

## INFORMATION TO USERS

This manuscript has been reproduced from the microfilm master. UMI films the text directly from the original or copy submitted. Thus, some thesis and dissertation copies are in typewriter face, while others may be from any type of computer printer.

**The quality of this reproduction is dependent upon the quality of the copy submitted.** Broken or indistinct print, colored or poor quality illustrations and photographs, print bleedthrough, substandard margins, and improper alignment can adversely affect reproduction.

In the unlikely event that the author did not send UMI a complete manuscript and there are missing pages, these will be noted. Also, if unauthorized copyright material had to be removed, a note will indicate the deletion.

Oversize materials (e.g., maps, drawings, charts) are reproduced by sectioning the original, beginning at the upper left-hand corner and continuing from left to right in equal sections with small overlaps.

ProQuest Information and Learning  
300 North Zeeb Road, Ann Arbor, MI 48106-1346 USA  
800-521-0600

UMI<sup>®</sup>



DISSERTATION

**DESIGN PRINCIPLES FOR FOUNDATIONS  
ON EXPANSIVE SOILS**

Submitted by

Kuo-Chieh Chao

Department of Civil and Environmental Engineering

In partial fulfillment of the requirements

for the Degree of Doctor of Philosophy

Colorado State University

Fort Collins, Colorado

Spring 2007

UMI Number: 3266404



---

UMI Microform 3266404

Copyright 2007 by ProQuest Information and Learning Company.  
All rights reserved. This microform edition is protected against  
unauthorized copying under Title 17, United States Code.

---

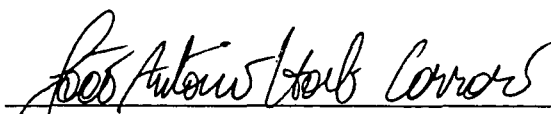
ProQuest Information and Learning Company  
300 North Zeeb Road  
P.O. Box 1346  
Ann Arbor, MI 48106-1346

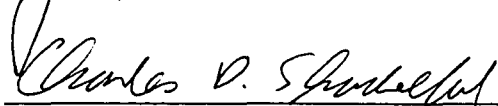
COLORADO STATE UNIVERSITY

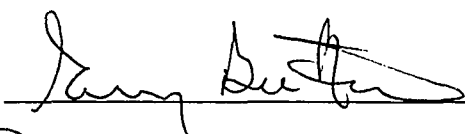
March 22, 2007

WE HEREBY RECOMMEND THAT THE DISSERTATION PREPARED UNDER OUR SUPERVISION BY KUO-CHIEH CHAO ENTITLED "DESIGN PRINCIPLES FOR FOUNDATIONS ON EXPANSIVE SOILS" BE ACCEPTED AS FULFILLING IN PART REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY.

Committee on Graduate Work





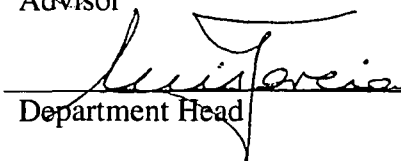




Co-Advisor



Advisor



Department Head

**ABSTRACT OF DISSERTATION**  
**DESIGN PRINCIPLES FOR FOUNDATIONS ON EXPANSIVE SOILS**

The design of foundations for sites having expansive soils is one of the greatest challenges facing geotechnical engineers today. Intolerable heave of foundations on expansive soils often affects critical safety aspects of structures. Therefore, it is imperative that design of foundations for expansive soils includes analyses of expected heave and consequences of foundation movement over the design life of the structure.

Current technology generally considers the amount of predicted heave that will ultimately occur at a site. Design of foundations for extreme ultimate conditions is not always practical and economical in engineering practice. When large values of heave are predicted, the depth of potential heave may be very deep, and the time required for the wetting front to reach large depths of potential heave may exceed the design life of the structure. In that case, it is important to consider the rate of water migration in the vadose zone. Thus, design of foundations for buildings on expansive soils must consider the migration of the subsurface water that will occur during the design life of the structure, and the amount of heave that such wetting will produce. Current design procedures that are in common use are deficient in this respect.

Appropriate design of foundations on expansive soils must consider accurate prediction of soil/bedrock heave and the effect of that heave on foundation movement

over the design life of the structure. It is shown that migration of the subsurface water can be modeled accurately, and that future consequential movement of the foundation system can be accurately predicted.

The hypothesis of this research is that analyses of the expected wetting of the subsoils, and the prediction of amount and nature of heave associated with that wetting, must be a critical part of the design and construction of foundations on expansive soils. In this way, the design methodology for foundations on expansive soils will be improved, and will optimize performance of all elements of the structure.

A major problem in developing the design principles for foundations on expansive soils is that comprehensive field data for a long period of time at a site are usually not available. One building that has been undergoing distress for approximately 15 years is the TRACON building at Denver International Airport, Denver, Colorado. This building is owned by the Federal Aviation Administration and is located on a site having highly expansive soils. Water migration and foundation movement at the TRACON building have been monitored intensely over the past six years. Extensive modeling of the field results has provided particularly useful insight into the behavior of the foundations, and has provided the basis for the development of the design principles for foundations on expansive soils that is presented in this dissertation.

The goal of this research is to advance the state of the art with respect to foundation design by developing a methodology to apply rigorous engineering principles in the design of foundations on expansive soils.

To accomplish the goal, the objectives of this research are:

- to develop a means of installing stable survey benchmarks.
- to develop an accurate methodology to analyze the migration of subsurface water in unsaturated expansive soils. This includes the investigation of the soil water characteristic curve for the expansive bedrock.
- to develop a means of predicting timewise changes in heave based on measured survey data or computer modeling of the water migration.
- to develop a rigorous and appropriate design methodology for foundations on expansive soils.

A water migration study was performed using the VADOSE/W models with the input parameters and boundary conditions calibrated over the period from May 2001 to June 2004 and validated over the period from July 2004 to August 2006 using observed subsurface nuclear gauge data. The results of the analyses have demonstrated that rigorous computer modeling can be performed to analyze the actual migration of subsurface water within the subsoils. Projection of subsurface water migration into the future until the end of the design life of the structure was then possible.

Using the relationship between water content and percent swell developed herein, along with computer modeling of the wetting of the subsoils, heave as a function of time can be predicted. The effects of climate and irrigation must be included in the modeling. The change of heave with time was also predicted by fitting the observed survey data to a hyperbolic equation. In doing so, it was necessary to take into account the maximum ultimate heave predicted using the heave equation.

A design methodology for foundations on expansive soils was proposed based on the field data collected at the TRACON site. This methodology considers the timewise pattern of migration of water within subsoils and the associated heave that such wetting will produce over the design life of a structure. The proposed design methodology was verified over a period of 5 years at the TRACON site. It is believed that the methodology can be applied over the design life of the structure.

The methodology was demonstrated for various design conditions by performing water migration analyses and rate of heave calculations on a hypothetical site. The results of the study indicate that, if the progression of heave over the design life of the structure is considered, the required pier length can be reduced significantly compared to that using the current design methodology. Furthermore, the effect of overexcavating and replacing the upper few meters of expansive soil in combination with installing piers is considered. In summary, the proposed design methodology provides a practical and economical approach to design foundations on expansive soils.

Kuo-Chieh Chao  
Department of Civil and Environmental Engineering  
Colorado State University  
Fort Collins, CO 80523  
Spring 2007

## **ACKNOWLEDGEMENTS**

I wish to express my sincere appreciation to Dr. John Nelson for his guidance and friendship throughout my graduate studies. His assistance was invaluable. Without his input my task would have been much more difficult. I am also grateful to Mr. Daniel Overton who has served as both a mentor and a friend for many years. Appreciation is also extended to my other committee members, Dr. Charles Shackelford, Dr. Greg Butters, and Dr. Antonio Carraro. In our meetings, they produced ideas and constructive criticism that significantly added to the research.

The encouragement, advice, and help with AutoCAD drawings offered by Ms. Melanie Davis, Ms. Eileen Dornfest, Mr. Jason Cumbers, and Ms. Marie Lamb during the research are greatly appreciated. Appreciation is given to Tetra Tech, Inc. for providing educational support and access to their facilities and equipment during the study. Financial support and data provided by the Federal Aviation Administration (FAA) for the work conducted herein is gratefully acknowledged. Particular appreciation is given to Mr. Tom Hansen, Mr. Jim Schauf, and Mr. Jon Ikeda of the FAA for their help in providing critical information.

Others without whose encouragement this dissertation would have been impossible are my father, Hong-Yuan (deceased), my mother, Hsiao-Miao, and parents-in-law, Hsiang-Pin and Yu-Mei. They provided valuable encouragement for me to pursue higher education. My brothers and sister, Wei-Chieh, Chun-Chieh, and Min-Hui provided love and support throughout my life. My terrific daughters, Emily and Maggie,

put up with the minimal time I had to play with them. Above all, I would like to thank my wife, Jeannie, for taking care of the family and for her support and encouragement throughout the whole effort.

## TABLE OF CONTENTS

|                                                                                                                 |     |
|-----------------------------------------------------------------------------------------------------------------|-----|
| LIST OF TABLES .....                                                                                            | xiv |
| LIST OF FIGURES .....                                                                                           | xv  |
| 1. INTRODUCTION .....                                                                                           | 1   |
| 1.1 Hypothesis, Goal, and Objectives.....                                                                       | 1   |
| 1.2 Site Description.....                                                                                       | 4   |
| 1.3 Site Geology.....                                                                                           | 6   |
| 2. LITERATURE REVIEW .....                                                                                      | 9   |
| 2.1 Mechanics of Unsaturated Soil .....                                                                         | 9   |
| 2.1.1 Stress State Variables.....                                                                               | 9   |
| 2.1.2 Constitutive Relationships .....                                                                          | 13  |
| 2.1.3 Soil Suction.....                                                                                         | 16  |
| 2.1.3.1 Matric Suction.....                                                                                     | 17  |
| 2.1.3.2 Osmotic Suction.....                                                                                    | 19  |
| 2.1.3.3 Total Suction.....                                                                                      | 21  |
| 2.1.4 Water Flow.....                                                                                           | 22  |
| 2.1.4.1 Steady-State Conditions.....                                                                            | 22  |
| 2.1.4.2 Transient Conditions.....                                                                               | 24  |
| 2.1.5 Migration of Water in Unsaturated Soils.....                                                              | 25  |
| 2.2 Soil Water Characteristics.....                                                                             | 28  |
| 2.2.1 Characterization of Soil Water Characteristic Curve.....                                                  | 28  |
| 2.2.2 Measurements of Soil Suction .....                                                                        | 32  |
| 2.2.2.1 Fredlund SWCC Device .....                                                                              | 34  |
| 2.2.2.2 Filter Paper Method .....                                                                               | 36  |
| 2.2.3 Mathematical Forms of SWCC.....                                                                           | 42  |
| 2.2.4 Influence of Stress State on Soil Water Characteristics.....                                              | 46  |
| 2.3 Heave Prediction Methodology .....                                                                          | 49  |
| 2.3.1 Evolution of Heave Prediction Methodologies Using the<br>Oedometer Test.....                              | 49  |
| 2.3.2 Oedometer Test Approach .....                                                                             | 51  |
| 2.3.2.1 Oedometer Tests .....                                                                                   | 51  |
| 2.3.2.2 Correction Factors for Oedometer Test Data.....                                                         | 57  |
| 2.3.2.3 Comparison of Swelling Pressures Determined from<br>Consolidation-Swell and Constant Volume Tests ..... | 60  |
| 2.3.2.4 Factors Influencing Swelling Pressure and Percent Swell<br>.....                                        | 62  |
| 2.3.3 Heave Prediction Equation Using the Oedometer Method.....                                                 | 63  |
| 2.4 Design of Pier and Grade Beam Foundations.....                                                              | 65  |
| 2.4.1 General Description .....                                                                                 | 65  |

|         |                                                                                                |     |
|---------|------------------------------------------------------------------------------------------------|-----|
| 2.4.2   | Design Considerations .....                                                                    | 66  |
| 2.4.2.1 | Rigid Pier Design Method .....                                                                 | 67  |
| 2.4.2.1 | Elastic Pier Design Method .....                                                               | 69  |
| 3.      | FIELD INVESTIGATION .....                                                                      | 73  |
| 3.1     | Exploratory Borings.....                                                                       | 73  |
| 3.2     | Installation and Monitoring of Piezometers.....                                                | 78  |
| 3.2.1   | Pneumatic Piezometers .....                                                                    | 78  |
| 3.2.2   | Standpipe Piezometers .....                                                                    | 81  |
| 3.3     | Installation and Monitoring of Subsurface Nuclear Gauge Tubes.....                             | 83  |
| 3.3.1   | Installation of Subsurface Nuclear Gauge Tubes.....                                            | 83  |
| 3.3.2   | Monitoring with the Subsurface Nuclear Gauge .....                                             | 84  |
| 3.4     | Elevation Surveying.....                                                                       | 87  |
| 3.4.1   | Design, Installation, and Monitoring of Deep Benchmarks .....                                  | 87  |
| 3.4.1.1 | General.....                                                                                   | 87  |
| 3.4.1.2 | Calculations of Deep Benchmark Depth.....                                                      | 88  |
| 3.4.1.3 | Drilling and Sampling.....                                                                     | 90  |
| 3.4.1.4 | Installation of Deep Benchmarks.....                                                           | 93  |
| 3.4.1.5 | Verification of Deep Benchmark Depth .....                                                     | 96  |
| 3.4.1.6 | Monitoring of Deep Benchmarks.....                                                             | 98  |
| 3.4.2   | Monitoring of Slab and Pier Movement .....                                                     | 100 |
| 3.4.2.1 | Floor Monitoring.....                                                                          | 102 |
| 3.4.2.2 | Pier Monitoring.....                                                                           | 105 |
| 4.      | LABORATORY TESTING PROGRAM.....                                                                | 109 |
| 4.1     | Soil Description and Index and Consolidation-Swell Properties.....                             | 110 |
| 4.1.1   | Soil Samples from TRACON Site .....                                                            | 110 |
| 4.1.2   | Soil Samples from Denver and Pierre Shale Sites .....                                          | 111 |
| 4.2     | Filter Paper Test and Fredlund SWCC Test .....                                                 | 113 |
| 4.2.1   | Specimen Preparation .....                                                                     | 113 |
| 4.2.2   | Equipment Used in the Testing.....                                                             | 117 |
| 4.2.2.1 | Fredlund SWCC Device .....                                                                     | 117 |
| 4.2.2.2 | Filter Paper Equipment .....                                                                   | 118 |
| 4.2.3   | Laboratory Testing Procedures .....                                                            | 119 |
| 4.2.3.1 | Procedure for Determination of Filter Paper Calibration Curve.....                             | 119 |
| 4.2.3.2 | Procedure for Determination of Equilibration Time for Filter Paper Test.....                   | 120 |
| 4.2.3.3 | Procedure for Determination of Soil Water Characteristic Curve.....                            | 122 |
| 4.2.3.4 | Procedure for Determination of Relationship Between Water Content and Swelling Potential ..... | 129 |
| 4.2.4   | Results of Laboratory Testing.....                                                             | 130 |
| 4.2.4.1 | Filter Paper Calibration Curve .....                                                           | 130 |
| 4.2.4.2 | Equilibration Time for Filter Paper Test.....                                                  | 132 |
| 4.2.4.3 | Soil Water Characteristic Curves.....                                                          | 133 |

|         |                                                                            |     |
|---------|----------------------------------------------------------------------------|-----|
| 4.2.4.4 | Relationship Between Water Content and Swell Potential .....               | 140 |
| 5.      | ANALYSIS OF LABORATORY TESTING DATA.....                                   | 144 |
| 5.1     | Filter Paper Calibration Curve .....                                       | 144 |
| 5.2     | Equilibration Time for Filter Paper Test.....                              | 146 |
| 5.3     | Soil Water Characteristic Curves.....                                      | 149 |
| 5.4     | Relationship Between Water Content and Swell Potential.....                | 154 |
| 6.      | WATER MIGRATION IN THE VADOSE ZONE.....                                    | 156 |
| 6.1     | General .....                                                              | 156 |
| 6.2     | Modeling Procedure.....                                                    | 157 |
| 6.3     | Boundary Conditions Specified in the Models .....                          | 159 |
| 6.3.1   | Climate Data .....                                                         | 159 |
| 6.3.2   | Pressure Head Functions Specified in the Coal Seams.....                   | 161 |
| 6.4     | Material Properties Used in the Analyses .....                             | 163 |
| 6.5     | Results of Analyses.....                                                   | 164 |
| 6.5.1   | Initial Water Content Profiles .....                                       | 164 |
| 6.5.2   | Model Calibration .....                                                    | 164 |
| 6.5.3   | Model Validation .....                                                     | 170 |
| 6.5.4   | Long-Term Water Migration Simulation.....                                  | 170 |
| 6.6     | Effect of Extraordinary Climate and Irrigation.....                        | 173 |
| 6.6.1   | Highest Precipitation Case.....                                            | 174 |
| 6.6.2   | Lowest Precipitation Case.....                                             | 174 |
| 6.6.3   | Average Precipitation Plus Irrigation Case.....                            | 175 |
| 6.7     | Discussion .....                                                           | 178 |
| 7.      | PREDICTION OF CHANGE OF SLAB AND PIER HEAVE WITH TIME....                  | 181 |
| 7.1     | Total Heave Prediction .....                                               | 181 |
| 7.1.1   | Maximum Slab Heave.....                                                    | 181 |
| 7.1.2   | Maximum Pier Heave .....                                                   | 183 |
| 7.2     | Change of Slab and Pier Heave with Time.....                               | 184 |
| 7.2.1   | Heave Prediction Using Hyperbolic Relationship .....                       | 185 |
| 7.2.1.1 | Methodology of Heave Prediction Using Hyperbolic Relationship .....        | 185 |
| 7.2.1.2 | Results of Heave Prediction.....                                           | 187 |
| 7.2.2   | Prediction Using Water Migration Results .....                             | 199 |
| 7.2.2.1 | General .....                                                              | 199 |
| 7.2.2.2 | Results of Analyses.....                                                   | 200 |
| 7.3     | Accuracy of Heave Prediction .....                                         | 201 |
| 7.3.1   | Prediction Using Hyperbolic Relationship .....                             | 201 |
| 7.3.1.1 | Accuracy of Heave Prediction Based on 11-Month Survey Data.....            | 201 |
| 7.3.1.2 | Comparison of Heave Prediction Using 11, 22, and 70 Month Survey Data..... | 202 |
| 7.3.2   | Heave Prediction Based on VADOSE/W Modeling of Water Migration.....        | 205 |

