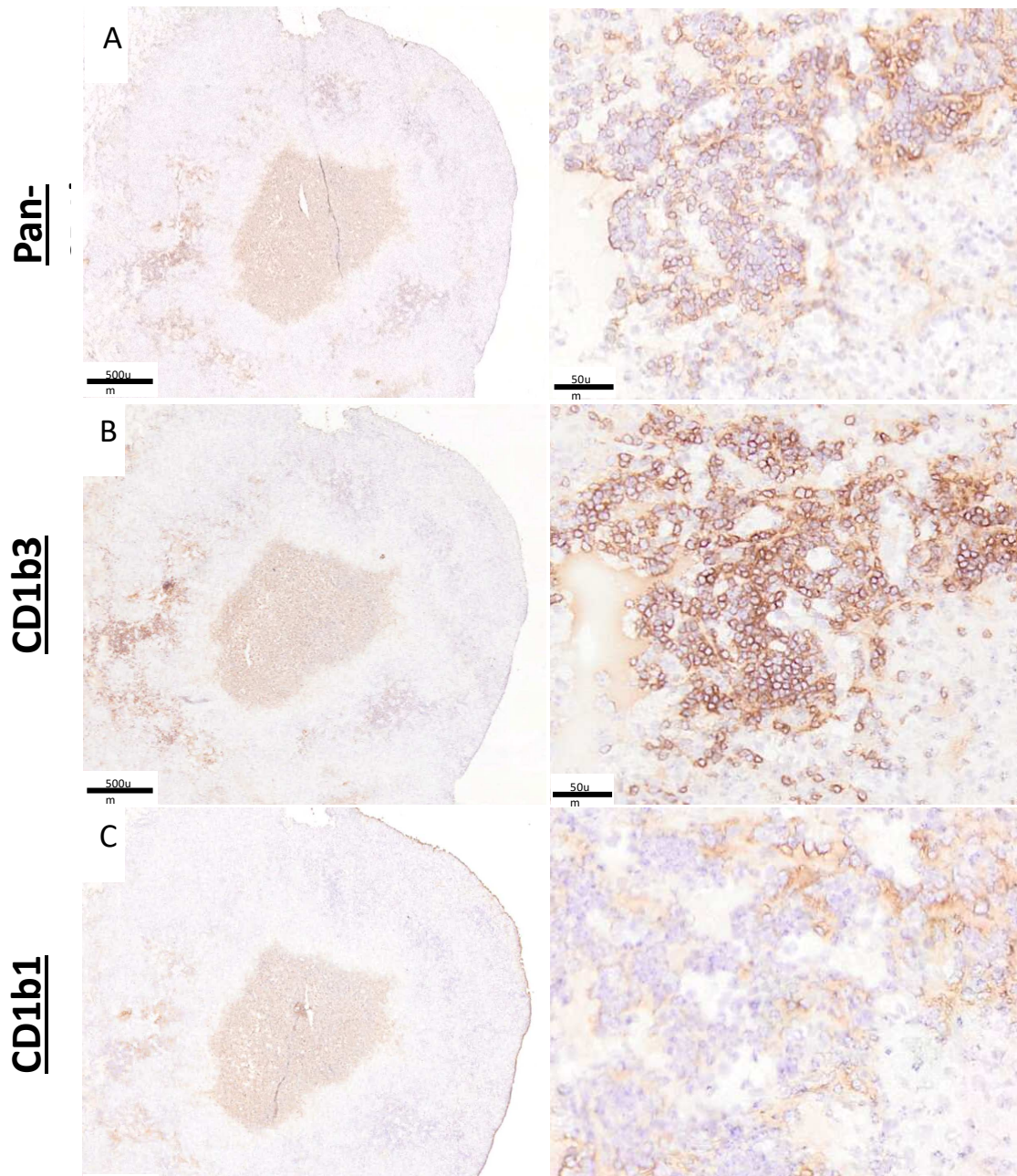


Figure 18. Cellular distribution of CD1b expression in the pulmonary granuloma. Fresh frozen serial sections of a lung granuloma from an Mtb-infected guinea pig at 30-days post-infection were fixed in absolute methanol and labeled by immunohistochemistry using monoclonal antibodies against all CD1b orthologs, or specifically targeting CD1b1 and CD1b3. (A) Distribution of all CD1b orthologs detected with pan anti-CD1b antibody, 6B5; (B) Distribution of the CD1b3 ortholog, specifically, with anti-CD1b3 antibody, MsGP9. (C) Distribution of the CD1b1 ortholog, specifically, with anti-CD1b1 antibody, 1D12. (D) Negative control omitting the primary anti-CD1b antibodies. High magnification images are representative of the areas delineated by red boxes in each figure A-C.

To determine how specific CD1b orthologs are regulated in the course of Mtb infection, we designed ortholog-specific primers targeting CD1b1-b4 and evaluated kinetic changes in relative gene expression in total RNA isolated from infected and naïve lung. Relative gene expression of specific CD1b orthologs (CD1b1-b4) performed on total lung cDNA demonstrated altered expression of CD1b orthologs in infected guinea pigs compared to total lung cDNA from naïve guinea pigs (**Fig. 19A-D**). Interestingly, each ortholog had a unique expression pattern over the course of Mtb infection. CD1b1 (**Fig. 19A**) had low naïve tissue gene expression (4.78 ± 5.32) with a six-fold mean increase and peak in the early adaptive immune phase at day 30 (29.1 ± 20.08 ; $p \leq 0.05$). CD1b1 waned with chronicity of infection at day 60 (12.53 ± 11.49). CD1b2 (**Fig. 19B**) showed the greatest increase in relative expression during infection, beginning at day 14 and reaching a peak at day 30 with a 36-fold mean increase (147.3 ± 86.1 ; $p \leq 0.01$) compared to naïve controls. CD1b2 expression waned slightly with infection chronicity at day 60 (89.65 ± 99.8). CD1b3 (**Fig. 19C**) had low naïve expression, 1.85 ± 1.58 , with a 14-fold mean increase at day 14 of infection (26.55 ± 15.4 ; $p \leq 0.05$) and a 28-fold mean increase at day 30 of infection (52.55 ± 30.1 ; $p \leq 0.01$). Expression of CD1b3 also waned slightly with infection chronicity at day 60 (30.95 ± 39.3). In contrast to the other orthologs, CD1b4 (**Fig. 19D**) had upregulated expression only during the innate phase of immunity at day 14 of infection with an 18-fold mean increase (73.9 ± 55.84 ; $p \leq 0.01$), then progressively waned over days 30 and 60 of infection.

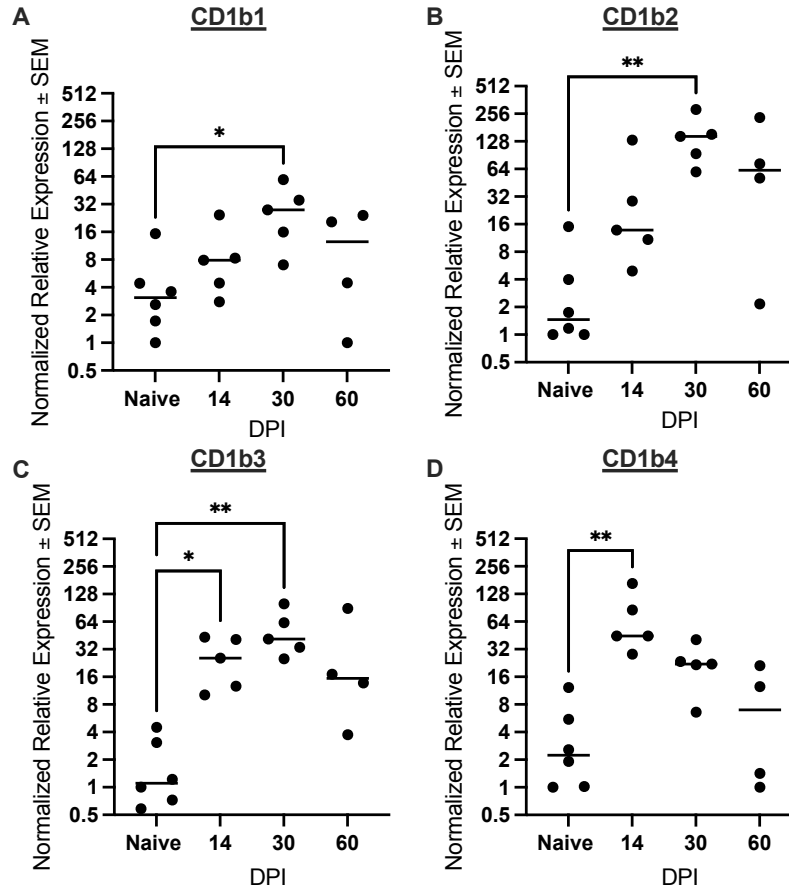


Figure 19. Kinetic gene expression of each CD1b ortholog over the course of Mtb infection. Ortholog specific primers were designed to amplify only CD1B1-4, respectively. Relative gene expression of each ortholog was measured by quantitative RT-PCR on total RNA isolated from lung samples of Mtb-infected and naïve guinea pigs at 14, 30, and 60 days post-infection (DPI). Expression across naïve and infection endpoints were normalized to the naïve control animal having the lowest expression of the CD1b1 ortholog, designated at a relative expression of 1. Ortholog-specific expression was assayed for (A) CD1b1, (B) CD1b2, (C) CD1b3, and (D) CD1b4. * $P \leq 0.05$; ** $P \leq 0.01$; One-way ANOVA multiple comparison with a Dunnett correction.

CD1b expression within Mtb granulomas is primarily restricted to B cells along the periphery of pulmonary lesions.

With increased frequency of CD1b-expression on small CD45+ cells via flow cytometric analysis, and chromogenic IHC highlighting small cells morphologically consistent with lymphocytes, we further pursued CD1b expression via multiplex IHC to identify the cell phenotypes and locations of CD1b-expressing leukocytes within infected lung parenchyma.

Evaluation of multiplex immunohistochemistry showed the majority of CD1b expression is centered on B cells located along the periphery of Mtb granulomas (**Fig. 20A-D**). Correlating with flow cytometric analyses, CD1b was kinetically regulated during the course of Mtb infections, with the highest number CD1b expressing cells appreciated in the effector phase of adaptive immunity, 30 days post-infection, with a mean $21,369 \pm 9,829$ CD1+ cells per animal among lung granulomas (**Fig. 20E-F**). Interestingly, the frequency of CD1+ cells in TB granuloma lesions reflects a greater proportion of the initial cells recruited in the early response to Mtb infection, as evidenced by the increased proportion of CD1+ cells among all granuloma-associated infiltrate at day 14 of infection (**Fig. 20G-H**). Because these cells are largely Pax5+ (**Fig. 20I**), this represents a population of early responding B cells that may contribute to the establishment of lipid-restricted immunity. Collectively, evaluation of CD1b expression by IHC methods demonstrates that B cell populations dominate among CD1b-expressing cells in the granuloma, with a surprising paucity of CD1b expression among non-B cell leukocytes in the walls of TB granulomas.

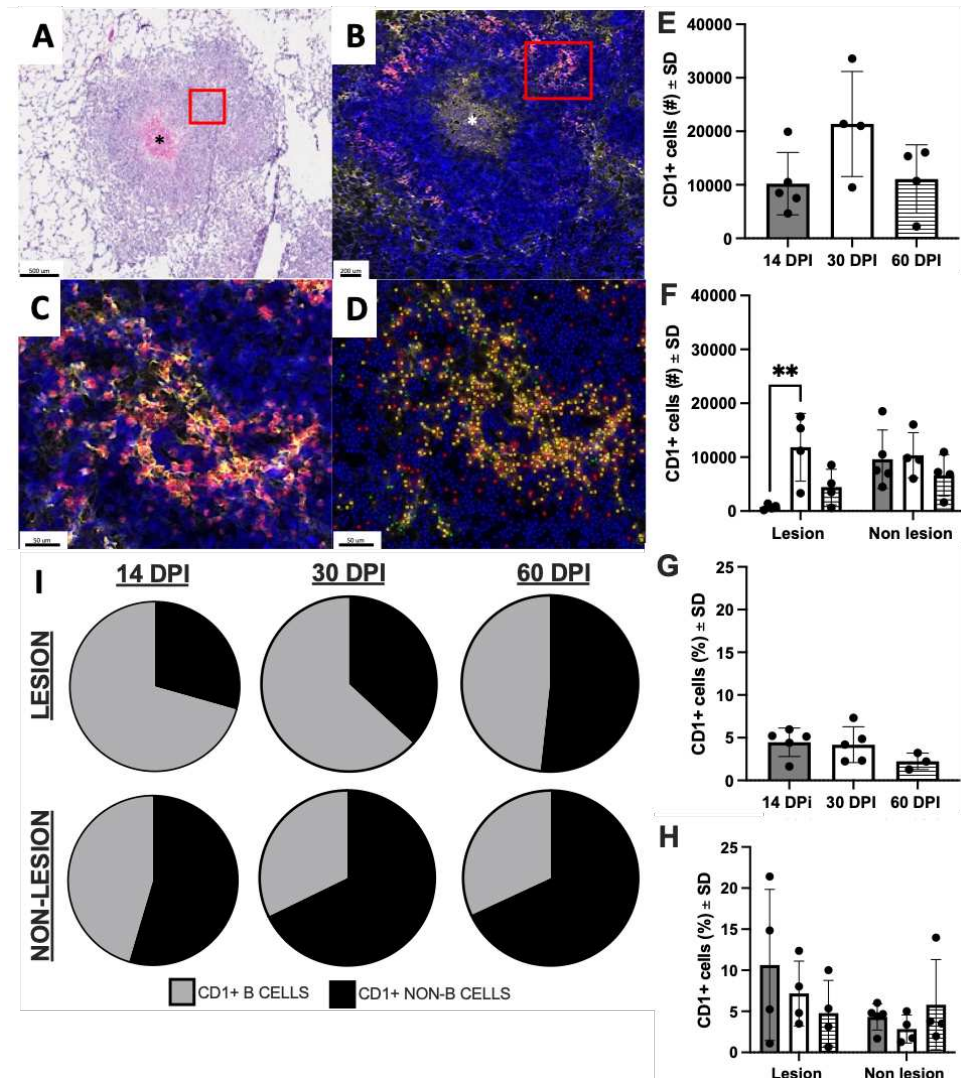


Figure 20. Location and cellular distribution of CD1b expression over the course of Mtb infection.

Fresh frozen sections of lung and spleen at 14, 30, and 60 days post-infection (DPI) were fixed in absolute methanol and labeled with antibodies targeting all CD1b orthologs (6B5, Opal 520) or the B cell transcription factor, Pax5 (clone 24, Opal 690), using Opal fluorochrome immunohistochemistry and nuclear counterstain, DAPI. (A-D) Representative sections of a lung granuloma demonstrating: H&E stained image of the granuloma labeled by IHC (A); Multiplexed IHC detecting CD1b (yellow) and Pax5 (red) demonstrating the distribution of expression across the granuloma (B). Asterisk in sections represents the region of central necrosis; high magnification view of CD1+, Pax5+ region designated by the red boxes in panels A and B (C); and the same high magnification field of view overlaid with Visiopharm analysis annotation of CD1+ and Pax5+ B cells (yellow), CD1- and Pax5+ B cells (red), or CD1+ and Pax5- representing CD1-expressing cells other than B cells (green) (D). For Visiopharm analysis, all nuclei in the tissue were detected to designate individual cells, then each cell identified based on nuclear and membranous immunolabeling patterns. (E-F) Visiopharm-derived quantitative output of CD1+ cell numbers across the entire lung section (E) or separated into lesional and non-lesional regions of each lung section at 14, 30, and 60 days post infection (DPI) (F). (G-H) Proportion of CD1+ cells among all detected cells in whole lung (G) or separated into lesional and non-lesional regions of each lung section at 14, 30, and 60 days post infection (H). (I) Breakdown of CD1+ cell into B cells or non-b cells in both lesional and non-lesional parenchyma at 14, 30, and 60 days post infection Visual designation of CD1+ cell phenotypes as pie diagrams across lesional and non-lesional lung tissue at 14, 30 and 60 DPI. **P<0.01; one-way ANOVA with a tukey correction.

Mtb infection elicits lipid-antigen specific and CD1b-restricted cytotoxicity.

To examine the kinetic functional response of CD1b-restricted immunity to Mtb lipids, non-adherent effector cells isolated from spleen and lung parenchyma were incubated with CD1b1- and CD1b3-transfected fibroblast cell lines loaded with either mycolic acid or glucose monomycolate synthetic lipid antigens. Lung effector cells (**Fig. 21A**) failed to demonstrate significant antigen-specific cytotoxicity during innate immunity in any condition, though antigen-loaded samples trended toward increased cytotoxicity compared to unloaded controls. Maximal cytotoxic effect with lung-derived effector cells was observed during early adaptive immunity, 30 days post-infection, within all conditions except for CD1b3 transfectant target cells loaded with mycolic acid. Though all conditions demonstrated a higher level of cytotoxicity in antigen-loaded CD1b-expressing target cells, a significant increase in cellular cytotoxicity was only appreciated in glucose monomycolate-loaded CD1b3 target cells ($P \leq 0.01$) and mycolic acid-loaded CD1b1 target cells ($P \leq 0.05$). The greatest cytotoxic effect was appreciated comparing lipid loaded target cells to unloaded target controls from infected animals among CD1b1 and CD1b3 targets loaded with glucose monomycolate and CD1b1 targets loaded with mycolic acid. Where lipid antigen-specific and CD1-restricted responses were detected, there is a corresponding increase in antigen-specific cytotoxicity with increasing ratio of effector:target cells. Proportions of cytotoxicity, indicated as percent cell death, are exhaustively listed in supplemental table 4A-B. Comparing only infected samples kinetically over time, all conditions at day 30 post-infection, except for mycolic acid-loaded CD1b3 target cells, have significantly greater cytotoxic effect in lung than the same condition at days 14 or 60 post-infection ($P \leq 0.05$) (sup. fig. 16A).

Similar to the lung, spleen-derived effector cells (**Fig. 21B**) lacked cytotoxic function during innate immunity for all conditions, with no significant differences between antigen-loaded target

cells and unloaded controls. During early adaptive immunity, at day 30 of infection, the only antigen and CD1b ortholog demonstrating significant cytotoxic activity was seen in mycolic acid loaded CD1b3 target cells compared to unloaded controls ($P \leq 0.05$). In contrast to lung, maximal cytotoxic effect with spleen-derived effector cells was observed during chronic disease, at 60 days post-infection, with significant lipid antigen-specific cytotoxicity observed in all conditions, glucose monomycolate-loaded CD1b1 and CD1b3 ($P \leq 0.01$), mycolic acid-loaded CD1b1 ($P \leq 0.001$) and mycolic acid-loaded CD1b3 ($P \leq 0.0001$). Comparing only infected samples kinetically over time, day 30 and 60 endpoints were significantly higher than the day 14 innate endpoint. Additionally, in all conditions significantly greater cytotoxicity was appreciated at 60 days of infection compared to 30 days of infection (Sup. fig 9B). All comparisons and controls utilized in this spleen cytotoxicity assay are included in supplemental data (Sup. Fig. 17A-B and sup table 8A-B).

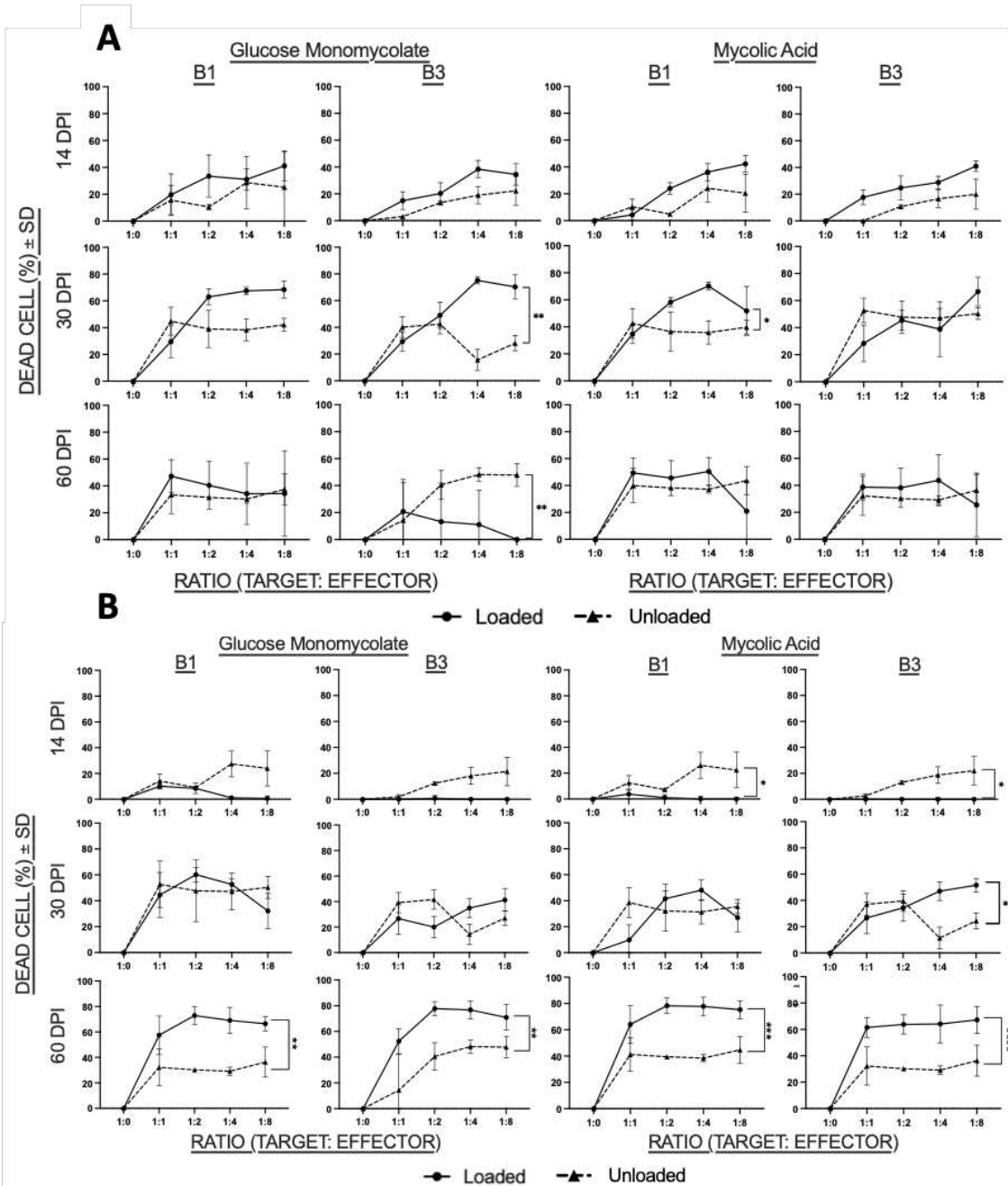


Figure 21. Lipid antigen-specific and CD1b-restricted cytotoxic activity among cells isolated from Mtb-infected lung and spleen. Guinea pig OT1 fibroblast cell line transfected with CD1b1 or CD1b3 were labeled with CellTrace Violet tracking dye then mock-loaded (unloaded) or loaded with synthetic glucose monomycolate or mycolic acid Mtb lipids to be used as target cells in this cytotoxicity assay. Target cell fibroblasts were incubated with non-adherent cells isolated from the lung or spleen of Mtb-infected or naïve guinea pigs at 14, 30 and 60 days post-infection (DPI) at ratios ranging from 1:0 to 1:8 target:effector cells. Fibroblasts in co-culture were identified by flow cytometric gating on CTV-labeled cells and viability assessed using permeability dye exclusion. Cytotoxicity is expressed as the proportion dead, permeable CTV-labeled target fibroblasts. A) Fibroblasts incubated with effector cells derived from the lung. B) Fibroblasts incubated with effector cells derived from spleen. All control measures and cytotoxicity comparisons are comprehensively shown in supplemental figure 9 & 10. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$; two-way ANOVA with a Sidak's correction.

Guinea pig CD1b expression is not upregulated among peripheral blood mononuclear cells over the course of Mtb infection.

We assessed kinetic CD1 expression and CD1-restricted cytotoxic capacity among peripheral blood mononuclear cells (PBMCs) to determine how CD1-restricted immunity is manifested over the course of Mtb infection in peripherally accessible samples. PBMCs, collected via weekly antemortem blood draws and from our specified terminal endpoints, were analyzed for CD1b expression and CD1b-restricted cytotoxicity. CD1b expression failed to demonstrate significant upregulation in the PBMC populations across any of the specified collection points over the course of Mtb infection, or when compared to specified time-matched naïve guinea pigs (**Fig. 22A**). Due to the requirement for high cell numbers, functional cytotoxicity assays were performed only on PBMCs collected at the specified termination endpoints. Regardless of the infection endpoint, CD1b ortholog-expressing target cell, or Mtb lipid antigen, no cytotoxic effect was demonstrated among PBMCs isolated from infected guinea pigs compared to PBMCs from naïve guinea pigs (**Fig. 22B**).

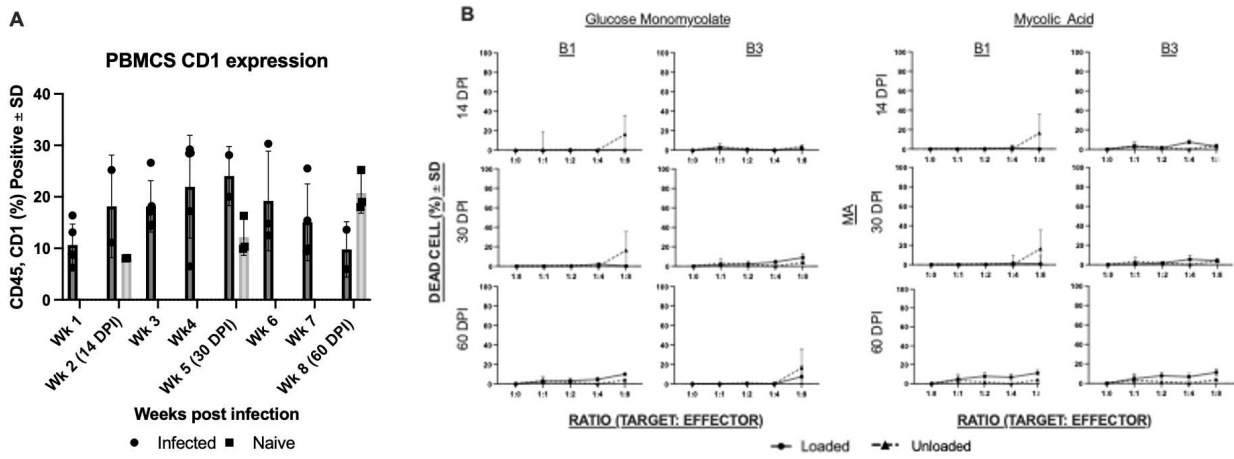


Figure 22. CD1b expression and cytotoxic activity in peripheral blood of naïve and Mtb-infected guinea pigs. (A) Flow cytometric analysis of PBMCs collected weekly after Mtb infection. Cells were gated for live, CD45+ cells and evaluated for overall CD1b expression using the anti-CD1b monoclonal antibody, 1B12. Naïve, time-matched controls were performed at specific termination endpoints of 14, 30, and 60 days post infection (DPI). (B) Guinea pig OT1 fibroblast cells transfected with CD1b1 or CD1b3 were labeled with CellTrace Violet tracking dye then mock-loaded (unloaded) or loaded with synthetic glucose monomycolate or mycolic acid Mtb lipids to be used as target cells in this cytotoxicity assay. Target cell fibroblasts were incubated with PBMCs derived from Mtb-infected and naïve guinea pigs at 14, 30 and 60 DPI at ratios ranging from 1:0 to 1:8 target:effector cells. Fibroblasts in co-culture were identified by flow cytometric gating on CTV-labeled cells and viability assessed using permeability dye exclusion.

Mtb lipids fail to elicit a delayed type-IV hypersensitivity via intradermal challenge.

To assess whether Mtb lipid antigens alone could elicit an immunologic recall response during Mtb infection, infected and naïve guinea pigs were injected with glucose monomycolate or mycolic acid lipid in either a PBS or liposomal formulation. Regardless of formulation administered, synthetic lipid antigens delivered at innate, early adaptive or late adaptive stages of immunity failed to elicit a delayed-type hypersensitivity response. In contrast, and as expected, Mtb purified protein derivative (PPD) was able to elicit a dermal response during early and late adaptive phases of immunity, at day 30 and day 60 of infection, with no antigen-specific response to PPD identified in the innate phase at day 14 of infection (**Fig. 23**).

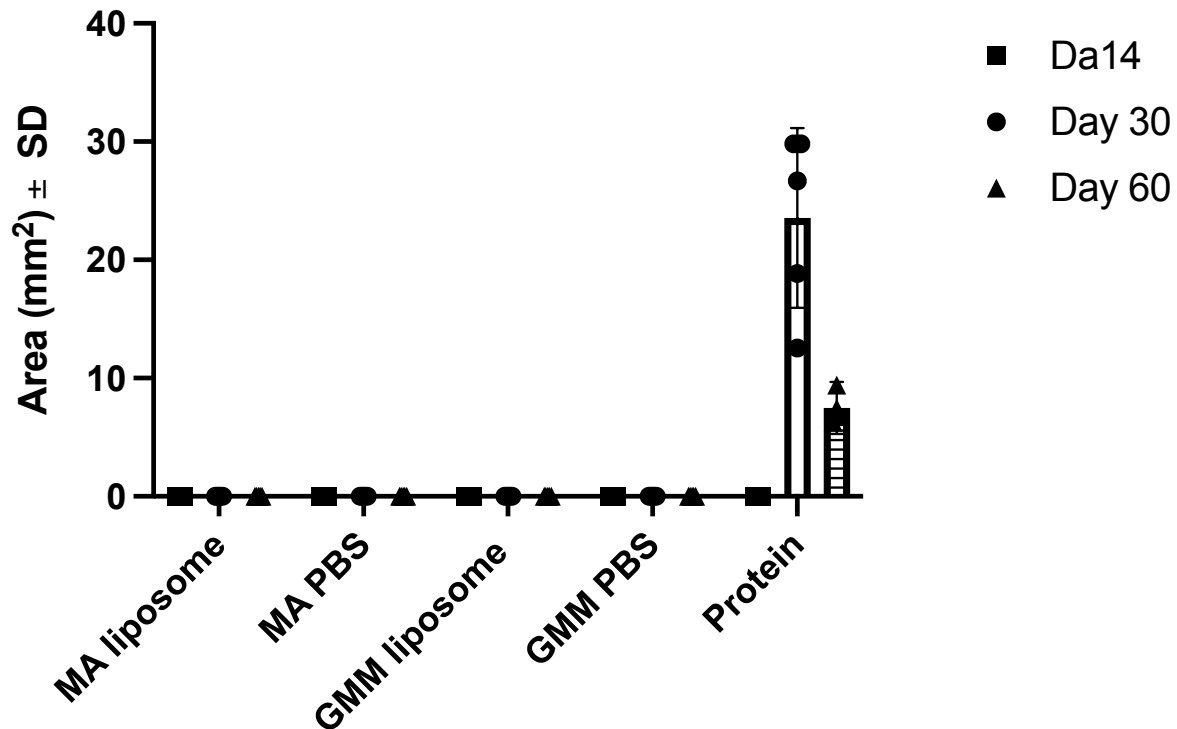


Figure 23. Intradermal *Mtb* antigen challenge. At 48-hours prior to specific endpoint termination, *Mtb*-infected and naïve guinea pigs were administered intradermal injections of glucose monomycolate, mycolic acid, purified protein derivative (PPD), or saline control, either free in liquid suspension or incorporated into liposomes. Reaction was not observed at any saline negative control injection site, or among any antigen preparation across the naïve guinea pigs (data not shown). Lipid antigens, regardless of formulation, yielded no observable skin induration or erythema in *Mtb*-infected guinea pigs.