|         |                                                                                                           |     |
|---------|-----------------------------------------------------------------------------------------------------------|-----|
| 7.4     | Differential Pier Heave .....                                                                             | 206 |
| 7.5     | Angular Distortion Between Piers .....                                                                    | 209 |
| 7.6     | Discussion .....                                                                                          | 211 |
| 8.      | DESIGN PRINCIPLES FOR FOUNDATIONS ON EXPANSIVE SOILS .....                                                | 213 |
| 8.1     | General .....                                                                                             | 213 |
| 8.2     | Current Design Methodology .....                                                                          | 214 |
| 8.2.1   | Maximum Free-Field Heave .....                                                                            | 214 |
| 8.2.2   | Pier Design Using Maximum Heave .....                                                                     | 215 |
| 8.2.2.1 | Concrete Pier Design .....                                                                                | 215 |
| 8.2.2.2 | Helical Pier Design .....                                                                                 | 215 |
| 8.3     | Proposed Design Methodology .....                                                                         | 216 |
| 8.4     | Example Foundation Design .....                                                                           | 217 |
| 8.4.1   | Current Design Methodology .....                                                                          | 219 |
| 8.4.1.1 | Maximum Free-Field Heave .....                                                                            | 219 |
| 8.4.1.2 | Pier Design Using Maximum Heave .....                                                                     | 220 |
| 8.4.2   | Proposed Design Methodology .....                                                                         | 223 |
| 8.4.2.1 | Maximum Free-Field Heave .....                                                                            | 224 |
| 8.4.2.2 | Water Migration Study .....                                                                               | 224 |
| 8.4.2.3 | Change of Heave with Time .....                                                                           | 227 |
| 8.4.2.4 | Pier Design Using Predicted Heave for the Design Life of<br>a Structure .....                             | 228 |
| 8.4.3   | Comparison of Required Pier Lengths Obtained Using the Current<br>and Proposed Design Methodologies ..... | 228 |
| 8.5     | Factors Affecting Design of Foundations on Expansive Soils .....                                          | 229 |
| 8.5.1   | Site Grading .....                                                                                        | 230 |
| 8.5.2   | Soil Expansion Potential .....                                                                            | 232 |
| 8.5.3   | Overexcavation and Replacement Method .....                                                               | 235 |
| 8.5.4   | Subsurface Water Sources .....                                                                            | 236 |
| 8.6     | Discussion .....                                                                                          | 238 |
| 9.      | CONCLUSIONS AND RECOMMENDATIONS .....                                                                     | 240 |
| 9.1     | Conclusions .....                                                                                         | 240 |
| 9.1.1   | Survey Benchmark .....                                                                                    | 240 |
| 9.1.2   | Water Migration in the Vadose Zone .....                                                                  | 240 |
| 9.1.3   | Prediction of Change of Heave with Time .....                                                             | 241 |
| 9.1.4   | Foundation Design Principles .....                                                                        | 242 |
| 9.2     | Recommendations for Additional Research .....                                                             | 244 |
| 10.     | REFERENCES .....                                                                                          | 246 |

|            |                                                      |
|------------|------------------------------------------------------|
| APPENDIX A | Specimen Preparation Data Worksheets                 |
| APPENDIX B | Filter Paper Calibration Curve Data Worksheets       |
| APPENDIX C | Filter Paper Equilibration Time Test Data Worksheets |
| APPENDIX D | Filter Paper SWCC Test Data Worksheets               |
| APPENDIX E | Fredlund SWCC Test Data Worksheets                   |

## LIST OF TABLES

|           |                                                                                                                    |     |
|-----------|--------------------------------------------------------------------------------------------------------------------|-----|
| Table 2-1 | Effective Stress Equations for Unsaturated Soils (after Nelson and Miller, 1992 and Fredlund Rahardjo, 1993) ..... | 12  |
| Table 2-2 | Combinations of Stress State Variables for an Unsaturated Soil.....                                                | 13  |
| Table 2-3 | Summary of Common Soil Suction Measurement Methods .....                                                           | 32  |
| Table 2-4 | Summary of Equilibration Time for Filter Paper Test.....                                                           | 41  |
| Table 2-5 | Summary of Mathematical Equations Proposed for the SWCC.....                                                       | 44  |
| Table 2-6 | Summary of Factors Influencing Swelling Pressure and Percent Swell by Chen (1988) .....                            | 62  |
| Table 3-1 | Summary of Information of the Drilling and Installation Performed at the TRACON Facility .....                     | 74  |
| Table 3-2 | Summary of Pneumatic Piezometer Data .....                                                                         | 80  |
| Table 3-3 | Summary of Standpipe Piezometer Data .....                                                                         | 82  |
| Table 3-4 | Installation Information for Subsurface Nuclear Gauge Tubes .....                                                  | 84  |
| Table 3-5 | Calculation of Depth of Potential Heave .....                                                                      | 90  |
| Table 3-6 | Summary of Soil and Bedrock Properties from Boreholes SMI-BM1 through SMI-BM3 .....                                | 97  |
| Table 4-1 | Summary of Soil and Bedrock Properties for Soil Samples from the TRACON Site.....                                  | 111 |
| Table 4-2 | Summary of Bedrock Properties for Soil Samples from the Denver and Pierre Shale Sites.....                         | 113 |
| Table 5-1 | Summary of Coefficients of Second Order Polynomial Equations .....                                                 | 155 |
| Table 6-1 | Summary of Annual Precipitation for Denver International Airport.....                                              | 160 |
| Table 6-2 | Summary of Soil Parameters Used in the Seepage Analyses .....                                                      | 163 |
| Table 8-1 | Summary of Soil Parameters Used in the Heave Calculations .....                                                    | 218 |
| Table 8-2 | Summary of Required Pier Lengths for the Current and Proposed Design Methodologies .....                           | 229 |
| Table 8-3 | Summary of EP Values Used in the Heave Calculations .....                                                          | 233 |

## LIST OF FIGURES

|             |                                                                                                                                                      |    |
|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Figure 1-1  | Location of FAA Denver TRACON Facility.....                                                                                                          | 5  |
| Figure 1-2  | Aerial Photo of the TRACON Facility .....                                                                                                            | 6  |
| Figure 2-1  | Stress State Variables for an Unsaturated Soil .....                                                                                                 | 11 |
| Figure 2-2  | Two Independent Volume-Mass Constitutive Surfaces (from Fredlund and Rahardjo, 1993).....                                                            | 16 |
| Figure 2-3  | Matric Suction in Soil (from Nelson, et al., 2003).....                                                                                              | 18 |
| Figure 2-4  | Osmotic Pressure Across a Semipermeable Membrane (from Nelson and Miller, 1996) .....                                                                | 19 |
| Figure 2-5  | Pseudo-Semipermeable Membrane Effect Causing Osmotic Suction in Clay (from Nelson, et al., 2003) .....                                               | 20 |
| Figure 2-6  | Total, Matric, and Osmotic Suctions for Glacial Till (from Krahn and Fredlund, 1972).....                                                            | 22 |
| Figure 2-7  | Definition of Variables Associated with the Soil Water Characteristic Curve (modified after Fredlund, 2000).....                                     | 30 |
| Figure 2-8  | Description of Drying and Wetting Curves and Initial Stress State (modified after Fredlund, 2000).....                                               | 31 |
| Figure 2-9  | Schematic of Fredlund SWCC Device (from GCTS, 2004).....                                                                                             | 35 |
| Figure 2-10 | Filter Paper Calibration Curves from ASTM D5298-94 .....                                                                                             | 38 |
| Figure 2-11 | Filter Paper Wetting Calibration Curves for Total and Matric Suction Measurements (after Leong, et al., 2002) .....                                  | 39 |
| Figure 2-12 | Comparison of Calibration Curves for Matric Suction Measurement.....                                                                                 | 40 |
| Figure 2-13 | Bi-Linear Equation Fitted to Observed Data for Pierre Shale Taking Volume Change into Account.....                                                   | 46 |
| Figure 2-14 | Soil Suction vs. Gravimetric Water Content and Illustration of the Influence of the Components of Soil Suction (modified after Fredlund, 2002) ..... | 48 |
| Figure 2-15 | Illustration of the Influence of the Components of Soil Suction in Different Ranges of Suction (modified after Barbour, 1999).....                   | 48 |
| Figure 2-16 | Two-Dimensional Consolidation-Swell Test Data .....                                                                                                  | 54 |
| Figure 2-17 | Three-Dimensional Consolidation-Swell Test Data (from Nelson and Miller, 1992) .....                                                                 | 54 |
| Figure 2-18 | Two-Dimensional Constant Volume Test Data.....                                                                                                       | 56 |

|             |                                                                                                                           |     |
|-------------|---------------------------------------------------------------------------------------------------------------------------|-----|
| Figure 2-19 | Three-Dimensional Constant Volume Test Data (from Nelson and Miller, 1992) .....                                          | 56  |
| Figure 2-20 | Results of Filter Paper Correction (from MFG, 2003) .....                                                                 | 59  |
| Figure 2-21 | Results of Corrections for Oedometer Test Data (from MFG, 2003).....                                                      | 60  |
| Figure 2-22 | Effect of Wetting and Drying Cycles on Percent Swell (after Chen, 1965) .....                                             | 63  |
| Figure 2-23 | Typical Pier and Grade Beam Foundation System .....                                                                       | 66  |
| Figure 2-24 | Forces Acting on a Rigid Pier in Expansive Soil.....                                                                      | 68  |
| Figure 2-25 | Normalized Straight Shaft Pier Heave vs. $L/z_p$ .....                                                                    | 71  |
| Figure 2-26 | Normalized Belled Pier Heave vs. $L/z_p$ .....                                                                            | 71  |
| Figure 2-27 | Normalized Force in Straight Shaft Piers vs. $L/z_p$ .....                                                                | 72  |
| Figure 2-28 | Normalized Force in Belled Piers vs. $L/z_p$ .....                                                                        | 72  |
| Figure 3-1  | Locations of the Boreholes, Piezometers, Subsurface Nuclear Gauge Tubes, and Deep Benchmarks at the TRACON Facility ..... | 75  |
| Figure 3-2  | Photo of Continuous Core Samples at the TRACON Facility.....                                                              | 76  |
| Figure 3-3  | Typical Soil Profile and Primary Subsurface Units at the TRACON Facility .....                                            | 78  |
| Figure 3-4  | Volumetric Water Content Profiles for SMI-MA2A .....                                                                      | 86  |
| Figure 3-5  | Volumetric Water Content Profiles for SMI-MA3 .....                                                                       | 86  |
| Figure 3-6  | Typical Soil Profile and Properties .....                                                                                 | 89  |
| Figure 3-7  | SMI-BM1 Soil Profile and Construction of Deep Benchmark .....                                                             | 92  |
| Figure 3-8  | Type 316 Stainless Steel Rod and Steel Plate Attached to the Bottom of the Rod.....                                       | 94  |
| Figure 3-9  | Completion of the Deep Benchmark.....                                                                                     | 96  |
| Figure 3-10 | SMI-BM2 Deep Benchmark Monitoring Results .....                                                                           | 99  |
| Figure 3-11 | SMI-BM3 Deep Benchmark Monitoring Results .....                                                                           | 99  |
| Figure 3-12 | Locations of Floor and Column Monitoring Points at the TRACON Building.....                                               | 101 |
| Figure 3-13 | Observed Floor Heave Since Beginning of Monitoring .....                                                                  | 102 |
| Figure 3-14 | Contours of Observed Floor Heave from Initial Monitoring (Sept 2000) to June 2006.....                                    | 104 |
| Figure 3-15 | Contours of Observed Floor Heave from the Time of Construction (1991) to June 2006 .....                                  | 104 |
| Figure 3-16 | Observed Rate of Floor Heave Since Beginning of Monitoring.....                                                           | 105 |
| Figure 3-17 | Observed Pier Heave Since Beginning of Monitoring .....                                                                   | 106 |

|             |                                                                                                                                 |     |
|-------------|---------------------------------------------------------------------------------------------------------------------------------|-----|
| Figure 3-18 | Contours of Observed Pier Heave from Initial Monitoring (September 2000) to June 2006.....                                      | 107 |
| Figure 3-19 | Contours of Observed Pier Heave from the Time of Construction (1991) to June 2006 .....                                         | 107 |
| Figure 3-20 | Observed Rate of Pier Heave Since Beginning of Monitoring.....                                                                  | 108 |
| Figure 4-1  | Schematic of Moist Tamping System (modified from Noorany, 2005) .                                                               | 115 |
| Figure 4-2  | Moist Tamping Equipment Used in This Research .....                                                                             | 116 |
| Figure 4-3  | Photo of Fredlund SWCC Device with Loading Frame .....                                                                          | 117 |
| Figure 4-4  | Photo of Filter Paper Test Equipment.....                                                                                       | 118 |
| Figure 4-5  | Photo of Filter Paper Calibration Test .....                                                                                    | 119 |
| Figure 4-6  | Photo of Soil Specimens for Matric Suction Measurement.....                                                                     | 124 |
| Figure 4-7  | Photo of Sample Setting for Filter Paper Test .....                                                                             | 124 |
| Figure 4-8  | Photo of Sample Height Measurement Using the Fredlund SWCC Device .....                                                         | 127 |
| Figure 4-9  | Filter Paper Calibration Curve for Total Suction Measurement.....                                                               | 131 |
| Figure 4-10 | Time to Reach Equilibrium for Filter Paper Test .....                                                                           | 133 |
| Figure 4-11 | Wetting SWCC – Total Suction – Remolded Claystone of Denver Formation.....                                                      | 135 |
| Figure 4-12 | Wetting SWCC – Matric Suction – Remolded Claystone of Denver Formation.....                                                     | 135 |
| Figure 4-13 | Wetting SWCC – Total, Matric, and Osmotic Suctions – Remolded Claystone of Denver Formation.....                                | 136 |
| Figure 4-14 | Degree of Saturation vs. Soil Suction – Total, Matric, and Osmotic Suctions – Remolded Claystone of Denver Formation.....       | 136 |
| Figure 4-15 | Wetting SWCC – Total Suction – Remolded Claystone of Pierre Shale Formation.....                                                | 137 |
| Figure 4-16 | Wetting SWCC – Matric Suction – Remolded Claystone of Pierre Shale Formation.....                                               | 137 |
| Figure 4-17 | Wetting SWCC – Total, Matric, and Osmotic Suctions – Remolded Claystone of Pierre Shale Formation .....                         | 138 |
| Figure 4-18 | Degree of Saturation vs. Soil Suction – Total, Matric, and Osmotic Suctions – Remolded Claystone of Pierre Shale Formation..... | 138 |
| Figure 4-19 | Comparison of Wetting SWCCs from Filter Paper Test and Fredlund SWCC Test – Remolded Claystone of Denver Formation.....         | 140 |
| Figure 4-20 | Percent Swell vs. Time for Claystone Samples at Various Initial Water Contents.....                                             | 141 |

|             |                                                                                                                              |     |
|-------------|------------------------------------------------------------------------------------------------------------------------------|-----|
| Figure 4-21 | Normalized Percent Swell vs. Volumetric Water Content – Second Order Polynomial Equation .....                               | 142 |
| Figure 4-22 | Normalized Percent Swell vs. Volumetric Water Content – Third Order Polynomial Equation .....                                | 143 |
| Figure 5-1  | Comparison of Filter Paper Calibration Curves for Total Suction Measurement.....                                             | 145 |
| Figure 5-2  | Filter Paper Calibration Curves for Whatman No. 42 Filter Paper.....                                                         | 146 |
| Figure 5-3  | Plot of Suction Observed at Time = $t_f$ vs. Time = $t_f + 1$ .....                                                          | 148 |
| Figure 5-4  | Equilibration Time vs. Volumetric Water Content Relationship.....                                                            | 148 |
| Figure 5-5  | Burdine, Gardner, and Brooks & Corey Equations Fitted to Experimental Data – Claystone of Denver Formation.....              | 150 |
| Figure 5-6  | Mualem, van Genuchten, and Fredlund & Xing Equations Fitted to Experimental Data – Claystone of Denver Formation.....        | 150 |
| Figure 5-7  | Burdine, Gardner, and Brooks & Corey Equations Fitted to Experimental Data – Claystone of Pierre Shale Formation .....       | 151 |
| Figure 5-8  | Mualem, van Genuchten, and Fredlund & Xing Equations Fitted to Experimental Data – Claystone of Pierre Shale Formation ..... | 151 |
| Figure 5-9  | Bilinear Equation Fitted to Laboratory Data – Claystone of Denver Formation .....                                            | 153 |
| Figure 5-10 | Bilinear Equation Fitted to Laboratory Data – Claystone of Pierre Shale Formation .....                                      | 153 |
| Figure 5-11 | Relationship Between Coefficient C and Initial Volumetric Water Content.....                                                 | 155 |
| Figure 6-1  | Pressure Head Functions Specified in the Coal Seams.....                                                                     | 162 |
| Figure 6-2  | Initial Water Content Profile for SMI-MA3 .....                                                                              | 165 |
| Figure 6-3  | Initial Water Content Profile for SMI-MA2A .....                                                                             | 165 |
| Figure 6-4  | Measured and Predicted Water Content Profiles for SMI-MA3 in July 2001.....                                                  | 166 |
| Figure 6-5  | Measured and Predicted Water Content Profiles for SMI-MA3 in July 2002.....                                                  | 166 |
| Figure 6-6  | Measured and Predicted Water Content Profiles for SMI-MA3 in July 2003.....                                                  | 167 |
| Figure 6-7  | Measured and Predicted Water Content Profiles for SMI-MA3 in June 2004.....                                                  | 167 |
| Figure 6-8  | Measured and Predicted Water Content Profiles for SMI-MA2A in July 2001 .....                                                | 168 |

|             |                                                                                                           |     |
|-------------|-----------------------------------------------------------------------------------------------------------|-----|
| Figure 6-9  | Measured and Predicted Water Content Profiles for SMI-MA2A in July 2002.....                              | 168 |
| Figure 6-10 | Measured and Predicted Water Content Profiles for SMI-MA2A in July 2003.....                              | 169 |
| Figure 6-11 | Measured and Predicted Water Content Profiles for SMI-MA2A in June 2004.....                              | 169 |
| Figure 6-12 | Measured and Predicted Water Content Profiles for SMI-MA3 in August 2006.....                             | 171 |
| Figure 6-13 | Measured and Predicted Water Content Profiles for SMI-MA2A in August 2006.....                            | 171 |
| Figure 6-14 | Predicted Long-Term Water Content Profiles for SMI-MA3 – Average Precipitation Case.....                  | 172 |
| Figure 6-15 | Predicted Long-Term Water Content Profiles for SMI-MA2A – Average Precipitation Case.....                 | 172 |
| Figure 6-16 | Predicted Long-Term Water Content Profiles for SMI-MA3 – Highest Precipitation Case .....                 | 176 |
| Figure 6-17 | Predicted Long-Term Water Content Profiles for SMI-MA2A – Highest Precipitation Case.....                 | 176 |
| Figure 6-18 | Predicted Long-Term Water Content Profiles for SMI-MA3 – Lowest Precipitation Case .....                  | 177 |
| Figure 6-19 | Predicted Long-Term Water Content Profiles for SMI-MA2A – Lowest Precipitation Case.....                  | 177 |
| Figure 6-20 | Predicted Long-Term Water Content Profiles for SMI-MA2A – Average Precipitation Plus Irrigation Case..... | 178 |
| Figure 7-1  | Contours of Predicted Maximum Slab Heave Since the Time of Construction.....                              | 182 |
| Figure 7-2  | Contours of Predicted Maximum Future Pier Heave Since the Time of Construction.....                       | 184 |
| Figure 7-3  | Hyperbolic Plot of Slab Heave Since the Time of Construction – Floor Monitoring Point F81 .....           | 188 |
| Figure 7-4  | Coefficient of Determination, $r^2$ , vs. Time – Floor Monitoring Point F81 .....                         | 189 |
| Figure 7-5  | Observed and Predicted Slab Heave Since the Time of Construction – Floor Monitoring Point F81 .....       | 190 |
| Figure 7-6  | Contours of Predicted Slab Heave from the Time of Construction (1991) to January 2010 .....               | 191 |
| Figure 7-7  | Contours of Predicted Slab Heave from the Time of Construction (1991) to January 2020 .....               | 191 |

|             |                                                                                                         |     |
|-------------|---------------------------------------------------------------------------------------------------------|-----|
| Figure 7-8  | Contours of Predicted Slab Heave from the Time of Construction (1991) to January 2030 .....             | 192 |
| Figure 7-9  | Contours of Predicted Slab Heave from the Time of Construction (1991) to January 2040 .....             | 192 |
| Figure 7-10 | Predicted Rate of Slab Heave as a Function of Time .....                                                | 193 |
| Figure 7-11 | Hyperbolic Plot of Pier Heave Since the Time of Construction – Pier Monitoring Point C85 .....          | 194 |
| Figure 7-12 | Observed and Predicted Pier Heave Since the Time of Construction – Pier Monitoring Point C85.....       | 195 |
| Figure 7-13 | Contours of Predicted Pier Heave from the Time of Construction (1991) to January 2010 .....             | 196 |
| Figure 7-14 | Contours of Predicted Pier Heave from the Time of Construction (1991) to January 2020 .....             | 196 |
| Figure 7-15 | Contours of Predicted Pier Heave from the Time of Construction (1991) to January 2030 .....             | 197 |
| Figure 7-16 | Contours of Predicted Pier Heave from the Time of Construction (1991) to January 2040 .....             | 197 |
| Figure 7-17 | Predicted Rate of Pier Heave as a Function of Time .....                                                | 198 |
| Figure 7-18 | Predicted Slab Heave as a Function of Time – Floor Monitoring Point F81 .....                           | 200 |
| Figure 7-19 | Measured Slab Heave in 2006 vs. Predicted Slab Heave in 2006 Using 11-Month Survey Data .....           | 202 |
| Figure 7-20 | Measured Slab Heave in 2006 vs. Predicted Slab Heave in 2006 Using 22-Month Survey Data .....           | 203 |
| Figure 7-21 | Predicted Slab Heave in 2040 Using 11- and 70-Month Survey Data ...                                     | 204 |
| Figure 7-22 | Measured Differential Pier Heave in 2006 vs. Predicted Maximum Total Pier Heave .....                   | 206 |
| Figure 7-23 | Predicted Differential Pier Heave in 2040 vs. Predicted Maximum Total Pier Heave .....                  | 207 |
| Figure 7-24 | Predicted Maximum Differential Pier Heave vs. Predicted Maximum Total Pier Heave .....                  | 208 |
| Figure 7-25 | Measured Angular Distortion Between Adjacent Piers in 2006 vs. Predicted Maximum Total Pier Heave.....  | 210 |
| Figure 7-26 | Predicted Angular Distortion Between Adjacent Piers in 2040 vs. Predicted Maximum Total Pier Heave..... | 210 |
| Figure 7-27 | Points Exceed Limits of Angular Distortion as a Function of Time.....                                   | 211 |
| Figure 8-1  | Schematic of Helical Pier.....                                                                          | 216 |

|             |                                                                                                                |     |
|-------------|----------------------------------------------------------------------------------------------------------------|-----|
| Figure 8-2  | Typical Cross Section Used for Modeling Good Drainage Conditions in VADOSE/W analyses.....                     | 225 |
| Figure 8-3  | Predicted Long-Term Water Content Profiles at 6 Meters Outside of the Building – Good Drainage Conditions..... | 226 |
| Figure 8-4  | Predicted Long-Term Water Content Profiles at the Edge of the Building – Good Drainage Conditions .....        | 226 |
| Figure 8-5  | Predicted Free-Field Heave as a Function of Time for the Example Site with Good Drainage Conditions.....       | 227 |
| Figure 8-6  | Typical Cross Section Used for Modeling Poor Drainage Conditions in VADOSE/W analyses.....                     | 231 |
| Figure 8-7  | Predicted Long-Term Water Content Profiles at the Edge of the Building – Poor Drainage Conditions.....         | 231 |
| Figure 8-8  | Required Pier Lengths for the Site with Good or Poor Drainage Conditions.....                                  | 232 |
| Figure 8-9  | Comparison of Required Pier Lengths for Sites with Various EP Values – Good Drainage Conditions .....          | 233 |
| Figure 8-10 | Comparison of Required Pier Lengths for Sites with Various EP Values – Poor Drainage Conditions.....           | 235 |
| Figure 8-11 | Required Pier Lengths at Locations of Floor Monitoring Points F81 and F55.....                                 | 237 |

# **CHAPTER 1**

## **INTRODUCTION**

### **1.1 Hypothesis, Goal, and Objectives**

The design of foundations for sites having expansive soils is one of the greatest challenges facing geotechnical engineers today. Intolerable heave of foundations on expansive soils often affects critical safety aspects of structures. Therefore, it is imperative that design of foundations for expansive soils include analyses of expected heave and consequences of foundation movement over the design life of the structure.

Free-field heave is the fundamental parameter for evaluation of the performance of foundations. An important factor to be considered in the computation of predicted heave is the depth of wetting for the soils. Arbitrary depths of wetting have generally been assumed by practicing engineers in the Front Range area of Colorado. Over the last 30 years, the generally assumed depth of wetting has increased from less than 3 meters to a depth of about 6 to 8 meters. Instead of assuming an arbitrary depth of wetting, a conservative and prudent analysis would assume that the entire depth of potential heave is wetted. The depth of potential heave is defined as the depth to which the overburden vertical stress equals the swelling pressure of the soil (Nelson, et al., 2001).

To assume that the entire depth of potential heave will be wetted is not always practical and economical in design of foundations. When large values of heave are predicted, the depth of potential heave may be very deep, and the time required for the

subsurface water to reach large depths of potential heave may exceed the design life of the structure. On the other hand, off-site development may introduce deep sources of water such as water bearing coal seams or sandstone strata. These may introduce deep wetting in shorter periods of time. Consequently, design of foundations for buildings on highly expansive soils must consider the pattern and rate of migration of the subsurface water that will occur during the design life of the structure, and the amount of heave that such wetting will produce.

Appropriate design of foundations on expansive soils must consider accurate prediction of soil/bedrock heave, and the effect of that heave on foundation movement over the design life of the structure. It will be shown in this dissertation that migration of the subsurface water can be modeled accurately, and that future consequential movement of the foundation system can be accurately predicted.

The hypothesis of this research is that analyses of the expected wetting of the subsoils, and the prediction of amount and nature of heave associated with that wetting, must be a critical part of the design and construction of foundations on expansive soils. In this way, the design methodology for foundations on expansive soils will be improved, and will optimize performance of all elements of the structure.

A major problem in developing the design principles for foundations on expansive soils is that comprehensive field data for a long period of time at a site are usually not available. One building that has been undergoing distress for approximately 15 years is the TRACON building at Denver International Airport (DIA), Denver, Colorado. This building is owned by the Federal Aviation Administration and is located on a site having highly expansive soils. Water migration and foundation movement at the

TRACON building have been monitored intensely over the past six years. Extensive modeling of the field results has provided particularly useful insight into the behavior of the foundations, and has provided the basis for the development of the design principles for foundations on expansive soils that is presented in this dissertation.

The goal of this research is to advance the state of the art with respect to foundation design by developing a methodology to apply rigorous engineering principles in the design of foundations on expansive soils.

To accomplish the goal, the objectives of this research are:

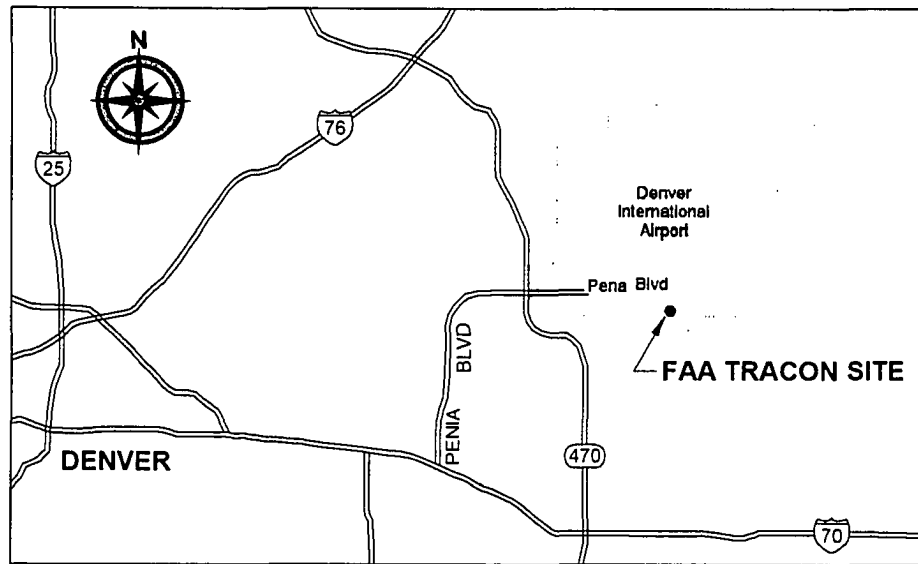
- to develop a means of installing stable survey benchmarks.
- to develop an accurate methodology to analyze the migration of subsurface water in unsaturated expansive soils. This includes the investigation of the soil water characteristic curve for the expansive bedrock.
- to develop a means of predicting timewise changes in heave based on measured survey data or computer modeling of the water migration.
- to develop a rigorous and appropriate design methodology for foundations on expansive soils.

In design, it is necessary to extend analyses into the future over the design life of the structure. Obviously the actual boundary conditions such as climate, land use, accidents, and others, are not known with accuracy. Therefore, it is necessary to make predictions and assumptions in this regard. To do this one relies on historic data for factors such as climate, irrigation practices, and other factors. It is necessary to extend the analyses over the design life of the structure, even up to periods as much as 100 years.

Based on the results of the analyses, decisions are then made as to foundation types, lengths, and forces to be resisted.

## **1.2 Site Description**

The TRACON building that is the source of the data presented herein is located approximately 2.8 km south of the Denver International Airport Terminals, Denver, Colorado. The location of the TRACON facility is shown in Figure 1-1. “TRACON” stands for “Terminal Radar Approach Control.” The TRACON building is owned by the United States, Federal Aviation Administration (FAA) and is a critical part of the FAA National Airspace System (NAS). The NAS is the largest, most complex, and safest air navigation system in the world. The NAS relies on FAA’s employees to provide air traffic control, flight service, security, field maintenance, certification, system acquisition, and other essential services. Interruption of operations at the Denver TRACON would have serious consequences for the efficiency and safety of the NAS, not only in the Denver Region but nationwide. This site clearly demonstrates the safety critical aspects of appropriate foundation design for sites with a high expansion potential.

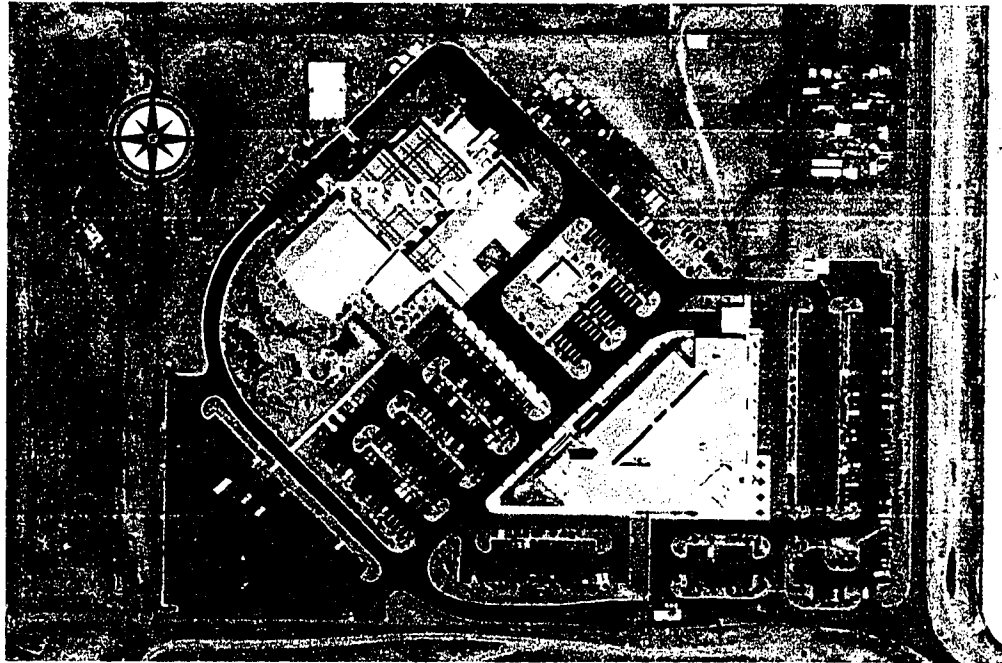


**Figure 1-1 Location of FAA Denver TRACON Facility**

Chen-Northern (1989) prepared an initial soils report for the TRACON building. The Chen-Northern soils report indicated that subsoils consisted of a thin layer of silty/sandy clay fill, underlain by up to 2.3 meters of sandy/silty clay and claystone bedrock. The maximum boring depth was approximately 11 meters. Testing performed by Chen-Northern (1989) has shown that the claystone bedrock at the site is highly expansive. Chen-Northern (1989) recommended that straight shaft piers be used to support the TRACON building. Piers were recommended to have a minimum length of 5 meters with a minimum penetration of 2.4 meters into the bedrock. The Chen-Northern (1989) soils report stated that slab-on-grade construction may be used, provided that the risk of distress resulting from slab movement is accepted by the owner.

The TRACON building was constructed in 1991. The structure has a footprint of approximately 4,600 m<sup>2</sup> and is two stories in height. An aerial photo of the TRACON facility is shown in Figure 1-2. The TRACON building is located on the northwest portion of the site and the Technical Operations Center (TOC) building is located to the

southeast of that. The TRACON building is a steel frame structure supported on a drilled pier and grade beam foundation system with a slab-on-grade floor. The constructed piers range from 7.6 to 8.5 meters in length and from 46 to 76 cm in diameter.



**Figure 1-2     Aerial Photo of the TRACON Facility**

### **1.3     Site Geology**

The FAA site is located in the Colorado Piedmont section of the Interior Plains physiographic province. More specifically, the site is located in the west-central portion of the Denver Basin, a north-south trending structural basin which encompasses the majority of eastern Colorado from the Front Range of the Rocky Mountains into western Nebraska, Kansas and eastern Wyoming (USGS, 1987). The Denver Basin is an asymmetrical structural basin with a broad, gently sloping eastern flank, and a steeply-dipping and abrupt western flank formed by the uplift of the Front Range beginning in the Late Cretaceous period (Tweto, 1980). Subsequently, the basin was filled with

sediments, and today it exhibits little topographic relief, although structural relief is on the order of 5,000 meters in the deepest part of the basin (Tweto, 1980). The TRACON building is immediately underlain by Lower Holocene to Upper Pleistocene windblown sand, silt, and clay from major streams located west and northwest of the site (Trimble and Machette, 1979). These materials immediately overlie the Paleocene and Upper Cretaceous Denver Formation which is approximately 200 meters thick in this area (USGS, 1987).

Hydrogeologically, the TRACON building is located within the Denver groundwater basin, a 17 square-meter area within the structural Denver Basin, extending from the Front Range of the Rocky Mountains east to near Limon, and from Colorado Springs north to near Greeley (USGS, 1987). The TRACON building is located in the north-central portion of the Denver ground water basin, and the surface drainage in the site vicinity is generally to the north. Alluvial aquifers are present in the larger stream valleys in this area and range in thickness from 3 to 50 meters thick (USGS, 1987).

The Denver ground water basin contains four principal aquifers. From deepest to shallowest, these are the Late Cretaceous Laramie-Foxhills aquifer, the Late Cretaceous Arapahoe aquifer, the Late Cretaceous/Early Tertiary Denver aquifer, and the Tertiary Dawson Arkose aquifer (USGS, 1987). These aquifers occur in the Fox Hills Sandstone, Laramie Formation, Arapahoe Formation, Denver Formation and Dawson Arkose (USGS, 1987). All but the Dawson Arkose aquifer are present under the TRACON building. The sedimentary strata in the Denver ground water basin which comprise the principal water-yielding formations are up to 900 meters thick in the deepest part of the basin (CDWR, 2002). The Pierre Shale defines the vertical extent of the aquifers. It underlies

the Laramie-Foxhills aquifer and is considered the base of the aquifers because of its thickness (greater than 1,500 meters) and low permeability (USGS, 1987).

The presence of coal seams in the area of Denver International Airport has been publicly documented and widely reviewed. Kirkham and Ladwig (1979) described the area as “one of the major coal- and lignite-bearing regions in Colorado.” The USGS report stated that the Denver aquifer consists of a “180 to 300-meters-thick series of interbedded shale, claystone, siltstone, and sandstone in which coal and fossilized plant remains are common.” (USGS, 1987). In addition, coal seams have been encountered and noted in several engineering reports prepared as part of the development of the DIA site in around 1989. The report by Colorado Division of Water Resources also indicated that coal seams are commonly encountered in the Denver aquifer (CDWR, 2002).

## **CHAPTER 2**

### **LITERATURE REVIEW**

#### **2.1 Mechanics of Unsaturated Soil**

##### **2.1.1 Stress State Variables**

The state of stress in a soil is described by combinations of stress parameters that are referred to as stress state variables. The stress state variables are defined as nonmaterial variables required for the characterization of the stress condition (Fredlund and Rahardjo, 1993). To be true stress state variables they must be independent of the soil physical properties and must be expressed in terms of the measurable stresses, such as the total stress,  $\sigma$ , the pore-water pressure,  $u_w$ , and the pore-air pressure,  $u_a$ . The osmotic suction of a soil is also a valid stress state variable (Miller and Nelson, 2006). Nelson and Miller (1992) stated that a major step in the development of an appropriate framework for macroscale analysis was the definition of the appropriate stress state variables for unsaturated soils.