Enumeration of tissue bacterial burden:

The burden of *Mtb* was assessed via culture of lung homogenates and the formation of colony forming units (CFU). CFU counts were consistent with validated and published low-dose aerosol exposure in the guinea pig model (supp. Fig. 18)²². In brief, mean CFU for day 14 of infection were 4.56 ± 0.304 per gram of tissue, day 30 post infection were 4.79 ± 0.451 , and day 60 post infection were 4.76 ± 0.134 CFU per gram of tissue.

Discussion:

The role of lipid antigen-specific, CD1-restricted immunity in TB remains poorly understood. In previous human and animal studies, it was established that CD1 expression is modulated in myeloid cells derived *in vitro* from peripheral blood of Mtb-infected human subjects and in tissues from infection studies using CD1-transgenic mice^{129,204–206}. This modulation has further been investigated utilizing lipid antigens purified from liquid Mtb culture or BCG vaccination to examine tissue CD1 expression in guinea pigs²⁰⁷. However, the presence and kinetically regulated pattern of CD1-restricted immunity over the course of Mtb infection remained unknown. This study aimed to demonstrate the presence and functional activity of CD1-restricted immunity in pulmonary and extrapulmonary Mtb infection using the guinea pig model.

Here we utilized the guinea pig model of TB to establish the presence of CD1 expression and CD1-restricted immunity in the tissue response to Mtb infection. In this study, we found that CD1 expression increased in leukocytes isolated from infected lung with kinetic changes over the innate and adaptive immune stages of infection. CD1-restricted cytotoxic activity was demonstrable in infected lung and spleen tissue, with kinetic responses tracking in parallel with changes in CD1 expression. Uniquely, we have identified that group I CD1 expression is primarily localized to B cells within granulomatous lesions of the spleen and lung, suggesting this cell population may contribute a previously unrecognized role to the development and maintenance of CD1-restricted immunity.

In this study, we found that CD1 expression increases over the course of Mtb infection, most evident during the establishment and effector phase of adaptive immunity at day 30 of infection. It was noted that the predominant lesion-associated CD1-expressing cell is the Pax5+ B cell. Although many Pax5+ B cells express CD1b, there is also a substantial population of Pax5+ B cells that are negative for CD1b. The difference in function between these cells and their contribution to ongoing granuloma-associated immune responses remains unexplored. We

also observed that there is a proportionally greater frequency of CD1⁺ and Pax5⁺ B cells in the early immune response at day 14 of infection. This early manifestation of CD1 expression might suggest an efficient and early presentation of lipid antigens and an unexpected role for B cells in the early response to Mtb infection.

Of note, there is a clear paucity of CD1b expression among non-B cell phenotypes within the granuloma, thus indicating that the vast majority of granuloma-associated macrophages do not express CD1b orthologs. The cause and consequence of this absent expression among lesion-associated macrophages remains unknown but could be explained by direct modulation by intracellular infection, a downregulation influenced by the surrounding inflammatory environment, or potentially that recruited inflammatory macrophages are not, under any given circumstance, expressing CD1b proteins. The downregulation of CD1 expression by intracellular Mtb infection has been previously demonstrated *in vitro* using human monocyte-derived macrophages^{129,205,206}. An alternative explanation may be that cells expressing lipid antigens in the context of CD1b are efficiently eliminated from the response by cytotoxic activity of CD1-restricted T cells, thereby creating a relative absence of CD1-expression in the granuloma. Importantly, it should be noted that antibodies used in this study are specific for CD1b, thus, we cannot rule out a different cellular distribution expression profile of CD1c orthologs.

Other readouts of CD1-restricted T cell activation, such as antigen-stimulated IFN γ production measured by ELISpot, was not a feasible nor a robust measure in this model, despite the previous utility of this assay as a readout of lipid antigen-specific responses in human peripheral blood^{125,208}. It is unclear whether this difference represents a compartmental difference in peripheral blood, used in human subjects, or a feature unique to the tissue response, as evaluated in this study. Regardless, it is notable that cytotoxicity was identified as a major readout of functional activity of CD1-restricted T cells, a feature that is also reported in isolated human CD1-restricted T cells²⁰⁹. The field would be further advanced by a better

understanding of the phenotype and activity of these cells, to determine whether cytotoxic activity provides a measurable contribution to TB immunity *in vivo*.

Due to limitations in cell yields from infected tissues, we prioritized the application of effector cells in multiple increasing ratios to target cells for select CD1 orthologs, over the use of all CD1b1-b4 transfectants as target cells. Thus, we made an informed decision to utilize CD1b1 and CD1b3 as targets based on their unique tissue distribution in lung and spleen in naïve animals, previously conducted cytotoxicity pilot studies, and previous literature¹¹⁶. We were unable to evaluate cytotoxicity against CD1b2 and CD1b4 in this current study. Because these orthologs have different kinetic transcriptional expression profiles as well as differences in magnitude of expression, as revealed by this study, it is possible that these orthologs may also contribute to induction of cytotoxic activity or other functional response in CD1-restricted T cell populations.

Interestingly, we observed that CD1b1 and CD1b3 have remarkably different cellular expression patterns in naïve tissues of interest. The presence of CD1b1 on alveolar macrophages in lung and macrophage-like cells in the splenic red pulp would suggest that CD1b1 is a myeloid-cell associated CD1b ortholog. In contrast, CD1b3 was highly expressed on B cells and identified within the B cell zone of the splenic white pulp, indicating that CD1b3 is a B cell-associated molecule expressed in both naïve and infected conditions. Further adding to the complexity, CD1b3 has a unique cell trafficking pattern compared to other guinea pig CD1b orthologs, lacking the key YXXZ tyrosine cytoplasmic tail motif for late endosomal trafficking. The absence of this cytoplasmic tail motif directs CD1b3 to the cell surface and superficial endosomes, an antithetical distribution to CD1b1, b2, and b4^{33,45,194}. Collectively, these data suggest that CD1b orthologs may serve multiple distinct roles in the contribution to immunity against *M. tuberculosis* infection.

In these studies, we observed cytotoxic activity in an antigen-restricted manner. Synthetic lipid antigens, mycolic acid and glucose monomycolate, both served as effective antigen targets for the induction of cytotoxic activity, observed to a greater degree in antigen loaded CD1b1 or CD1b3 transfected fibroblast target cells compared to unloaded controls. The presence of cytotoxic activity against cells highlights a currently poorly understood immunological conundrum: CD1 functions to elicit CD1-restricted T cell responses, but the same molecule also serves as a target for cytotoxicity. This may relate to the MHC-I-like nature of the CD1 molecule, where expression in the absence of a co-stimulatory factor and/or functional cytokine milieu leads to cytotoxicity, while presence of co-stimulatory function may provide an antigen-specific activation signal. The molecule that serves as a co-stimulatory signal for CD1-restricted T cell activation has been shown as a mechanism for CD1- restricted double negative T cell proliferation but remains to be identified²¹⁰. Altogether, this study provides evidence that CD1-restricted killing of target cells in active TB requires a lipid antigen in association with CD1.

In this study, there was no discriminate cytotoxic activity against either lipid antigen evaluated in the context of CD1b1 or CD1b3. However, it was noted that higher activity could be demonstrated against CD1b3 transfectant target cells in general, compared to CD1b1. These findings may suggest that orthologs not only demonstrate kinetic differences in expression but may also contribute different functional roles in the response to Mtb infection. It is possible that this functional activity is related to the tissue compartmental restriction of the CD1-restricted immune response observed in this study. We attempted to measure CD1-restricted activity in peripheral blood, from which limited to no cytotoxic activity was observed. We also conducted lipid antigen skin testing side-by-side with PPD antigen preparation, where no skin reactivity was observed to synthetic lipid antigens compared to PPD. This indicates that either insufficient antigens or non-reactive antigens were used, or that recall responses and recruitment to skin antigen is not a functional characteristic of CD1-restricted T cells.

CD1-restricted T cell activity has been shown to be differentially present in the circulation of patients with active compared to latent TB, and also contributes to the vaccine response to BCG in non-human primates^{211,212}. Here we demonstrated that CD1 expression is inducible by *M. tuberculosis* infection, that this expression is associated with early phases of the initial adaptive immune response, and that this induction of CD1 expression is associated with a functional population of CD1-restricted antigen-specific cells. The use of synthetic lipid antigens in this study is a significant strength. Confidence that preparations are absent of contaminating protein antigens, which may be present in lipid antigens purified directly from the Mtb organism, eliminates the possibility that the antigen-restricted functional response observed in this study is the result of contaminating protein antigens over the lipids themselves. Despite these novel findings, this study carries some limitations. We cannot ensure that fibroblasts represent the most effective target population for effector cells or that these outcomes are reflective of what occurs *in vivo*. However, this remains one of the very few guinea pig cell lines available for use in research with this species. Additionally, a fibroblast line transfected with CD1d is not available for testing of cross-reactivity among our antibody reagents. Therefore, while we can confirm that antibodies used in this study do not bind CD1c, it remains a possibility that some CD1 expression observed is a result of detecting constitutive CD1d expression. However, this remains less of a concern given the demonstration of CD1b-restricted cytotoxicity as a functional readout from cells isolated from infected lung and spleen.

Having now established the presence of CD1-restricted immunity at the primary sites of Mtb infection achieved by low-dose aerosol exposure in the guinea pig model, it remains critical to better understand the contributions of this branch of immunity to TB disease outcome. It is still unknown whether CD1-restricted responses provide a benefit or detriment to the host, and how these antigen-presentation molecules contribute among the mix of a significantly larger

repertoire of protein-based antigens in the context of classical MHC class I or class II antigen presentation.

CHAPTER 3: Modulation of CD1-restricted immunity during a *Mycobacterium tuberculosis* infection in the guinea pig, and its effect on overall disease progression

Summary:

CD1 lipid-based immunity has been shown to present *Mycobacterium tuberculosis* (Mtb) lipid antigens in Mtb infected humans and animal models. However, fundamental questions remain as to the role CD1 lipid immunity plays in the pathogenesis of Tuberculosis (TB) in terms of lesion burden, local bacterial burden and ability of the Mtb bacillus to achieve systemic spread. Exploiting the guinea pigs' translatable characteristics for both Mtb infections and CD1 immunity, this study aimed to answer how altering CD1 lipid restricted immune functionality effects TB disease progression. To achieve this, this study modulated CD1 glycoprotein expression on antigen presenting cells in vivo utilizing ortholog specific CD1 morpholinos, for CD1 down regulation, and a murine growth factor FLT3-L, for CD1 upregulation. In pilot experiments using naïve guinea pigs, both compounds were able to cause decisive modulation of CD1, with up to a 100% upregulation of CD1 under FLT3-L stimulation and 50% knockdown of CD1 with morpholinos. In infected animals, FLT3-L failed to further enhance CD1 protein or gene expression and paradoxically lowers CD1-restricted T cell cytolytic functionality compared to controls. In contrast, morpholinos were able to knockdown CD1 protein expression and completely inhibit CD1-restricted T cell cytolytic ability. Interestingly, animals receiving both morpholino and FLT3-L were able to recover CD1 protein and gene expression and had better cytolytic ability than either the FLT3-L or morpholino treatment animals. Regardless of CD1 glycoprotein modulation, disease progression as assessed via lesion and bacterial burden, were relatively unchanged. This study provides the first model of CD1 immune modulation *in vivo*, and sets the stage for additional investigations to understand the relationship of CD1 immunity to Mtb infections and TB disease pathogenesis.

Introduction:

CD1 lipid-based immunity represents a relatively novel branch of immunology that is driven by CD1 glycoprotein mediated expansion of CD1-restricted T cell and invariant natural killer cells^{105,180}. CD1 glycoproteins are antigen presenting structures with homologous structuring to MHCI but bind and present a variety of lipid antigens^{181, 32}. There are 5 known CD1 glycoproteins, CD1a-e, which are divided into two functional groups; group 1 composed of CD1a-c and group 2 composed of CD1d^{35,182,183}. Group 2 CD1 complexes have been found to be constitutively expressed on a variety of cell types and involved with a host of endogenous and exogenous lipids leading to the expansion of invariant natural killer cells^{184, 185}. In contrast, Group 1 CD1 complexes have inducible expression and confined to professional antigen presenting cells, loading a variety of exogenous lipids, such as Mtb lipid, leading to an expansion of a variety of functionally nonpolymorphic CD1-restricted $\alpha\beta$ and $\gamma\delta$ T cells^{117,186,187}. Due to their ability to encounter, load and present microbial lipids group 1 CD1 complexes have become a large focus of study for the pathogenesis of several infectious diseases including the lipophilic bacterium *Mycobacterium tuberculosis* (Mtb). The guinea pig, in contrast to other rodent models, have been found to have an analogous CD1 repertoire to humans, with the addition of having 4 CD1b orthologs and 3 CD1c orthologs. This in combination with their translational Mtb pathology makes them an ideal model for both CD1 and Mtb research.

Several studies have investigated CD1 in relation to Mtb infections in humans, and animal models. Several studies have noted the expansion of CD1-restricted T cells in infected individuals vs naïve counterparts^{110,213,214}. Other studies utilizing guinea pig and transgenic mouse models, have investigated the utility of lipid derived vaccines for lowering TB lesion burden^{207,215}. However, no study has directly correlated CD1 glycoprotein expression, and thus lipid antigen presentation or overall functionality of this niche branch of immunity, to TB disease progression. This leaves fundamental questions remaining including if a stimulated CD1

immune system benefits the host for limiting disease progression or the bacteria for enabling disease progression and systemic spread. This study looked to answer these questions by modulating CD1b glycoprotein expression in the guinea pig.

CD1 glycoprotein modulation for this study was based on two compounds, morpholinos for downregulation, and FLT3-L for upregulation. Morpholinos are custom synthetic anti-sense RNA segments that irreversibly bind to specified mRNA sequences to block protein translation. Our lab has had previous success with these innovative compounds working with diabetic Mtb infected guinea pigs. Via the NIH GenBank CD1b1-4 annotation morpholinos were created to block CD1b1, CD1b2 and CD1b3. However, due to the annotation and location of the start codon a CD1b4 morpholino could not be created. Human FLT3-L was selected as a CD1 upregulating growth factor based on previous guinea pig CD1 studies performed by Procelli laboratory, and our labs experience with this differentiating guinea pig bone marrow cells into high CD1 expressing dendritic cells³³.

An on-going deliberation within the field of CD1 immunology is the role of this immune system in innate or adaptive immunity. Previous work in our lab demonstrated peak CD1 glycoprotein expression, and CD1-restricted T cells functionality in the early adaptive immune phase, day 30 post infection in the guinea pig. This expression and functionality appears to wane within the late adaptive immune phase, day 60 post infection. To evaluate the effects of CD1 modulation on infection, this study started dosing one set of animals before aerosol infection of Mtb and continued dosing these animals until day 30 post infection when animals were terminated. Dosing for a second set of animals was started during the early adaptive phase of infection, until day 26 post infection, and continued until animal termination at 60 days post infection. This design allowed assessment of whether CD1 can be altered before and/or during infection and whether we can stimulate direct change at a later time point where CD1 immunity naturally wanes in the guinea pig.

The ability to alter CD1 immunology will enable us to answer a plethora of questions for Mtb infections and other disease processes. This *in vivo* modulation could be utilized to assess the true contributions that CD1 immunity has with diseases both within the infectious, autoimmune and neoplastic processes. This first of its kind model could lay the ground work for comprehensive lipid-based vaccination studies that would have large scale implications on *Mycobacterium tuberculosis* infections in human populations.

Methods:

Animals:

A small pilot study was performed with naïve guinea pigs which were divided into 3 treatment groups 1) saline control, 2) FLT3-L and 3) morpholino (n=3). The main study utilized 48 mixed gendered Dunkin-Hartley guinea pigs (male n=24, female n=24) weighing between 250-300 g were obtained from Elm Hill Labs (Chelmsford, MA). Guinea pigs were divided into 4 treatment groups (n=12) 1) saline control treatment, 2) FLT3-L treatment, 3) morpholino treatment, and 4) FLT3-L and morpholino combination treatment groups. Treatment group animals were further divided into specific timepoint of dosing and termination (n= 6 per group per set). One set of treatment animals started treatments 6 days before infection and were terminated at 30 days post infection. The second set of treatment animals started dosing at 26 days post infection and were terminated at 60 days post infection. All animals were pair housed and monitored via the Colorado State University (CSU) Laboratory Animal Resources staff and veterinarians under the Institutional Animal Care and Use Committee protocol (#1401).

Morpholino compound development and ex-vivo validation:

Morpholino (Gene tools, OR) anti-sense synthetic RNA strands were utilized for down regulation of CD1b complexes within guinea pigs in vivo and ex vivo experiments. CD1b1-2 and CD1b3 morpholino anti-sense synthetic RNA strands were developed using the annotated mRNA sequences from NIH gene bank (sup. table 9). Acquired morpholino segments were reconstituted at 0.5 mM in sterile water and stored long term at room temperature. Ex-vivo validation of compounds was performed utilizing CD1 specific transfected fibroblasts and bone marrow derived dendritic cells (BMDCs). Guinea pig BMDCs were formed with previously validated laboratory protocols. In short, 1×10^8 Bone marrow stem cells, collected from naïve guinea pigs, were incubated in 10 ml complete RPMI media (10% FBS, 1% penstrep antibiotics and 1% anti-anti) for 7 days. To stimulate dendritic cell differentiation cells were stimulated with 20ng/ml FLT3-L on day 1 and 3 of the incubation. BMDCs or fibroblast combinations were incubated with morpholino compounds using 10 ul of resuspended morpholino for 4 hours in serum free OptiMEM media. Cells were then washed twice with PBS and replated with complete RPMI. Cells were evaluated for CD1 complex knockdown via CD1 specific flow cytometry using an Aurora spectral flow cytometer (Cytex, Fremont, CA) and analyzed via Flow Jo v10.8.

FLT3-L ex-vivo validation:

Human FLT3-L (Geminibio, cat# 300-807P), a pleiotropic growth factor for any cells expressing FLT3 receptors, was utilized for CD1 expression upregulation. Intraperitoneal dosing of FLT3-L of 10ul/dose was previously established for dosing of guinea pig CD1 upregulation³³. Lyophilized FLT3-L was resuspended in 0.1% BSA PBS solution at 0.1mg/ml and stored at -80C for long term storage. Validation of FLT3-L was performed in a series of ex-vivo experiments on differentiated bone marrow derived macrophages with evaluation of CD1

expression by flow cytometry. Bone marrow derived macrophages were differentiated in a similar protocol to the BMDCs with the exception of using macrophage colony stimulating factor (Geminibio, cat# 300-332P) instead of FLT3-L. An additional ex-vivo study was performed to examine the effects of FLT3-L modulation on BMDCs during an infection with a virulent auxotrophic strain Mtb expressing mCherry. In this study 2×10^6 BMDCs were infected with mCherry Mtb at a multiplicity of infection of 1:1 and 5:1 and compared to uninfected controls. These conditions were further divided into FLT3-L treated and not FLT3-L treated groups. Cells were incubated in complete RPMI media for 24 hours and analyzed via flow cytometric analysis for CD1 expression or spun at 500 X g on to charged slides and stained with CD1b-reactive monoclonal antibodies.

Intrapulmonary administration and dosing of morpholino and FLT3-L:

Both FLT3-L and morpholino compounds were delivered via a Buxco aerosol inhalation tower (DSI, St. Paul MN). This innovative nebulizing inhalation apparatus allows for dictating and monitoring of aerosol parameters such as particle size, aerosolized particle concentration, breathing rate of individual animals, humidity and the ability to assess overall accumulated inhaled aerosol. A small pilot study utilizing CellTrace to label intrapulmonary cells (ThermoFisher, cat#: C34557) was utilized to validate particle size and overall distribution of particles both within the bronchoalveolar macrophages and within individualized lung lobules. For the main study, guinea pigs were loaded into individualized aerosol chambers, 6 guinea pigs at time in accordance with specific treatment groups. Guinea pig were restrained utilizing a neck collar with their nose positioned in a secure rubber gasket to ensure minimal waste of nebulized airflow. Compounds were loaded to the nebulizing unit, 600ul of FLT3-L per specified groups, 100ul per animal, and 360ul morpholino per specified groups, 60ul per animal (sup.

table 10). All nebulized volumes were equalized, utilizing sterile PBS, to bring all groups to 1.3ml of solution per exposure. The entire volume of the solution was nebulized to ensure adequate exposure to compounds. The in-vivo pilot study was dosed following similar parameters to the main study with the exception of 3 animals being dosed per run with a total of 300ul of total volume being administered per compound. To ensure animal comfort relative humidity of between 30-70% was maintained at animal inhalation ports. All exposure times ran between 10 and 20 minutes with the variation due to relative humidity in the exposure room and trying to remain our targeted port humidity. Regardless, animals were exposed to uniform total dose compounds.

Dosing of animal models:

For all experimental animal models dosing of compounds was performed every 3 days, in accordance within *ex-vivo* findings. Animals in the pilot study received a total of four doses and then were terminated. For the main infection study, dosing for treatment groups for the day 30 post treatment group started 4 days pre infection. One additional dose was administered 1-day pre infection. Dosing continued every three days utilizing the buxco inhalation tower with the final dosing on day 29 post infection. Dosing for the day 60 post infection animals began on day 26 post infection and continued every 3 days until days 59 post infection. In total all groups in the main study, regardless of timepoint, received 12 doses of compound or saline. To ensure no off-target effects of morpholino all saline animals received a negative control morpholino at every other dosing, for a total of 6 doses. Guinea pigs were terminated at specified time points, followed by a necropsy and tissue collection.

Infection and euthanasia:

A low-dose aerosol exposure of guinea pigs to *M. tuberculosis* was performed using the Glascol aerosol chamber (Terre Haute, IN) to deliver a low-dose exposure of <50 bacilli of the Erdman strain of *M. tuberculosis*. On pre-determined endpoints, days 30 and 60 post-infection, guinea pig treatment groups were euthanized. Euthanasia was performed via an initial induction of an anesthetic state via IM injection of 30 mg of ketamine and 8 mg of xylazine in combination, followed by an IP injection of an overdose of 3ml/kg of sodium pentobarbital. Guinea pigs in validating pilot studies were euthanized via the same methodology as used for the main experimental study.

Necropsy and tissue processing:

Once euthanized, animal tissues and cells were harvested which included alveolar macrophages by bronchoalveolar lavage, PBMCs from anticoagulated peripheral blood, and cell suspensions derived from lung parenchyma, as previously reported^{144,22}. In brief, two lung lobes were clamped off and removed and processed for PCR via RNALater solution (Thermofisher, cat #: AM7020), OCT-embedded fresh-frozen immunohistochemistry (Sakura, cat#: 4583), fixed in 4% paraformaldehyde, or for bacterial enumeration by colony forming units (CFU). Alveolar macrophages were collected via post mortem bronchoalveolar lavage utilizing an 18-gauge catheter and flushing with 25 ml of HBSS containing 0.01 μ M EDTA solution in 5 ml intervals. Following bronchoalveolar lavage, the remaining lung was perfused with 5 ml of collagenase at 5 μ g/ml (Sigma-Aldrich, Cat#: C9263) and incubated for 30 minutes in a 37°C water bath. The digested lung parenchyma was processed through 40 μ m cell strainers. Once strained, all samples were subjected to erythrocyte lysis solution for 20 to 45 seconds. To increase overall cell viability for downstream assays, lung-derived cell suspensions were subjected to an

annexin V magnetic bead separation protocol. To complete this protocol, cells were placed in 4 ml of 1X annexin binding buffer (Biolegend, cat#: 422201) containing 50 µl of biotinylated annexin V (Biolegend, cat # 640904) and incubated at room temperature for 20 minutes. Following incubation, cells were centrifuged at 500 x g for 5 minutes, washed with additional binding buffer, then centrifuged and resuspended in 500 µl of binding buffer. Thirty µl of streptavidin-conjugated nanobeads (Biolegend, cat#: 480015) were added and incubated for 20 minutes with intermittent tube inversion. Following incubation, additional binding buffer was added up to 4 ml total volume. The cellular solution was put on a magnetic rack for a minimum of 5 minutes to allow for nanobeads to bind to the side of the tube. Finally, unbound cells were collected with a serologic pipette. Collected cells were washed in HBSS then viability and cell count were assessed via a hemocytometer with trypan blue exclusion. Lung inflammatory cells were then plated in RPMI media containing 10% fetal bovine serum and an antimicrobial cocktail, then incubated overnight at 37°C with 5% CO₂ to allow for separation of adherent cells (histiocytic/dendritic in origin) and non-adherent cells (lymphocytes). PBMCs were collected via a left ventricular intracardiac blood draw directly following euthanasia, diluted 1:2 in HBSS and separated on a density gradient using Lympholyte mammal (Cedarlane, cat#: CL5110) per manufacturer's instructions. In brief, up to 6ml of obtained blood was diluted 1:1 in HBSS solution. The diluted blood was added to a 15ml conical tube containing 3mls of Lympholyte at a 45° angle as to maintain separation of blood and the Lympholyte. Samples were then centrifuged at 800 x g for 20 minutes with no decelerating brake. Lastly the separated, PBMCs, were collected from the interface and washed.

CD1 expression:

CD1 expression was measured by flow cytometry on cells isolated from bronchoalveolar lavage cells and cells derived from the lung parenchyma. Flow cytometry methods are previously

described^{144,22}. Briefly, 5×10^5 cells from each sample were blocked utilizing Fc-receptor block (Innovex, Cat#: 50-486-808). Cells were labeled with an antibody panel (supp. Table 12). Viability of cells was assessed via Zombie Yellow amine-reactive dye (BioLegend, Cat# 423103). Data acquisition was performed using a spectral unmixing Cytex Aurora flow cytometer (Cytex, Fremont, CA). Data were analyzed via Flowjo software version 10.8 (Flowjo, Ashland, OR) using a minimum of 50,000 total viable events. A table of utilized antibodies is located in the supplement (sup. table 11)

CD1 cytotoxicity:

Previous reports suggest cytotoxic activity is a major function of CD1-restricted T cell responses in peripheral blood and as induced by immunization with lipid antigens^{198,199}. To measure the cytotoxic functionality of CD1-restricted immunity over the course of Mtb infection, CD1b fibroblast transfectants (target cells) from all conditions and time points were incubated with non-adherent inflammatory cells from the lung (effector cells). Detection of cytotoxic effect was performed using a flow cytometric technique previously described based on assessing target-cell viability using target cell labeling and permeability dye penetration²⁰⁰. In brief, 1×10^7 CD1b1 and CD1b3 transfected cells were collected and incubated with 0.5uM Cell Trace Violet (Thermofisher, C34557) for 15 minutes in unmodified RPMI media. The reaction was quenched with 10ml of additional complete RPMI containing 10% FBS for 10 minutes and cells pelleted and washed twice. Cells were plated on a 96-well plate at 5×10^4 per well.

Preparation of Mtb synthetic lipid antigens included taking dissolved mycolic acid and glucose monomycolate lipids in a 10:1 mixture of chloroform: methanol at 0.5mg/ml and evaporating the solution utilizing a sterile nitrogen gas bath. Once evaporated the dried lipids were resuspended

in complete RPMI back to the concentration of 0.5mg/ml. Lipids were completely dissolved via sonication using a Misonix-2400 sonicator in a 4-minute sonication at an amplitude of 80 delivered in pulses of 20 seconds each. Specified wells were then loaded with 4ug of either of synthetic MA or GMM Mtb lipid, or mock-loaded to use as unloaded controls for determination of lipid-antigen specific cytotoxicity. Cells and lipid were incubated overnight to allow for lipid cellular trafficking and presentation in the context of CD1b. These target cells were then incubated with non-adherent inflammatory cells derived from the lung for 4 hours at a target:effector ratio ranging from 1:0 to 1:8. Incubated cells were then stained for viability using Zombie Yellow dye (BioLegend, cat# 423103). Data acquisition was performed utilizing a Cytex Aurora spectral unmixing flow cytometer (Cytex, Fremont, CA). Data were analyzed via FlowJo software version 10.8 (Flowjo, Ashland, OR) using a minimum of 50,000 events with gating for fibroblasts based on size and presence of CellTrace violet signal. Mock-transfected fibroblasts, containing the empty vector with no CD1b construct, and CD1b1 and CD1b3 transfectants without added lipid antigen (unloaded control) were used as negative controls to differentiate antigen-restricted cytotoxicity from non-CD1 restricted factors. Data was normalized to background using the average cellular death among CD1b1 and CD1b3 transfectants without additional of effector cells (1:0 target to effector ratio).

Gene expression of specific CD1b orthologs by qRT-PCR relative gene expression:

Kinetic genetic expression of CD1b was measured by utilizing *de novo* CD1b isoform specific primers (CD1b1-b4) (Supp. Table 13). All primer sequences were created using Geneious software (Auckland, NZ) via a 4-sequence alignment and selecting for non-consensus primer sets, which were then synthesized by Integrated DNA Technologies (IDT) (Coralville, IA). Primer set specificity was evaluated via the use of synthetic gene segments, capturing the first 500 base pairs of each CD1b ortholog sequence. Primers were demonstrated to be specific for each

of the CD1b1-4 isoforms without cross-reactive detection, with amplification of CD1 specific transfected fibroblasts and sequencing of amplicon products aligning back to specific isoform sequences. For RNA isolation, freshly isolated lung tissue at each endpoint of day 30 or 60 post-infection was minced in RNALater (Sigma, Cat#: R0901), incubated at 4°C for 24 hours, then frozen at -80°C until RNA extraction. Tissue was thawed at room temp and ~50 mg of tissue homogenized using Lysing Matrix A tubes in a bead beater instrument (MP Biomedical, C321001). Proteinase K was added to the homogenate, incubated at 55°C for 15 minutes, then RNA was extracted with the RNeasy mini kit (Qiagen cat. 74106) per manufacturer's instructions. Nucleic acid was eluted from the column, digested with DNase-I for 30 minutes at 37°C, then purified again using the RNeasy mini kit. Sample concentration and 260/280 ratios were measured using a Nanodrop spectrophotometer. Generation of cDNA was performed using the iScript cDNA synthesis kit (BioRad cat# 1708890), reverse transcribing 1 µg of total RNA per reaction. 25 ng of cDNA template was added to an iScript Sybr Green master mix containing 1.5mM MgCl₂ and 0.1 µM of each forward and reverse primer. Relative gene expression was measured by normalizing log₂-fold expression to reference genes HPRT and beta-actin using the $\Delta\Delta C_t$ method, as previously described²². Primer sequence are provided in the supplement (sup. table 14).

Brightfield histology lesion quantification:

Lung, liver, lymph node and spleen were fixed in 4% paraformaldehyde for 48-72 hours. Trimmed specimens were routinely processed, sectioned at 5 microns, collected on charged slides, and stained with hematoxylin and eosin (HE) for evaluation by brightfield microscopy. All slides were digitized via a Vectra Polaris scanning scope (Akoya Biosciences, Marlborough, MA). Lesion quantification was performed via artificial intelligence Visiopharm

analytical software (Hoersholm, Denmark). For the lung, application development involved first isolating lesions and airway spaces from normal tissue. A second application delineated airways and associated tissues from true lesion. A final application was utilized to differentiate lesion morphology including: macrophages, lymphocytes, necrosis and mineralization. For the liver and spleen, one application was developed to delineate lesion from normal parenchyma. Lesion quantification was calculated by ratio of area of lesion to area of total tissue and lesion parameters by a ratio of lesion parameter area to total lesion area.

Distribution of tissue CD1 expression by quantitative immunohistochemistry:

Lung parenchyma was isolated fresh at necropsy, embedded in OCT medium (Sakura, cat#: 4583), and cryopreserved in a liquid nitrogen bath, then stored at -80°C until further use. OCT-embedded tissue was sectioned using a cryotome maintained at -16 to -18°C, and a 7µm section adhered to charged glass slides. After sectioning, tissues were immediately placed in a 100% chilled methanol fixation for 45 minutes to fix the tissue and inactivate the Mtb organism. All 3,3'-diaminobenzidine (DAB) chromogenic and fluorescent immunohistochemistry protocols were completed on methanol fixed tissue sections utilizing a Leica Bond RXm automated slide stainer and previously validated protocols (Sup. table 5). Fluorescent multiplex IHC was achieved using Opal fluorochromes 570 and 690 (Akoya Biosciences, 520- FP14887001KT, 690- FP1497001KT) to detect CD1-isoform specific expression and distribution across myeloid/histiocytic and B cell subsets using antibodies described in sup. table 14. All tissues were blocked in 2.5% goat serum solution (Vector Labs, cat#: S-1012-50) and primary antibodies diluted in 2.5% goat serum. Primary antibodies were detected using a goat anti-mouse HRP-conjugated secondary antibody (Vector Labs, Cat#: MP-7452-50) and incubated with DAB substrate for chromogenic IHC or Opal fluorochromes for fluorescent IHC protocols. Quantification of immunohistochemistry was performed using Visiopharm analytical software

(Hoersholm, Denmark). An application was first developed to identify cellular nuclei based on DAPI staining. Nuclei labeling was then changed to B cell+ nuclei based on nuclear staining of Pax-5. A final application was utilized to change all nuclei, B cell and non-B cell, labelling to CD1+ labels based on membranous staining with the CD1 monoclonal antibody. Counts of non-B cell CD1-, B cell CD1-, Non-B cell CD1+ and B cell CD1+ nuclei were quantified for raw numbers and further calculations.

Quantification of tissue bacterial burden:

Lung, lymph node, spleen and liver bacterial burden was quantified as previously described. In brief, tissue homogenate was plated on 7H11 agar quad plates in 5-fold serial dilution and colonies counted after 8 weeks of incubation. Colony-forming units were $\log_{10}$ -transformed and expressed as colony-forming units per gram of tissue.

Statistical analysis:

All analyses, with the exception of cytotoxicity assay, were performed utilizing prism version 9.4.1 (GraphPad, San Diego, CA). Data distribution was evaluated via a Shapiro Wilks test. Based on distribution One-Way ANOVA tests or Kruskal-Wallis test was performed to determine statistical significance for flow cytometric analysis, gene expression, and histologic quantifications. Statistical significance was set at $p \leq 0.05$. For the cytotoxicity assay, all analyses were performed using R Statistical Software (v4.2.1; R Core Team 2021). AUC was calculated based on the ratio of effector to target cells and normalized percent killing via the metrumrg R package (v5.57; Bergsman et. al 2013). A 2-factor ANOVA with Sidak's correction was used to determine the significance between groups or time with rstatix R package (v0.7.0; Kassambara 2021).

Results:

FLT3-L and morpholino compounds modulates CD1 expression both *in vitro* and *in vivo* in naïve guinea pigs.

To ensure that FLT3-L and morpholino compounds could modulate CD1 expression several invitro experiments and exposure studies in naïve guinea pigs were performed. Invitro studies utilized guinea pig bone marrow derived dendritic cells (BMDCs), bone marrow derived macrophages (BMDMs), transfected CD1 fibroblasts and/or bronchoalveolar lavage cells (BAL cells). Administration of CD1b1-2 and CD1b3 morpholino compounds on transfectant CD1b1, CD1b2 and CD1b3 fibroblasts knocked down CD1 expression, with a maximal knockdown of greater than 50% in CD1 protein expression compared to negative control conditions (sup. fig. 19). In contrast, FLT3-L treatment of BMDMs and BAL cells stimulated upregulation of CD1 expression compared with non-FLT3-L controls with a twofold increase in overall expression (sup. fig 20). Both cell types lost enhanced CD1 expression, returning to basal CD1 expression, by day 3 post FLT3-L stimulation. Finally, a small ex-vivo infection, utilizing mCherry expressing Mtb, was performed to demonstrate the acute effects of an intracellular Mtb infection on CD1 expression and how FLT3-L stimulation alters such expression. Flow cytometric analysis of mCherry positive cells validates previous studies demonstrating that infection with Mtb leads to down regulation of CD1^{129,205,206}. However, mCherry infected cells treated with FLT3-L were able to maintain consistently high levels of CD1 regardless of multiplicity of infection of the bacteria (sup. fig 21). This study was key to demonstrating that FLT3-L has the ability to modulate CD1 in the face of an active intracellular infection.

After validation of FLT3-L and morpholino CD1 modulatory efficacy in *invitro* a pilot study, with saline control, FLT3-L and morpholino treatment conditions, was performed to

assess compound ability to modulate CD1 expression *in vivo* via intrapulmonary administration. Intrapulmonary administration was achieved via a Buxco inhalation tower, allowing for control of several parameters of exposure treatments (sup. fig. 22). Two guinea pigs were utilized to ensure proper alveolar placement of particles via inhalation exposure to CellTrace Violet fluorescent dye and assessment of cellular fluorescence in individual lung lobes (sup. fig. 23).

For the naïve FLT3-L and morpholino *in vivo* efficacy study, flow cytometric analysis of BAL cells established the ability of FLT3-L to significantly increase overall expression of CD1 in CD45+ cells ($p \leq 0.05$). Since naïve lung derived CD45+ cells have low basilar expression of CD1, BAL cells from all conditions were stimulated ex-vivo with FLT3-L to reveal morpholino effect. Under this ex-vivo CD1 stimulation, the morpholino group had significantly lower CD1 expression than both saline control and FLT3-L conditions ($p \leq 0.05$) (**Fig. 24**). Similar findings were concordant with CD1b ortholog specific gene expression of homogenized lung, with FLT3-L treated guinea pigs having significantly increased gene expression compared to saline control and morpholino treated guinea pigs ($p \leq 0.0001$ and $p \leq 0.05$) for all CD1b orthologs (**Fig. 25**). Lastly, the effect of compound modulation is appreciated in cytologic analysis of BAL cells and spatially via immunohistochemical analysis of sectioned fresh frozen lung tissue, with FLT3-L animals having significantly greater CD1 positive cells in lung tissue sections ($p \leq 0.001$) (**Figs. 26-27**). This *in vivo* proof-of-principle provided clear evidence that under normal conditions intrapulmonary administered FLT3-L provides upregulation of all CD1b orthologs; while morpholino compounds are able to prevent CD1 upregulation in ex-vivo FLT3-L stimulated conditions.

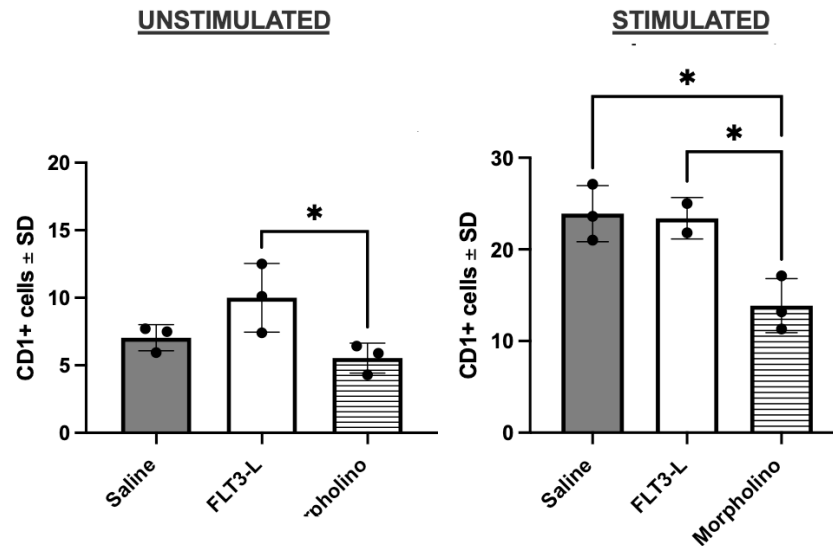


Figure 24. Naïve guinea pig FLT3 and morpholino CD1 modulation. Bronchioalveolar derived cells were taken from guinea pigs treated with either saline, FLT3-L and morpholino compounds for 4 exposures. Unstimulated cells were taken directly from terminated guinea pigs and analyzed via flow cytometry for CD1. Stimulated cells were taken from guinea pigs and stimulated with 20ng/ml FLT3-L in complete RPMI for 18 hours and evaluated for enhanced CD1 expression. * $P \leq 0.05$; one-way ANOVA with Tukeys correction.

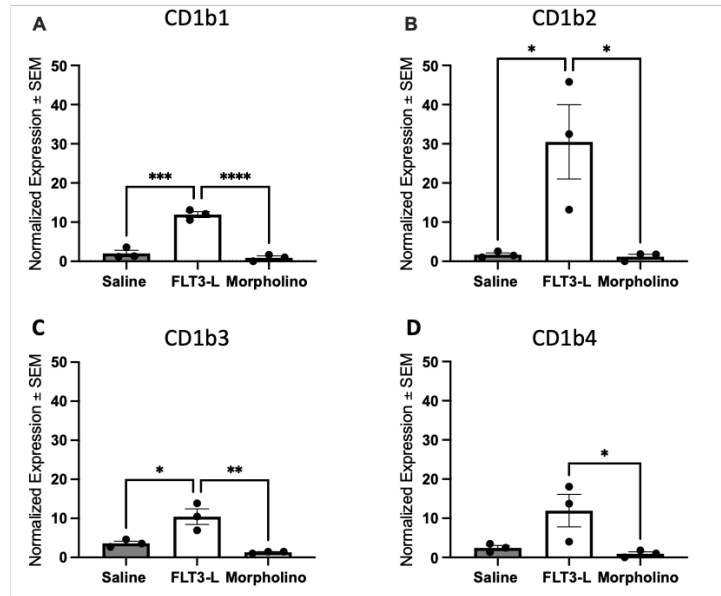


Figure 25. Gene expression of naïve guinea pigs with FLT3-L and morpholino exposure. Ortholog specific real time PCR gene expression of all three treatment groups in naïve guinea pigs. A) CD1b1, B) CD1b2, C) CD1b3, D) CD1b4. *P $\leq$ 0.05, **P $\leq$ 0.01, ***P $\leq$ 0.001, ****P $\leq$ 0.0001; one-way ANOVA with Tukeys correction

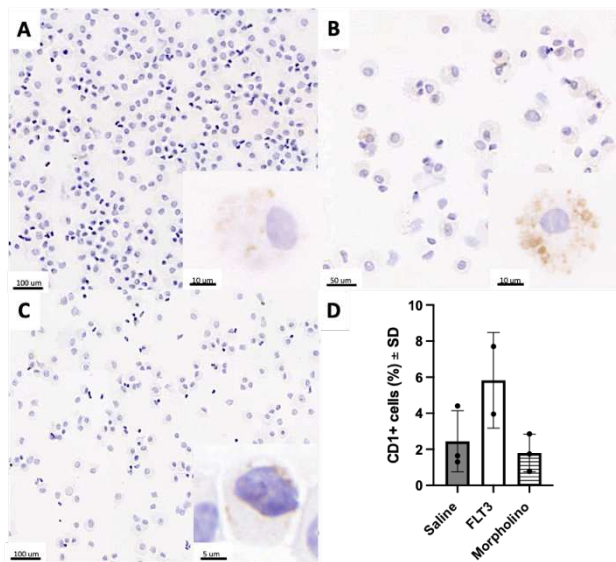


Figure 26. Immunocytochemistry of Bronchioalveolar cells. 1×10^6 cells were centrifuged against a positively charged slide and then chromogenic immunocytochemistry was performed utilizing a pan CD1b antibody (6B5). A-D) cytotologic images of cells with CD1 stain A) saline group, B) FLT3-L group and C) morpholino group. Insets are higher magnifications of CD1 positive cells demonstrating endosomal and cell membrane localization. D) Quantitative assessment of CD1 positivity of CD1 spins from all treatment groups.

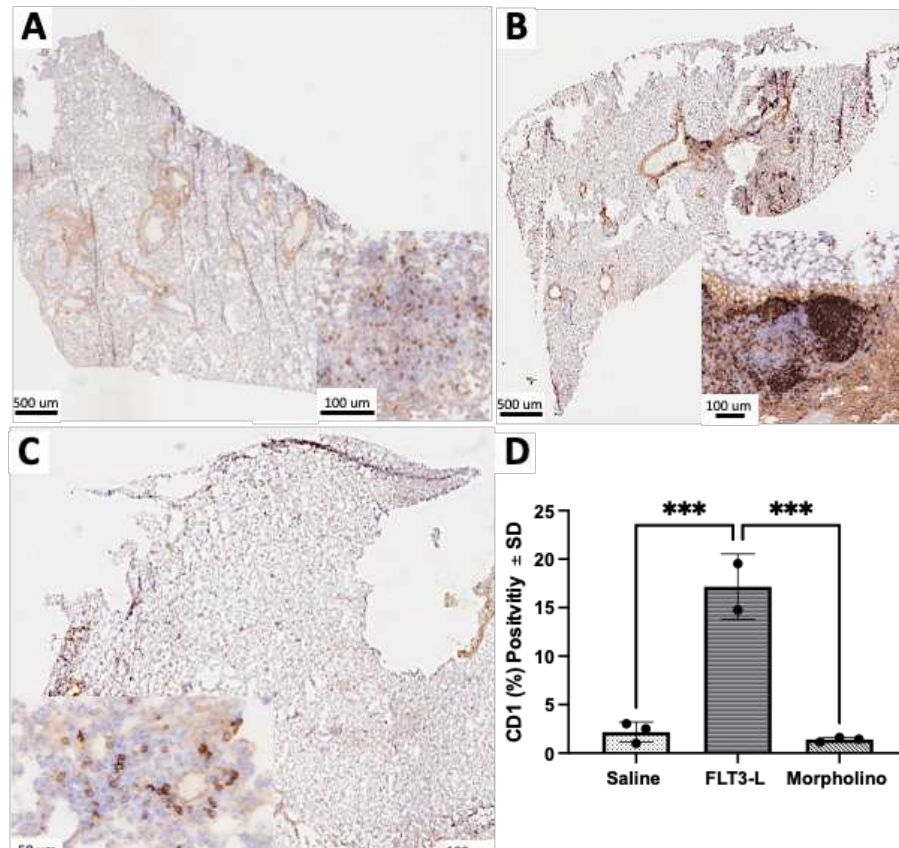


Figure 27. Chromogenic immunohistochemistry of naïve lung tissue. Sections of fresh frozen lung tissue are stained with a pan CD1b antibody (6B5). A) representative saline control lung section, B) representative of FLT3-L treatment lung sections, and C) representative morpholino treatment lung section. Inserts are higher magnification of representative sections. D) quantification of CD1 expression of all treatment groups via visiopharm software ($p < 0.001$).

Morpholinos are able to knockdown CD1 complex expression at days 30 post infection but not day 60 post infection within homogenized lung tissue and bronchioalveolar lavage cells.

To evaluate the ability of morpholino and FLT3-L compounds to modulate CD1 complex expression on CD45+ leukocytes during Mtb infection we utilized flow cytometric analysis.

Saline treatment groups, both at day 30 and 60 post infection, have similar CD1 expression profiles to previously performed CD1 kinetic work in the face of Mtb infections, day 30: $29.23\% \pm 5.28\%$ CD1b and day 60: $16.84 \pm 4.4\%$ CD1b (**Fig. 28** and sup.fig 24). In concordance with

previous studies, there is a significant difference between day 30 and day 60 post infection in saline control guinea pigs ($p \leq 0.001$) (**Fig. 28A**). Similar findings are appreciated from BAL derived saline control group ($p \leq 0.001$) (**Fig. 28B**). The FLT3-L and combination treatment groups have similar CD1 expression to the saline control at both days 30 and 60 post infection among lung derived cells and BAL. Lung parenchyma derived cells from the morpholino treatment group have significantly decreased expression of CD1 expression at day 30 compared to all groups (saline and FLT3 groups: $P \leq 0.0001$, Combination group: $P \leq 0.001$). Similar knockdown was appreciated within morpholino treatment group BAL cells ($p \leq 0.001$) with the exception of no significant reduction when compared to the combination treatment group. However, the morpholino treatment group loses all significant knockdown effect at day 60 post infection in both the lung and BAL derived cells. Interestingly, the combination treatment group within the lung at day 30 was able to recover comparable CD1 expression to both the saline control and FLT3-L treatment animals, but loses that recovery of expression in day 60 post infection compared to FLT3 guinea pigs ($p \leq 0.05$). BAL derived cells from the combination treatment group does not significantly recover CD1b expression regardless of the time point. Correlating with our *in vitro* infection pilot, lung derived cells from FLT3-L animals don't have significant reduction in CD1 expression from day 30 to day 60 post infection. Gating for flow analysis was performed using previously validated methodology in our lab (sup. fig. 25).

Noting the differences in CD1 positive cells between treatment groups. We further evaluated these CD1 positive cells for the degree of surface CD1 glycoprotein expression, based on mean fluorescent intensity (MFI). MFIs are significantly lowered within the morpholino treatment group compared to other groups from both BAL and lung derived cells within the day 30 post infection group ($p \leq 0.0001$) (**Fig. 28C-D**). The difference in MFI is diminished at day 60 post infection animals in both BAL and lung parenchyma derived cells (**Fig. 28E-F**).

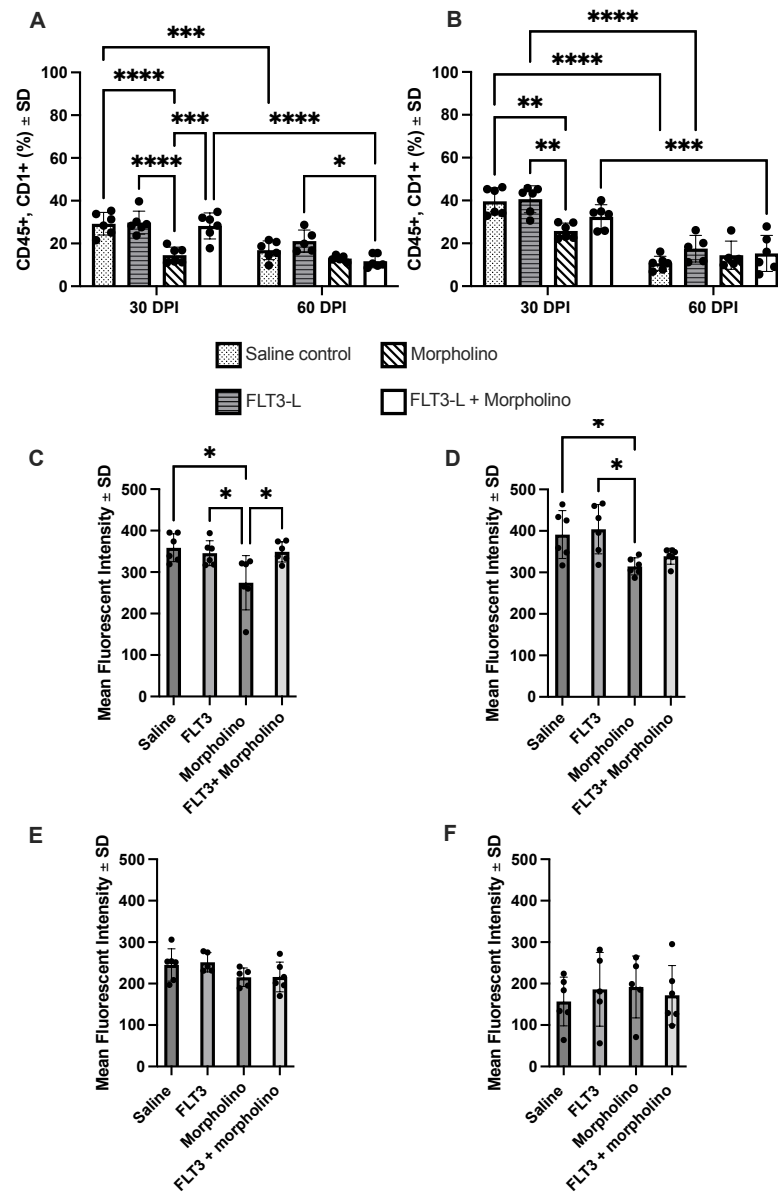


Figure 28. CD1 complex expression during *Mtb* infection. Flow cytometric analysis of antigen presenting cells at 30- and 60-days post infection (DPI) for all treatment groups. Cells were gated for, live, CD45 + cells and evaluated for overall CD1 expression via 1B12 CD1 antibody. Both homogenized lung cells (A) and bronchioalveolar (BAL) cells (B) were evaluated. Mean fluorescent intensity (MFI) was evaluated for CD1+ cells from both lung and BAL cells at days 30 and 60: C) CD1+ lung MFI at 30 DPI, D) BAL cells MFI from 30 DPI, E) Lung MFI from 60 DPI, and F) BAL MFI from 60 DPI. Statistical significance: * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, and **** $P < 0.0001$; two-way ANOVA with Tukeys correction.

Morpholino treatment group changes the B cell population at day 30 post infection, but does not significantly influence B cell CD1 expression.

Utilizing a guinea pig B cell marker, we evaluated the effect of treatments on B cell populations and CD1b expression on that B cell population. The morpholino treatment group has significantly decreased B cells within homogenized lung parenchyma ($p \leq 0.05$) and trends down with BAL cells (**Fig. 29A-B**). The day 60 morpholino treatment does not influence B cell populations. In contrast the combination treatment group recovers B cell numbers, which is more in line with the saline control and FLT3-L treatment groups in the lung tissue at day 30 post infection. This variation in B cell population is reciprocated in breakdown of CD1 expression between B cells and non-B cells. Though not significantly, B cell CD1 expression trends down in morpholino and combination treatment groups in lung parenchyma (**Fig. 29C, D**). Interestingly, the FLT3-L and combination group BAL cells at day 60 does have significant upregulation of CD1 in B cells compared to non-B cells (FLT3-L $p \leq 0.05$ and combination $p \leq 0.001$) (**Fig. 29F**).

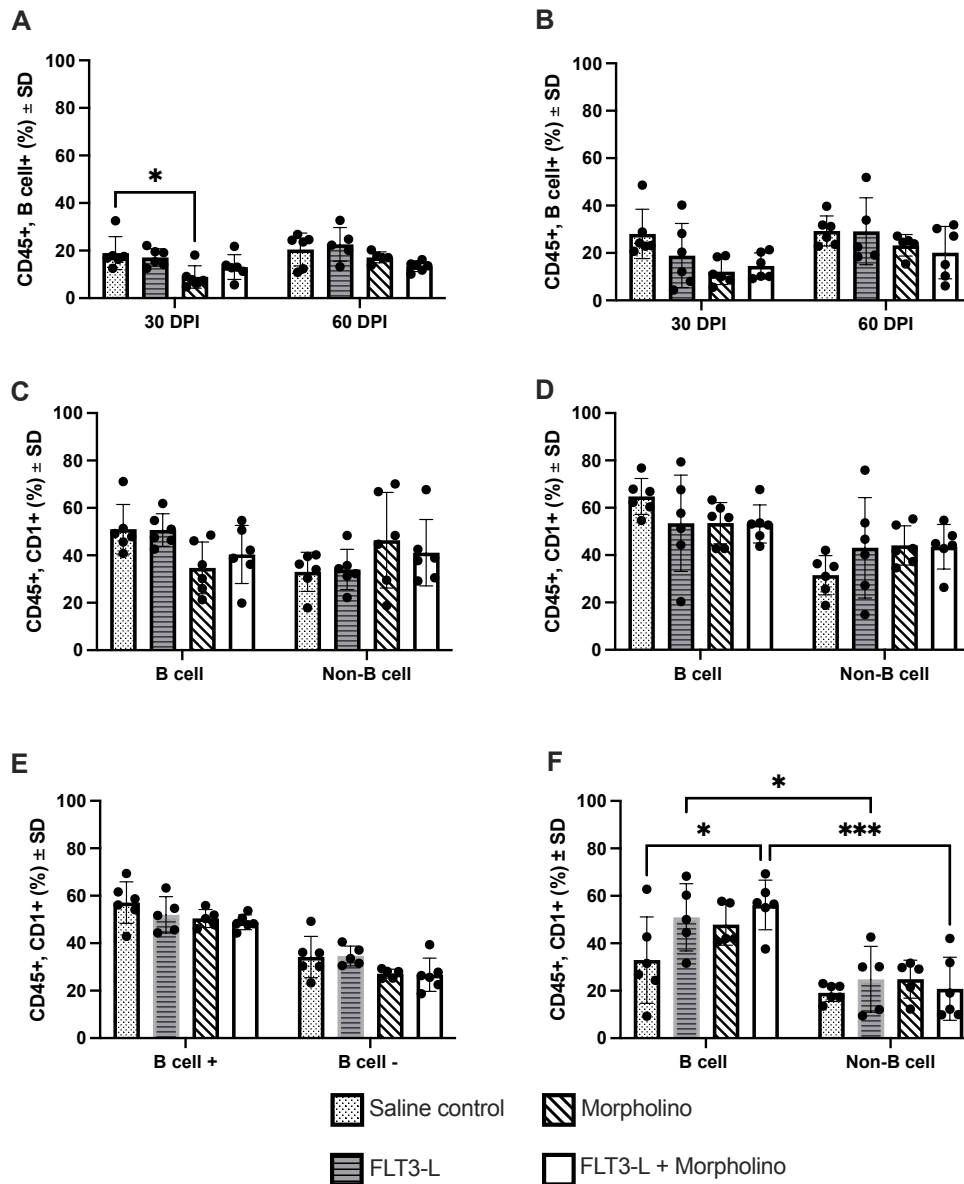


Figure 29. B cell population distribution and B cell vs non B cell CD1 positivity. Flow cytometric analysis of B cells at 30- and 60-days post infection (DPI) for all treatment groups. Cells were gated for, live, CD45 + cells and B cell frequency was assessed via a commercial guinea pig B cell antibody from homogenized lung (A) and Bronchioalveolar (BAL) cells (B). CD1+ distribution among B cells and non-B cells in both cellular population C) Lung at 30 days post infection, D) BAL cells at 30 days post infection, E) Lung at 60 days post infection, and F) BAL cells at 60 days post infection. * $P < 0.05$, *** $P < 0.001$; two-way ANOVA with Tukeys correction.

Treatments cause modulation of CD1b1 orthologs at day 30 but not day 60 of infection.

To further evaluate FLT3-L and morpholino compounds CD1 modulation CD1b ortholog specific primers were utilized to assess CD1b gene expression from RNA extracted from lung tissue (sup. fig. 26 & 27) . CD1b1 gene expression trends up with morpholino guinea pigs and is significantly upregulated with combination guinea pigs ($p \leq 0.05$) when compared to both the saline and FLT3-L groups. The increased expression of the combination group may be explained due to the stimulating effect of FLT3-L while the morpholino blocks formation of CD1 proteins translation, thus inhibiting negative feedback loops. This differential expression is lost at day 60 post infection time point (**Fig. 30A**). CD1b3 also exhibits differential gene expression at day 30 with the saline control group having significant up regulation compared to FLT3-L ($p \leq 0.001$) and combination ($p \leq 0.05$) guinea pigs. Interestingly, CD1b3 in both the morpholino and combination treatment groups trend up compared to FLT3-L. This expression differentiation may correlate to the unique expression of the CD1b3 ortholog, which is more or less constitutively expressed on B cells regardless of infection status. Chronic FLT3 stimulation of this already highly expressed ortholog may have induced strong negative feedback. This theory would add credence to why differences between the saline group and combination animals is less significant and why the morpholino group has no significant difference. Any significant differentiation of CD1b3 ortholog expression between groups is lost within the day 60 time point (**Fig. 30C**). No significant modulation of orthologs CD1b2 (**Fig. 30B**) or CD1b4 (**Fig. 30D**) are appreciated at either day 30 or day 60 post infection time points; however, Combination and morpholino treatment groups expression of CD1b4 trends up compared to saline and FLT3-L animals.

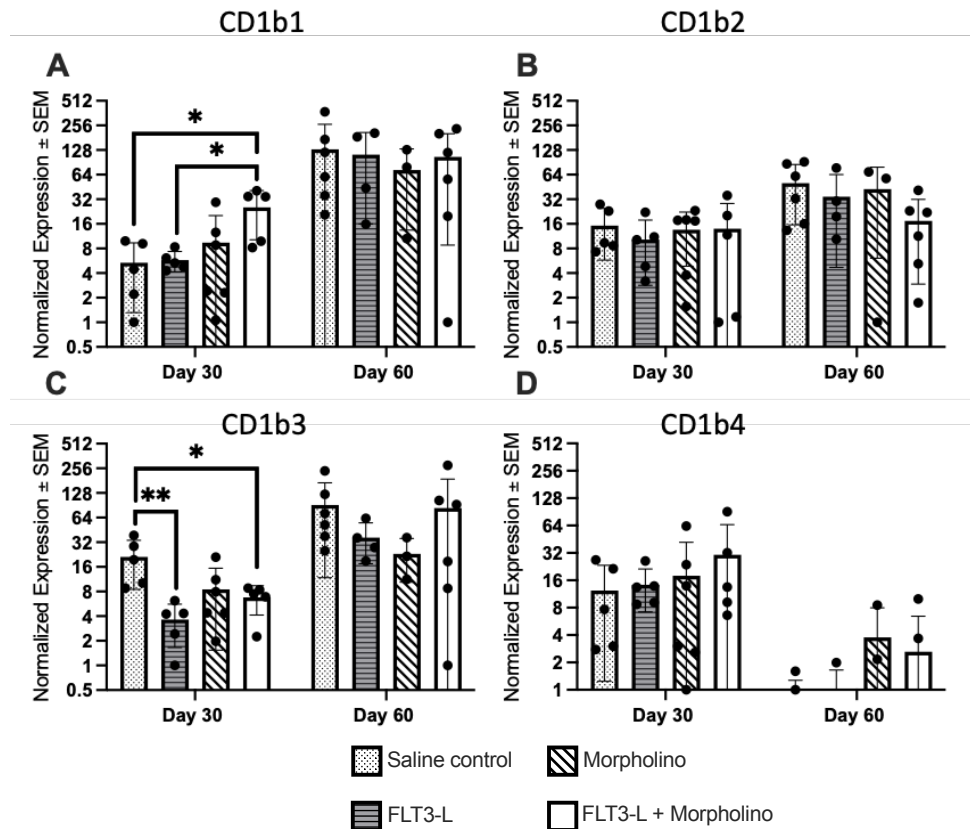


Figure 30. Isoform specific CD1 kinetic gene expression. Isoform specific primers for CD1B1-4 were utilized for real time PCR on lung samples from all treatment groups of infected guinea pigs. Expression was normalized to the lowest expression of each ortholog. A-D) Ortholog specific expression on day 30 and 60 post infection, CD1b1 (A), CD1b2 (B), CD1b3 (C), CD1b4 (D). E-H) Statistical significance was analyzed via a one-way ANOVA is as follows: *** ≤ 0.05 , ** ≤ 0.01 .

Treatment groups have no difference on lesion burden within lung, liver or spleen at either time point.

Collected lung was analyzed via Visiopharm quantitative histology software to evaluate the area of overall lesion burden, area of lymphocyte infiltration, area of necrosis representing chronic lesions and areas of mineralization indicating lesions undergoing resolution. Representative image of a saline control lung and Visiopharm annotation is shown (**Fig. 31A-B**). Analysis reveals no intertreatment group variability in any of the measured parameters either at days 30 or days 60 post infection (**Fig. 31C-F**). Of unknown implication, there is a significant decrease in

lymphocytic infiltration from the saline control and FLT3-L treatment from the day 30 to day 60 post infection time points($p \leq 0.01$).