The effective stress concept has been well documented and used in applications for saturated soils (see, for example, Lambe and Whitman, 1969). Numerous early attempts have been made to develop a similar concept of effective stress for unsaturated soils. Table 2-1 summarizes various equations and parameters that were proposed by previous researchers. Table 2-1 indicates that the use of a single-valued effective stress for unsaturated soils has encountered many difficulties since it introduces constitutive

parameters into the equations of equilibrium and violates the basic laws of mechanics. In addition, the use of empirical parameters in the description of the stress state is not appropriate.

Fredlund and Morgenstern (1977) verified that  $(\sigma - u_w)$ ,  $(\sigma - u_a)$ , and  $(u_a - u_w)$  are three valid independent stress state variables, since these variables produce no distortion or volume change of an element when the individual components of the stress state variables are modified but the stress state variables themselves are kept constant. Matyas and Radhakrishnan (1968), Barden, et al. (1969), Drumright and Nelson (1984), Edgar, et al. (1989), and Fredlund and Morgenstern (1997) have shown that the effective stress equation for unsaturated soils must be separated into two independent stress state variables. The complete form of the stress state for an unsaturated soil can be written as two independent stress tensors as follows:

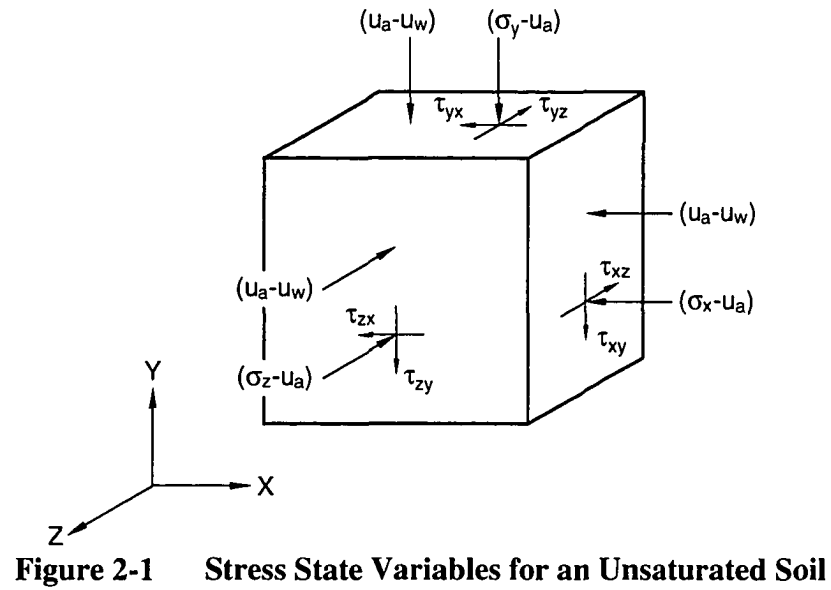
$$\sigma' = \begin{bmatrix} (\sigma_x - u_a) & \tau_{yx} & \tau_{zx} \\ \tau_{xy} & (\sigma_y - u_a) & \tau_{zy} \\ \tau_{xz} & \tau_{yz} & (\sigma_z - u_a) \end{bmatrix} \quad \text{Equation (2-1)}$$

and

$$u = \begin{bmatrix} (u_a - u_w) & 0 & 0 \\ 0 & (u_a - u_w) & 0 \\ 0 & 0 & (u_a - u_w) \end{bmatrix} \quad \text{Equation (2-2)}$$

where  $\sigma_x$ ,  $\sigma_y$ , and  $\sigma_z$  are the normal stresses in the x, y, and z directions and the off-diagonal terms (e.g.,  $\tau_{xy}$ ) are the shear stresses. For principal directions, the off-diagonal terms in Equation (2-1) would be zero. The off-diagonal terms in Equation (2-2)

will be always zero since fluid pressure is isotropic. Figure 2-1 illustrates the two independent tensors acting at a point in an unsaturated soil.



**Table 2-1 Effective Stress Equations for Unsaturated Soils (after Nelson and Miller, 1992 and Fredlund Rahardjo, 1993)**

| Equation                                                           | Description of Variables                                                                                                                                                                                              | Reference                                 |
|--------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| $\sigma' = \sigma - \beta' u_w$                                    | $\beta'$ = holding or bonding factor, which is a measure of the number of bonds under tension, effective in contributing to shear strength of the soil                                                                | Croney, et al. (1958)                     |
| $\sigma' = (\sigma - u_a) + \chi (u_a - u_w)$                      | $\chi$ = parameter related to the degree of saturation of the soil<br>$u_a$ = the pressure in gas and vapor phase                                                                                                     | Bishop (1959)<br>Bishop and Blight (1963) |
| $\sigma' = \sigma a_m + u_a a_a + u_w a_w + R - A$                 | $a_m$ = fraction of total area that is mineral<br>$a_a$ = fraction of total area that is air<br>$a_w$ = fraction of total area that is water<br>$R$ = repulsive electrical force<br>$A$ = attractive electrical force | Lambe (1960)                              |
| $\sigma' = \sigma + \psi p'$                                       | $\psi$ = parameter with values ranging from zero to one<br>$p'$ = pore-water pressure deficiency                                                                                                                      | Aitchison (1961)                          |
| $\sigma' = \sigma + \beta p'$                                      | $\beta$ = statistical factor of the same type as the contact area. This factor should be measured experimentally in each case                                                                                         | Jennings (1961)                           |
| $\sigma' = \sigma - u_a + \chi_m (h_m + u_a) + \chi_s (h_s + u_a)$ | $\chi_m$ = effective stress parameter for matric suction<br>$h_m$ = matric suction<br>$\chi_s$ = effective stress parameter for solute suction<br>$h_s$ = solute suction                                              | Richards (1966)                           |
| $\sigma' = \sigma + \chi_m \tilde{p}_m + \chi_s \tilde{p}_m$       | $\tilde{p}_m$ = matric suction<br>$\tilde{p}_m$ = solute suction                                                                                                                                                      | Aitchison (1973)                          |

The stress tensors shown in Equations (2-1) and (2-2) are formulated by using the pore air pressure,  $u_a$ , as a reference pressure in the force equilibrium equations for the soil structure. Alternatively, the stress tensors can be expressed by using the pore-water pressure,  $u_w$ , or the total normal stress,  $\sigma$ , as a reference pressure in the force equilibrium equations. Therefore, there are three possible combinations of stress state variables for describing the stress state relevant to the soil structure and contractile skin in an unsaturated soil, as shown in Table 2-2 only two of which are independent. Fredlund and Morgenstern (1977) and Fredlund (1979a) indicated that the combination of the two stress state variables,  $(\sigma - u_a)$  and  $(u_a - u_w)$ , appears to be the most satisfactory for use in engineering practice. This combination is advantageous because the effects of a change in the total normal stress can be separated from the effects of a change in the pore water pressure. Additionally, the pore-air pressure is atmospheric (i.e., zero gauge pressure) for most practical engineering problem.

**Table 2-2 Combinations of Stress State Variables for an Unsaturated Soil**

| Reference Pressure            | Stress State Variables                |
|-------------------------------|---------------------------------------|
| Pore-Air Pressure, $u_a$      | $(\sigma - u_a)$ and $(u_a - u_w)$    |
| Pore-Water Pressure, $u_w$    | $(\sigma - u_w)$ and $(u_a - u_w)$    |
| Total Normal Stress, $\sigma$ | $(\sigma - u_a)$ and $(\sigma - u_w)$ |

### 2.1.2 Constitutive Relationships

Various constitutive relationships for an unsaturated soil were studied during the 1960s and 1970s (Blight, 1965; Matyas and Radhakrishna, 1968; Barden, et al., 1969; Fredlund and Morgenstern, 1976; and Fredlund, 1979a). Volume or water content

changes of an unsaturated soil can be related to the stress state variables using appropriate constitutive relationships. For an unsaturated soil, void ratio and water content can be used as the deformation state variables for the soil structure and water phase, respectively. For example, the change in void ratio,  $de$ , of an unsaturated soil under three-dimensional loading conditions can be described by an equation as follows (Fredlund and Rahardjo, 1993):

$$de = a_t d(\sigma - u_a) + a_m d(u_a - u_w) \quad \text{Equation (2-3)}$$

where:

$$\begin{aligned} a_t &= \partial e / \partial (\sigma - u_a) = \text{coefficient of compressibility with respect to a} \\ &\quad \text{change in net normal stress, } d(\sigma - u_a) \\ a_m &= \partial e / \partial (u_a - u_w) = \text{coefficient of compressibility with respect to a} \\ &\quad \text{change in matric suction, } d(u_a - u_w) \end{aligned}$$

The water content constitutive equation can be expressed as a change in water content with respect to applied stress. For three-dimensional loading conditions, the water content constitutive relationship can be written as the following general form in Equation (2-4). Similar constitutive relationships can be formulated for unloading conditions.

$$dw = b_t d(\sigma - u_a) + b_m d(u_a - u_w) \quad \text{Equation (2-4)}$$

where:

$$\begin{aligned} b_t &= \partial w / \partial (\sigma - u_a) = \text{coefficient of water content change with respect} \\ &\quad \text{to a change in net normal stress, } d(\sigma - u_a) \\ b_m &= \partial w / \partial (u_a - u_w) = \text{coefficient of water content change with respect} \\ &\quad \text{to a change in matric suction, } d(u_a - u_w) \end{aligned}$$

Equations (2-3) and (2-4) show that two constitutive parameters are necessary for defining the constitutive relationships, since two stress state variables are specified

separately, as opposed to only one stress state variable,  $(\sigma - u_w)$ , for a saturated soil. This emphasizes the fact that the stress state variables must be considered independently.

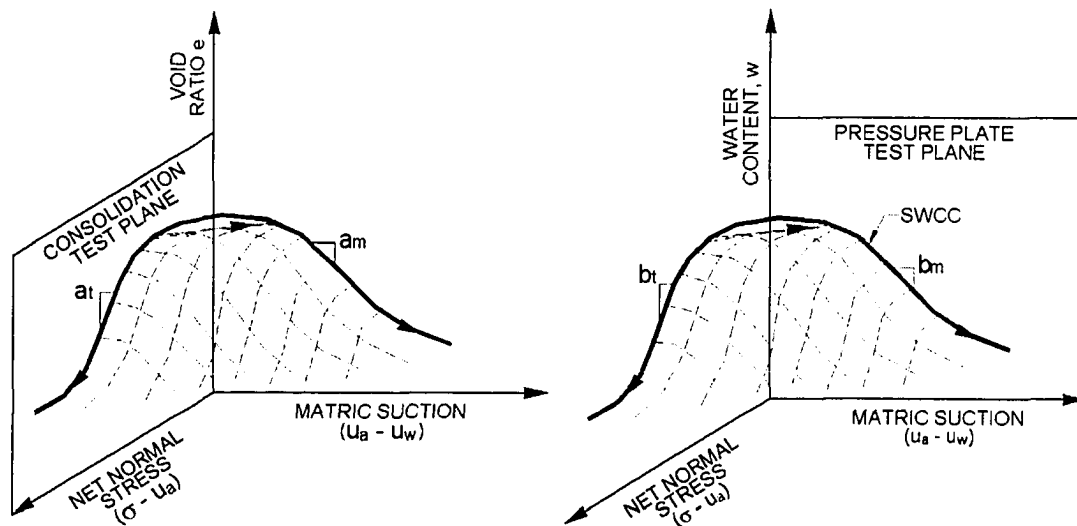
The constitutive relationships presented in Equations (2-3) and (2-4) can be visualized in the form of volume-mass constitutive surfaces on three-dimensional plots, as shown in Figure 2-2. The soil properties,  $a_t$ ,  $a_m$ ,  $b_t$ , and  $b_m$ , shown in Equations (2-3) and (2-4) can be viewed as the slopes of the constitutive surfaces shown in Figure 2-2. The soil properties are moduli that vary as a function of the stress state variables. To define the magnitude of the soil moduli corresponding to any stress state, it is necessary to have constitutive equations describing the entire volume-mass constitutive surfaces. The equations then need to be differentiated with respect to each of the stress state variables to obtain the soil moduli. So far, there are no published equations to represent the entire volume-mass constitutive surfaces (Fredlund, 2000). Once approximate equations are formulated, the derivatives shown in Equations (2-3) and (2-4) will provide values of the soil moduli corresponding to any stress state.

Unsaturated soil properties can be calculated using the volume–mass constitutive surfaces and the basic volume-mass requirement shown in Equation (2-5).

$$Se = wG_s \quad \text{Equation (2-5)}$$

where:

|       |   |                                |
|-------|---|--------------------------------|
| $S$   | = | degree of saturation           |
| $e$   | = | void ratio                     |
| $w$   | = | gravimetric water content, and |
| $G_s$ | = | specific gravity               |



**Figure 2-2 Two Independent Volume-Mass Constitutive Surfaces (from Fredlund and Rahardjo, 1993)**

Nelson and Miller (1992) showed that the volume-mass constitutive surfaces can be linearized by plotting the volume-mass parameters versus the logarithm of the stress state variables. Nelson and Miller (1992) and Fredlund and Rahardjo (1993) indicated that the constitutive surfaces shown in Figure 2-2 exhibit a symptom of “uniqueness.” The “uniqueness” of the constitutive surfaces demonstrates that there is only one relationship between the deformation and stress state variables. In addition, Fredlund (2002) indicated that it is necessary that the soil water characteristic curve may be viewed as a part of the complete water content constitutive surface of the volume–mass constitutive surfaces shown in Figure 2-2.

### 2.1.3 Soil Suction

The theoretical concept of soil suction was developed in soil physics regarding the soil water-plant system in the early 1900’s (Buckingham, 1907; Gardner and Widtsoe, 1921; Richards, 1928, etc.). In soil physics, soil suction is generally referred to as the

potential energy state of water in soil (Jury, et al., 1991). The potential energy state of water in soil is defined as the difference in energy per unit quantity of water compared to a reference state, because there is no absolute scale of energy. It is recommended that the reference state can be defined to be the state of pure (no solutes), free (no external forces other than gravity) water at a reference pressure, reference temperature, and reference elevation and is arbitrarily given a value of zero (Bolt, 1976). The use of soil suction in explaining the mechanical behavior of unsaturated soils in relation to engineering problems was introduced by Croney and Coleman (1948), Croney, et al. (1950), and Coleman (1962). In 1965, Aitchison (1965) provided quantitative definitions of soil suction and its components from a thermodynamic context, which have become accepted concepts in geotechnical engineering (Krahn and Fredlund, 1972; Fredlund and Rahardjo, 1988).

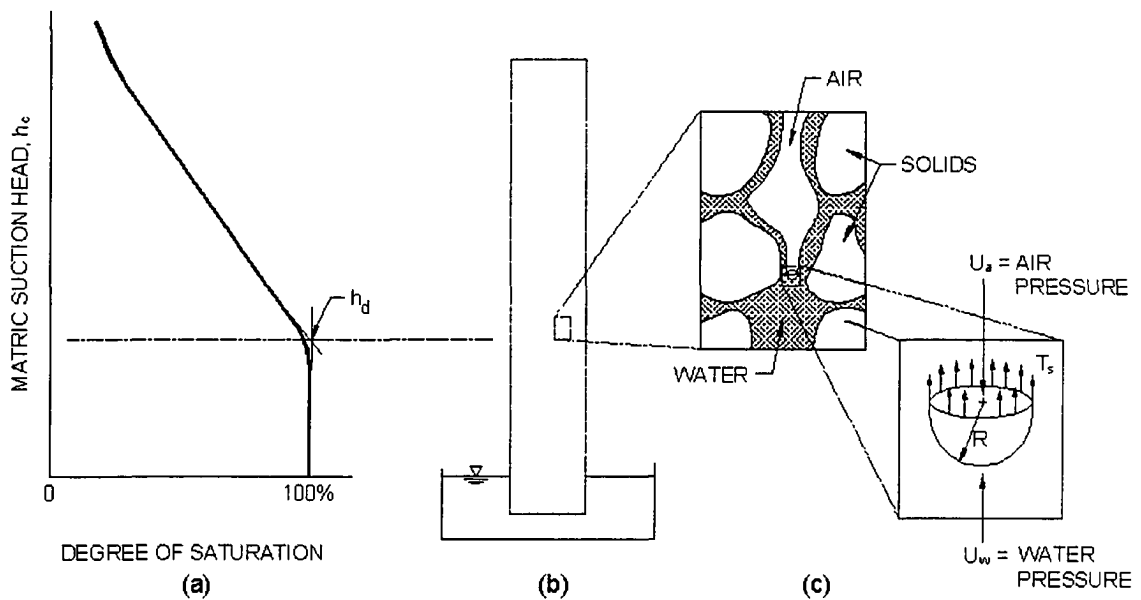
#### **2.1.3.1 Matric Suction**

Fredlund (1979a) discussed that the capillary phenomenon in soils is affiliated with the matric suction,  $(u_a - u_w)$ . This is depicted in Figure 2-3 where a column of saturated granular soil is placed in a pan of water and allowed to drain freely. The soil will remain saturated to some height depicted by the point  $h_d$ . Below this point, surface tension,  $T_s$ , of the air-water interface prevents the water from draining further. The air-water interface in the pore water causes the water pressure to be lower than the air pressure, causing the water to be in tension. The difference between the air and water pressure,  $(u_a - u_w)$ , quantifies the matric suction, and is a function of the radius of the spherical surface,  $R$ , and the surface tension,  $T_s$ , as shown in the following equation:

$$(u_a - u_w) = \frac{2T_s}{R} \quad \text{Equation (2-6)}$$

Equation (2-6) indicates that the matric suction is a function of the pore radius, and hence, the grain size of the soil. The matric suction at a point in the soil in terms of head,  $h_c$ , is equal to the height of that point above the water table as shown in Figure 2-3(a). The smaller the grain size of the soil, the greater the tension in the water, and the higher will be the capillary rise in the soil.

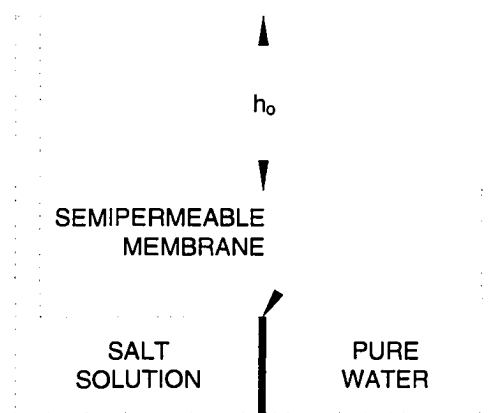
The capillary head depicted by  $h_d$  in Figure 2-3(a) is called the displacement head. This represents the air pressure that would be necessary to displace the water from the pore spaces. This is also called the air entry pressure.