Due to the complexity of the spleen and liver tissue architecture, only overall lesion burden area was assessed and quantified. Representative sections of liver and splenic parenchyma from day 60 animals are shown (**Fig. 32A-B**). As with the lung, there is no significant intertreatment group variability at either day 30 and day 60 post infection. Interestingly, Only the FLT3-L treatment group in the liver has significantly increased lesion burden when comparing day 30 to day 60 time points (**Fig. 32C-D**).

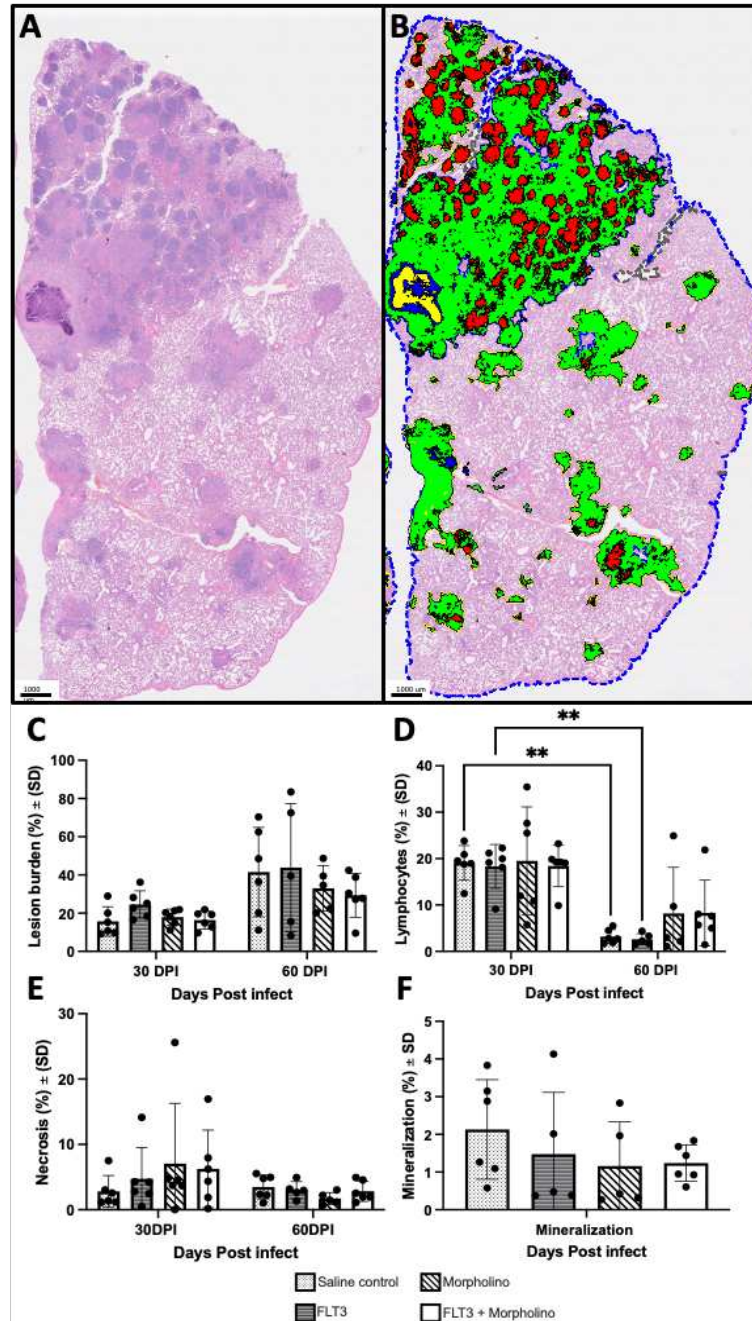


Figure 31. Lung H&E lesion quantification and classification. H&E sections of infected lung tissue from days 30 and 60 post infection from all treatment groups. All sections were analyzed utilizing Visiopharm quantitative software. A) A representative section of lesion from the animal model at day 60 post infection. B) Breakdown of lesions into histiocytic inflammation (green), lymphocytic (red), necrosis (blue), and mineralization (yellow). C-F) Quantitative assessment of lesions and lesion breakdown via area assessment, Lesions percentage to whole lung (C), percent lymphocytes within lesions (D), percent necrosis within lesions (E), and Percent mineralization within lesions (F). Statistical significance was analyzed via a two-way ANOVA is as follows: $** \leq 0.01$.

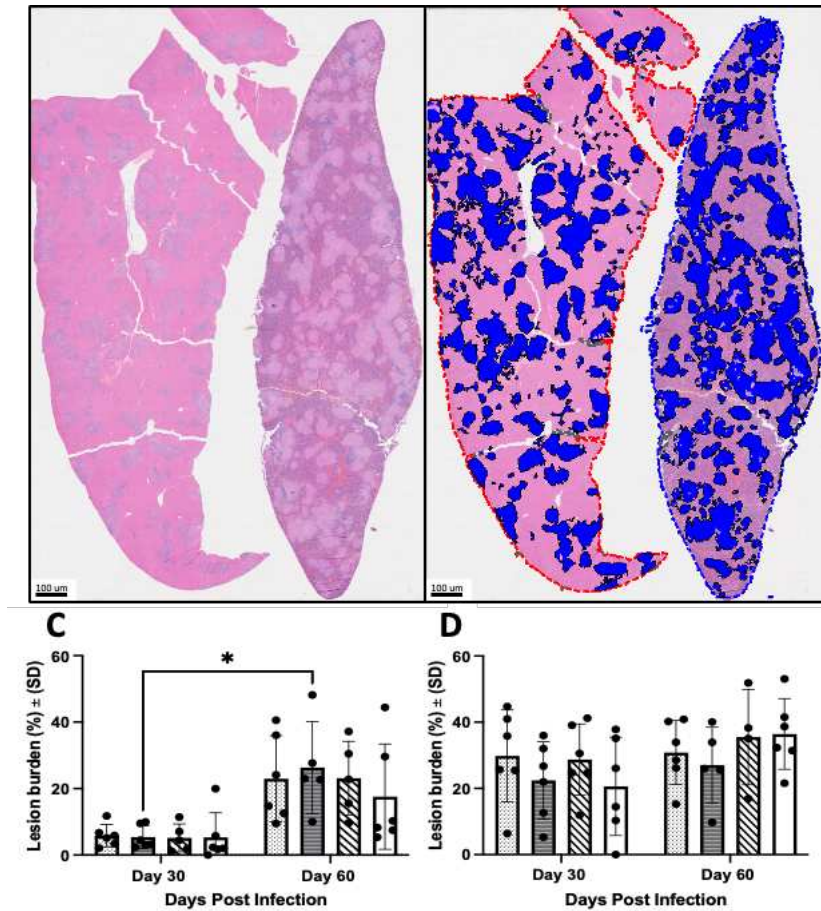


Figure 32. Liver and spleen H&E lesion quantification. H&E sections of infected liver and spleen tissue from days 30 and 60 post infection from all treatment groups. All sections were analyzed utilizing Visiopharm quantitative software. A) a representative sections spleen and liver with lesion from a guinea pig at day 60 post infection. B) The same representative sections demonstrating quantification of lesion (blue is lesion). C-D) Lesion percent as compared to area of normal tissue in the liver (C) and spleen (D). Statistical significance was analyzed via a one-way ANOVA is as follows: * $P \leq 0.05$.

Treatments affects lung CD1 and B cell prevalence in lesional and lesion-free lung tissue.

To further assess CD1 expression multiplex immunohistochemistry was performed on fresh frozen lung sections with monoclonal CD1 and B cell antibodies. Though no significant difference could be established, trends of overall CD1 expression closely aligns with previously discussed CD1 flow cytometric data. At day 30, CD1 expression among FLT3 animals trends upward, while morpholino animal's trend downward and are in line the saline treatment group.

Corresponding to other data, combination animals have higher overall CD1 positivity compared to saline and morpholino groups. There is a loss of this distinct expression patterns at 60 days post infection, with the combination trending high with overall expression at that time point (**Fig. 33E**).

Tissue based immunohistochemistry allowed for evaluation of CD1 expression in both lesion and normal lung parenchymal compartments. This breakdown allowed for visual evaluation of where the compound is having its maximally modulatory effect. At 30 days post infection, FLT3-L, while increasing expression in both compartments, seems to have maximal effect within the granulomatous compartment. The morpholino treatment group, in contrast to FLT3-L, equally down regulates CD1 regardless of tissue compart. Surprisingly, the combination treatment animals demonstrated increased CD1 expression compared to the morpholino group, which in contrast to the FLT3-L group, also effects both compartments. As demonstrated throughout all previously discussed data sets, there is loss of CD1 modulation in either tissue compartment regardless of treatment groups (**Fig. 33F-G**).

Multiplexing of CD1 and B cell antibodies allowed for investigation of a breakdown of which cells are presenting CD1 and in which tissue compartment. Saline animals have similar breakdown of CD1 expression in terms of cell type and compartment as previously described in our previous CD1 experiments (sup fig. 28). In contrast to the flow cytometric measures, CD1 expression in both FLT3-L and morpholino groups has expanded CD1+ B cell populations in both lesion and normal tissue compartments. However, the combination group, has expanded B cell CD1 B cell expression in the granuloma, which is more in line with the saline group in

normal parenchyma. Interestingly, data from 60 days post infection demonstrates that all treatments groups still have increased CD1+ B cells compared to the saline group (Fig. 33H).

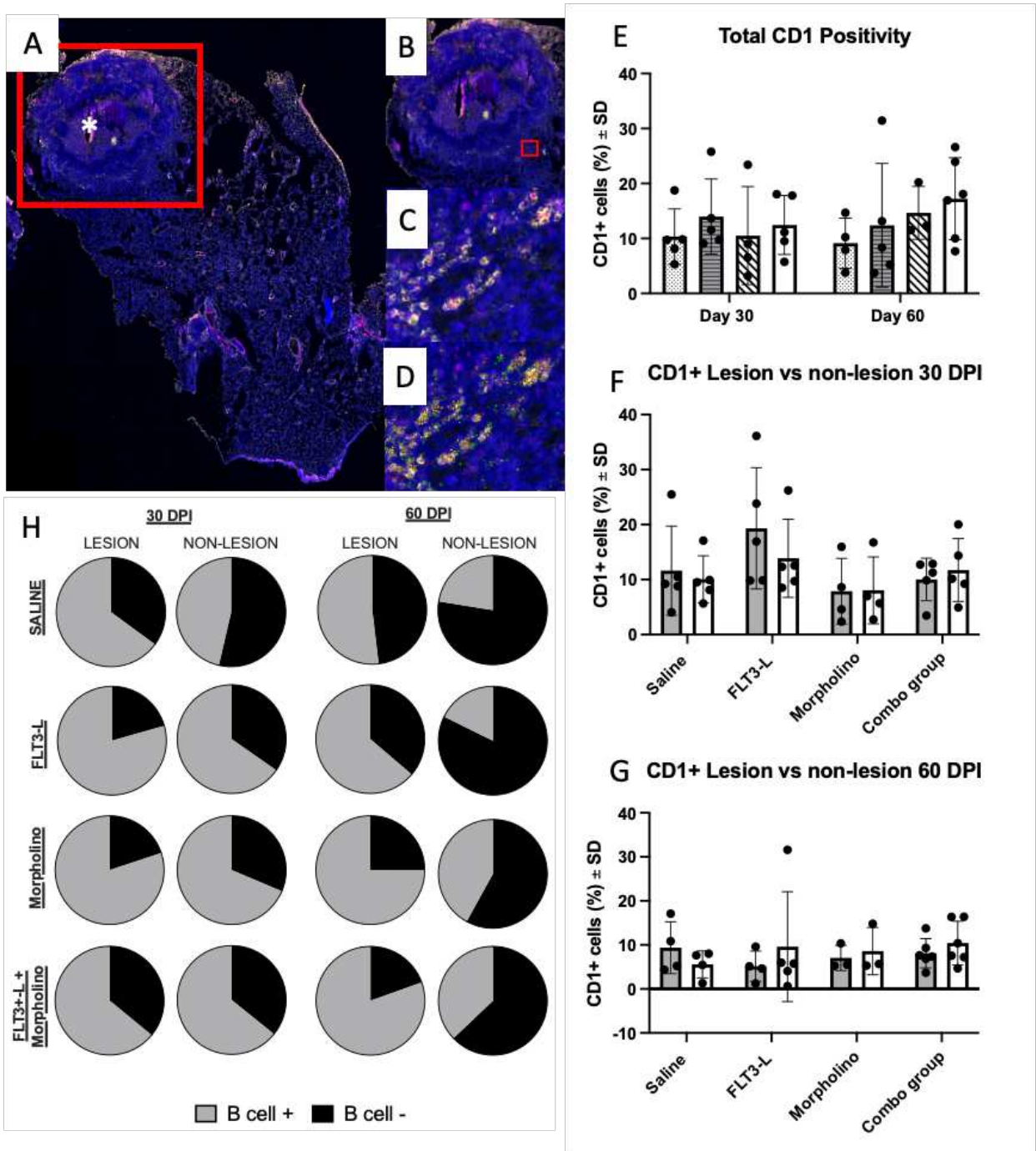


Figure 33. Multiplex immunohistochemistry and visiopharm analysis. Fresh frozen sections of lung from days 30 and 60 post infection and all four treatment groups were stained with 6B5 (Opal 520), PAX5 (clone 24, Opal 570), and DAPI utilizing opal multiplex (Akoya) technology. A-D) Representative sections of a lung granuloma demonstrating: fresh frozen low magnification HE section (A) to low magnification serial sectioned multiplex IHC section (B) to higher magnification of CD1+, Pax5+ region (B) and the high magnification section with overlaid visiopharm analysis software (D). Asterisk in sections represent a region of necrosis, the red box indicates the region of higher magnification in C-D. For Visiopharm analysis, all nuclei in the tissue were detected and then labeled based on nuclear and membranous staining of the associated cells. Labels include: B cell- red (pax5+, CD1-), CD1+ B cells- yellow (PAX5+, CD1+), CD1+ other cells- green (CD1+, PAX5-), and negative cells- blue (CD1-, PAX5-). E-H) Visiopharm derived quantitative percentages of all cells and B-cell specific CD1 expression at 14, 30 and 60 DPI of Mtb infected guinea pigs. E) Total CD1 membranous positivity of entire analyzed tissues from all treatment groups on days 30 and 60 post infection. F) Breakdown of CD1 membranous positivity of all treatment groups between granulomatous and normal parenchyma from day 30 post infection. G) Breakdown of CD1 membranous positivity of all treatment groups between granulomatous and normal parenchyma from day 60 post infection. H) Breakdown of CD1 positivity between B cells and non-B cells of all treatment groups examining lesion vs non-lesion from days 30 and 60 post infection.

Treatments significantly impact lung derived CD1-restricted T cell cytotoxic activity.

Previous literature has proven that CD1-restricted T cells have a cytolytic activity towards CD1 lipid antigen presenting cells^{116,198,216}. Our lab has previously established the ability to assess the functionality of tissue derived CD1-restricted T cells via a flow cytometric cytotoxicity assay (sup. fig. 29). Non-adherent effector cells isolated from lung parenchyma were incubated with CD1b1 and CD1b3 transfectant fibroblasts cell lines loaded with either mycolic acid (MA) or glucose monomycolate (GMM) synthetic lipid antigens. Gating strategy is provided in the supplement data (sup. fig. 12). Lung effector cells from morpholino guinea pigs lack any cytotoxic effect compared to the normalized control condition, and frequently have lower cytotoxic effect than the antigen unloaded control conditions. The lack of cytotoxic effect for the morpholino treatment group is maintained regardless of the CD1b ortholog, Mtb lipid antigen or infection time point, with significant decrease compared to saline control targeting CD1b1 fibroblasts cells loaded with either Mtb lipid antigen ($p \leq 0.05$) (**Fig. 34**). Interestingly, the treatment group with the second lowest cytolytic ability is the FLT3-L guinea pigs. FLT3-L effector cells had increased cytolytic ability compared to both unloaded controls and the

morpholino treatment group but trended lower than both saline control and combination treatment groups at 30 days post infection; with maximal cytolysis demonstrated in the GMM CD1b3 condition at 1:4 ratio 14.4% cell death $\pm$ 26.5%. At day 60 post infection FLT3-L effector cells frequently aligns with degree of cytotoxicity of both saline control and combination treatment groups, with maximal day 60 post infection of cytolysis again appreciated at the GMM CD1b3 condition at 1:8 ratio 24.5% cell death $\pm$ 26.9%. As mentioned, Saline controls and combination treatment group have the highest degree of cytolysis of any treatment groups with the highest degree of mean cytolysis appreciated with the GMM CD1b3 transfectant fibroblasts at 30 days post infection, Saline: 37.2% cell death $\pm$ 17.2% and combination: 37.1% cell death $\pm$ 8.9%. This finding may correlate with the noted ortholog specific gene expression, where saline control and combination treatment guinea pigs have increased gene expression compared to the FLT3-L treatment group. Correlating with the previous CD1 kinetic data, all treatment groups wane in their degree of functional cytolysis at 60 days post infection. However, the combined treatment group effector cells in the GMM CD1b3 fibroblast condition increased overall cytolytic ability compared to the day 30 time point, 1:8 42.7% cell death $\pm$ 29.5%. This may indicate a synergistic effect of FLT3-L and morpholino compounds that was able to continue CD1-restricted T cell stimulation and enhance the functionality of CD1-GMM-restricted T cells, also referred to as GEM T cells, past that what is normally appreciated in previous studies and the saline control animals^{114,115}.

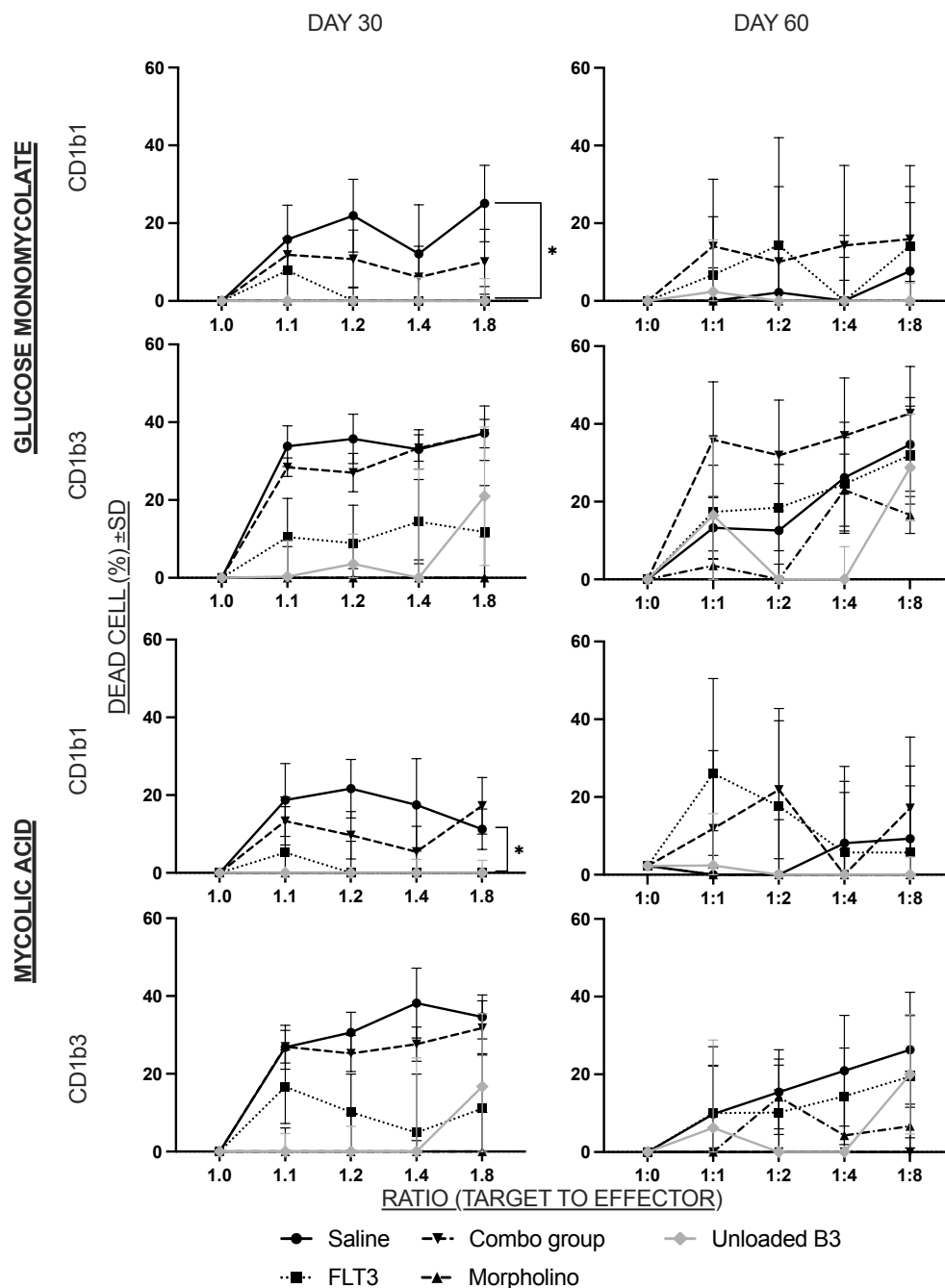


Figure 34. Lipid specific cytotoxicity assay with splenic effector cells. Cell trace violet labelled CD1 transfected fibroblasts CD1b1 or CD1b3 (target cells) were loaded with either synthetic glucose monomycolate or mycolic acid Mtb lipid. Fibroblasts were then incubated with inflammatory cells from the lung derived from Mtb infected and naïve guinea pigs at 30 and 60 DPI at ratio ranging from 1:0 to 1:8 target to effector cells. Fibroblast viability was assessed via flow cytometric analysis. Effector cells from all treatment groups were assessed as well as loaded with targets cells that were not incubated with Mtb lipids (unloaded control). Significance is calculated based on area under the curve ($p < 0.05$).

Treatment groups have limited effect on tissue bacterial burden:

The local and systemic burden of Mtb were assessed via culture of lung, spleen, liver, and hilar lymph node homogenates and the formation of colony forming units (CFU) (**Fig. 35**). All treatment group CFU counts demonstrated bacteria counts which are consistent with the labs validated and published low-dose aerosol guinea pig models^{22,142}. Interestingly, and possibly correlating with cytotoxicity data, CFU burden in the combination treatment group trends down compared all other treatments in all cultured organs, averaging 4.78 CFU/ml in all organs, and is significantly lower than the lung CFU burden from the morpholino treatment, 5.179 ± 0.33 CFU/ml, ($p \leq 0.05$), at 30 days post infection (**Fig. 35A**). Additionally, at the same time point, the morpholino treatment animals CFU burden trends up in lung, liver and splenic tissue, averaging 5.236 CFU/ml, compared to all other treatments and saline controls. Less variation in CFU burden is appreciated 60 days post infection, with only the FLT3-L treated guinea pigs exhibiting significantly upregulated burden in the liver compared to the morpholino guinea pigs ($P \leq 0.05$) (**Fig. 35F**). No other clear trend can be appreciated among the day 60 CFU data.

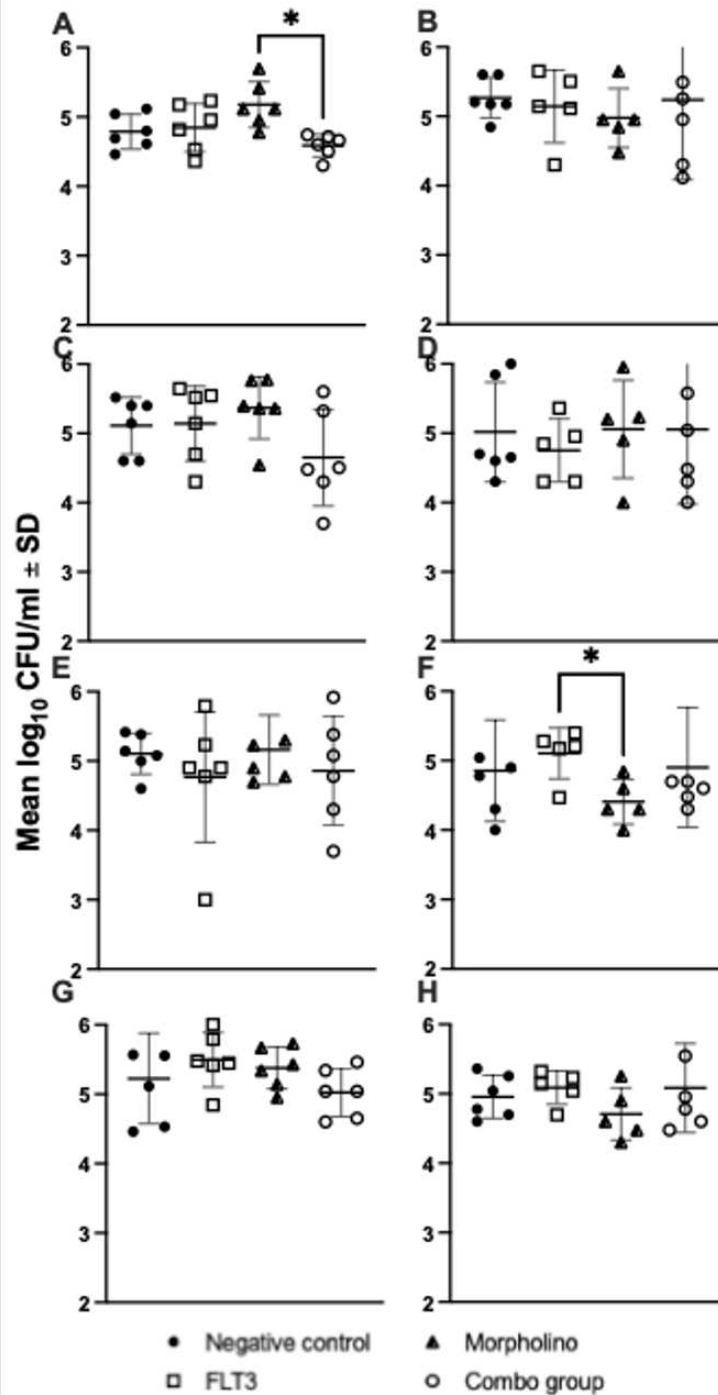


Figure 35. *Mycobacterium tuberculosis* colony forming units assessment. A-H) Tissue homogenates from lung day 30 (A) and 60 (E) post infection, spleen day 30 (C) and 60 (D) post infection, liver day 30 (E) and 60 (F) post infection, or lymph node day 30 (G) and 60 (H) post infection were plated to the 1 in 10⁶ dilutions. Colony forming units were counted for 8 weeks and log transformed to provide colony forming units per ml of tissue. * p<0.05.

Discussion:

The role of CD1-restricted immunity in directing the pathogenesis, lesion development and disease progression of TB is currently unknown. From the literature, many human and animal studies have established CD1 glycoproteins are not only able to present Mtb specific lipids but are also modulated as a result of infection^{128,130}. Other studies investigated how Mtb lipid vaccinations effect overall disease progression of Mtb infections, demonstrating decreased lesion burden^{217–219}. However, these studies do not directly correlate disease progression with CD1 immune status in terms of CD1 glycoprotein expression or CD1-restricted T cell functionality. This study aimed take a step back and evaluate how modulating CD1b glycoprotein in the face of an Mtb infection effects not only CD1-restricted T cells functionality but also assess how this modulation can affect overall disease progression in guinea pigs.

Successful modulation of CD1 glycoproteins was established utilizing both morpholino and FLT3-L in a series of invitro and *in vivo* pilot studies. These studies demonstrate that in naïve conditions FLT3-L drives decisive and profound upregulation of CD1 glycoproteins in both cell culture and when administered via an intrapulmonary route when examining both protein and gene expression. In correlation to our naïve studies, one invitro infection study demonstrated the ability of FLT3-L to maintain CD1 expression in the face of increasing bacteria burden compared to non-FLT3-L conditions. The findings of decreased CD1 expression with Mycobacterial intracellular infection in untreated cells correlates well several previous studies observing similar finding in human and transgenic mouse models^{220,221}. Due to the low basilar level of CD1 in the lung in naïve tissue proof of concept for successful blocking of CD1 via morpholino was more challenging. However, a combination of knocking down of CD1 specific transfectant fibroblasts and ability of intrapulmonary treated morpholino cells to inhibit ex-vivo FLT3-L stimulation demonstrated the ability of these compounds to have a minimum efficacy of a 20% CD1 knockdown compared to controls. Cumulatively, these pilots are the first to

demonstrate how exogenous compounds can modulate CD1, in a phenotypical and genotypical fashion, within cell culture and in *in vivo* lung environments.

Applying the results of the pilot studies, we utilized CD1 morpholinos and FLT3-L to modulate CD1 expression in guinea pigs during an active Mtb infection. Similar effects of the CD1 morpholino compound compared to the pilot were appreciated, causing CD1 expression knockdown compared to all other groups from both homogenized lung tissue and BAL cells at the early adaptive immune, 30 days post infection. The larger revelations were found with the FLT3-L and FIT3-L+ morpholino combination treatment groups. In contrast to the naïve pilots, FLT3-L guinea pigs closely mirrored CD1 expression of saline control groups at day 30 post infection. It could be that the highly complex inflammatory environment is releasing a host of endogenous paracrine or autocrine drivers of CD1 stimulation causing FLT3-L to have negligible stimulatory effect. The most direct evidence of FLT3-L effecting CD1 expression was observed in the combination treatment group, which recovered partial CD1 expression compared to morpholino treated guinea pigs. This recovery was unexpected as we utilized FLT3-L in the previous naïve studies to demonstrate efficacy of morpholino knockdown. This could indicate that within the tissue inflammatory environment the morpholino knockdown could be overcome with abundant CD1 mRNA transcription. Another possibility is that *in vivo* FLT3-L could be a major stimulator of CD1b4, the ortholog that our current morpholinos cannot target but our CD1 antibodies readily detect without discrimination. Of unknown significant, and warranting further investigation, is the ability for the morpholino compound to significantly shift B cells populations in the lung at day 30 post infection. B cells are a major expressor of CD1b3, it could be possible that a shift in the express of CD1b3 negative impacted B cell recruitment and proliferation within the lung parenchyma. Although not significant, morpholino and combination animals do shift CD1 expression distribution of CD+ B cell vs non-B cells.

To further dissect individual ortholog specific changes due to modulatory compounds during Mtb infection ortholog specific PCR primers were validated and utilized in RT-PCR amplification experiments. Gene expression of CD1b orthologs reflects findings found in flow cytometric findings. Morpholino animals trend slightly higher in all orthologs at day 30 than FLT3-L, and higher than saline conditions in CD1b1 and CD1b4. This heightened expression is a common paradoxical effect in morpholino experiments as the compound blocks protein translation thus leading the cellular feedback system to call for increased mRNA expression in an attempt to compensate. This same theory would explain FLT3-L guinea pig gene expression, that is either congruent or lower than the saline control animals. We may be observing that slight increases in CD1 protein expression, as seen in MFI data, leads to negative feedback on gene expression of CD1 orthologs. Further validating this is the combination treatment group, which has significant upregulation of gene expression compared to all groups in CD1b1 and trends up in the CD1b3 and CD1b4 orthologs. With morpholino inhibiting protein synthesis and FLT3-L increasing overall stimulation for CD1mRNA translation there seems to be an abundance of mRNA transcription, which reflecting on the flow CD1 expression data, leads to partially overcoming of the morpholino effect. Interestingly, under Mtb infection conditions CD1b2 is recalcitrant to exogenous modulation standing in contrast to naïve and invitro studies. Another finding of unknown significance is the upregulation of CD1b3 in the saline guinea pigs compared to others groups. CD1b3 is unique compared to other CD1b orthologs, in that it is almost constitutively expressed on B cells^{45,54,134}. Perhaps its expression pattern and presenting cell type led to aberrant changes from FLT3-L and morpholino compounds during infection. Further studies investigating FLT3-L and morpholino effect on B cells is needed to understand the impact they may be having on this key immune cell population.

One of the primary functions of CD1-restricted T cells is lysing cells presenting exogenous lipid antigens^{217,222}. This ability was assessed in this study utilizing lung derived inflammatory cells. Most significantly this assay demonstrated morpholino guinea pigs' complete inability to cause cellular lysis of Mtb lipid presenting transfectant fibroblasts regardless of the antigen, ortholog or time point of the study. However, the most unexpected results again came from the FLT3-L and combination conditions. It was initially speculated that FLT3-L animals would have highly sensitive or expanded CD1-restricted T cells populations leading to increased cellular cytotoxic effect. Contrary to this thought, FLT3-L had only minimal increased cytotoxic to our unloaded lipid controls and were lower in efficacy than both saline controls and combination treatment guinea pigs. This indicates that FLT3-L either through direct CD1 or off target effects is inhibiting CD1 glycoprotein functionality possibly by altering CD1 glycoprotein cellular trafficking or the proteins' ability to load, bind or present Mtb lipids. Although FLT3-L is a known stimulator of CD1 it has never been examined how this increased protein expression and broad growth factor effects the ability to CD1 to transverse intracellular endosomes, which is critical for CD1 antigen presentation. Interestingly, the combination group is able to perform better than FLT3-L animals with CD1b1 ortholog fibroblasts and is able to align or perform better than saline control with CD1b3 fibroblasts. It may be no coincidence that the combination treatment group has the highest gene expression in CD1b1 ortholog when examining the RT-PCR. Perhaps the combination group allowed the CD1b1 ortholog to become the dominate ortholog on antigen presenting cells, and that FLT3-L only stimulation led the expression of multiple orthologs lowering the ability of CD1b1 to properly load and present Mtb lipid antigens to cause CD1b1 specific T cell proliferation. The ability of the combination animals to regain normal to enhanced cytotoxic ability to saline animals with CD1b3 fibroblasts may again point to the unique characteristics of CD1b3. The profound negative effect FLT3-L has on gene expression may directly affect overall constitutive expression of CD1b3 and thus dampen overall functionality. The blocking effects of morpholino lowering the protein expression with the

addition of FLT3-L stimulation seems to bring functionality back, thus may be normalizing CD1b3 expression. The fact that combination treatment group regains cytolytic functionality compared to FLT3-L animals helps to rule out some off target effects of FLT3-L like impeding generalized T cells proliferation and activity. Additional studies investigating the effect of FLT3-L on CD1b3 and correlating that to functionality are required to further deconvolute these surprising findings.

This study was designed to assess the effects of exogenous CD1 compounds with a set of animals starting dosing before infection and another set starting dosing after the initial infection, at the point of adaptive immunity. Throughout all of our cellular and genetic data all intra-time point significance was found with animals that started dosing before the infection and terminated 30 days post infection. In fact, it seems the effects of both compounds were relatively diminished in the animals where treatment was started at day 26 post infection and continued to day 60. Previous studies in our lab have shown that CD1 expression and functionality significantly wanes in the chronic adaptive immunity phase of an Mtb infection, day 60 post infection in the guinea pig. This may indicate that these compounds are unable to alter CD1 expression in chronic infections. Alternatively, the formation of a maturing granuloma, and the corresponding fibrosis, may have inhibited overall efficacy of compound delivery to target antigen presenting cells lowering modulatory ability. Lastly, this may indicate the decreased ability of these exogenous compounds to modulate CD1 in a complex adaptive inflammatory environment, like a granuloma, regardless of the ability of reaching target cells. A pitfall of this study was the inability to have sets of guinea pigs where treatment commenced prior and during infection at each termination time point, days 30 and 60. Additional studies are needed to further complete this investigatory work, including adding an innate time point, day 14 post infection, to fully encapsulate the abilities of these compounds and overt effect on TB disease pathogenesis.

Although significant changes in CD1 expression, and effector cell functionality were observed in this study the overall disease status was relatively unchanged in terms of lesion or bacterial burden and ability of the bacteria to spread systemically. This may be explained by the studies focus of the CD1b isoform. Reagents for investigating and monitoring CD1b in the guinea pig are relatively abundant compared that of CD1c or CD1d. It is possible that these other isoforms play a larger role in Mtb pathogenesis than previously thought. Alternatively, CD1b4, CD1c and CD1d expression may have increased to compensate for the protein knockdown of CD1b1-3 via morpholino administration. Even with these possibilities, morpholino compounds did have a partial effect on day 30 CFU counts, trending up in the lung, spleen and liver. We also need to further explore the non-CD1 effects of adding a broad-spectrum growth factor, FLT3-L, has on the overall inflammatory environment. One reason for having a combined treatment group was to assess for non-CD1 FLT3-L effects, not realizing the ability of FLT3-L to overcome CD1 morpholino knockdown and thus confounding our results. Additional investigations are needed including examining overall effects of FLT3-L through broad genetic assessment such as a Nanostring immunologically based panel.

Several studies have investigated how Mtb influences CD1 immunity and if Mtb lipid antigen stimulation can be utilized for prophylactic treatment for TB. However, no study has examined whether CD1 immunity is beneficial to the host or Mtb for lesion formation and bacterial burden. This study aimed to answer that question by modulating CD1 glycoprotein expression at the cellular level and directly correlating that modulation back to Mtb disease status. Although this study noted few changes to disease progression in any treatment group, we show the ability to effect CD1 glycoprotein expression and CD1-restricted effector functionality. We also discovered unexpected variables and findings that potentially confounded our ability to draw direct correlations of our experimental changes to disease progression. Further refinement of this model and expansion of time point assessments are needed to further

compare CD1 immune status to TB disease burden. However, this study provides the first tools to actively skew CD1 immunity *in vivo*, which lays the foundation for insightful work with CD1 immunity in relation to TB and a host of disease processes, including eventually harnessing CD1 immunity to change disease pathogeneses and prognoses via antigen lipid vaccination schemes.

FUTURE DIRECTIONS

Throughout all aims, this dissertation laid the investigatory groundwork for examining a relatively novel comorbidity, vitamin A deficiency, and a niche branch of immunology, CD1 lipid-based immunity; However, much work remains to be done in these arenas of Mtb research. By continuing the work of this dissertation, it is this Author's hope that we can transform vitamin A from a comorbidity to a useful a useful tool in Mtb treatment regimens and utilize the unique properties of CD1 glycoproteins for an efficacious prophylactic Mtb vaccination scheme.

In Aim 1, we created a translatable vitamin A deficient animal model and examined the effect that deficiency had on the pathogenesis of Mtb infections; however, many questions remain to be investigated. One intriguing, and unexpected finding coming from this aim was the loss of serum retinol and serum retinol binding protein 4 (RBP4) in vitamin A sufficient guinea pigs during a Mtb infection. Several studies have demonstrated a similar decline of serum retinol in human during acute infections due to negative acute proteins effecting on RBP4; however, Additional work is needed in the guinea pig model to further assess this process^{223,224}. To fully assess whether retinol is sequestered in the liver or is actively being utilized at the point infection, the lung, heavy isotope tracing could be performed. Heavy isotope tracing works by labelling retinol with either a stable heavy or radioactive isotope. This methodology has been frequently been utilized to assess vitamin A status in human by comparing the ratio of heavy isotopes, tracer, compared to unlabeled vitamin A, tracee^{225,226}. Additional mathematical equations can be utilized to compartmentalize heavy isotope vitamin A into visceral organs^{226,227}. These methodologies could be utilized to understand where and how vitamin A is stored and transported in the face of a Mtb infection.

An additional intriguing finding was the collapse of normal CD4:CD8 T cell ratios and the dysregulated immune gene profile. To further investigate these findings further ex-vivo studies could be performed to evaluate possible T cell dysfunction. Assays include T cell activation assays, such as an Elispot IFN- γ assay or cytotoxicity assay, or a cellular proliferation assay. Lastly, further investigation of T cell helper population shifts and macrophage subtyping could provide key insights into the degree of tuberculosis disease progression and atypical lesion morphology in vitamin A deficient guinea pigs.

In aim 2, we established the kinetic expression of CD1b glycoproteins at a tissue level in a guinea pig model in the face of a Mtb infection. Although this study comprehensively details CD1b expression additional work is needed to assess CD1c, another essential group 1 CD1 glycoprotein. This work would require additional reagent validation including development of more diverse CD1c monoclonal antibodies. This aim went further by correlating CD1 glycoprotein expression to functionality of CD1-restricted T cells. However, due to cell number restrictions only 2 of the 4 orthologs of CD1b, CD1b1 and CD1b3, transfectant fibroblasts were utilized. To complete this work this author would like run this functional assay utilizing CD1b2 and CD1b4 as well as CD1c orthologs.

The linchpin of CD1 immunology relies on CD1-restricted T cells; however, relatively little is known about this unique cellular population compared to CD1 glycoproteins. Human based studies have progressed this T cell research with antigen specific CD1 glycoprotein tetramers to attach to CD1-restricted T cells for further evaluation and cell sorting. Similar tetramers have been made for the guinea pig CD1 repertoire via the NIH tetramer core. The author of this dissertation attempted to utilize these tetramers to isolate CD1-restricted T cells, but was unsuccessful developing consistent results. Further work and validation of these essential tools is needed to investigate tissue derived CD1-restricted T cells.

In aim 3, We applied the knowledge gained from aim 2 to assess how modulating CD1 glycoprotein expression effects Mtb disease progression in a guinea pig model. This aim established the ability to modulate CD1 proteins in-vivo but failed to correlate this modulation to a change in disease progression. One large question stemming from this initial study centered around the ability of CD1b4, CD1cs or CD1d to compensate for the down regulation of CD1b1-3. To answer this question and potential pitfall additional morpholinos need be synthesized to block all CD1 glycoproteins. For this to occur proper gene annotation of all CD1c and CD1d guinea pig orthologs is needed.

Another limitation of the study was the study design itself. Due to financial limitation, dosing groups were limited to one group getting treatments from the start of infection to day 30 post infection and other dosing group getting treatment at day 30 which continued to day 60 post infection. In future studies I would have three dosing groups that all start before the infection time point and then are terminated at 14-, 30- and 60-days post infection. This continuation in dosing would allow for a more thorough comparison of how consistent CD1 modulation effects disease outcomes during innate immunity, day 14 post infection, early adaptative immunity, day 30 post infection, and late adaptative immunity, day 60 post infection.

The future directions laid out here are just a small subset of possible directions this initial work could go in. The overarching goal of this dissertation, for this author, was to investigate novel aspects of Mtb immunology as the Mtb community faces a host of future challenges such as a lack of funding, recently increasing infection rates, and increasing incidence of multidrug resistant bacteria. It is the author's hope that this work lays the foundation for future and groundbreaking studies that have broad and translation impact for humans with Mtb infections and clinical tuberculosis.

CONCLUSION

As discussed throughout this work, *Mycobacterium tuberculosis*, the causative agent of tuberculosis, is one of the leading causes of death for infectious diseases worldwide causing 1.7 billion people as of 2018 and killing 1.6 million people in 2021^{1,2}. Unfortunately, like many other infectious diseases there are a multitude of challenges facing this disease including the rise of comorbidities, such as diabetes, the rise of multidrug resistant strains of Mtb, and the collapse of normal surveillance and treatment apparatuses due to the Sars-cov-2 global pandemic. Further compounding these issues are antiquated vaccines regimens and a lack of new effective anti-microbials. With these pressing challenges there is a drive within the Mtb research community to think outside the box to identify and mitigate TB comorbidities, develop innovative prophylactic treatments, and to investigate niche host immunity to decrease prevalence of clinically active disease. This dissertation aimed to aid in this overarching effort by laying the initial ground for understanding the pathophysiology of vitamin A deficiency in relation to Mtb infections, a newly discovered comorbidity, and investigating the unique branch of lipid-based host immunity in relation to lipophilic *Mycobacterium tuberculosis*.

In aim one, this dissertation examined vitamin A deficiency in a Mtb guinea pig model. This vitamin A deficient guinea pig model, the first of its kind, allowed assessment of how vitamin A deficiency effects disease progression in terms of lesion formation, bacterial burden and altered gene profiles. Through this innovative model this dissertation was able to establish a marked shift in granuloma morphology, a collapse of normal lymphocytic and myeloid populations and demonstrate dysregulated inflammatory gene profiles. Collectively, this data demonstrated a clear alteration in disease pathogenesis from deficient, and sufficient animals. Additionally, this dissertation illustrated the ability to reverse detrimental effects of vitamin A deficiency through the reestablishment of a sufficient diet during the course of an active infection. There are still more investigations to be done to further elicit the ultimate pathogenesis

of this comorbidity; however, this work set the foundation for looking at vitamin A utilizing this innovative model, particularly examining if vitamin A supplementation could aid in enhancing existing vaccination and antibiotic regimens.

Aim two of this dissertation examined a relatively new branch of immunology based on lipid presentation via CD1 glycoproteins. Several previous investigatory studies have been performed to examine the role of CD1 lipid-based immunology has in relation to Mtb infections; however, studies have thus far had limited ability to assess this branch of immunology within infected tissues. Again, utilizing the guinea pig, this dissertation established the kinetic expression of CD1 within the lung and spleen through the course of an infection. This work then correlated that expression back to lytic functionality of CD1-restricted T cells. This work established that CD1 kinetic expression is ortholog specific with maximal expression and lytic functionality observed during the early adaptive immunity. Overall, this work is the first study to show CD1 glycoprotein expression throughout an Mtb infection, and could be instrumental for future studies looking to harness this branch of immunology to mitigate TB disease status.

Aim three of this dissertation built upon aim two to assess how CD1 immunity effects Mtb infections and TB disease progression. This aim was completed utilizing a pleiotropic growth factor, FLT3-L, for upregulation of CD1b glycoproteins and anti-sense synthetic RNA, morpholino, for downregulation of CD1b glycoproteins. This first of its kind modulating experiment demonstrated the efficacy of these compounds to effect CD1 expression in-vivo in the face of Mtb infection. This shift in CD1 glycoprotein expression directly correlated back to the cytotoxic functionality of CD1-restricted T cells. However, the modulation of these CD1b glycoproteins failed to significantly alter disease progression in terms of lesion morphology, lesion burden or bacterial burden. This lack of disease shift may be due to the only partial ability to knockdown CD1b protein expression and current inability to inhibit CD1c and CD1d

orthologs. This study establishes the ability to alter CD1 expression *in vivo* allowing for additional investigations of CD1 immunity in relation to Mtb infection pathogenesis.

Cumulatively, the aims of this dissertation built the foundation for investigating two unique, and relative unknown, aspects of TB pathogenesis and host immunology. The findings within chapter 1 have launched a host of different investigations examining the ability of this essential micronutrient to not only prevent clinical TB but to also serve as an immunologic boost to enhance the efficacy of a variety of Mtb treatment regimens. Chapters 2 and 3 reexamined CD1 immunity and the utility of the guinea pig for lipid immunologic work. Though much work has yet to be done in this niche branch of immunology, these chapters lay the ground work and provide the new tools for future studies into potential efficacious and translatable lipid-based vaccination schemes. Tuberculosis, caused by *Mycobacterium tuberculosis*, is a disease with global ramifications that requires innovative research to meet the new challenges on the horizon. This dissertation looked to meet those challenges by contributing new knowledge to two developing fields of immunologic research while also laying out the tools for future groundbreaking work.

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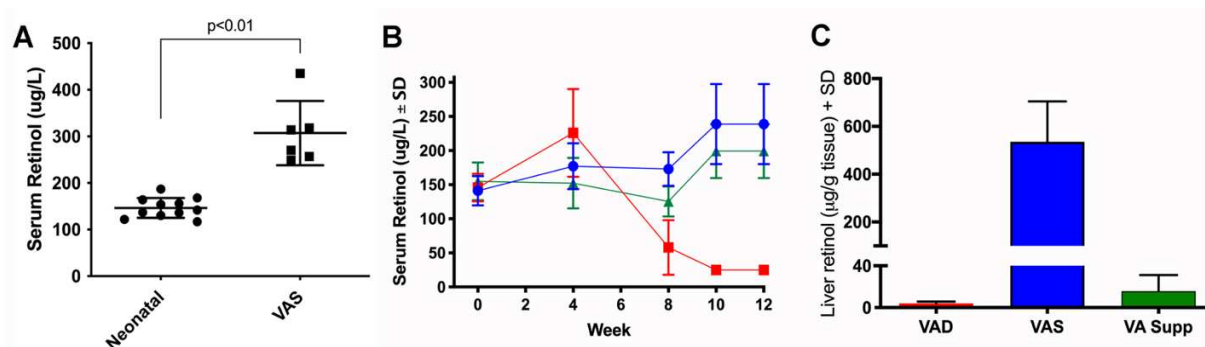
Appendix

Supplemental material for chapter 1:

Supplemental Table S1. Antibodies in guinea pig immune cell phenotyping by flow cytometry

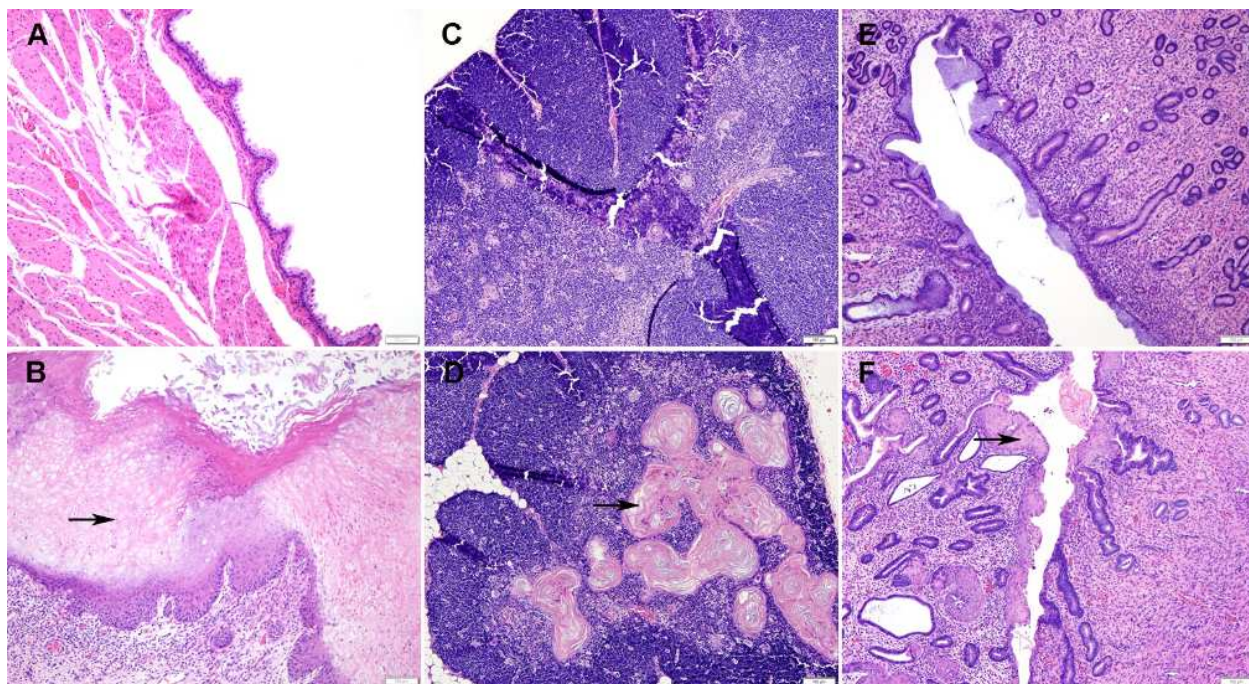
Antibody Target	Species Specificity	Antibody Clone	Antibody Conjugate	Supplier
CD45 (total)	Guinea pig	IH-1	PE-Cy7	Bio-Rad
CD4	Guinea pig	CT7	FITC	Bio-Rad
CD8	Guinea pig	CT6	PerCP-Cy5	Bio-Rad
CD11	Guinea pig; pig; human	MIL4	PE	Bio-Rad
B cell	Guinea pig	MsGp10	DyLight-405	Bio-Rad
MHC-II	Human; cross-reactive in guinea pig	IVA-12	APC	ATCC; IVA12 hybridoma
CD80	Mouse	16-10A1	APC-fire750	BioLegend

Derived from Podell et al. 2022²²

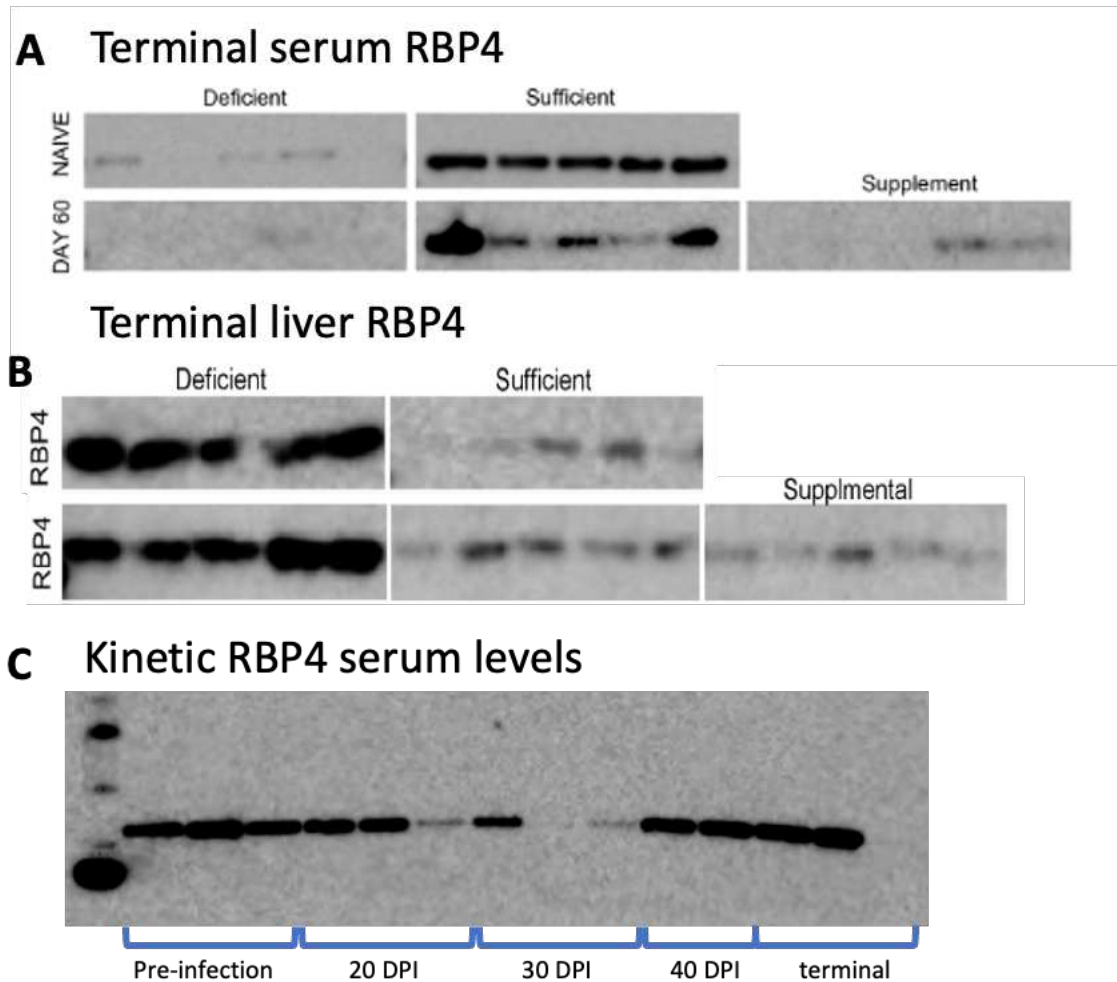


Supplementary figure S1. Establishing the guinea pig model of dietary vitamin A deficiency.

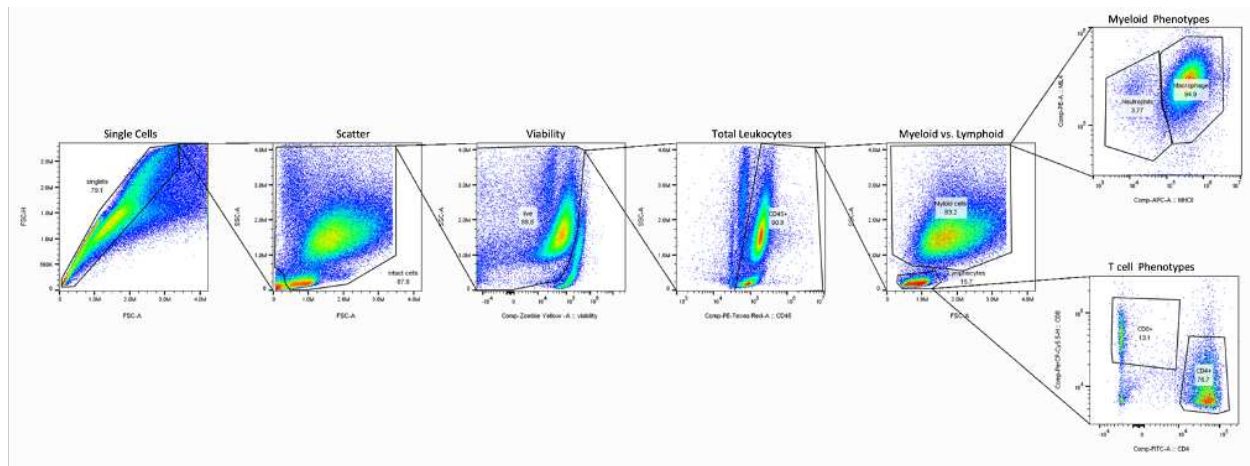
Serum and liver retinol concentrations are shown from neonatal guinea pigs prior to dietary intervention and during the course of vitamin A deficiency model development. Neonatal guinea pigs (age 7-11 days) were used to develop the guinea pig model of vitamin A deficiency in order to minimize the hepatic stores of retinol and allow for timely induction of vitamin A deficiency. (A) Low serum retinol concentrations were present in neonatal guinea pigs (age 9-12 days) prior to dietary intervention as compared to guinea pigs at 12 weeks of age fed the vitamin A sufficient diet (VAS), indicating that a physiologic vitamin A deficiency exists in neonatal guinea pigs, similar to human neonates [7]. (B) Serum retinol concentration subsequently increased in guinea pigs receiving vitamin A sufficient diet (blue) over the course of 6-8 weeks while complete vitamin A deficiency (<50 ug/mL) was achieved in all guinea pigs receiving deficient diet (VAD) within 4-8 weeks. Guinea pigs consuming vitamin A deficient diet were monitored for a total of 12 weeks under conditions of complete deficiency or with low-dose supplementation in the form of oral retinyl palmitate in corn oil (100 IU/day, 5 days/week). (C) Liver retinol concentrations were quantified after 12 weeks on respective diets. Replete liver storage was present in VAS guinea pigs but depleted in VAD guinea pigs, which despite higher serum retinol was not overcome by daily low-dose supplementation. Derived from Podell et al. 2022²²



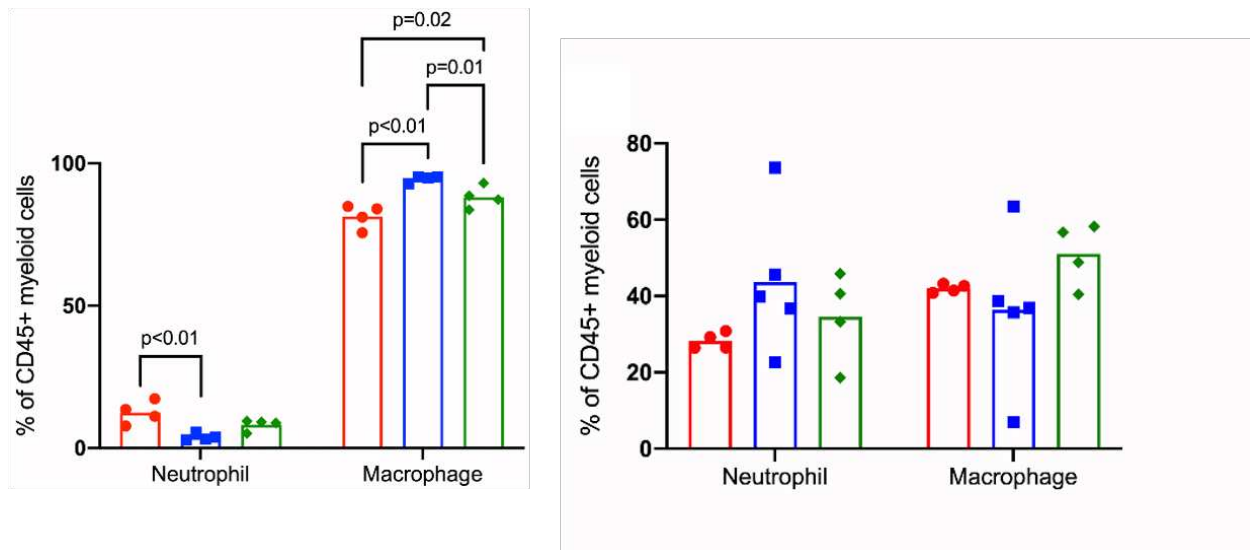
Supplementary figure S2. Histopathologic sequelae of complete vitamin A deficiency in the guinea pig model. H&E stained tissue sections of organs most affected by vitamin A deficiency (VAD) induced metaplasia, compared to normal morphology of sufficient (VAS) guinea pigs. Weight loss was noted after 12 weeks among completely vitamin A deficient guinea pigs along with an accumulation of yellow mucoid discharge around the vulva, changes that were not observed when guinea pigs received completely sufficient diet or minimal weekly supplementation (data not shown). Histopathology of organs with epithelial surfaces revealed severe squamous metaplasia as the primary sequelae of vitamin A deficiency with a predisposition in the guinea pig for organs of the urogenital tract. This pathology was mitigated in optimized model conditions through minimal oral supplementation of 100 IU retinyl palmitate per week. Epithelial metaplasia was not present in the airways of the lung (data not shown). Urinary Bladder; Normal urinary bladder mucosal surface of a VAS guinea pig (A). Urinary bladder of a VAD guinea pig without retinyl palmitate supplementation (B) has circumferential replacement of uroepithelium with keratinizing squamous epithelium and marked hyperkeratosis (arrow). Inflammation and edema are present in the subepithelial connective tissue. Thymus; Normal thymus of a VAS guinea pig (C). Affected thymus of a VAD guinea (D) with marked expansion of Hassall's corpuscles by accumulation of lamellar keratin (arrow). Uterus; Normal uterine endometrium of VAS guinea pig (E). Endometrium of a VAD guinea pig (F) has segmental replacement of epithelium with keratinizing squamous epithelium (arrow) and glandular loss throughout the stratum compactum. Bar = 100µm. Derived from Podell et al. 2022²²



Supplementary figure S3. Western blot bands of RBP4 from vitamin A sufficient and deficient animals *Mtb* infected animals. A) RBP4 protein bands from terminal serum collection. B) RBP4 protein bands from collected, and homogenized, liver. C) RBP4 protein bands from antemortem blood draws of VAS infected animals.