**Figure 2-3 Matric Suction in Soil (from Nelson, et al., 2003)**

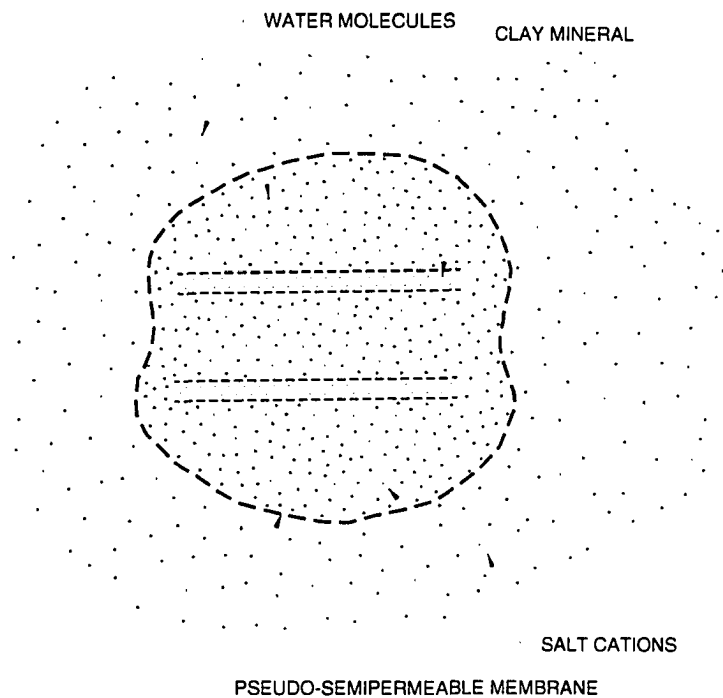
### 2.1.3.2 Osmotic Suction

Osmotic suction,  $\pi$ , is due to the presence of solutes in the soil water which reduce the energy state of the water in soil. It is related to the salt content in the pore-water which is present in both saturated and unsaturated soils. Figure 2-4 illustrates the nature of osmotic suction by showing a chamber of water divided by a semi-permeable membrane. On one side of the membrane is pure water, and on the other side is a salt solution. The semipermeable membrane will allow water molecules to pass through it but not salt molecules. The concentration of the solution causes an attraction to water molecules and hence, a tendency for the pure water to flow into the salt solution through the semipermeable membrane. The pressure that the salt solution can exert on the pure water depends on the concentration of the salt in the solution. This pressure is called the osmotic pressure. This pressure is represented by  $h_o$  in terms of osmotic pressure head in Figure 2-4.



**Figure 2-4 Osmotic Pressure Across a Semipermeable Membrane (from Nelson and Miller, 1996)**

Two idealized clay particles in close proximity to each other are shown in Figure 2-5. The electrical charges on the faces of the clay particles hold salt cations in close proximity to balance the charges. As a result, the concentration of salt in the water in the space between the particles is higher than that outside of that space. Therefore, the electrical field around the clay particle serves as a “pseudo-semi-permeable” membrane, as shown in Figure 2-5. As water is introduced into the system, the high concentration of salt between the particles causes pressure to be exerted on the water molecules tending to force them into the space between the particles. This pressure is the osmotic suction of the soil.

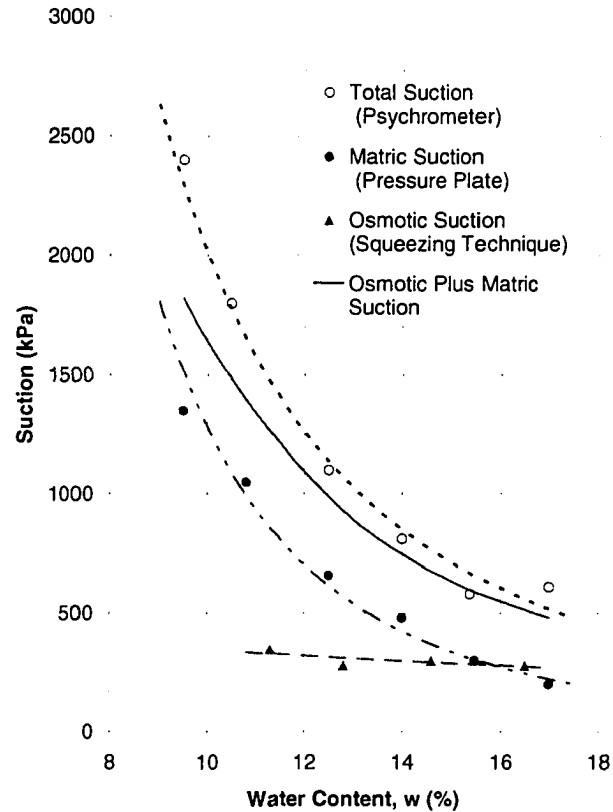


**Figure 2-5 Pseudo-Semipermeable Membrane Effect Causing Osmotic Suction in Clay (from Nelson, et al., 2003)**

Miller (1996) and Miller and Nelson (2006) showed that osmotic suction,  $\pi$ , is a valid, independent, stress state variable in soils. Thus, the complete rigorous definition of stress state of an unsaturated soil thus requires three independent variables: net normal stress,  $(\sigma - u_a)$ , matric suction,  $(u_a - u_w)$ , and osmotic suction,  $\pi$ . Appropriate constitutive parameters must be used to associate changes in these stress state variables with changes in soil volume. In general geotechnical engineering practice, changes in osmotic suction are negligible.

### **2.1.3.3 Total Suction**

The total suction,  $\psi$ , is the sum of the matric suction,  $(u_a - u_w)$ , and the osmotic suction,  $\pi$ . In terms of their effect on the behavior of soil, they must be considered independently (Miller and Nelson, 1993). Figure 2-6 shows experimental data illustrating that the matric suction plus the osmotic suction are about equal to the total suction of the soil, as presented by Krahn and Fredlund (1972). The presented data are for glacial till specimens compacted under modified AASHTO conditions at various initial water contents. Each component of soil suction and the total suction were measured independently. Figure 2-6 indicates that the initial water content of compacted soils is directly related to the matric suction component. The osmotic suction remains fairly consistent with an average value of approximately 300 kPa in the range of water content from 11 to 17%. Consequently, the change in the total suction is quite representative of the change in matric suction within the range of water contents encountered in most practical geotechnical engineering problems.



**Figure 2-6 Total, Matric, and Osmotic Suctions for Glacial Till (from Krahn and Fredlund, 1972)**

## 2.1.4 Water Flow

### 2.1.4.1 Steady-State Conditions

Steady-state flow of water in a saturated soil is governed by Darcy's law. Darcy (1856) postulated that the flow rate through porous media is proportional to the head loss and inversely proportional to the length of the flow path. In general one-dimensional form Darcy's law may be written:

$$q = -K \frac{\partial H}{\partial z} = -Ki \quad \text{Equation (2-7)}$$

where:

|   |   |                                                                                 |
|---|---|---------------------------------------------------------------------------------|
| q | = | flow rate of water                                                              |
| K | = | coefficient of hydraulic conductivity, assumed to be constant in saturated soil |
| H | = | hydraulic (total) head                                                          |
| z | = | length of the flow path                                                         |
| i | = | hydraulic gradient                                                              |

In 1907, Buckingham proposed a modification of Darcy's law to describe water flow through unsaturated soil (Buckingham, 1907). The modification Buckingham made rested primarily on two assumptions:

1. The driving force that causes water to flow in isothermal, rigid, unsaturated soil containing no solute membranes and zero air pressure potential is the sum of the matric and gravitational potentials.
2. The coefficient of hydraulic conductivity of unsaturated soil is a function of the water content or matric potential of the unsaturated soil.

In head units, the general one-dimensional form of Buckingham-Darcy flux law may be expressed as follows:

$$q = -K(h) \frac{\partial H}{\partial z} = -K(h) \frac{\partial}{\partial z} (h + Z) = -K(h) \left( \frac{\partial h}{\partial z} + 1 \right) \quad \text{Equation (2-8)}$$

where H is the hydraulic head (= pressure head, h + elevation head, Z) and K(h) is the coefficient of unsaturated hydraulic conductivity.

The form of Equation (2-8) is similar to that of Equation (2-7), except that under conditions of unsaturated flow, the coefficient of unsaturated hydraulic conductivity is no longer a constant, but varies with changes in water content and indirectly varies with changes in pore-water pressure. Therefore, even though Darcy's law was originally derived for a saturated soil, later research has shown that it can also be applied to the flow

of water through an unsaturated soil (Richards, 1931; Childs and Collis-George, 1950; McWhorter and Sunada, 1977).

$\partial H/\partial z$  shown in Equation (2-8) is the driving force that causes water to flow in unsaturated soil. Fredlund and Rahardjo (1993) stated that three concepts that engineers have used to explain the driving force are the water content gradient, the matric suction gradient, and the hydraulic head gradient. They stated that water flow in unsaturated soil is more appropriately defined in terms of the hydraulic head gradient.

#### **2.1.4.2 Transient Conditions**

The steady-state water flow conditions described in the previous section discussed only a special case of the possible water transport processes in soil. In general, wetting or drying of the soil will change as water flows through the soil, and the matric suction and water content will be functions of time as well as of space. Such transient flows are time dependent and can be mathematically described by the water conservation equation, also called the water mass balance or continuity equation. The water conservation equation is related to water flux, storage changes, and sources or sinks of water. The water conservation equation can be formulated by calculating the mass balance for the one-dimensional system during an arbitrarily small time period  $\Delta t$  between time  $t$  and  $t + \Delta t$  as follows (Jury, et al., 1991):

$$\frac{\partial q}{\partial z} + \frac{\partial \theta}{\partial t} + r_w = 0 \quad \text{Equation (2-9)}$$

where:

|          |   |                                  |
|----------|---|----------------------------------|
| $\theta$ | = | volumetric water content of soil |
| $t$      | = | time                             |
| $r_w$    | = | sources or sinks of water        |

A differential equation may be derived by combining Equations (2-8) and (2-9) and assuming  $r_w = 0$  to predict the water content in unsaturated soil during transient flow. This differential equation is usually called the Richards equation (Richards, 1931) and is presented in the following one-dimensional form:

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ K(h) \left( \frac{\partial h}{\partial z} + 1 \right) \right] \quad \text{Equation (2-10)}$$

The Richards equation states that the rate of change of the volumetric water content with respect to time is equal to the rate of change of flow in a soil system. Equation (2-10) can not be solved in the form it is in, because it contains two unknowns:  $\theta$  and  $h$ . This difficulty may be overcome by using the soil water characteristic function  $h(\theta)$  to eliminate either  $\theta$  or  $h$  from Equation (2-10). Based on experiments, the soil water characteristic function can be estimated and is discussed in details in the following sections.

### **2.1.5 Migration of Water in Unsaturated Soils**

In order to predict the amount of heave that will occur at a particular time, it is important to know what zone of soil is being wetted at that time, and the expansive nature of that soil. This in turn depends on the manner in which the ground water migrates in the soil. Engineers have attempted to determine the zone of soil that is being wetted using different definitions, each of which considers a particular emphasis. Nelson, et al.

(2001) put forth the following four definitions regarding depth of water migration for purposes of clarity and consistency.

1. *Active Zone is that zone of soil that is contributing to heave due to soil expansion at any particular time. The active zone will normally vary with time.*
2. *Zone of Seasonal Moisture Fluctuation is that zone of soil in which water contents change due to climatic changes at the ground surface.*
3. *Depth of Wetting is the depth to which water contents have increased due to the introduction of water from external sources, or due to capillarity after the elimination of evapo-transpiration. The external sources can include such things as irrigation, seepage from ponds or ditches, broken water lines, and others.*
4. *Depth of Potential Heave is the depth to which the overburden vertical stress equals the swelling pressure of the soil. This represents the maximum depth of Active Zone that could occur.*

In general, expansive soil sites are underlain by various strata of claystone and other sedimentary rock, each having a value of hydraulic conductivity. The layers with the lower hydraulic conductivity intercept water moving through the unsaturated zone and this water accumulates on top of the low permeability lenses, thereby forming perched water tables. Practicing engineers generally consider that water will migrate downward from the ground surface, and then perch on the top of a relative low permeable layer, such as claystone. Further downward migration to a lower stratum is often neglected. However, water will continue to move downward as long as the total potential of the soil above the wetting front is higher than that below the wetting front or until a truly impermeable boundary or a water table is reached. For example, Freeze and Cherry

(1979) stated that: “The existence of a low-permeability clay layer ... can lead to the formation of a discontinuous saturated lense, with unsaturated conditions both above and below. ... Saturated zones of this type dissipate with time under the influence of downward percolation and evaporation from the surface.” Corey (1994) also discussed flow through stratified media and stated that: “Furthermore, the water is interconnected (continuous) throughout all layers, including at the boundaries between the layers, otherwise there could be no flow.” A theoretical formulation of the flow in the various strata was presented in McWhorter and Nelson (1979). Edgar, et al. (1989) presented a theory for modeling flow through several unsaturated layers when heave or compression of the layers and temperature effects are considered. The model presented therein “considers multilayered systems such as natural deposits comprising various strata...” McWhorter and Nelson (1979), Edgar, et al. (1989), and Durkee (2000) all showed that the migration of a wetting front will continue to move downward with time unless bounded by a truly impermeable barrier.

The Colorado Association of Geotechnical Engineers (CAGE) has prescribed a depth of wetting of approximately 6 meters in their guidelines (CAGE, 1996). However, in addition to the findings from the theories described above, experience indicates that many sites exist where depth of wetting has greatly exceeded 6 meters (Overton, et al., 2006, and Chao, et al., 2006). Reed (1985) stated in his conclusions that “The seasonally active zone of soil movement is 1 to 3 meters, however, the zone of movement is influenced by the availability of water to the deeper dry clays. Post construction active zones have been measured as deep as 9 meters.” Some practicing engineers in the Front Range of Colorado have used assumptions of depth of wetting much greater than 6

meters (Thompson, 1990; West, 2000; and Cesare, 2005). Diewald (2003) evaluated post-construction data from 133 investigations and determined that the depth of wetting for 7-to-10-year-old residences is approximately 12 meters. Diewald also indicated that there continues to be an increase in the depth of wetting over time. A review of research literature shows that the depth of wetting, and therefore the active zone, can extend to the depth of potential heave depending on site conditions (Durkee, 2000; Nelson, et al., 2001; Overton, et al., 2006, and Chao, et al., 2006).

## **2.2 Soil Water Characteristics**

### **2.2.1 Characterization of Soil Water Characteristic Curve**

The soil water characteristic curve is a relationship between the amount of water in the soil and soil suction under conditions where the normal stress is zero or a small value. Thus, the soil water characteristic curve becomes a special case of the entire water content constitutive surface, as shown in Figure 2-2. The amount of water in the soil is generally quantified in terms of gravimetric water content, volumetric water content, or degree of saturation. All three of these parameters will convey similar information to the engineer provided that the reference volume of the soil remains consistent. Care must be exercised when plotting the soil water characteristic curve for expansive soil to ensure that the correct reference volume is used in both laboratory measurements and mathematical formulations (Durkee, 2000).

A review of the research literature indicates that numerous graphical representations have been used for soil water characteristic curve data. Soil suction has been plotted on the abscissa or on the ordinate, and has been plotted on a logarithmic or

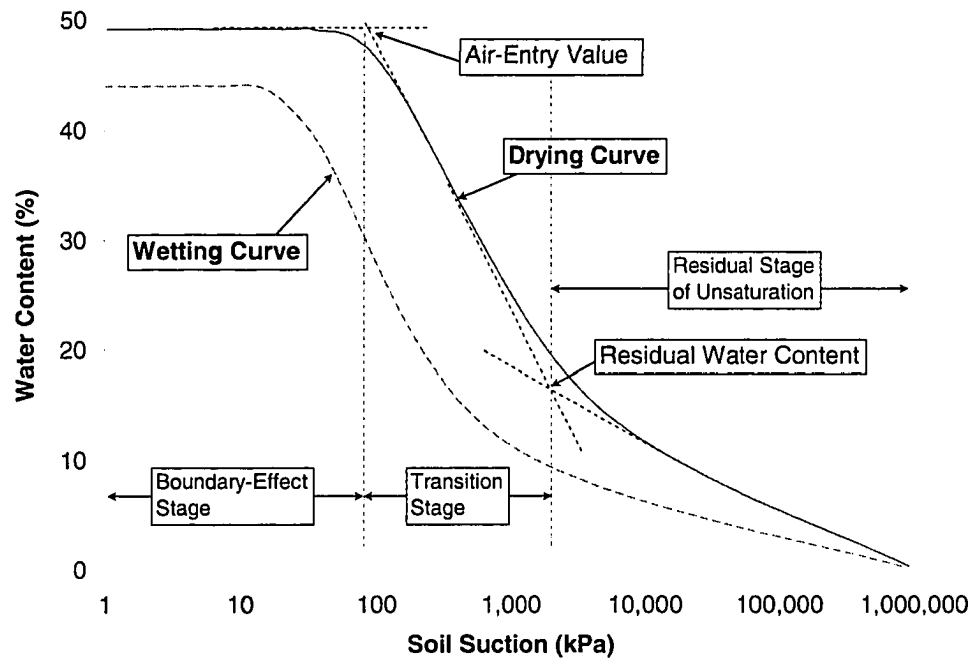
arithmetic scale. The same is true for the water content of the soil. Fredlund, et al. (2001) recommended that soil suction be plotted on the abscissa and water content be plotted on the ordinate.

Figure 2-7 defines typical features of the drying (drainage) and wetting (imbibition) portions of the soil water characteristic curves. The air-entry value of the soil is the matric suction where air starts to enter the pores in the soil. The residual water content is the water content where a large suction change is required to remove additional water from the soil (McWhorter and Sunada, 1977; Corey, 1994; Fredlund and Xing, 1994). Figure 2-7 indicates that the residual water content can be approximated as the ordinate of the point at which a tangent line drawn from the inflection point and a tangent line drawn from the high-suction range intersect. Figure 2-7 shows that the soil suction corresponding to zero water content is approximately  $10^6$  kPa (Fredlund and Xing, 1994). Experimental data by Croney and Coleman (1961) indicate that the maximum suction a soil can reach is slightly below  $10^6$  kPa. This value is also supported by thermodynamic measurements conducted by Richards (1965).

White, et al. (1970) identified three desaturation stages including the boundary-effect stage, the transition state, and the residual stage of unsaturation along the drying curve (refer to Figure 2-7). It is believed that similar stages apply to the wetting curve (Fredlund, 2000).