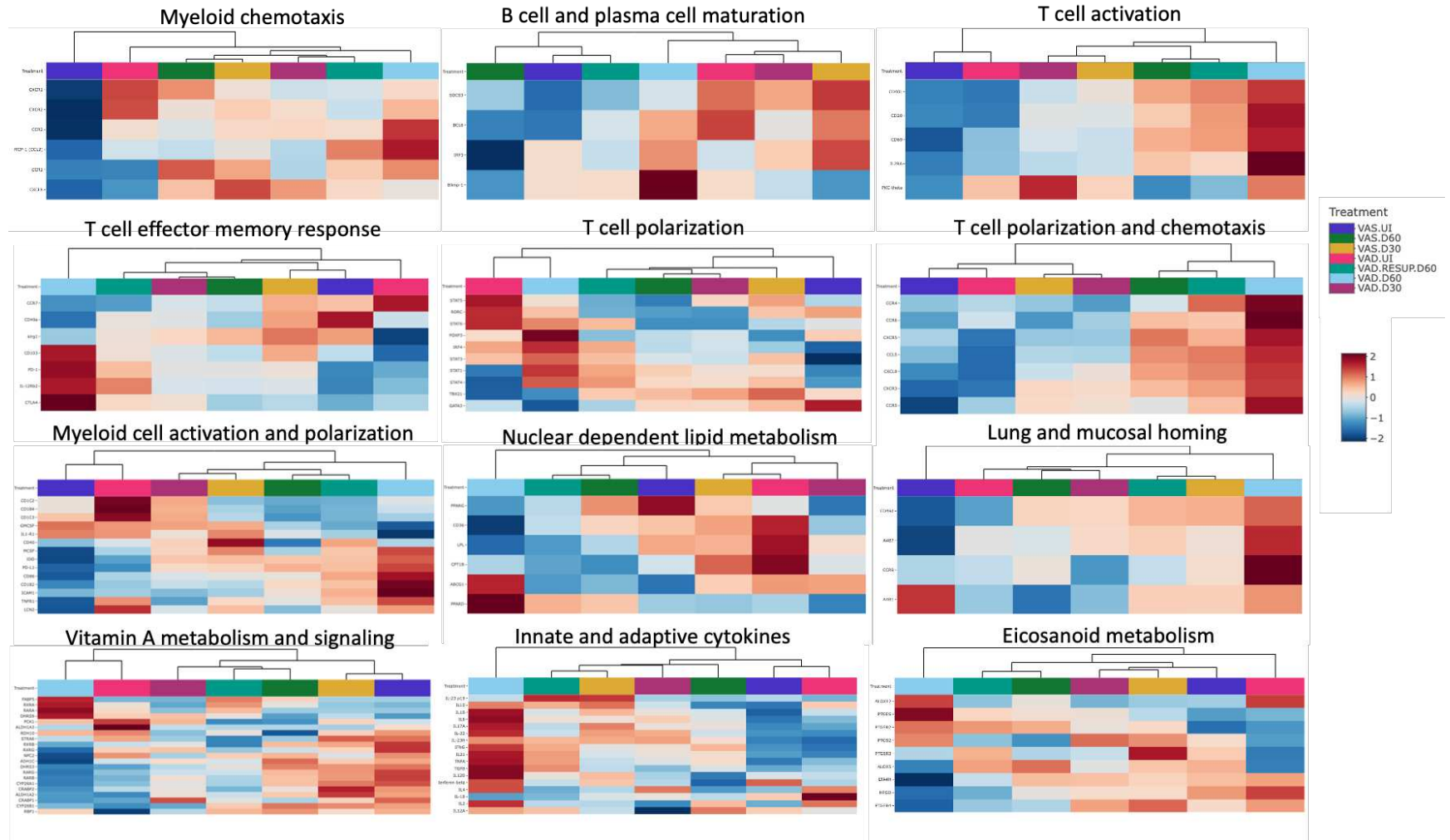


Supplementary figure S4. Gating strategy for flow cytometry phenotyping of cells isolated by bronchoalveolar lavage or from dissociated lung granulomas. The gating strategy for phenotyping of lung immune phenotypes isolated from guinea pigs is shown. Initial singlet gating was performed followed by forward and side scatter gating to eliminate cellular debris. Total leukocytes were gated among the population of viable cells based on expression of CD45. Additional forward and side scatter gating was used among CD45+ leukocytes to discriminate myeloid and lymphocyte cell populations, from which neutrophil and macrophage cells or CD4 and CD8 T cells were identified, respectively. Neutrophils are defined as high scatter MIL4/CD11+, MHC-II-. Macrophages are defined as high scatter MHCII+ CD11b+. CD4 or CD8 T cells are defined by respective targeting of each surface molecule among lymphocyte scatter gating, after dump gating of B cells.

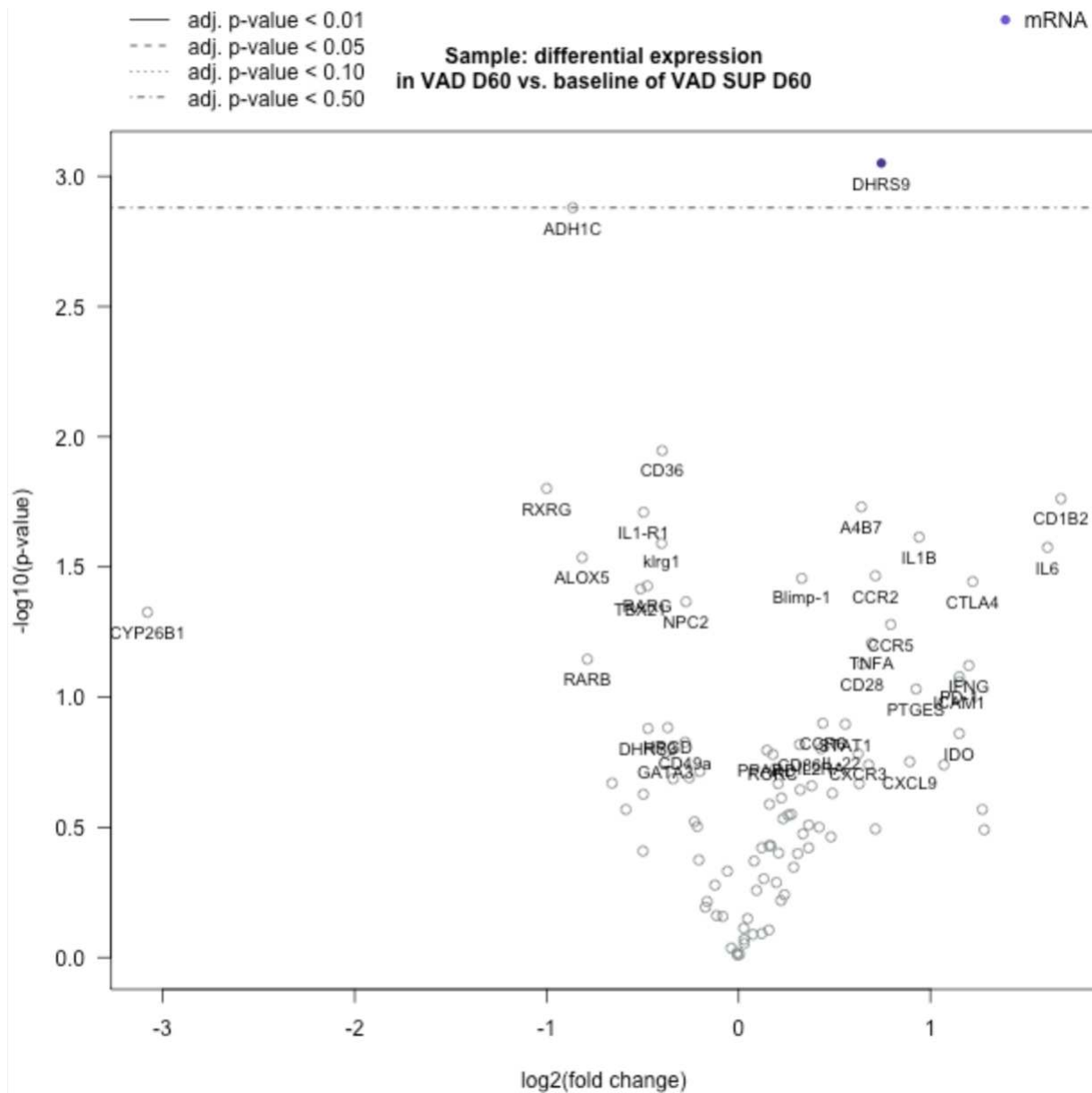


Supplementary figure S5. Myeloid leukocyte phenotypes in bronchoalveolar lavage and isolated granulomas of guinea pigs at day 60 of infection. Phenotypes of bronchoalveolar lavage-isolated and isolated granuloma myeloid cell populations determined by flow cytometry

in vitamin A deficient (VAD), sufficient (VAS), or deficient guinea pigs re-supplemented with vitamin A at 30 days after infection (VAD resupp

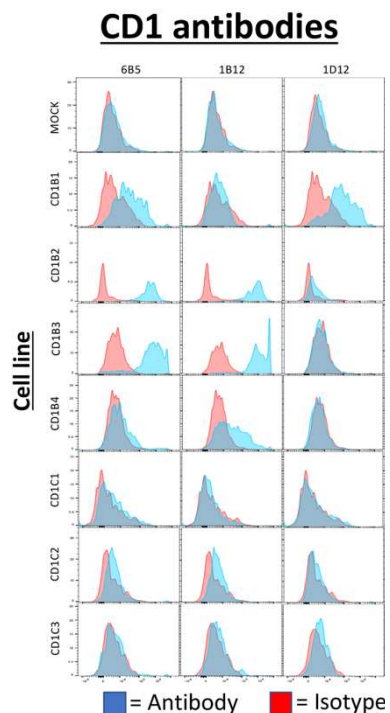


Supplementary figure S6. Unsupervised cluster of all vitamin A sufficient, vitamin A deficient and vitamin A deficient resupplementation groups.



Supplementary figure S7. Volcano plot with gene expression fold changes of VAD compared to VAD resupplement animals

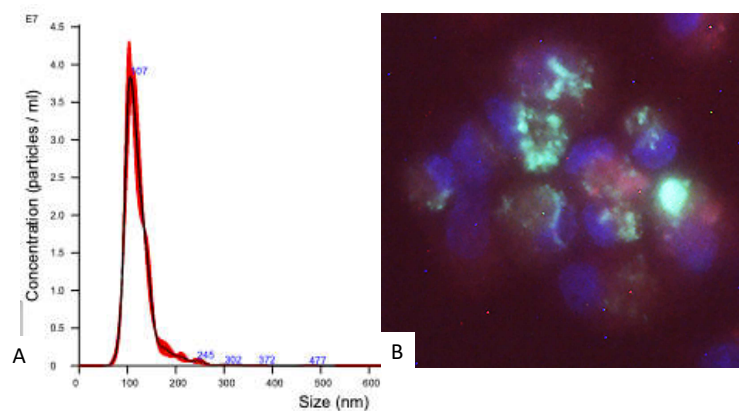
Supplemental work material for chapter 2:



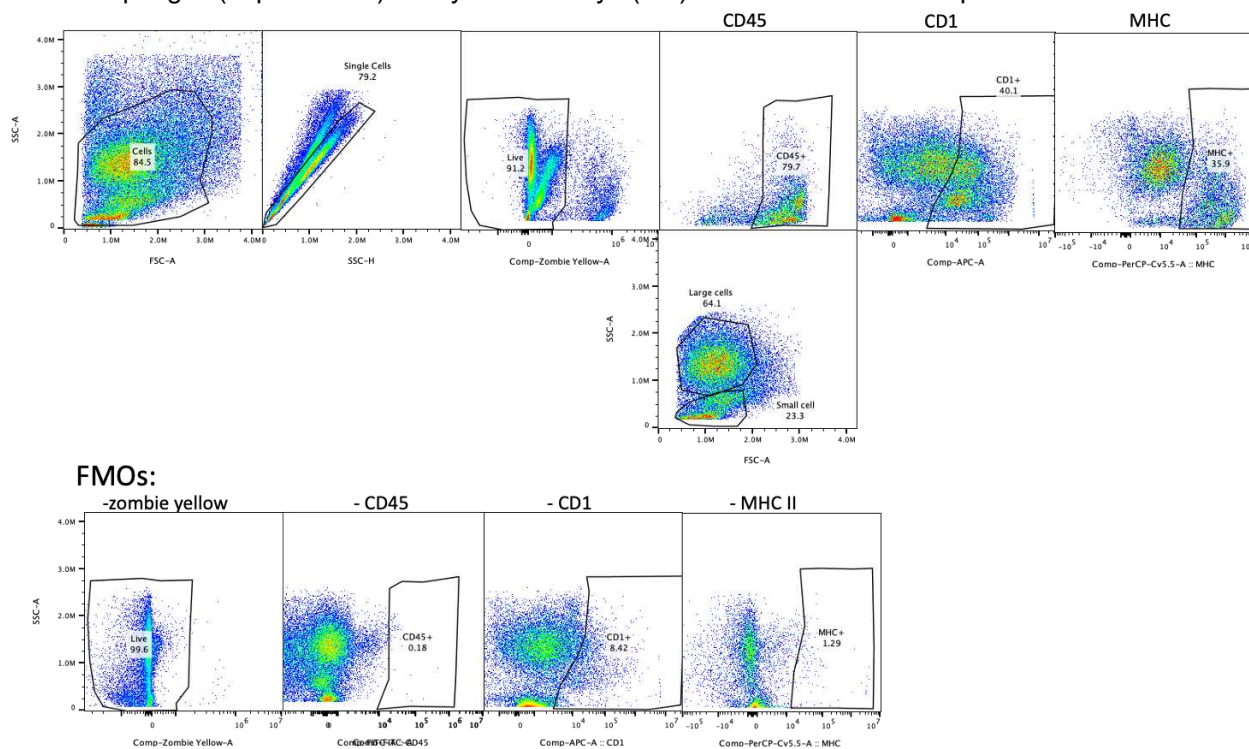
Supplement Figure S8. CD1 ortholog specificity of monoclonal antibodies. 104C1-transfected fibroblasts transfected with specific CD1b and CD1c orthologs were labeled with each anti-CD1 antibody to determine ortholog specificity. The non-specific P3 antibody clone was used as an isotype control.

Table S3: Collaborator provided antibodies to cross reactivity of specific CD1 isoforms

CD1 antibody	CD1 isoforms detection
P3	Negative isotype control
1B12	CD1B2, CD1B3, CD1B4
1D12	CD1B1
6B5	CD1B1, CD1B2, CD1B3, CD1B4
BCD	CD1B2, CD1B3, CD1B4, CD1C3
MsGP3	CD1b3



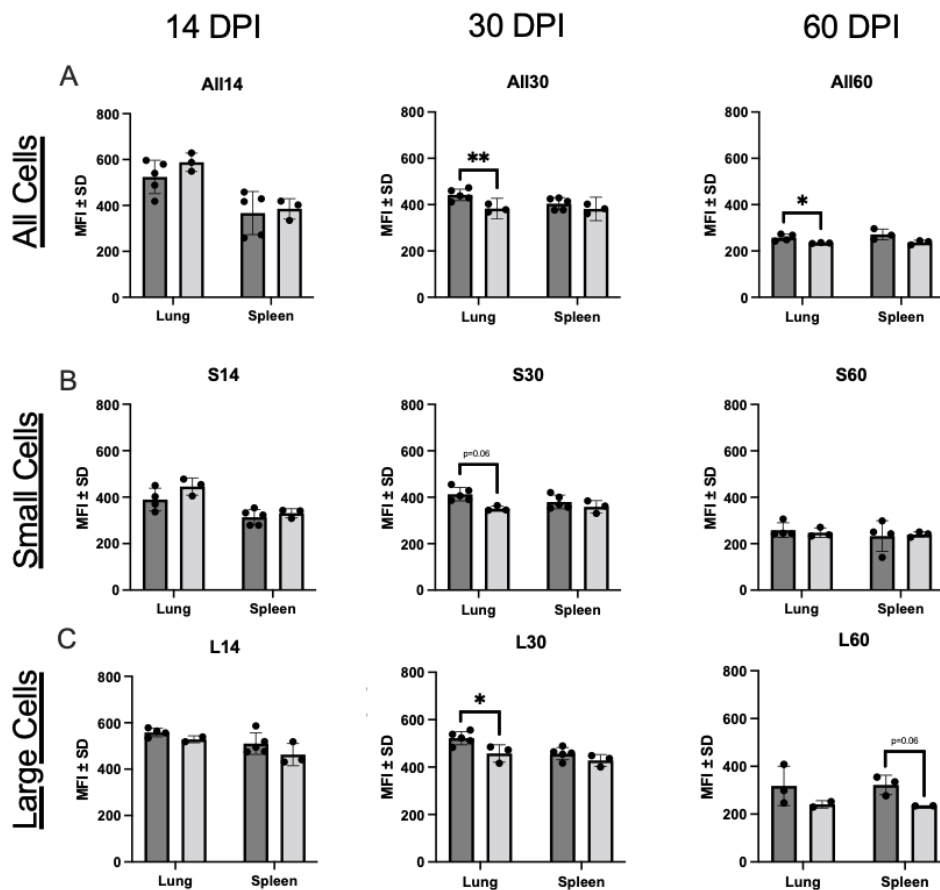
Supplemental figure S9. Liposome size and cellular uptake. A) Particle size analysis of liposomes formulated using the Avanti extrusion process. Particle size was analyzed using a Nanosight, instrument. B) Fluorescent microscopy of CellVue labelled liposomes (green) incubated with bone marrow derived macrophages (dapi labelled) and lysotracker dye (red) to define acidified compartments.



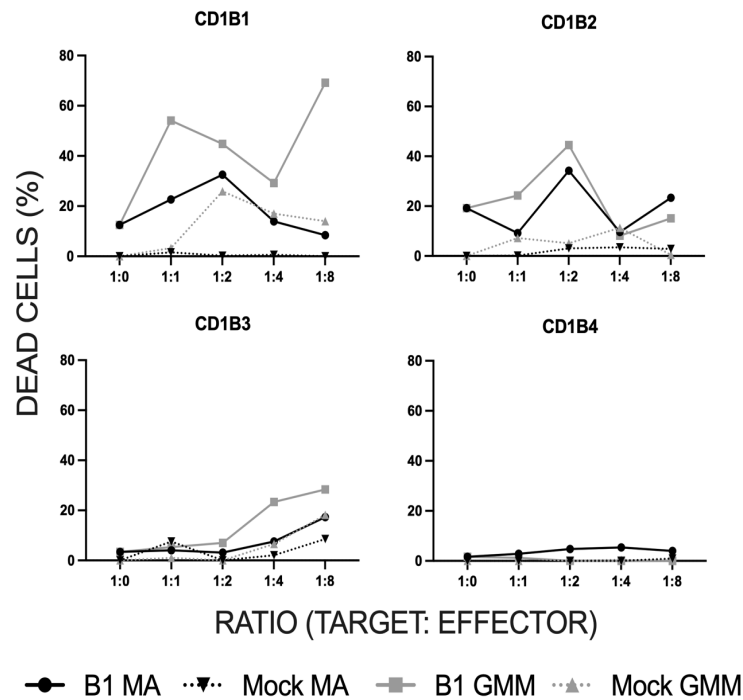
Supplemental Figure S10. CD1 expression gating strategy. Initial gating on scatter parameters and single cells identifies the cell population of interest. This population is gated on viable cells only by excluding those that accumulated Zombie Yellow permeability dye. Leukocytes are the identified by CD45, evaluated in total or by large and small leukocyte scatter gating for cells expressing CD1 and/or MHC II.

Table S4. Antibody and associated fluorophores utilized in flow cytometric assessments

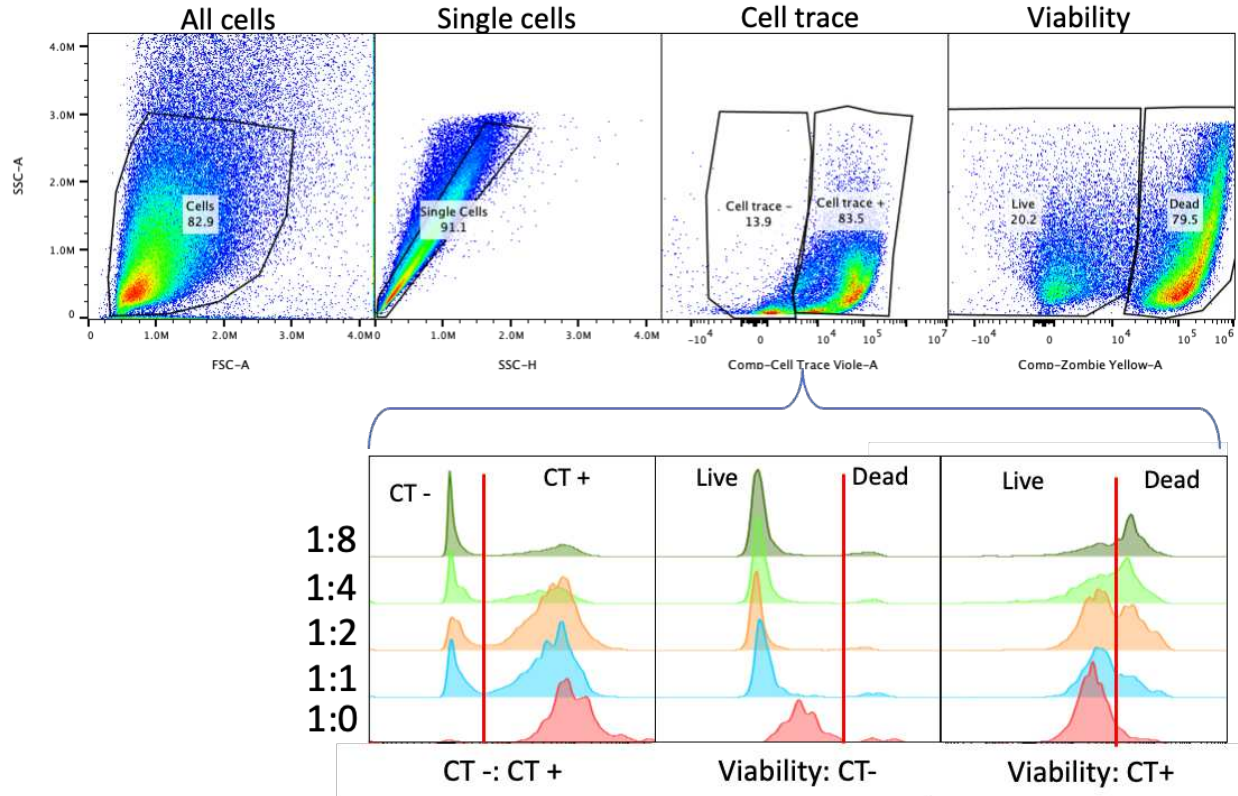
Antibody	Fluorophore	Clone
CD45	FITC or PE	IH-1
MHC-II	PE-cy5.5	IVA12
CD11	PE	MIL4
CD1	PE-Texas red or APC	1B12



Supplemental Figure S11. Kinetic median fluorescence intensity of naïve and infected animals. Mean fluorescence Intensity (MFI) from 14, 30 and 60 DPI of CD1-expressing cells as gated in 1 B-D; (A) MFI of all CD45+ cells derived from lung and spleen (B) CD45+ large cells, (C) CD45+ small cells. Two-Way ANOVA multiple comparison with a tukey correction, (E-G) a non-parametric Kruskal-Wallis test with a Dunn correction.



Supplemental Figure S12. Cytotoxicity pilot study. All CD1b ortholog transfectants (target cells) were incubated with cells isolated from infected lung. Cells were incubated in increasing ratio of Target to Effector cells (1:0 to 1:8) for four hours. Target cells were loaded with glucose monomycolate or mycolic acid Mtb lipids or mock loaded as a control for lipid antigen specificity. Mock transfected fibroblasts were used as a control for CD1-restricted activity (data not shown).



Supplemental Figure S13. CD1 cytotoxicity gating strategy. A) Initial gating identifies the cell population of interest and single cells. Single color CellTrace Violet is used to identify guinea pig fibroblast transfectants. CellTrace Violet positive cells represent the target cells for the cytotoxicity assay and are assessed for overall viability in all samples. B) Histogram demonstrate the changing ratio of CellTrace Violet positive cells (target cells) to CellTrace Violet negative cells (effector cells) within different target to effector cell samples. The other two histograms demonstrate a representative sample of viability with cell trace negative cells (effector cells) (C) and cell trace positive cells (target cells) (D) in differing ratio conditions.

Table S5. Denovo CD1 ortholog specific Primer sequences

CD1b Isoform	Sequence
CD1b1 forward	ACA GTG GCC AAC TTC TGT CC
CD1b1 Reverse	ATA GTG CCT GTG TCG CTG TC
CD1b2 Forward	GAG TTG CAT TCT GGA GGA GC
CD1b2 Reverse	CCC AGC TTC TAG GAC ACT CA
CD1b3 Forward	ACA AAA GCC CAC GTG AAT GC
CD1b3 Reserve	GTC CAG TCC TCC AAA GGC TC
CD1b4 Forward	GGC TGG TTG GGA GAT ATG GA
CD1b4 Reserve	TTC TGG GCT TCT CTG ACG AA

CD1B1 Gene block: (PCR product: 129)

```

1  atgctgctcg| tggcactggc attgcttgca tttctcttcc ctgctggtga cactcagaat
61  gccttacagt ggccaacttc tgtccatggc atccaaatct catccttttt caaccatacc
121 atggcacaaa gtcgatgctc aggctggttg tcccgagat ctccaggaaa tatggagctt
181 ggtagctttg acagcgacac aggcactatc atatttaaga aaccctggtc taaagcaaac
241 ttcagcaatg aagaggtttt ggagttggag gagctatttc aagtctacat gttgggattc
301 atcagagaag tacaggaacg tatgagcgat ttccagatgg aatatccctt tgagatccag
361 ggcatgtcag gctgtgaact gatttctgga ggaaccattg atttcttctt gagaggagct
421 tttagaggac tagatttctt gagtattaag aattctacat gttggcctgc cccagaaggt
481 ggcaccaagg caaaaaaatt

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CD1B2 Gene block: (PCR product: 225)

```

1  attttggaaa tggaggagtt atttcgactc tacttcttag gatttggtta agaagtccag
61  gaacttgtca gtgatttcca gctggaatat ccttttgaga tccagggcac tgcaggctgt
121 gagttgcatt ctggaggagc cattgtaagc ttcttgatgg gagctataga aggactgcat
181 ttcatgagca tcaataatta ttcattgttg tcccgagat ctccacctgc cccagaaggt
241 ggtaccaggg cacagaaatt ctgtgcacta atcttacagt acaaaggaat ttgtgatatt
301 gtggaaaatc tcctcacaaa agtttgcccc agatatctga tgagtgtcct agaagctggg
361 aaggcagctc tgcagaagca cgtgaagcct gaggcctggc tatcccaggg acccagcccc
421 gagcctggct atctgcagct ggtgtgccac gtctctggct tctacccaaa gcctgtgtgg
481 gtgatgtgga tgagaggtga

```

CD1B3 Gene block: (PCR product: 171)

```

1  tgggtgaaat atcaactctc aggctggttg ggagatttgc agattcatgg catggacagt
61  gattcaggca ctgccatatt cctgaagccc tgggtctaagg gcaacttcag caatgaagaa
121 atgtctgaag tgggtggagct atttcgggtc tatatcgttg cattcattcg agaaacaaaa
181 gcccacgtga atgccttgca gatggaatac tcccgagat ctccaccttt tgagatccag
241 ggcatgtcag gctgtgagct gcattctgga ggtgccattg taagcttttt gcaaggagcc
301 tttggaggac tggactttgt gagtttcaag aattcttctt gtgttctgc cccagaaggt
361 ggcagcaggg cacagacagt gtgcaacta cttgctccat atcatgatat cttctacact
421 gtggagaagc tgctgtatga aacctgtcct caatatctct tgggtgtcct tcaagcagga
481 aaggcagatc tacacagga

```

CD1B4 Gene block: (PCR product: 125)

```

1  atgctgctcc tggccctggc attttttttc ccagctggtg acaactcagaa cgttttgccg
61  gggaaaattt ctttctatgg catccaaatc tcaacgtttt tcaaccatac cgtggtagag
121 aatcgaggat caggctggtt gggagatatg gagattagca gctgggacag tgaaaaagaa
181 actatcatat ttcggaaacc ctggtctaaa tcccgagat ctccaggcaa cttcagcaat
241 gacgagattt tggagggtga agagatatat caagtctact tctttggatt cgtcagagaa
301 gcccagaaac atatgagtga tttccagggt gaataccctt ttgagattca ggtcatctca
361 ggctgtgagg tgaactctca cagatccttt gattacttca tgagggtagc agtaaaggga
421 ttgatctcc tgagcatcaa gaatcattca tgttggcctg cccagaaggt tgtttctaga
481 gcacaggaaa tctggacatt

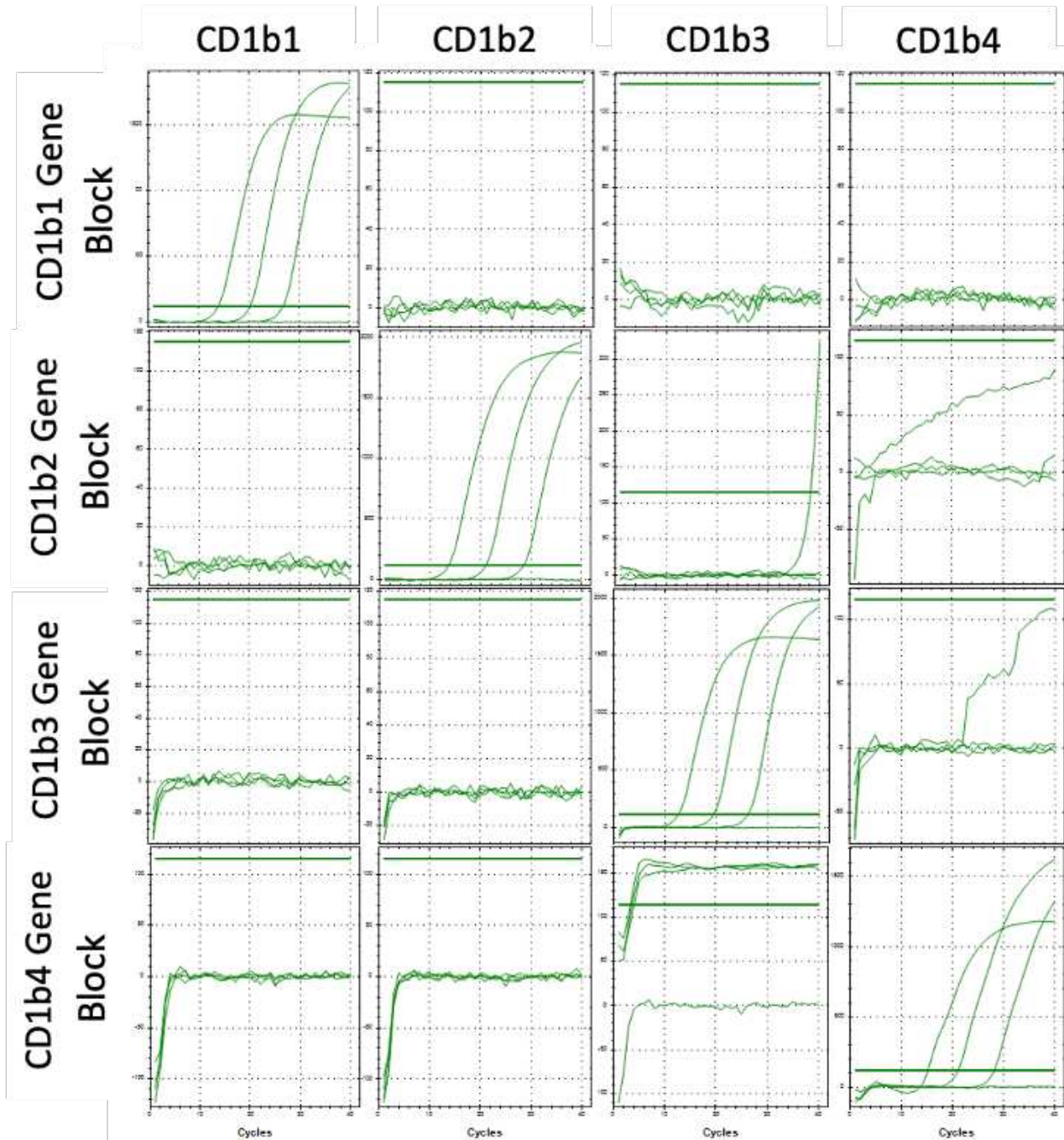
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Segment gene Rv0733 in Mycobacterium tuberculosis H37Rv:

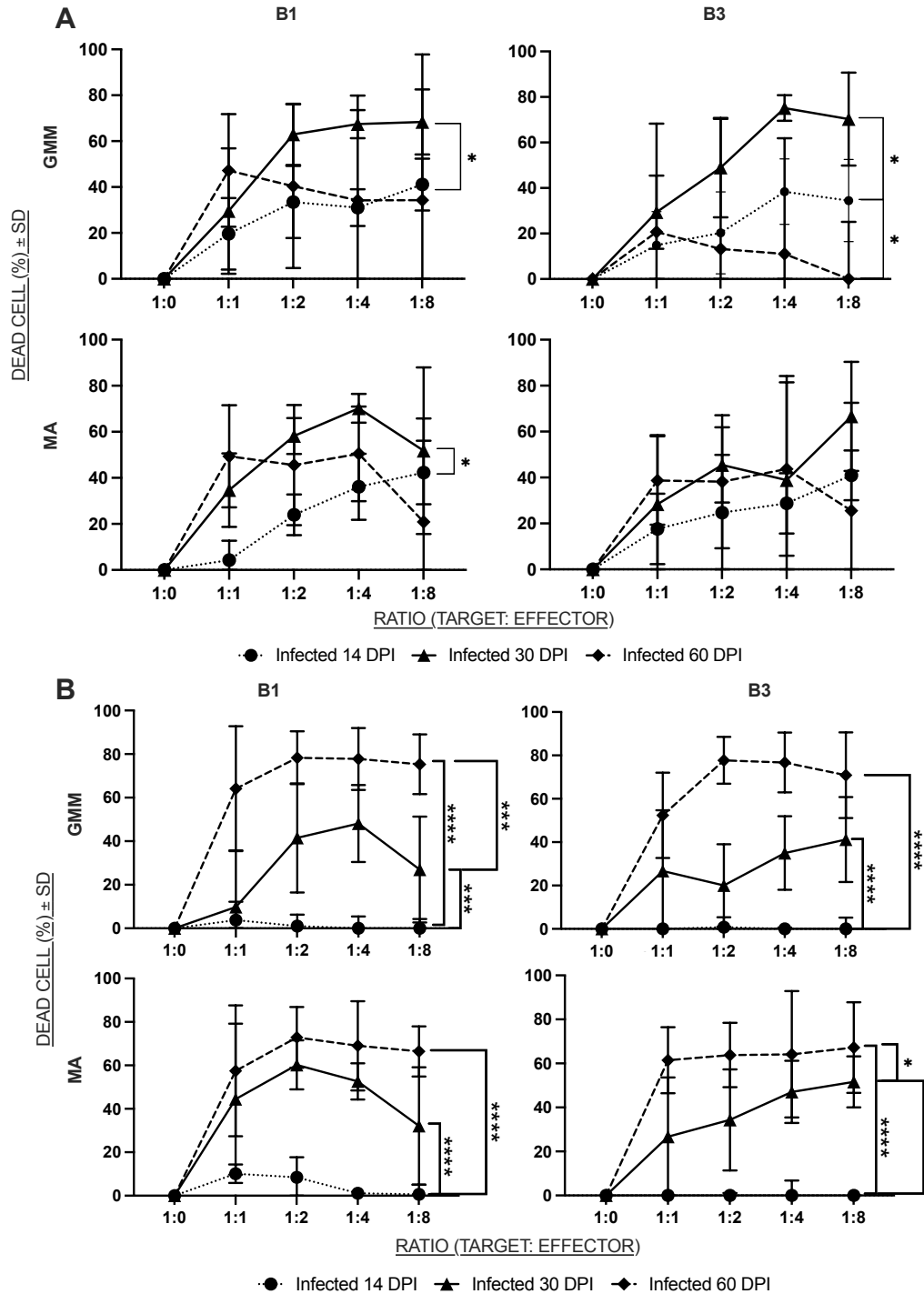
Tcccgagatctcca

Supplemental figure S14. CD1b ortholog-specific gBlock sequences: 500 basepair
GeneBlock sequences were synthesized to match each specific region of cDNA for CD1b1, b2, b3 and b4, then used for development and specificity confirmation of the primer sequences listed in Supplemental Table 3. Yellow highlighted regions represent the annealing sites for each forward and reverse primer set. Purple highlighted regions represent an inserted region of the Mtb Rv0733 gene, used to distinguish GeneBlock DNA from tissue-derived cDNA PCR product, in the event of contamination.

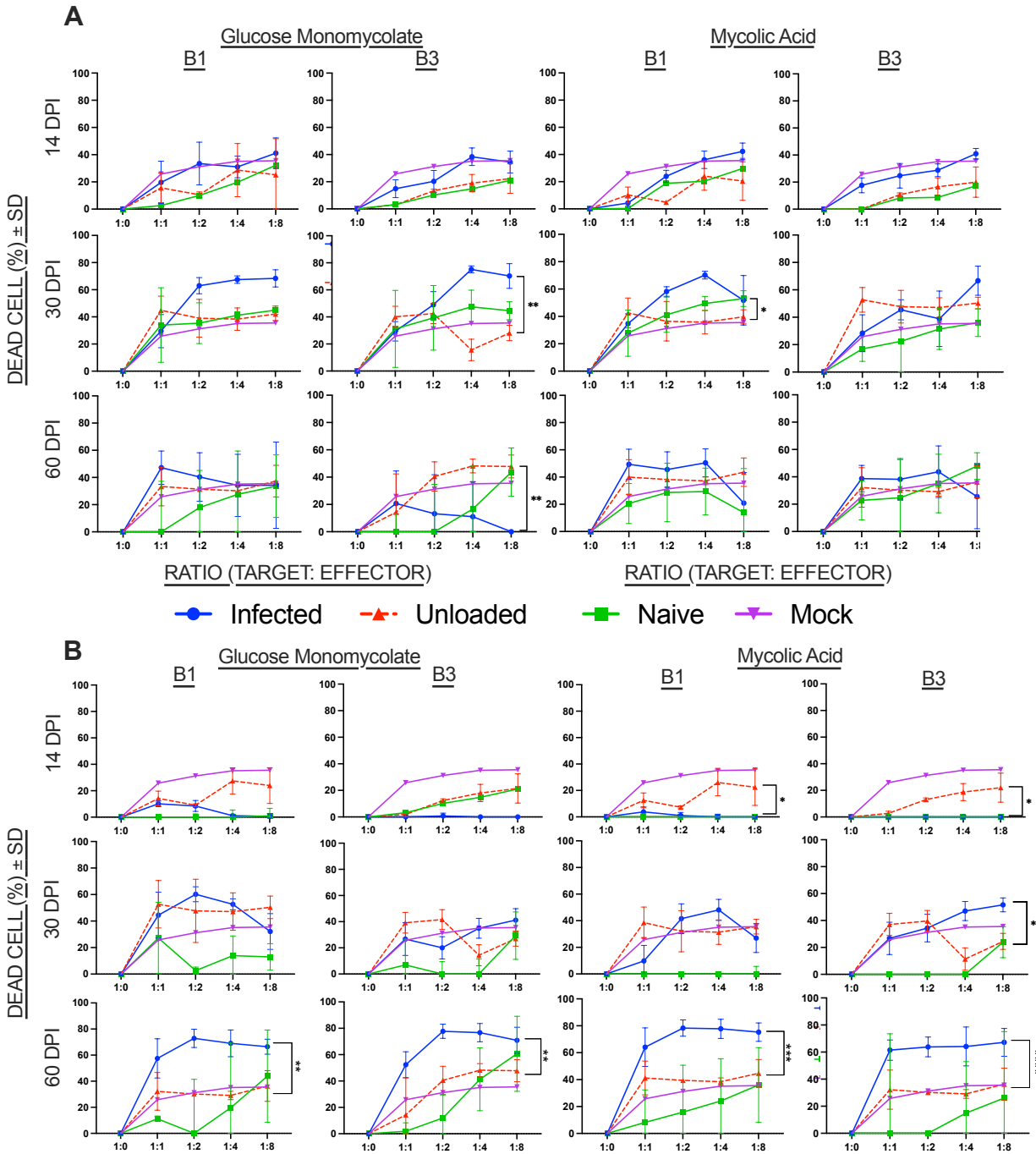
Primer Set



Supplemental figure S15. Confirmation of CD1b ortholog primer specificity. CD1b ortholog-specific primer pairs designated in Supplemental Table 3 were assessed for specificity against each targeted CD1b ortholog using gBlock synthetic sequences shown in Supplemental Figure 6. A checkerboard approach was used to confirm the specificity of each primer pair by qPCR.



Supplemental figure S16. Kinetic evaluation of lipid antigen-specific and CD1-restricted cytotoxicity over the course of Mtb infection in the guinea pig. A-B) lung-derived (A) and spleen-derived (B) effector cells from Mtb-infected guinea pig were mixed at multiple increasing ratios with lipid-loaded CD1b-transfected fibroblasts (target cells). Cytotoxicity assessed as described in Materials and Methods. * $p \leq 0.05$, *** $p \leq 0.001$ and **** $p \leq 0.0001$; two-way ANOVA with a Sidak's correction



Supplemental Figure S17. Lipid-antigen specific and CD1-restricted cytotoxicity assay comparing all experimental and control conditions: Cytotoxic activity of lung- (A) and spleen-derived (B) cells examining lipid antigens, glucose monomycolate and mycolic acid, against transfected fibroblasts CD1b1, CD1b3 and mock control as target cells. All conditions are shown including lipid loaded conditions, unloaded conditions, mock-transfectant control conditions. * $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq 0.001$ and **** $p \leq 0.0001$; two-way ANOVA with a Sidak's correction

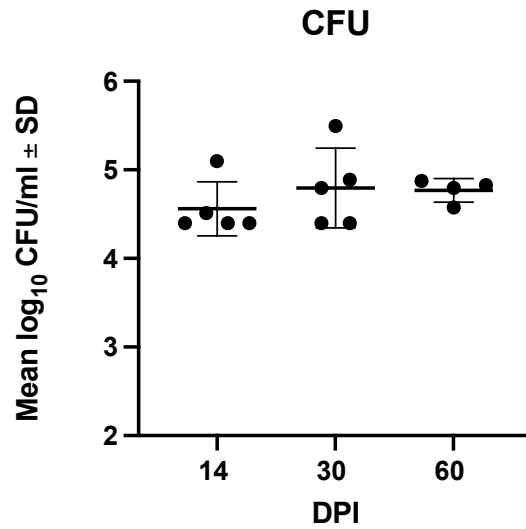
Table S6. Mean and standard deviation of all variables and timepoints from the cytotoxicity data. A) Data derived from lung effector cells; B) Data derived from splenic effector cells

A

Cell line	Antigen	Ratio	LUNG															
			14 Infection		14 naive		14 unloaded		30 Infection		30 naive		30 unloaded		60 Infection		60 naive	
			Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
CD1b1	GMM	1:0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1:1	19.6091	15.609	2.61428	0	15.6415	10.8508	29.5253	27.3185	33.9747	27.4434	44.8667	20.922	47.2212	24.5478	-0.8689	38.1028
		1:2	33.477	15.725	10.0462	0	10.5816	2.06358	62.9838	13.3288	35.4045	14.9911	39.0317	27.7796	40.3783	35.7001	17.8856	27.2916
		1:4	31.014	8.00707	19.6841	0	28.6795	19.5309	67.4247	6.14221	41.208	2.62528	38.4009	16.4952	34.1691	45.6812	27.6008	31.8421
		1:8	41.1017	11.2994	32.3205	0	25.2286	26.6007	68.3835	14.1934	44.9929	3.03054	42.1227	9.8963	34.2958	63.4917	33.5988	22.9877
	MA	1:0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1:1	4.27804	8.45292	0.31573	0	10.2557	11.5435	34.6992	15.9543	27.7941	16.9481	42.5595	21.7976	49.3536	22.1657	20.6084	25.4687
		1:2	23.9551	8.86982	18.7716	0	4.87273	2.19533	58.2012	7.78459	41.2451	12.8633	36.4803	28.9422	45.5323	26.0878	28.7452	37.2446
		1:4	36.1109	14.3598	20.3665	0	24.126	20.7779	70.2151	6.28291	49.4822	5.16878	35.8231	17.1855	50.4373	20.5301	29.3536	29.7492
		1:8	42.3084	13.7973	29.7084	0	20.4548	28.299	51.7605	36.171	53.0311	5.75403	39.7006	10.3104	20.8365	44.9574	13.9163	56.0058
CD1b3	GMM	1:0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1:1	14.8826	14.7226	3.222	0	3.01546	3.19805	29.3697	16.0666	31.175	28.4643	40.1072	15.6162	20.7473	47.5213	-6.4034	19.4447
		1:2	20.288	17.9707	10.1853	0	13.3424	2.47635	48.9516	21.8007	39.3237	23.8825	42.4891	14.9334	13.2069	57.1602	-0.015	34.706
		1:4	38.4161	14.3949	14.7882	0	18.9484	12.8025	75.1528	5.64237	47.4723	12.3106	15.6298	15.8254	11.0076	50.8378	16.7415	31.275
		1:8	34.4978	18.0274	21.0433	0	22.315	21.7697	70.2636	20.4112	44.589	6.62536	28.1036	11.3552	-33.292	58.4304	43.6565	17.7321
	MA	1:0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1:1	17.6042	12.3381	-3.3291	0	0.10507	3.29402	28.3595	30.0948	16.9091	9.11756	30.5192	18.1162	38.6993	19.2794	22.7731	14.2257
		1:2	24.6792	20.2925	8.21944	0	10.7419	2.55067	45.4916	16.388	22.4355	26.0591	33.2824	17.324	38.1922	28.9648	24.7263	28.7522
		1:4	28.7637	10.5547	8.70569	0	16.5162	13.1867	38.8598	45.3376	31.4039	14.9169	2.12333	18.3588	43.7138	37.754	35.0934	21.4244
		1:8	40.9687	8.7084	17.2152	0	19.9838	22.423	66.6376	23.7506	36.0578	10.0773	16.5939	13.173	25.5715	46.9922	47.8643	9.7258

B

Cell line	Antigen	Ratio	SPLEEN															
			14 Infection		14 naive		14 unloaded		30 Infection		30 naive		30 unloaded		60 Infection		60 naive	
			Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
CD1b1	GMM	1:0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1:1	10.1111	4.23109	-1.7379	2.95077	14.1698	11.0401	44.4526	34.7147	27.2067	26.8844	52.7049	17.9476	57.4925	30.1171	11.2495	2.00382
		1:2	8.47243	9.19487	-0.6102	4.37608	9.02157	2.09959	60.2267	11.2807	2.61754	2.6493	47.6994	23.8303	72.8854	13.9946	-6.6552	48.0918
		1:4	1.16583	2.6049	-0.0545	7.75059	27.4352	19.8717	52.6508	8.33768	13.9381	14.8312	47.1583	14.1501	69.021	20.5294	19.6222	49.5491
		1:8	0.62323	4.44546	1.03508	8.05877	23.9241	27.0647	32.1283	26.9835	12.9857	10.0021	50.351	8.48937	66.4448	11.5296	43.8386	35.3402
	MA	1:0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1:1	3.80496	8.42981	-1.9233	4.23183	12.5333	11.2506	9.80049	25.9947	-32.48	2.78924	38.6025	23.2992	64.1284	28.6907	8.21626	24.1403
		1:2	1.00025	5.31788	-2.3952	2.10414	7.28697	2.13962	41.5249	25.042	-23.98	3.88512	32.1045	30.936	78.3206	12.1364	15.8897	34.8375
		1:4	-0.6652	6.09879	-3.6554	3.32108	26.0516	20.2506	48.1283	17.6593	-16.426	6.75607	31.402	18.3694	77.7618	14.1947	24.0847	31.4883
		1:8	-1.1827	5.49473	-2.4784	4.38885	22.4736	27.5808	26.9974	24.306	-3.1253	8.8547	35.5467	11.0207	75.3033	13.7005	36.0047	27.7754
CD1b3	GMM	1:0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1:1	-3.3093	5.7438	3.222	0	1.91963	3.23418	26.7302	27.99	6.98118	19.7248	39.2069	15.851	52.3801	19.6502	1.8456	6.41719
		1:2	0.79172	4.59898	10.1853	0	12.3632	2.50433	20.0115	19.0056	-1.0779	10.568	41.6246	15.1579	77.7262	10.7754	11.9289	17.4887
		1:4	-6.045	6.7116	14.7882	0	18.0326	12.9471	34.9759	16.9582	-1.2052	12.72	14.3616	16.0633	76.7218	13.7983	41.3122	23.8284
		1:8	-3.7987	8.97203	21.0433	0	21.4372	22.0157	41.2365	19.5929	29.3345	18.1321	27.0229	11.5259	70.8727	19.7625	60.691	28.4716
	MA	1:0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1:1	-4.6703	5.15971	-6.2615	8.12079	2.70707	3.20822	26.6981	26.8977	-16.402	7.03884	37.0823	16.405	61.4476	15.0213	-6.517	80.0977
		1:2	-4.3695	5.53536	-5.93	4.30318	13.0668	2.48423	34.3628	22.9591	-11.56	7.45675	39.5845	15.6876	63.8213	14.6239	-43.842	41.9407
		1:4	-3.1571	9.95977	-5.0598	6.08641	18.6907	12.8432	47.0582	14.1404	-7.6395	8.63019	11.3686	16.6247	64.1487	28.7207	14.9828	37.9799
		1:8	-8.7668	5.59275	-3.1832	0.08372	22.068	21.8389	51.6149	11.5587	23.9237	11.576	24.4724	11.9287	67.1773	20.5797	26.0056	49.0862



Supplemental Figure S18. Mtb burden in lung at all experimental endpoints. CFU burdens derived from lung tissue at days 14, 30 and 60 post-infection. CFUs were obtained and calculated via established lab protocols and are consistent with a standard low-dose aerosol guinea pig exposure.

Table S7. Chromogenic IHC protocol

CD1 Chromogenic Protocol:

Step number	Process	Time (mins)
1	Peroxide block	10
2-8	Bond wash solution	-
9	Block (2.5% goat serum)	10
10	Primary antibody	30
11-13	Bond wash solution	-
14	Post primary HRP antibody	10
15-25	Bond wash solution	-
26	Deionized water	-
27	Polymer (Leica)	10
28-31	Bond wash solution	-
32	Deionized water	-
33	Mixed DAB <u>refine</u> (Leica)	0
34	Mixed DAB <u>refine</u> (Leica)	10
35-40	Deionized water	-
41	Bond DAB enhancer (Leica)	5
42-44	Deionized water	-
45	Hematoxylin	5
46	Deionized water	-
47	Bond wash solution	-
48	Hematoxylin DAKO A	2
49-52	Deionized water	-

+ all volumes are dispensed at 150ul per step, all steps performed at room temp

Table S8. Multiplex fluorescence IHC protocol

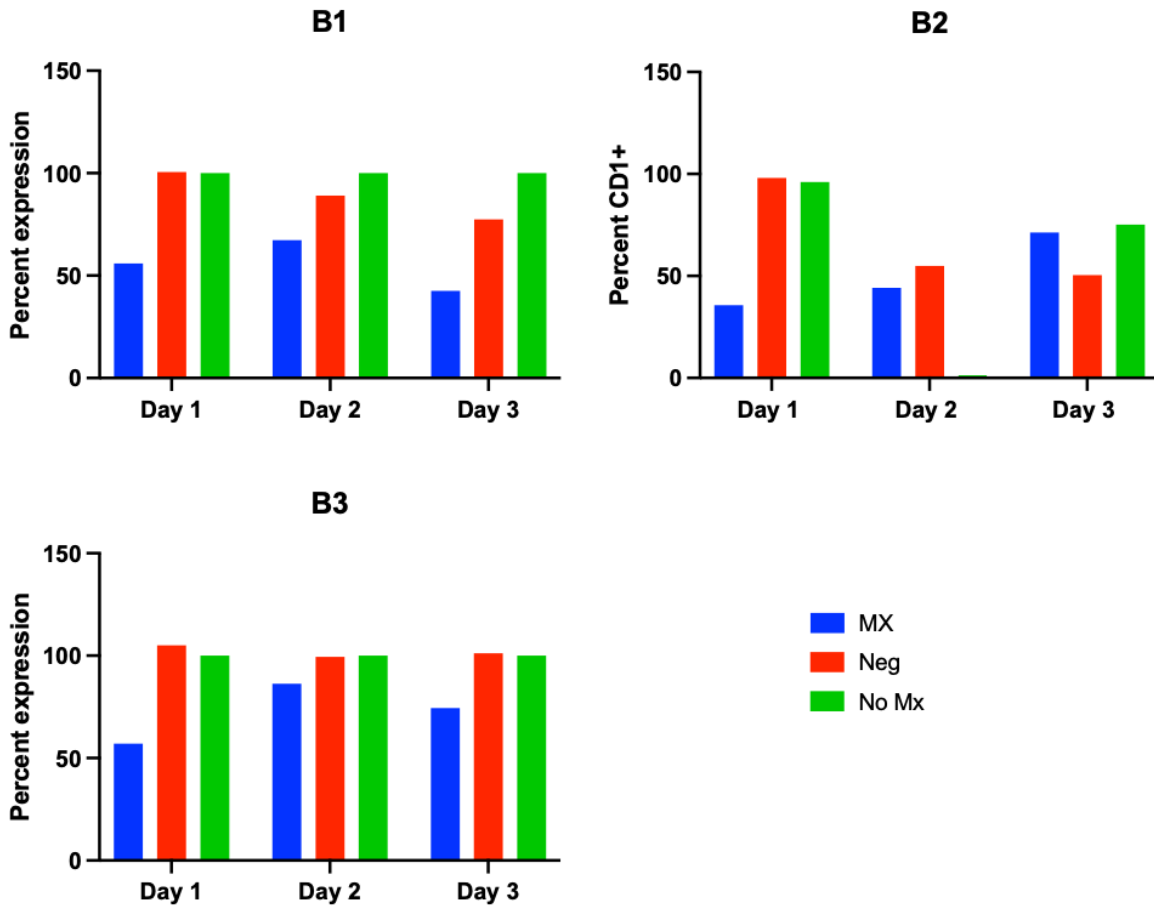
IHC CD1 Opal Multiplex:

Step number	Process	Time (mins)
1		
2	Kit water (Leica)	0
3-4	Bond wash solution	-
5	H2O2	25
6-8	Bond wash solution	-
9	Block (2.5% goat serum)	5
10	Primary antibody	30
11-13	Bond wash solution	-
14	Secondary HRP antibody	10
15-19	Bond wash solution	-
20	Opal Fluorophore 1 (Akoya)	0
21	Opal Fluorophore 1 (Akoya)	10
22-25	Bond wash solution	-
26	Bond ER 1 solution (Leica)	0
27	Bond ER 1 solution (Leica)	0*
28	Bond ER 1 solution (Leica)	20*
29	Bond ER 1 solution (Leica)	0
30-35	Bond wash solution	-
36	H2O2	10
37-39	Bond wash solution	-
40	Block (2.5% goat serum)	5
41	2 nd primary antibody	30
42-44	Bond wash solution	-
45	Secondary HRP antibody	10
46-50	Bond wash solution	-
51	Opal Fluorophore 2 (Akoya)	0
52	Opal Fluorophore 2 (Akoya)	10
53-59	Bond wash solution	-
60	DAPI	11
61-65	Bond wash solution	-

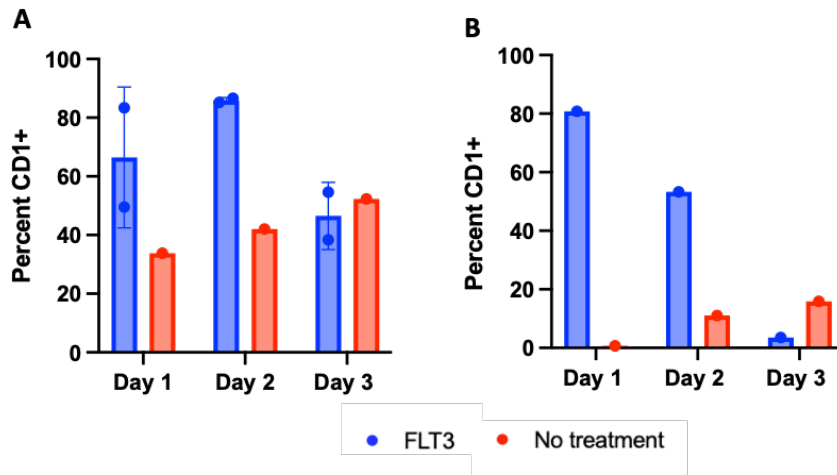
+ all volumes are dispensed at 150ul per step

*denotes 95C temperature incubation, all other steps are completed at room temp

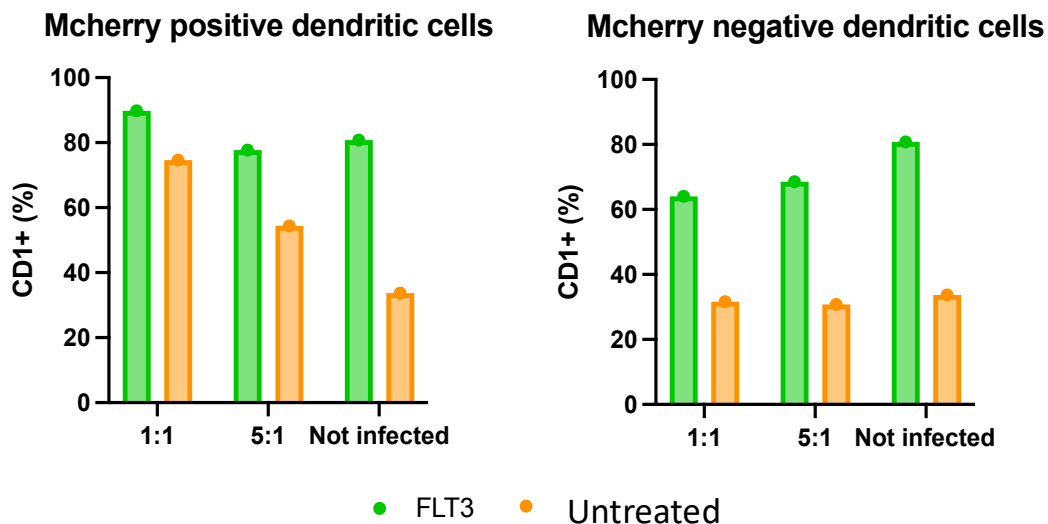
Supplemental material for chapter 3:



Supplemental Figure S19. Morpholino knockdown of CD1b transfectant fibroblasts. A combination of CD1b1-2 and CD1b3 morpholinos were administrated to CD1b ortholog specific transfectant fibroblasts. After initial dosing fibroblasts were evaluated for three days for CD1 expression via flow cytometric analysis. Negative control morpholino consists of non-complementary scramble control provided from the company, Genetools, and ensure no off-target effects.



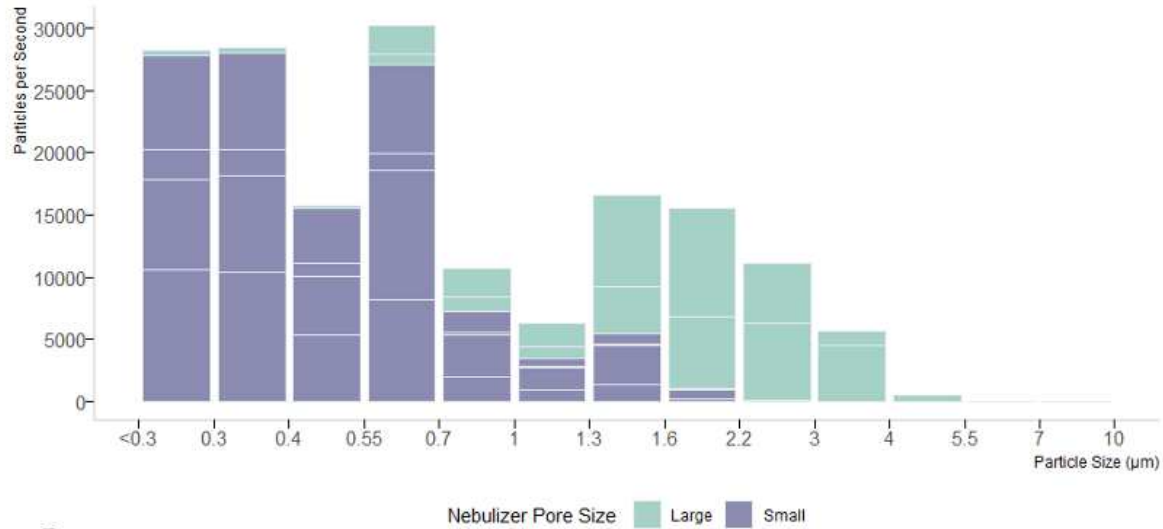
Supplemental figure S20. FLT3-L CD1 upregulation. FLT3-L was administered to bone marrow derived macrophages (A) and to Bronchioalveolar cells (B). After administration cells were evaluated every day for three days to assess CD1 expression compared to non FLT3-L treated controls.



Supplemental figure S21. FLT3-L CD1 stimulation in an invitro infection. Cells were infected with mCherry Mtb and either stimulated with FLT3-L or not treated. Cells were assessed for CD1 expression via flow cytometric analysis. Cells were gated on mCherry, infected vs non-infected, and then CD1 expression was assessed based on infectious status.