The non-uniform pore size distribution in a soil may result in hysteresis in the soil water characteristic curve. Figure 2-7 shows that the water content for the drying curve at a given soil suction is higher than that for the wetting curve. The end point of the wetting curve differs from the starting point of the drying curve because of air entrapment

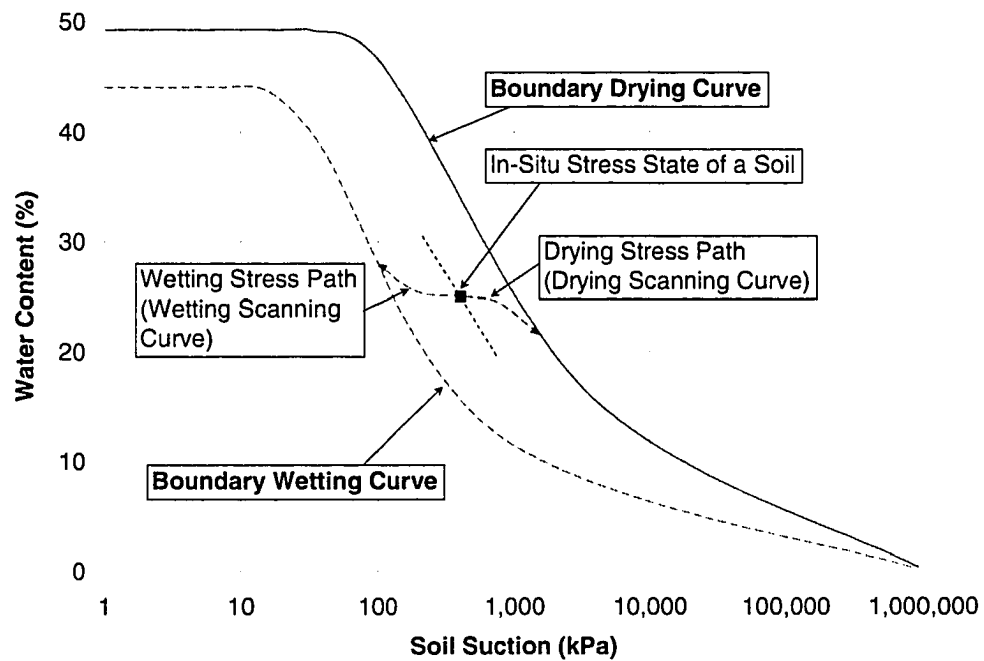
in the soil. In addition, the contact angle at a receding interface during the drying process differs from that at an advancing interface during the wetting process. The above reasons are considered to be the main causes for hysteresis in the soil water characteristic curve (Fredlund and Rahardjo, 1993).



**Figure 2-7 Definition of Variables Associated with the Soil Water Characteristic Curve (modified after Fredlund, 2000)**

The hysteresis loop associated with the wetting and drying processes of a soil is the indication that the soil water characteristic curve is not unique. The drying and wetting branches, for an unstressed and initially saturated or initially totally dry samples, respectively, form the extreme bounds for any soil water characteristic curve of a particular soil. Depending on the in-situ stress state conditions of a soil, there are a number of intermediate drying or wetting scanning curves, as shown in Figure 2-8. The scanning curves become asymptotic to the boundary drying and wetting curves. In

general, either the boundary drying curve or the boundary wetting curve is measured in the laboratory. The laboratory data usually do not represent a soil has a different fabric and is being dried or wetted from some other in-situ stress state with different initial water contents. Hence, the laboratory curve does not accurately represent in-situ conditions in engineering applications.



**Figure 2-8 Description of Drying and Wetting Curves and Initial Stress State (modified after Fredlund, 2000)**

### 2.2.2 Measurements of Soil Suction

Total suction, matric suction, and osmotic suction can be determined by direct and indirect measurements accomplished by a variety of means. The most commonly used methods include: (1) psychrometers, (2) tensiometers, (3) axis translation technique, (4) filter paper, (5) thermal conductivity sensor, and (6) pore fluid squeezer. Table 2-3 summarizes the suction components and the pressure ranges that these methods can measure.

**Table 2-3 Summary of Common Soil Suction Measurement Methods**

| Measurement Method | Device                                                | Suction Component | Approximate Range (kPa) |
|--------------------|-------------------------------------------------------|-------------------|-------------------------|
| Direct             | Psychrometers                                         | Total             | 0 – $10^6$              |
|                    | Tensiometers                                          | Matric            | 0 – 80                  |
|                    | Axis Translation Technique (Pressure Plate Apparatus) | Matric            | 0 – 1,500               |
|                    | Fredlund SWCC Device                                  | Matric            | 0 – 1,500               |
| Indirect           | Filter Paper                                          | Total/Matric      | 10 – 300,000            |
|                    | Thermal Conductivity Sensors                          | Matric            | 0 – 200                 |
|                    | Pore Fluid Squeezing Technique                        | Osmotic           | 0 – $10^6$              |

The total suction can be determined by measuring the vapor pressure of the soil water or relative humidity in the soil. The direct measurement of relative humidity in the soil can be performed by a psychrometer. Moreover, the relative humidity can be indirectly measured using a filter paper as a measuring sensor.

The matric suction can also be determined by either a direct or indirect manner. A direct measurement of matric suction is commonly obtained with the axis translation technique. A common technique is the pressure plate apparatus. The form of the axis translation technique was proposed by Hilf (1956) to measure matric suction of samples taken from the field. A tensiometer is also commonly used to directly measure the negative pore-water pressure in a soil. The measured pore-water pressure in the tensiometer is numerically equal to the matric suction when the pore-air pressure is atmospheric (i.e.,  $u_a =$  zero gauge pressure). Recently, the Fredlund SWCC device was developed to directly measure matric suction of samples using the axis translation technique (Perera, et al., 2004). Several types of thermal conductivity sensors can be used for performing indirect measurement of matric suction.

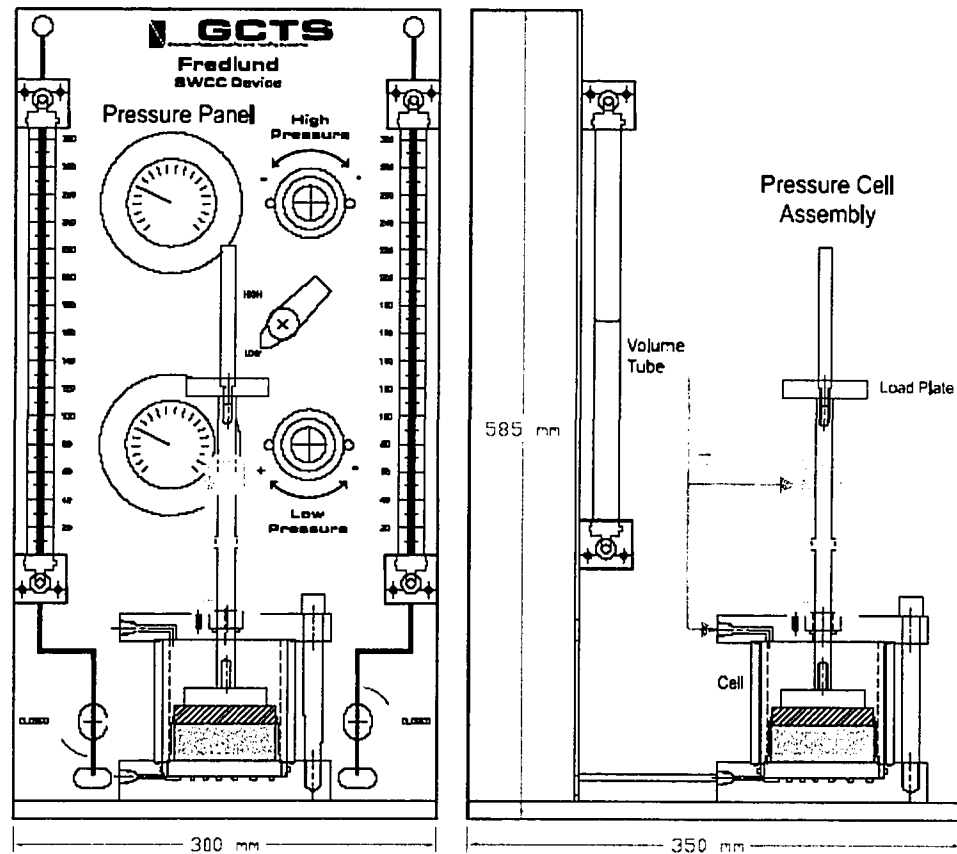
An indirect measurement of osmotic suction can be conducted by measuring the electrical conductivity of the pore-water from the soil. The pore-water in the soil can be extracted using a pore fluid squeezer.

A review of the methods for measuring soil suction is given in Nelson (1985), Nelson and Miller (1992), and Fredlund and Rahardjo (1993). The Fredlund SWCC device and the filter paper method were utilized in this study to obtain the soil water characteristic function for an expansive soil. Consequently, detailed literature review is focused only on these two approaches.

### **2.2.2.1 Fredlund SWCC Device**

The Fredlund SWCC device was designed by Geotechnical Consulting and Testing Systems, Inc. (GCTS, 2004) and is an unsaturated soil testing apparatus with flexibility for controlling the matric suction while applying total stress and measuring volume change. Thus, various stress paths can be followed. The device uses the axis translation technique to control and measure suction for a remolded or undisturbed soil sample. The Fredlund SWCC device can be used to control matric suctions from a value near zero up to 1,500 kPa (i.e., 15 bars).

The device consists of a pressure cell assembly, a pressure panel, and a pneumatic loading frame, as shown in Figure 2-9. The pressure cell assembly is constructed of stainless steel and includes the necessary plumbing and valves for periodic flushing of diffused air to prevent a volume error. The pressure panel contains two volume indicator tubes and two pressure gauges. The volume indicator tubes are graduated to read the amount of water released from or imbibed into soil samples during tests. Flow of water into or out of the soil allows for determination of water content of the samples. The pneumatic loading frame is used to apply normal pressures up to 10 kN to soil samples.



**Figure 2-9 Schematic of Fredlund SWCC Device (from GCTS, 2004)**

The earlier versions of the pressure plate apparatus require several soil samples to determine a reasonable soil water characteristic curve for a soil. It is almost impossible to obtain several soil samples representing the same soil structure and stress state conditions. The Fredlund SWCC device was designed to overcome this inconvenience. The device allows the use of a single soil specimen to obtain the entire SWCC with any number of data points. Therefore, the possibility of getting the intermediate drying or wetting scanning curves discussed in Section 2.2.1 can be eliminated. Furthermore, the device was designed to measure the overall volume change of the soil specimen during the test. The overall volume change of the soil specimen can be measured by taking measurements of the load plate movement using an attached dial gauge.

#### **2.2.2.2 Filter Paper Method**

The filter paper method for measuring soil suction was developed in the agricultural soil science discipline, and has been used routinely by the Water Resources Division of the U.S. Geological Survey for many years (McQueen and Miller, 1968). Attempts have been made to use the filter paper method for engineering applications (McKeen and Nielson, 1978; McKeen, 1981 and 1985; McKeen and Hamberg, 1981; Ching and Fredlund, 1984; Houston, et al., 1994; Bulut, et al., 2001; Leong, et al., 2002; Bulut and Wray, 2005; Oliverira and Fernando, 2006). An advantage of the filter paper method is the wide range of values of soil suction over which it can be used and its simplicity, whereas a disadvantage for the use of this method is the degree of accuracy required for weighing the filter paper.

In this method, a specimen of the soil along with a calibrated filter paper is placed in a closed container that is free of material that could rust. The soil sample and the filter paper are allowed to equilibrate for a period of time at a constant temperature. After equilibrium, the filter paper is removed and the filter paper is weighted by an accurate balance to the nearest 0.0001 g before and after oven drying.

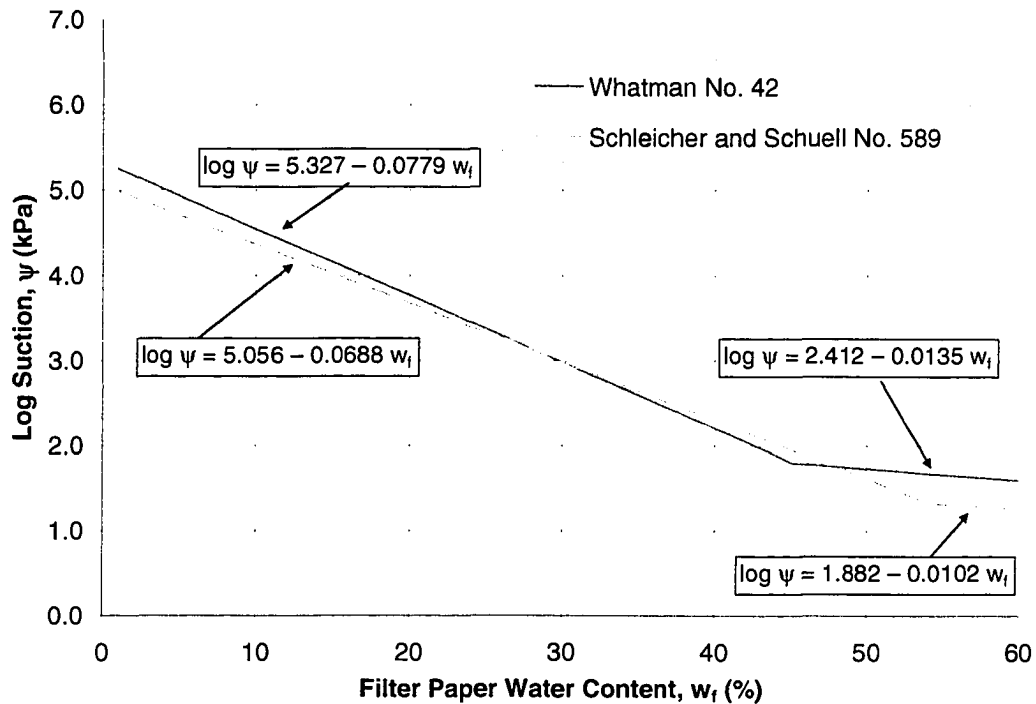
The principle of the filter paper method is the suction of a filter paper will come to equilibrium with that of the soil sample. The relative humidity inside the container will be controlled by the soil suction. Equilibrium can be reached by either liquid or vapor moisture exchange between the filter paper and the soil specimen. Using the filter paper method it is possible to measure either the total or the matric suction of a soil (ASTM D5298-94). When the filter paper is placed in contact with the water in the soil, the soil suction measured at equilibrium will be the matric suction of the soil, because the

salt content of the water in the filter paper will be the same as that of the soil water. On the other hand, if the filter paper is not in contact with the soil, the soil suction measured at equilibrium will be the total suction of the soil.

#### **2.2.2.2.1 Calibration Curves**

Figure 2-10 presents the range of calibration relationships determined for two most commonly used papers including the Whatman No. 42 paper and the Schleicher and Schnell, No. 589 paper obtained from ASTM D5298-94. Filter papers have a bilinear relationship between suction and filter paper water content on a semi-log scale. McQueen and Miller (1968) attributed that the lower part of the curve to the range where water is held in the filter paper by capillary forces, whereas the upper curve was believed to represent water held by the paper as an adsorbed film.

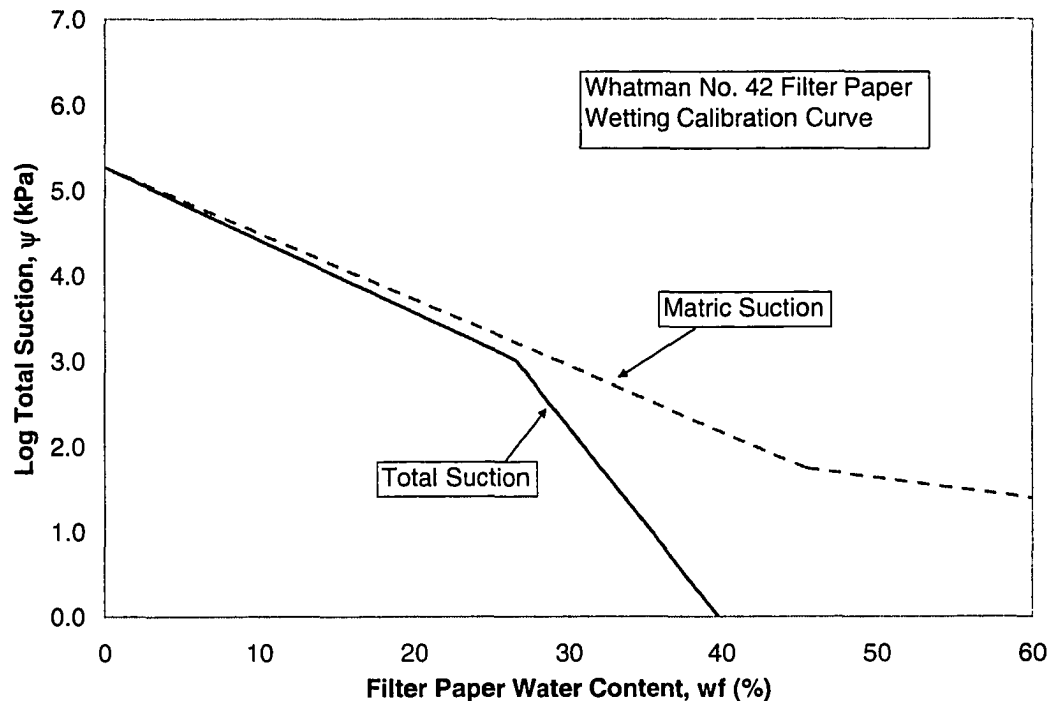
ASTM D5298-94 reports that the calibration curves shown in Figure 2-10 were obtained from Greacen, et al. (1987). Greacen, et al. (1987) referred to the Fawcett and Collis-George (1967) paper for their calibration data with suction greater than 50 kPa. Fawcett and Collis-George (1967) indicated that the calibration data were determined using pressure plate and vacuum desiccator methods, which implies that the calibration curve is only for matric suction measurement. Fawcett and Collis-George (1967) also indicated that the calibration curve is the wetting curve for the filter paper. Houston, et al. (1994) successfully duplicated the matric suction calibration curve from Fawcett and Collis-George (1967).



**Figure 2-10 Filter Paper Calibration Curves from ASTM D5298-94**

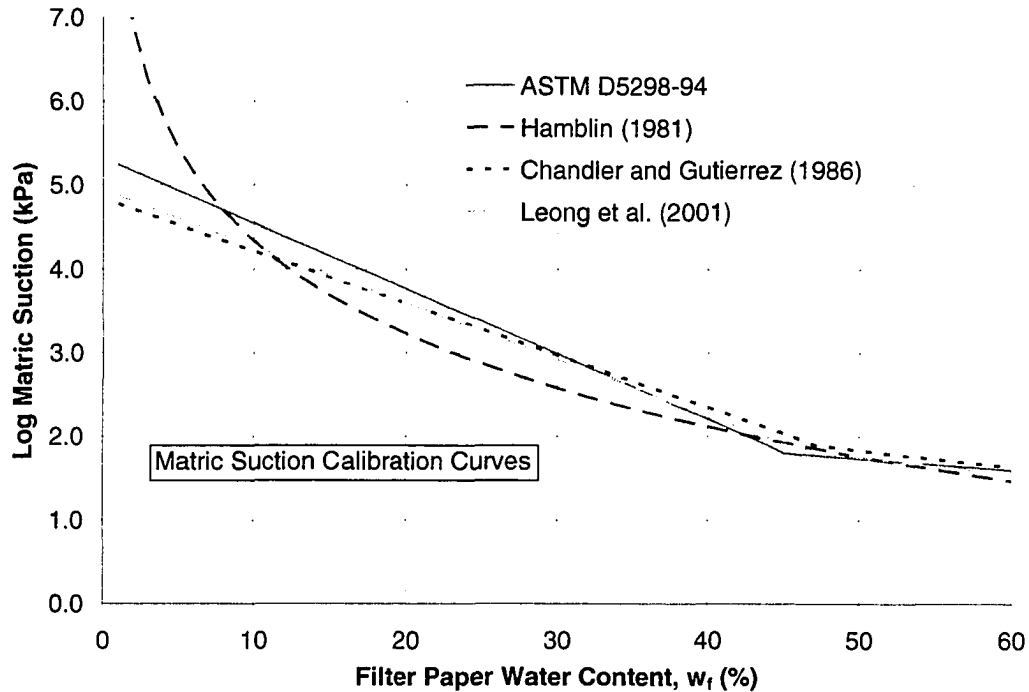
The calibration curves shown in ASTM D5298-94 should be used only to obtain matric suction of soil samples via the filter paper contact method. Bulut, et al. (2001) constructed a wetting calibration curve for the total suction measurement using sodium chloride (NaCl) salt solutions and Schleicher & Schuell No. 589-WH filter papers. It was found that the change in the wetting calibration curve is very sensitive to minor changes in filter paper water content below a suction of 1.5 log kPa (2.5 pF). Leong, et al. (2002) developed wetting calibration curves for the total and matric suction measurements using salt solutions of various concentrations in vacuum desiccators. Figure 2-11 shows the calibration curves for the Whatman No. 42 filter paper from Leong, et al. (2002). Figure 2-11 shows that the calibration curves using the contact and non-contact methods converge at a soil suction value of approximately 1,000 kPa. It is also important to note

that there is a dramatic decrease of total suction at high filter paper water contents, as shown in Figure 2-11. Bulut, et al. (2001) explained that this dramatic decrease is related to the nature of Kelvin's equation and to the use of the logarithmic scale.



**Figure 2-11 Filter Paper Wetting Calibration Curves for Total and Matric Suction Measurements (after Leong, et al., 2002)**

Additional calibration curves were constructed by other researchers (Hamblin, 1981; and Chandler and Gutierrez, 1986). A comparison of the wetting calibration curves for the matric suction measurement using the Whatman No. 42 filter paper is presented in Figure 2-12. This figure shows that there are some differences in the calibration curves, even for the same brand of filter paper. Leong, et al. (2002) concluded that the differences in the calibration curves may result from (1) quality of filter paper, (2) suction source used in the calibration process, (3) hysteresis of filter paper, and (4) equilibration time.



**Figure 2-12 Comparison of Calibration Curves for Matric Suction Measurement**

#### **2.2.2.2.2 Hysteresis of Filter Paper**

The desorption and absorption of a porous medium shows hysteresis, and filter paper is also expected to exhibit hysteretic behavior during the desorption and absorption process. Fawcett and Collis-George (1967) has shown experimental evidence of hysteresis in filter paper. Al-Khafaf and Hanks (1974) suggested that since filter paper was always wetted up during suction measurement, the filter paper should also be calibrated in the same manner to avoid the problem of hysteresis. Leong, et al. (2002) indicated that inadequate equilibration time will lead to larger hysteresis and concluded that hysteresis appears to be minor when equilibration time is sufficient.

### 2.2.2.2.3 Time for Equilibration

The equilibration time of the filter paper test has been evaluated by various researchers as listed in Table 2-4. ASTM D5294-94 recommends a minimum equilibration time of 7 days for running the filter paper contact and non-contact tests. However, in examining the required time for filter paper to reach equilibrium, it was found that the equilibration time is dependent on suction source, measured suction type (contact or non-contact method), material type, water content of soil specimen (suction level), number of pieces of filter paper used, relative humidity of the air, and soil mass and space in the container, as shown in Table 2-4.

**Table 2-4 Summary of Equilibration Time for Filter Paper Test**

| Reference                           | Measured Suction Type | Material Type                              | Time Required for Equilibration (days)                                                                                                              |
|-------------------------------------|-----------------------|--------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| Fawcett and Collis-George (1967)    | Matric                | -                                          | 6 – 7                                                                                                                                               |
| ASTM D5298-94 (1994)                | Total / Matric        | -                                          | 7                                                                                                                                                   |
| Houston, Houston, and Wagner (1994) | Total / Matric        | Sand, Silt, Clay                           | 7                                                                                                                                                   |
| Marinho (1994)                      | Total                 | NaCl Solution                              | >30 days ( $\psi = 0-100$ kPa)<br>30 days ( $\psi = 100 - 250$ kPa)<br>15 days ( $\psi = 250 - 1,000$ kPa)<br>7 days ( $\psi = 1,000 - 30,000$ kPa) |
| Bulut, Lytton, and Wray (2001)      | Total                 | Fine Clay, Sandy Silt, and Pure Sand       | 7                                                                                                                                                   |
| Burger and Shackelford (2001a)      | Matric                | Processed Diatomaceous Earth (CG1 and CG2) | 8 days ( $u_a - u_w \leq 100$ kPa)<br>14 days ( $u_a - u_w > 100$ kPa)                                                                              |
| Leong, He, and Rahardjo (2002)      | Total                 | Salt Solution                              | 6                                                                                                                                                   |
|                                     | Matric                | Clay (CL)                                  | 2 – 5                                                                                                                                               |
| Bulut and Wray (2005)               | Total                 | Salt Solution                              | 14                                                                                                                                                  |

### 2.2.3 Mathematical Forms of SWCC

A number of mathematical equations have been proposed to describe the soil water characteristic curve. Table 2-5 summarizes the mathematical equations proposed for the soil water characteristic curve. Most of the SWCC equations shown in Table 2-5 are empirical in nature. The equations were suggested based on the shape of the SWCC. The equations listed in Table 2-5 involve unknown parameters that need to be determined. The saturated volumetric water content,  $\theta_s$ , is determined by measuring porosity of the soil whereas the residual volumetric water content,  $\theta_r$ , is not always determined in the laboratory. Table 2-5 shows that the maximum number of the unknown parameters for the equations is six if the saturated volumetric water content is known.

The Gardner (1958) equation was originally proposed for defining the unsaturated hydraulic conductivity function, and its application to the SWCC is inferred. The mathematical equations proposed by Burdine (1953) and Mualem (1976) are three-unknown-parameter equations that become special cases of the more general four-unknown-parameter equation proposed by van Genuchten (1980). Fredlund and Xing (1994) established a theoretical basis of the SWCC by considering the pore-size distribution curve for the soil. They introduced a correction function,  $C(\psi)$ , in the equation to force the soil water characteristic curve to pass through a soil suction of  $10^6$  kPa at zero water content. Leong and Rahardjo (1997) indicated that the soil suction value of  $10^6$  kPa at zero water content is supported by experimental data. However, it has no theoretical basis. Even though each equation has its own limitations, all of the proposed equations provide a reasonable fit of soil water characteristic data in the low

and intermediate suction ranges. Leong and Rahardjo (1997) concluded that the equation suggested by Fredlund and Xing (1994) gives the best fit among the equations.

Burger and Shackelford (2001a and 2001b) proposed the use of a bimodal mathematical function to describe the SWCCs of sand-pelletized diatomaceous earth mixtures containing two distinct grain-size distributions. They stated that the measured SWCCs are bimodal due to two distinct pore-size distributions associated with the microscopic and macroscopic porosity regions of the materials. Fredlund, et al. (2000) had previously proposed the use of a bimodal function to describe the grain-size curves of gap-graded soils with two distinct grain-size distributions.

**Table 2-5 Summary of Mathematical Equations Proposed for the SWCC**

| Year | Author(s)              | Equation                                                                                                                                                                                                                                                                                  | Unknown Parameters                   |
|------|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|
| 1953 | Burdine                | $\theta = \theta_r + \frac{\theta_s - \theta_r}{[1 + a\psi^b]^c}$                                                                                                                                                                                                                         | $\theta_r, a, b,$<br>$c = 2/(1 - b)$ |
| 1958 | Gardner                | $\theta = \theta_r + \frac{\theta_s - \theta_r}{1 + a\psi^b}$                                                                                                                                                                                                                             | $\theta_r, a, b$                     |
| 1964 | Brooks and Corey       | $\theta = \begin{cases} \theta_s & \psi \leq \psi_d \\ \theta_r + (\theta_s - \theta_r)(\alpha \cdot \psi)^{-\lambda} & \psi > \psi_d \end{cases}$                                                                                                                                        | $\theta_r, \alpha, \lambda$          |
| 1976 | Mualem                 | $\theta = \theta_r + \frac{\theta_s - \theta_r}{[1 + a\psi^b]^c}$                                                                                                                                                                                                                         | $\theta_r, a, b,$<br>$c = 1/(1 - b)$ |
| 1980 | van Genuchten          | $\theta = \theta_r + \frac{\theta_s - \theta_r}{[1 + a\psi^b]^c}$                                                                                                                                                                                                                         | $\theta_r, a, b, c$                  |
| 1983 | Williams, et al.       | $\ln \psi = a + b \ln \theta$                                                                                                                                                                                                                                                             | $a, b$                               |
| 1984 | McKee and Bumb         | $\theta = \theta_r + (\theta_s - \theta_r) \exp\left(\frac{a - \psi}{b}\right)$                                                                                                                                                                                                           | $\theta_r, a, b$                     |
| 1994 | Fredlund and Xing      | $\theta = C(\psi) \frac{\theta_s}{\left[ \ln \left( e + \left( \frac{\psi}{a} \right)^b \right) \right]^c}$                                                                                                                                                                               | $C(\psi), a, b, c$                   |
| 2001 | Burger and Shackelford | $\theta = \begin{cases} C(\psi) = \frac{\theta_j}{\left\{ \ln \left[ e + \left( \frac{\psi}{a'} \right)^{n'} \right] \right\}^{m'}}; \psi_j < \psi \\ C(\psi) = \frac{\theta_s}{\left\{ \ln \left[ e + \left( \frac{\psi}{a} \right)^n \right] \right\}^m}; \psi \leq \psi_j \end{cases}$ | $a, m, n, a', m',$<br>$n'$           |

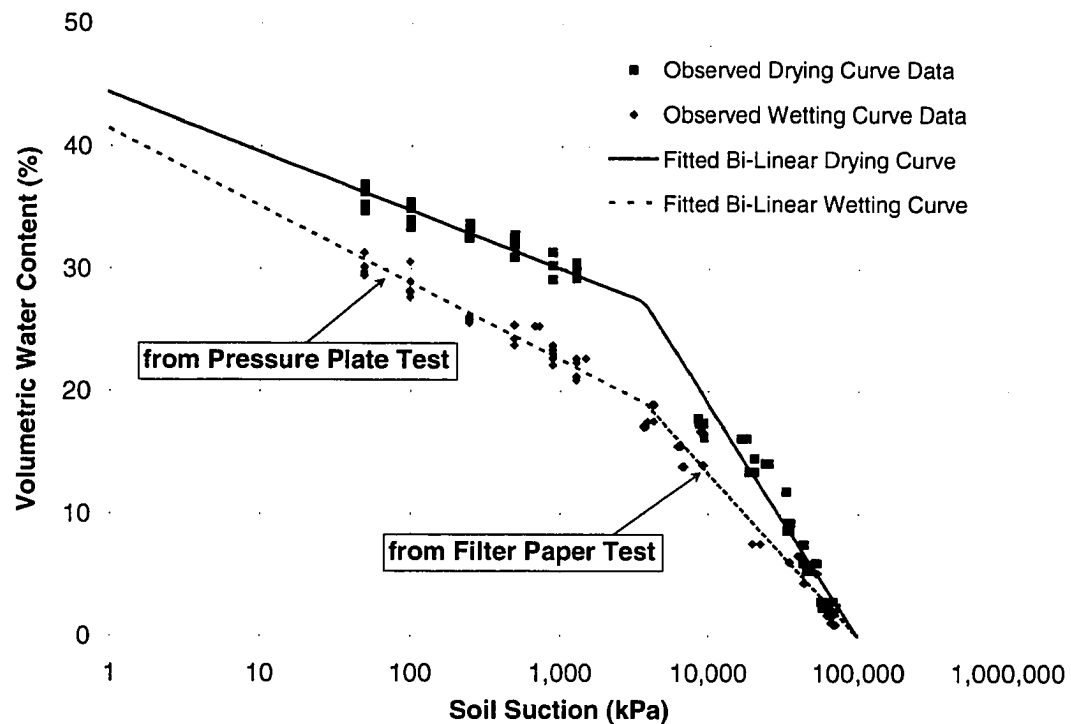
where:  $\theta$  = volumetric water content;  
 $\theta_r$  = residual volumetric water content;  
 $\theta_s$  = saturated volumetric water content (measured in the laboratory, and hence, assumed to be a known parameter);  
 $\theta_j$  = junction volumetric water content;

|                      |   |                                                                                                          |
|----------------------|---|----------------------------------------------------------------------------------------------------------|
| $\psi$               | = | soil suction (i.e., matric suction at low suctions and total suction at high suctions);                  |
| $\psi_j$             | = | junction soil suction,                                                                                   |
| $C(\psi)$            | = | correction function that forces the volumetric water content to be zero at a soil suction of $10^6$ kPa; |
| $e$                  | = | natural number, 2.71828; and                                                                             |
| $a, b, c, \alpha,$   |   |                                                                                                          |
| $\lambda, m, n, a',$ |   |                                                                                                          |
| $m', n'$             | = | fitting parameters.                                                                                      |

Chao (1995) performed a modified pressure cell test, filter paper test, and CLOD test to estimate soil water characteristic curves for two expansive soils including Pierre Shale and Texas Soil under a zero overburden pressure. Chao, et al. (1998) presented a bilinear semi-logarithmic fit to the observed data for drying and wetting curves of Pierre Shale (refer to Figure 2-13). The regression curves show a good agreement to the observed drying and wetting data for Pierre Shale. The bilinear form also gives a good fit to the observed drying and wetting data for Texas Soil. In addition, a distinct air-entry pressure is not evident on either the drying or wetting curves of the expansive soils tested.

The bilinear relationship of the SWCC for expansive soil has also been reported by others (McKeen and Neilsen, 1978; Marinho, 1994; and Miller, 1996). The change in slope of the SWCC for expansive soil has been attributed to a transition between macropore (capillary) spaces and micropore (diffuse double layer) spaces (Miller, 1996). The physical significance of this apparent transition point may be attributed to the bi-level pore size distribution researched by Al-Mukhtar (1995), Alonso, et al. (1995); and Wan, et al. (1995). It was hypothesized that this bilinear relationship is a manifestation of the transition from macropore spaces, where water retention is governed by capillary

mechanisms, to micropore spaces, where water retention is governed by thermodynamic forces (Miller, 1996).



**Figure 2-13 Bi-Linear Equation Fitted to Observed Data for Pierre Shale Taking Volume Change into Account**

The apparent transition point for Pierre Shale shown in Figure 2-13 occurs at approximately 4,000 kPa. This value is higher than the observed transition value of approximately 50 to 100 kPa for the filter papers shown in Figure 2-10. This higher transition point may be attributed to the wider range of pore sizes in the test soil compared to the filter papers (Miller, 1996).

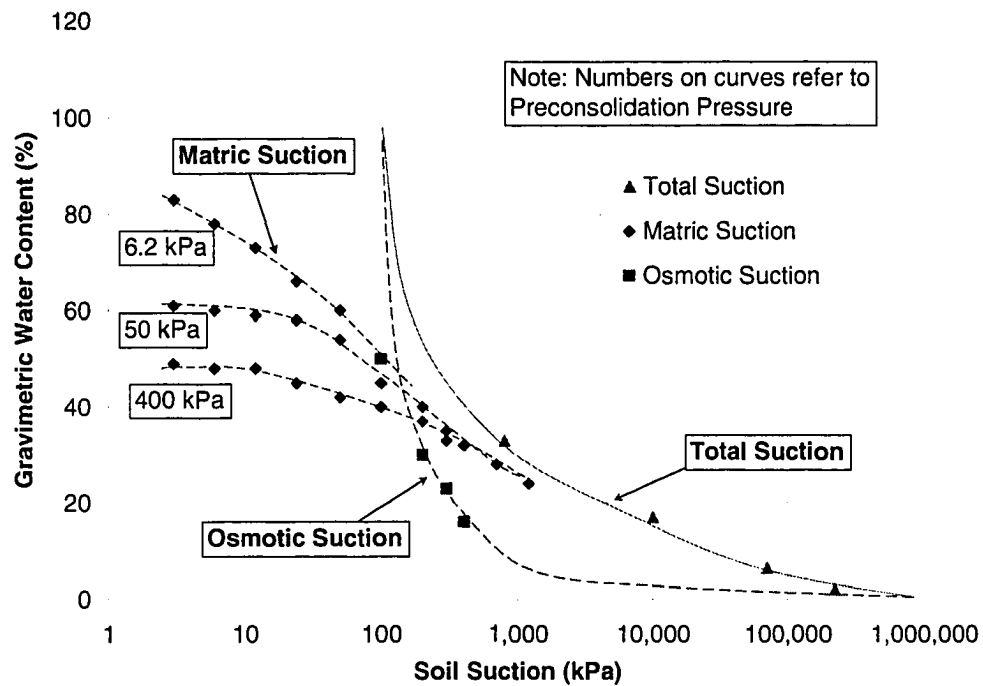
#### **2.2.4 Influence of Stress State on Soil Water Characteristics**

In order to assess and predict the soil water characteristic behavior of an unsaturated soil in the field, it is important to test the specimen in the laboratory

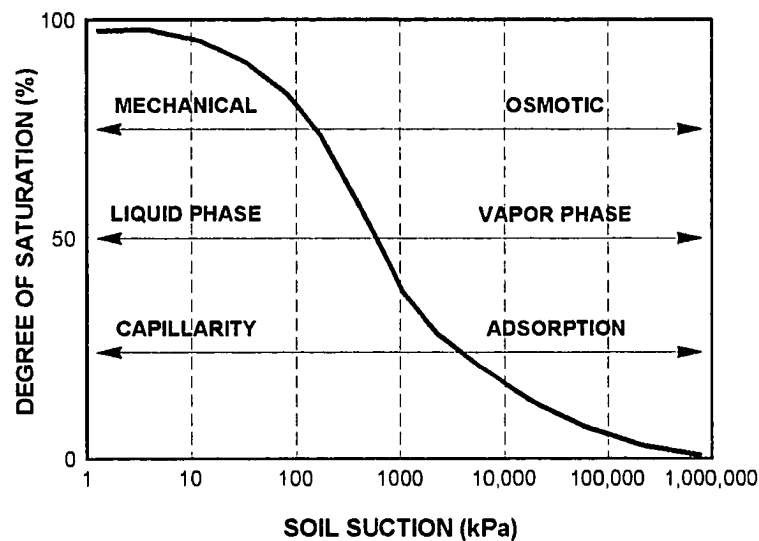
simulating the physical state and stress state conditions of the in-situ soil. Vanapalli, et. al. (1998) and Ng and Pang (2000) performed a series of “modified” pressure plate tests with an application of applied stress on soil specimens to account for the influence of the stress state on a soil water characteristic behavior of non-expansive soils. They concluded that unsaturated soil properties can be more accurately estimated using the soil water characteristic curve that has been estimated taking into account the influence of stress state.