A

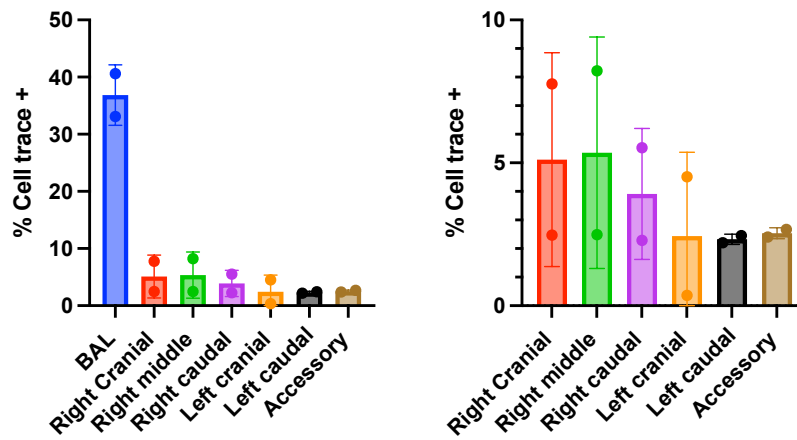
Buxco Vibrating Mesh Nebulizer Particle Size



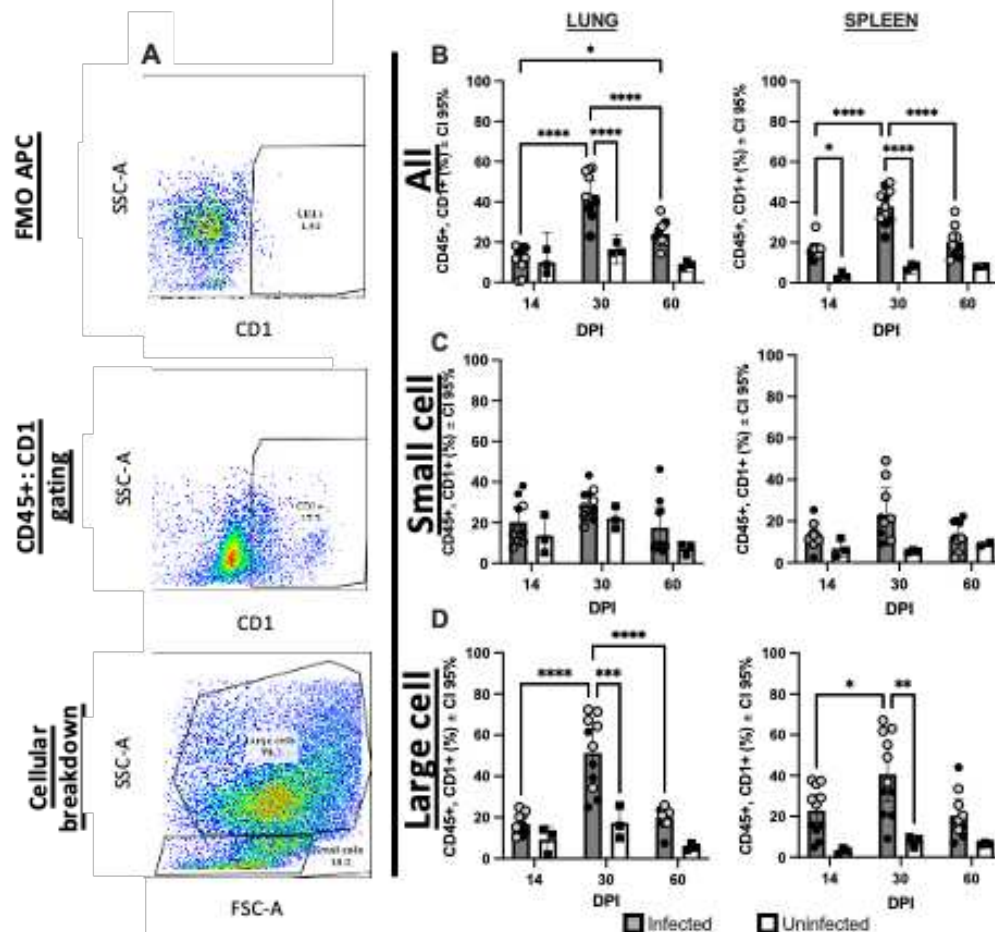
B



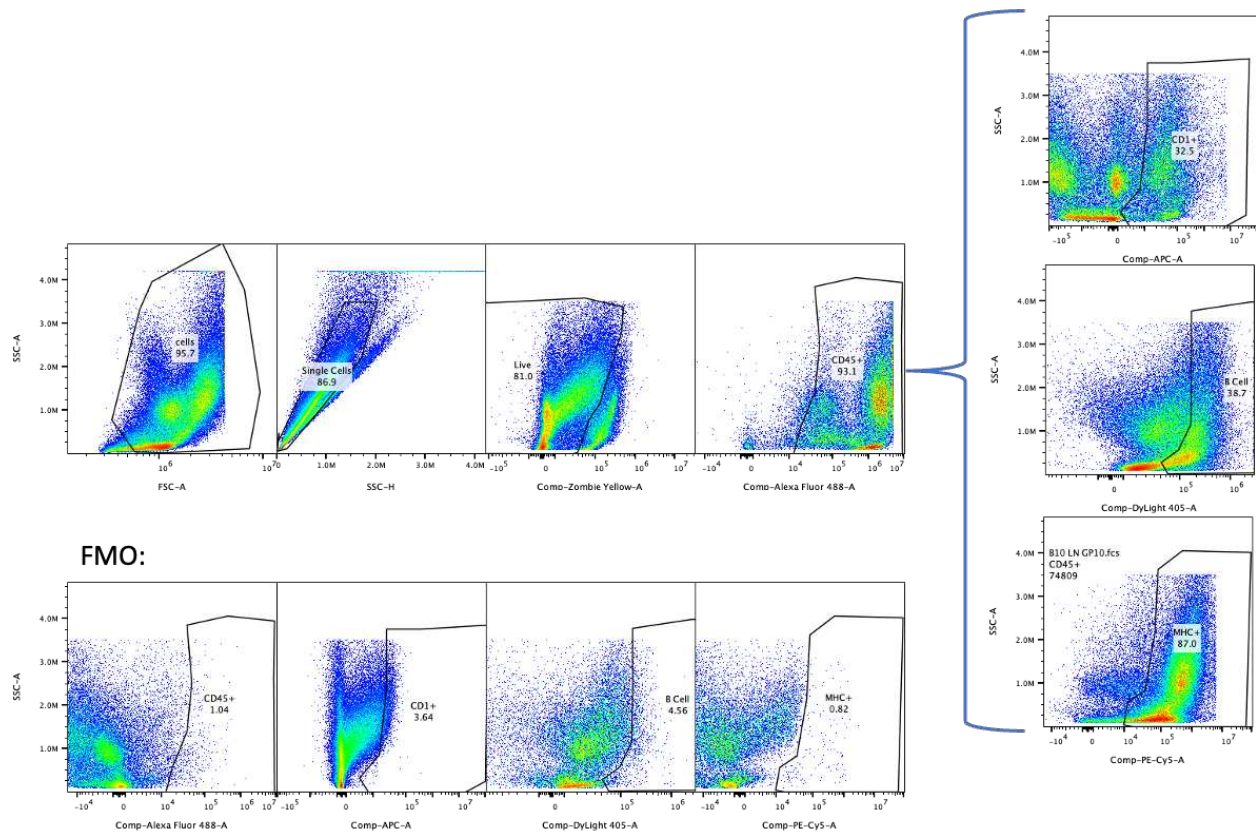
Supplemental figure S22. Particle size and parameters of Buxco inhalation tower. A) particle size distribution made by the small pore nebulizer as measured by the tower's particle size analyzer. Particles measuring an average of 1µm in diameter are the correct size for penetrating into the alveolar spaces. B) Control panel of tower during an exposure. Allows for monitoring of breaths per minute, chamber humidity, particle concentration, and accumulated inhalation volume of each animal.



Supplemental figure S23. Cell trace violet intrapulmonary administration. CellTrace violet dye was administrated intrapulmonarily to two guinea pigs via the Buxco tower. Distribution of the dye was assessed via flow cytometric analysis for cell trace positive cells. A) Cell trace positive BAL derived cells and homogenized lung parenchyma from each individual lung lobe. B) Cell trace positive cells from only homogenized lung lobes.



Supplemental figure S24. CD1 complex expression during *Mtb* infection. Flow cytometric analysis of antigen presenting cells at 14-, 30- and 60-days post infection (DPI). Cells were gated for, live, CD45 + cells and evaluated for overall CD1 expression via 1B12 CD1 antibody. Open symbols represent the first experimental set, closed symbols represent the second experimental set. Naïve time matched controls were performed only in experiment two. A) Gating of CD1 positive cells (top fluorescence minus one, middle single-color control, and lower graph strategy for segregating small vs large CD45+ cells, bottom graph. B-C) Percent CD1 positivity from both lung and spleen derived cells. Graphs represent All CD45+ cells (B), delineated large cell populations (C), and delineated small cell populations (D). Statistical significance: * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, and **** $P < 0.0001$.



Supplemental figure S25. CD1 expression gating strategy. Initial Gating focused on isolating cells and single cells. Then utilizing FMO and single-color gating was done to isolate CD45+ and then gating on CD1, B cell and MHC II. Cellular populations were further divided by small cell and large cell populations

CD1B1 Gene block: (PCR product: 129)

```

1 atgctgctcg| tggcaactggc attgcttgca tttctcttcc ctgctggtga cactcagaat
61 gccttacagt ggccaacttc tgtccatggc atccaaatct catcctttt caaccatacc
121 atggcacaaa gtcgatgctc aggctggttg tcccgcagat ctccaggaaa tatggagctt
181 ggtagctttg acagcgacac aggcactatc atatttaaga aacctggtc taaagcaaac
241 ttcagcaatg aagaggtttt ggagttggag gagctatttc aagtctacat gttgggattc
301 atcagagaag tacaggaacg tatgagcgat ttccagatgg aatatccctt tgagatccag
361 ggcattgcag gctgtgaact gatttctgga ggaaccattg atttcttctt gagaggagct
421 ttagagggac tagatttctt gagtattaag aattctacat gttggcctgc cccagaaggt
481 ggcaccaagg caaaaaaatt

```

CD1B2 Gene block: (PCR product: 225)

```

1 attttggaat tggaggagtt atttcgactc tacttcttag gatttgtaa agaagtccag
61 gaacttgtca gtgatttcca gctggaatat ctttttgaga tccaggcat tgcaggctgt
121 gagttgcatt ctggaggagc cattgtaagc ttcttgatgg gagctataga aggactgcat
181 ttcattgagca tcaataatta ttcattgttg tcccgcagat ctccacctgc cccagaaggt
241 ggtaccaggg cacagaaatt ctgtgcacta atcttacagt acaaaggaat ttgtgatatt
301 gtggaaaatc tctcacaaa agtttgcccc agatatctga tgagtgctct agaagctggg
361 aaggcagctc tgcagaagca cgtgaagcct gaggcctggc tatcccaggg acccagcccc
421 gagcctggct atctgcagct ggtgtgccac gtctctggct tctacccaaa gctgtgtggt
481 gtgatgtgga tgagagtgga

```

CD1B3 Gene block: (PCR product: 171)

```

1 tgggtgaaat atcaactctc aggctggttg ggagatttgc agattcatgg catggacagt
61 gattcaggca ctgccatatt cctgaagccc tgggtctaagg gcaacttcag caatgaagaa
121 atgtctgaag tgggtgagct atttcgggtc tatatcgttg cattcattcg agaaacaaaa
181 gcccacgtga atgccttgca gatggaatac tcccgcagat ctccaccttt tgagatccag
241 ggcattgcag gctgtgagct gcattctgga ggtgccattg taagcttttt gcaaggagcc
301 tttggaggac tggactttgt gagtttcaag aattcttctt gtgttcctgc cccagaaggt
361 ggcagcaggg cacagacagt gtgcaacta cttgtctcat atcatgatat cttctacact
421 gtggagaagc tgctgtatga aacctgtcct caatatctct tgggtgtcct tcaagcagga
481 aaggcagatc tacacaggca

```

CD1B4 Gene block: (PCR product: 125)

```

1 atgctgctcc tggccctggc attttttttc ccagctggtg aactcagaa cgttttgccg
61 gggaaaattt ctttctatgg catccaaatc tcaacgtttt tcaaccatac cgtggtagag
121 aatcgaggat caggctggtt gggagatatg gagattagca gctgggacag tgaaaaagaa
181 actatcatat ttcggaaacc ctggtctaaa tcccgcagat ctccaggcaa cttcagcaat
241 gacgagattt tggagggtga agagatatct caagtctact tctttggatt cgtcagagaa
301 gcccagaaac atatgagtga tttccagggt gaataccctt ttgagattca ggtcatctca
361 ggctgtgagg tgaactctca cagatccttt gattacttca tgagggtagc agtaaaggga
421 ttgatctccc tgagcatcaa gaatcattca tgttggcctg cccagaaggt tgtttctaga
481 gcacaggaaa tctggacatt

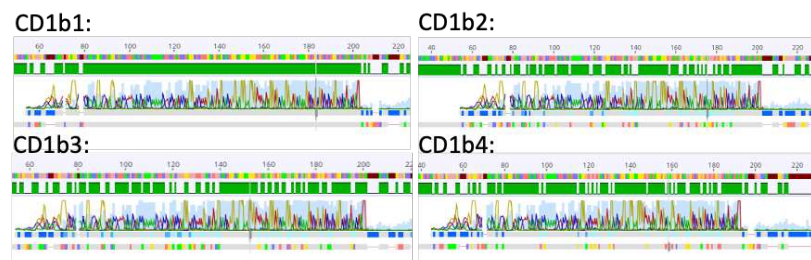
```

Segment gene Rv0733 in Mycobacterium tuberculosis H37Rv:

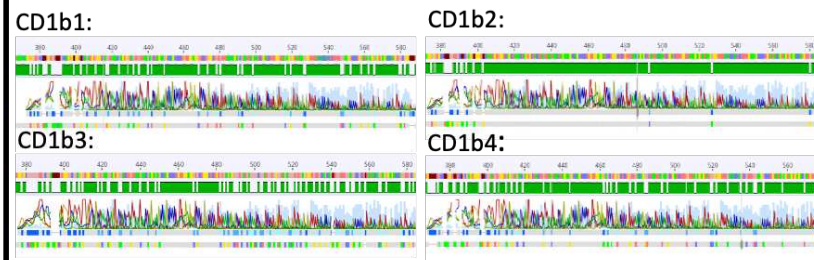
Tcccgcagatctcca

Supplementary figure S26. CD1 ortholog CD1 gene blocks. Gene block were created from NIH genebank guinea pig CD1b ortholog annotation. Gene blocks were utilized to ensure created CD1 ortholog specific primer sets had no cross ortholog amplification. Yellow highlighting indicates regions of primer sequences. Purple highlighting indicated inserted Mtb sequence to assess for any contamination of PCR run.

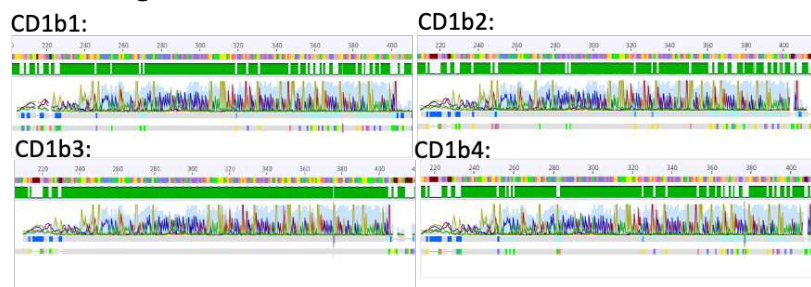
CD1b1 alignment:



CD1b2 alignment:



CD1b3 alignment:



CD1b4 alignment:

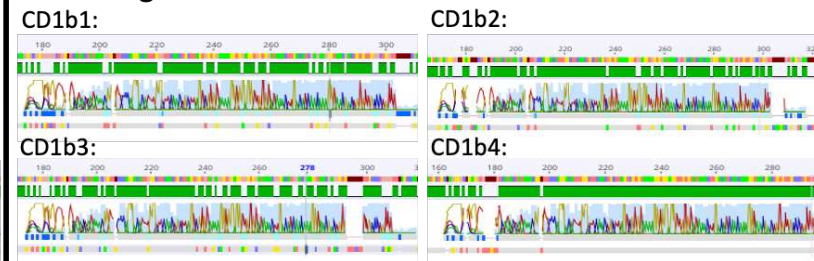
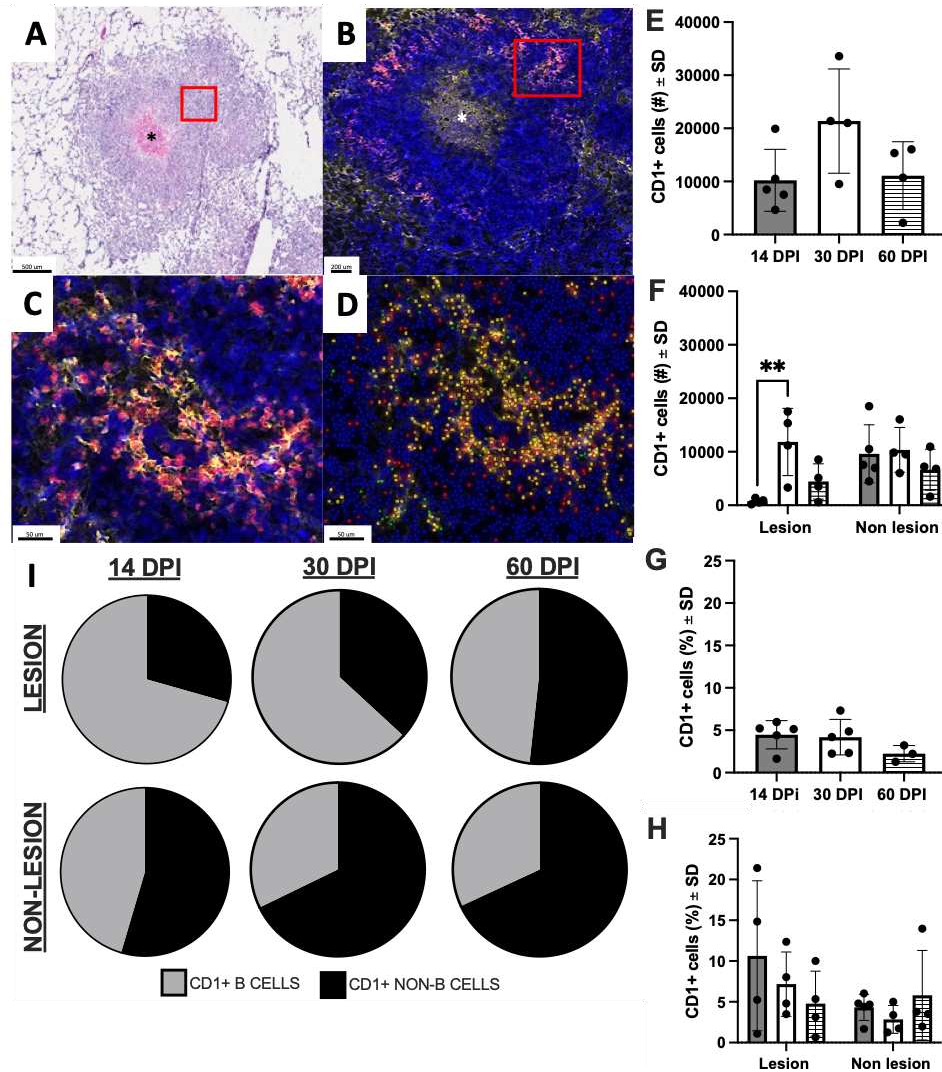
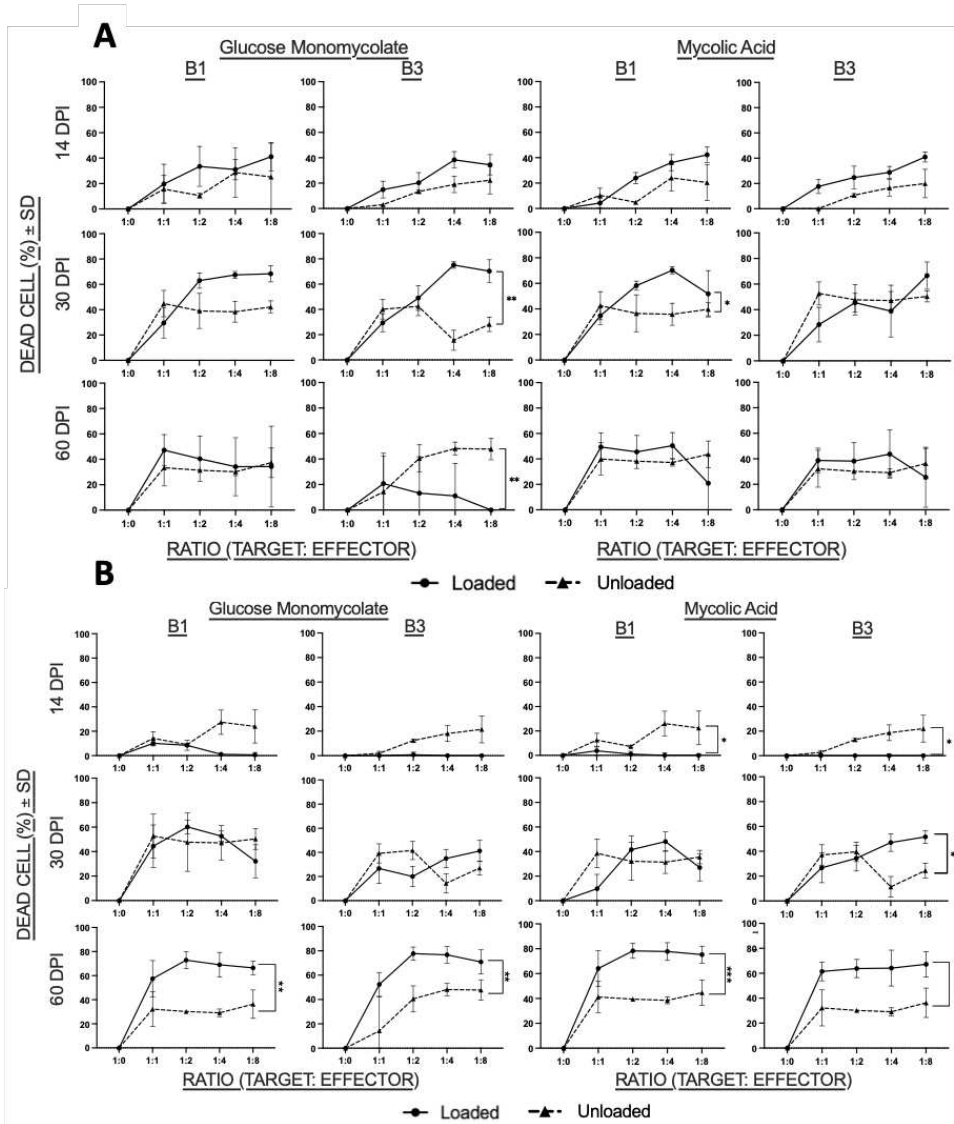


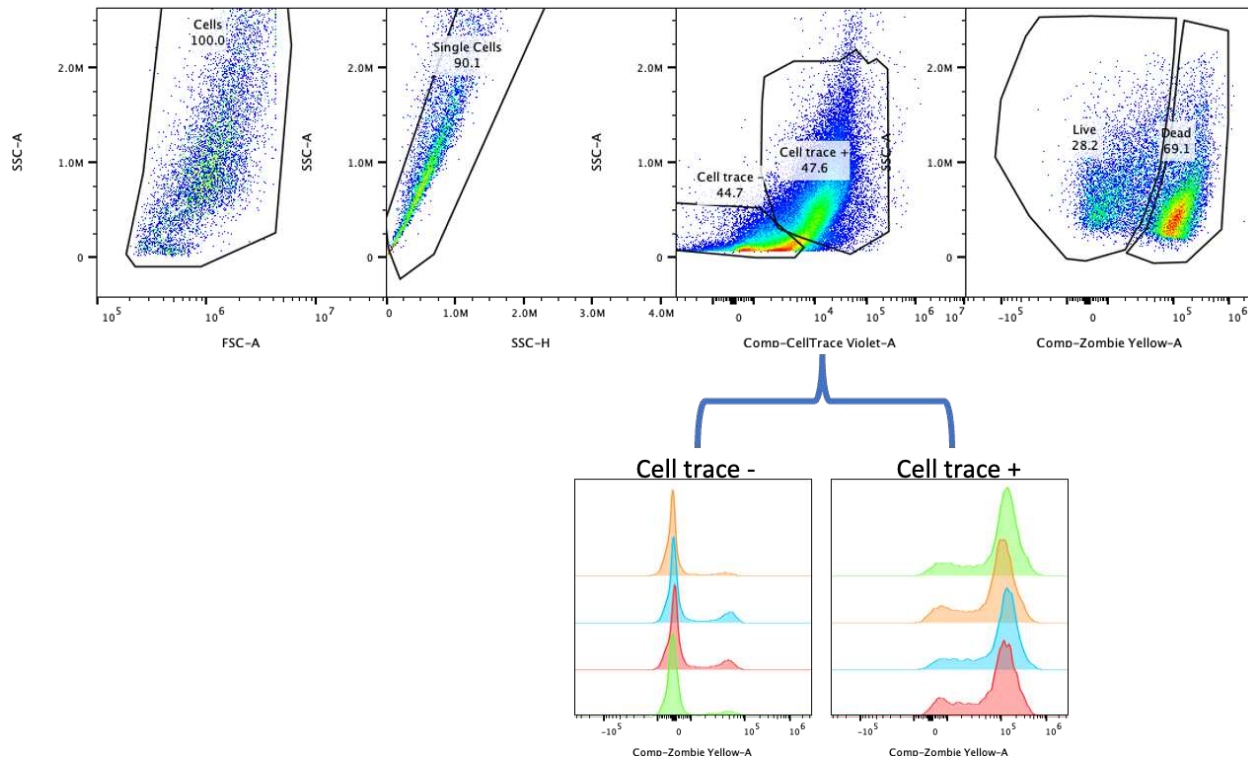
Figure S27. CD1 transfectant fibroblast amplicon product alignment to specific CD1b ortholog sequences. CD1 specific primers amplified CD1 ortholog specific transfected fibroblasts cDNA. Amplified products were run on an agarose gel, isolated and purified. Products were sent for sequencing and aligned back to annotated CD1 specific isoforms from NIH genbank.



Supplemental figure S28. Location and cellular distribution of CD1b expression over the course of *Mtb* infection. Fresh frozen sections of lung and spleen at 14, 30 and 60 days post-infection (DPI) were labeled with antibodies targeting CD1b (6B5, Opal 520) or the B cell transcription factor, PAX5 (clone 24, Opal 690), using Opal fluorochrome chemistry and nuclear counterstain, DAPI. (A-D) Representative sections of a lung granuloma demonstrating: fresh frozen low magnification HE section (A) to low magnification serial sectioned multiplex IHC section (B) to higher magnification of CD1+, Pax5+ region (B) and the high magnification section with overlaid Visiopharm analysis software (D). Asterisk in sections represent a region of necrosis, the red box indicates the region of higher magnification in C-D. For Visiopharm analysis, all nuclei in the tissue were detected and then labeled based on nuclear and membranous staining of the associated cells. Labels include: Red-B cell (pax5+, CD1-), yellow- CD1+ B cells (PAX5+, CD1+), green- CD1+ other cells (CD1+, PAX5-), and blue- negative cells (CD1-, PAX5-). (E-F) Visiopharm derived quantitative CD1+ cell numbers in (E) whole lung tissue and (F) broken into lesion and non-lesion tissue at 14, 30, and 60 days post infection. (G-H) Percentage of CD1+ cells in (G) whole lung and (H) broken into lesion and non-lesion at 14, 30, and 60 days post infection. I) Breakdown of CD1 positive B cell and non-B cells in lesion vs normal tissue **P<0.01; one-way ANOVA with a tukey correction.



Supplemental figure S29. Lipid antigen-specific and CD1b-restricted cytotoxic activity among cells isolated from *Mtb*-infected lung and spleen. Guinea pig OT1 fibroblast cell line transfected with CD1b1 or CD1b3 were labeled with CellTrace Violet tracking dye then mock-loaded (unloaded) or loaded with synthetic glucose monomycolate or mycolic acid *Mtb* lipids to be used as target cells in this cytotoxicity assay. Target cell fibroblasts were incubated with non-adherent cells isolated from the lung or spleen of *Mtb*-infected or naïve guinea pigs at 14, 30 and 60 days post-infection (DPI) at ratios ranging from 1:0 to 1:8 target:effector cells. Fibroblasts in co-culture were identified by flow cytometric gating on CTV-labeled cells and viability assessed using permeability dye exclusion. Cytotoxicity is expressed as the proportion dead, permeable CTV-labeled target fibroblasts. A) Fibroblasts incubated with effector cells derived from the lung. B) Fibroblasts incubated with effector cells derived from spleen. All control measures and cytotoxicity comparisons are comprehensively shown in supplemental figure 9 & 10. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$; two-way ANOVA with a Sidak's correction.



Supplemental Figure S30. CD1 cytotoxicity gating strategy. Initial Gating focused on isolating cells and single cells. Single color cell trace violet is utilized to isolate guinea pig fibroblasts transfectants. Cell trace positive cells, target cells, are assessed for overall viability in all samples. Histograms demonstrate a representative sample of viability with cell trace negative cells (effector cells) and cell trace positive cells (target cells) in differing ratio conditions.

TABLES:

Supplemental table S9. CD1 ortholog specific morpholino sequences

Morpholino	Sequence
CD1b1-2	5' AAAATACCAGTTCCAGCAGCAGCAT 3'
CD1b3	5' GCAATGCCAGTACCAGGAGCAGCAT 3'
Negative	5' CCTCTTACCTCAGTTACAATTATA

Supplemental table S10. Inhalation exposure setting on Buxco inhalation tower

<u>Parameter</u>	<u>Condition</u>
Nebulizer	Buxco small pore nebulizer
Liters/min	300-400 L/min
Humidity	50-70% humidity at port
Particle size	Average: <1um
Run time	10-20 minutes
Volume nebulized	1.3 mls
Animal per run	6

Supplemental table S11. Flow cytometry antibodies

Antibody	Fluorophore	Company, clone
CD45	FITC or PE	Biorad, IH-1
MHC-II	PE-cy5.5	IVA12
Anti guinea B cell	Dylight 405	Biorad
CD1	PE-Texas red or APC	1B12

Supplemental table S12. PCR primers

CD1b Isoform	Sequence
CD1b1 forward	ACA GTG GCC AAC TTC TGT CC
CD1b1 Reverse	ATA GTG CCT GTG TCG CTG TC
CD1b2 Forward	GAG TTG CAT TCT GGA GGA GC
CD1b2 Reverse	CCC AGC TTC TAG GAC ACT CA
CD1b3 Forward	ACA AAA GCC CAC GTG AAT GC
CD1b3 Reserve	GTC CAG TCC <u>TCC</u> AAA GGC TC
CD1b4 Forward	GGC TGG TTG GGA GAT ATG GA
CD1b4 Reserve	TTC TGG GCT TCT CTG ACG AA

Supplemental table S13. Chromogenic immunohistochemistry protocol

Step number	Process	Time (mins)
1	Peroxide block	10
2-8	Bond wash solution	-
9	Block (2.5% goat serum)	10
10	Primary antibody	30
11-13	Bond wash solution	-
14	Post primary HRP antibody	10
15-25	Bond wash solution	-
26	Deionized water	-
27	Polymer (Leica)	10
28-31	Bond wash solution	-
32	Deionized water	-
33	Mixed DAB <u>refine</u> (Leica)	0
34	Mixed DAB <u>refine</u> (Leica)	10
35-40	Deionized water	-
41	Bond DAB enhancer (Leica)	5
42-44	Deionized water	-
45	Hematoxylin	5
46	Deionized water	-
47	Bond wash solution	-
48	Hematoxylin DAKO A	2
49-52	Deionized water	-

+ all volumes are dispensed at 150ul per step, all steps performed at room temp

Supplemental table S14. Opal multiplex immunohistochemical protocol

Step number	Process	Time (mins)
1		
2	Kit water (Leica)	0
3-4	Bond wash solution	-
5	H2O2	25
6-8	Bond wash solution	-
9	Block (2.5% goat serum)	5
10	Primary antibody	30
11-13	Bond wash solution	-
14	Secondary HRP antibody	10
15-19	Bond wash solution	-
20	Opal Fluorophore 1 (Akoya)	0
21	Opal Fluorophore 1 (Akoya)	10
22-25	Bond wash solution	-
26	Bond ER solution (Leica)	0
27	Bond ER solution (Leica)	0*
28	Bond ER solution (Leica)	20*
29	Bond ER solution (Leica)	0
30-35	Bond wash solution	-
36	H2O2	10
37-39	Bond wash solution	-
40	Block (2.5% goat serum)	5
41	2 nd primary antibody	30
42-44	Bond wash solution	-
45	Secondary HRP antibody	10
46-50	Bond wash solution	-
51	Opal Fluorophore 2 (Akoya)	0
52	Opal Fluorophore 2 (Akoya)	10
53-59	Bond wash solution	-
60	DAPI	11
61-65	Bond wash solution	-

+ all volumes are dispensed at 150ul per step

*denotes 95C temperature incubation, all other steps are completed at room temp