Fredlund (2002) conducted a series of laboratory tests to obtain soil water characteristic curves for Regina clay under various overburden pressures. The Regina clay has over 90% silt and clay, more than 75% montmorillonite in the clay fraction, and a liquid limit of about 90%. Figure 2-14 shows the results of the tests in the plot of gravimetric water content versus soil suction. Figure 2-14 indicates that the gravimetric water content at 3 kPa decreases by approximately 41 percent due to the increase of the overburden pressure from 6.2 to 400 kPa. Figure 2-14 indicates that the soil water characteristic curve is affected by the overburden pressure only when the soil suction is below approximately 1,000 kPa (10 bars), which is approximately the boundary between the capillarity and adsorption, as shown in Figure 2-15. Fredlund (2002) stated that matric suction (capillary effects) has been shown to dominate the lower suction portion of a SWCC, while osmotic suction (salt concentration) dominates the high suction portion of the SWCC (refer to Figure 2-15). This is because capillary effects dominate when there is still a significant amount of liquid water in the soil (Edgar, et al., 1989). As the soil becomes dry, liquid flow decreases and vapor flow dominates. This means that the

osmotic suction related to hydrated water in the adsorbed salts begins to dominate the behavior of the soil at a high suction range.



**Figure 2-14 Soil Suction vs. Gravimetric Water Content and Illustration of the Influence of the Components of Soil Suction (modified after Fredlund, 2002)**



**Figure 2-15 Illustration of the Influence of the Components of Soil Suction in Different Ranges of Suction (modified after Barbour, 1999)**

## **2.3 Heave Prediction Methodology**

Heave prediction is generally accomplished using one of two methods, viz., (1) the soil suction method, and (2) the oedometer method. In the soil suction method, the soil suction stress state variable is considered to be the fundamental variable affecting heave, and applied effective stress is considered as a percentage of the swelling pressure. Thus, in the soil suction method both oedometer tests and measurements of soil suction are necessary. In the oedometer method, only the effective stress is defined and the soil suction is considered indirectly by assumed that the final value of matric suction of the soil is zero at the end of the inundation process. In this method, only oedometer tests are needed. The oedometer method was used in this research and, therefore, a detailed review of only the oedometer method is provided below.

### **2.3.1 Evolution of Heave Prediction Methodologies Using the Oedometer Test**

Heave prediction methodologies were first developed in the late 1950s, and originated as an extension of methods used to estimate volume change due to settlement in saturated soils using results of one-dimensional oedometer (consolidation) tests. Heave prediction methodologies have been refined continuously as knowledge and understanding of unsaturated soil behavior has increased. Taylor (1948) proposed a mathematical model describing settlement of a layer of saturated soil. Jennings and Knight (1957) first proposed the extension of settlement theory to heave prediction using oedometer tests. Salas and Serratos (1957) presented the oedometer heave prediction model in terms of the logarithmic pressure, and incorporated the “swelling pressure” of a

soil into the equation. Their equation was of the same form as that presented by Taylor (1948).

The “swelling pressure” of a soil was first defined by Palit (1953), as the pressure in an oedometer test required to prevent a soil sample from swelling after being saturated. Fredlund, et al. (1980) set forth the theoretical framework to include soil suction in the prediction of heave. In 1983, Fredlund proposed the modern form of the heave prediction equation for oedometer tests. Fredlund and Rahardjo (1993) also published a heave prediction methodology based on Fredlund (1983). Chen (1988) referenced the method presented in Fredlund (1983) and presented an example of its use to predict heave.

The evolution of heave prediction methodologies using oedometer tests has been largely related to determination of the index parameter used in the heave equation. Burland (1962) first proposed using the slope of the rebound portion of the consolidation-swell curve. Fredlund and Rahardjo (1993) indicated that the slope of the unloading curve from consolidation-swell tests is approximately the same as the slope of the rebound curve determined from constant volume tests. Porter and Nelson (1980) compared the test results of the constant volume (controlled strain) test to those of the consolidation-swell test. Fredlund’s method (Fredlund, 1983; Chen, 1988; Fredlund and Rahardjo, 1993) and the method presented in Nelson and Miller (1992) used test results from both the consolidation-swell test and the constant volume test to determine the index parameter. The method presented in Nelson and Miller (1992) uses the same equation as Fredlund (1983). Feng, et al. (1998) presented a thorough comparison of swell pressure as determined by different oedometer test methods. Nelson, et al. (1998) and Bonner (1998) presented a method of estimating the index parameter using test

results from only consolidation-swell tests. Nelson, et al. (2006) refined the analysis and developed the methodology for determining the percent swell as a function of the inundation pressure.

### **2.3.2 Oedometer Test Approach**

#### **2.3.2.1 Oedometer Tests**

The most common measurement of stress-strain relationship involves the use of the one-dimensional consolidation apparatus, or oedometer. A compression curve obtained from an oedometer test for a saturated soil is a relationship between the amount of volume change in the soil and the normal stress. Thus, the compression curve becomes a special case of the entire void ratio constitutive surface, as shown in Figure 2-2. Numerous test procedures of the oedometer test have been developed to determine the stress-strain relationship for an unsaturated soil. A summary of the test procedures is described in Nelson and Miller (1992). The main differences among the test procedures are loading sequences and applied surcharge pressures in attempts to duplicate in situ stress state conditions. There are two commonly used procedures for conducting oedometer tests for expansive soils, namely, the “consolidation-swell” and “constant volume” tests. The tests on expansive soils differ in the loading procedures that are used. The consolidation-swell and constant volume tests used in geotechnical engineering practice to determine swelling potential for the prediction of heave of expansive soils are described below.

### 2.3.2.1.1

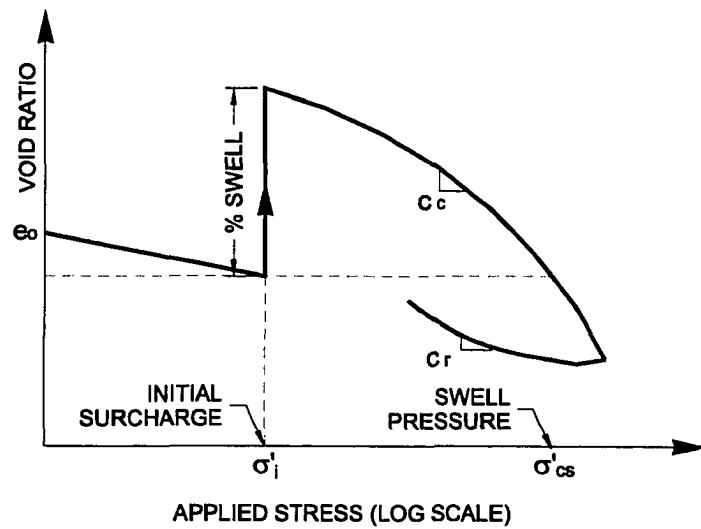
### Consolidation-Swell Test

In the consolidation-swell test, a soil specimen is placed in a consolidation ring and subjected to a prescribed vertical stress, termed the “inundation pressure.” After loading under the inundation pressure for a period of time, usually about 24 hours, the specimen is inundated and allowed to swell while still being loaded at the inundation pressure. The inundation pressure may represent the overburden pressure, overburden pressure plus the applied load from the structure, or some other arbitrary value. An inundation pressure of 24 kPa (500 psf) or 48 kPa (1,000 psf) is commonly used in the geotechnical engineering practice of the Front Range area. After swelling, the specimen is subjected to additional load in increments, and may be unloaded in decrements.

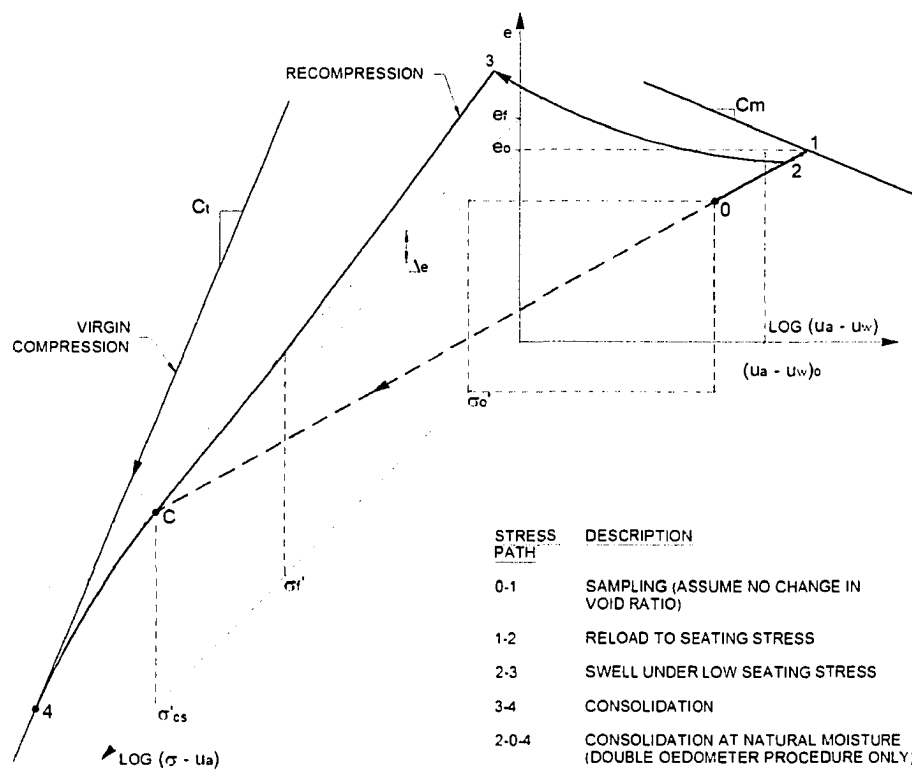
Typical stress paths followed in the consolidation-swell test are illustrated in Figure 2-16 on a two-dimensional plot. In Figure 2-16, the percent swell is identified as the increase in void ratio or strain at which the specimen is wetted at the inundation pressure,  $\sigma'_i$ . The swelling pressure,  $\sigma'_{cs}$ , is defined as the vertical stress required to compress the specimen back to the volume it had at the time of inundation. It should be noted that because the excess pore fluid pressures are allowed to dissipate to zero after each load increment, it is not necessary to differentiate between effective and total stress in Figure 2-16. The slope of the loading curve plotted on a semi-logarithmic scale gives the compression index,  $C_c$ , which can be related to the coefficient of compressibility,  $a_1$ , shown in Figure 2-2.

Figure 2-17 depicts the stress paths followed in the consolidation-swell test on a three-dimensional plot. In Figure 2-17, the initial sampling and seating in the oedometer

apparatus are shown by stress path segment 0-1-2. Void ratio can be assumed to remain constant during the stress path segment 0-1-2 if an inundation pressure of 1.0 kPa is used, as suggested by Jennings, et al. (1973). The oedometer test procedure is depicted by stress path 1-2-3-4, where segment 2-3 represents swelling due to soaking under the inundation pressure and segment 3-4 represents consolidation at zero matric suction (100% saturation). The segment 3-4 is shown as a recompression branch of a consolidation curve, since expansive soils are typically highly overconsolidated (Fredlund, 1983). The stress paths shown in Figure 2-17 follow the constitutive surface for void ratio,  $e$ , as a function of the two stress state variables,  $(\sigma - u_a)$ , and  $(u_a - u_w)$ , similar to the one shown in Figure 2-2 (Nelson, et al., 2006).



**Figure 2-16 Two-Dimensional Consolidation-Swell Test Data**



**Figure 2-17 Three-Dimensional Consolidation-Swell Test Data (from Nelson and Miller, 1992)**

#### 2.3.2.1.2

#### Constant Volume Test

In the constant volume or “strain-controlled” test, the specimen is placed in the oedometer and subjected to a prescribed seating pressure as in the consolidation-swell test. However, when the specimen is inundated, the specimen is prevented from swelling. The swelling pressure,  $\sigma'_{cv}$ , is the maximum applied stress required to maintain no volume change of the specimen. When the swelling pressure stops increasing after inundation, the sample may be rebounded in increments or loaded beyond the swelling pressure (Porter and Nelson, 1980).

Typical stress paths followed for the constant volume test are illustrated in Figure 2-18 on a two-dimensional plot, and Figure 2-19 on a three-dimensional plot. In Figure 2-18, the stress at which water is added is not readily apparent since the applied stress is immediately increased to overcome the swelling tendency of the soil. As a result, the void ratio remains constant until the unloading process begins. Figure 2-19 illustrates that a decrease in matric suction as water is added, even though the void ratio is held constant by increasing the net normal stress.



### **2.3.2.2 Correction Factors for Oedometer Test Data**

The swelling pressure and swelling index determined from the oedometer test may require corrections for more reliable results (Fredlund, 1969; Chen, 1988; Nelson and Miller, 1992; Fredlund and Rahardjo, 1993; and MFG, 2003). Corrected values of the swelling pressure and swelling index may differ significantly from uncorrected values due to (1) specimen disturbance during sampling and (2) compressibility of the testing apparatus, filter paper, and porous stones.

#### **2.3.2.2.1 Corrections for Specimen Disturbance**

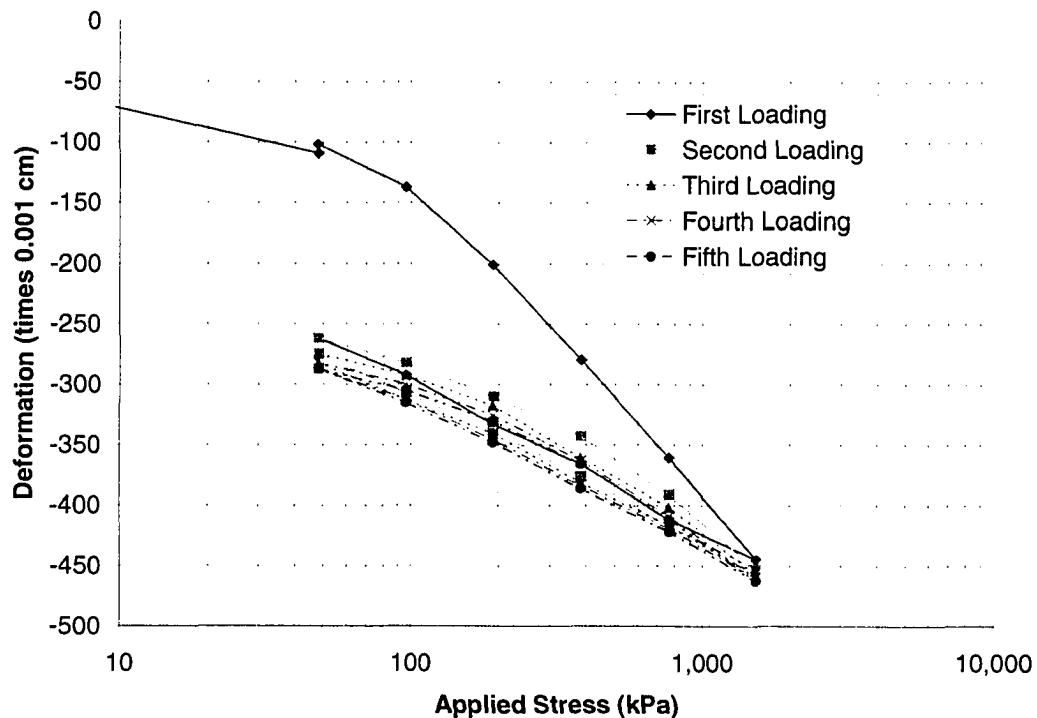
Fredlund and Rahardjo (1993) indicated that sampling disturbance increases the compressibility of the soil and does not permit the experimental specimen to return to its in-situ void ratio. Nelson and Miller (1992) stated that sampling disturbance causes matric suction to relax more rapidly, which in turn causes the measured swelling pressure to be significantly underestimated. The effect of sample disturbance on the stress paths for the constant volume test can be depicted as shown in Figure 2-19. The initial test conditions of a soil specimen are represented by point O shown in Figure 2-19. The swelling pressure at point A for the undisturbed specimen is significantly larger than that at point 3 for the disturbed specimen. A graphical technique which is similar to Schmertmann's construction for determining the preconsolidation pressure was proposed to correct the measured swelling pressure obtained from the constant volume test (Nelson and Miller, 1992). Fredlund (1979b) reported that a correction for sampling disturbance is not as significant for the consolidation-swell test as it is for the constant volume test.

#### **2.3.2.2.2**

#### **Corrections for Compressibility**

Other corrections to be applied to the swelling pressure and swelling index account for the effects of the compressibility of the oedometer apparatus, filter paper, and porous stones. The compressibility of the oedometer apparatus is significant because desiccated expansive soils are generally highly incompressible and have high preconsolidation pressures. Fredlund and Rahardjo (1993) recommended measuring the compressibility of the apparatus with a steel plug substituted for the soil sample. The measured deflections may be subtracted from the measured test data.

Filter paper should be used to prevent the fine particles of clays and silts from entering the pore spaces in the porous stones (ASTM D4546-03). ASTM D2435-96 states that measured vertical deformation must be corrected for apparatus flexibility in all tests where filter paper disks are used and whenever the calibration correction exceeds 5% of the measured deformation. Filter paper is relatively compressible, and exhibits significant hysteresis. Figure 2-20 shows the results of a filter paper correction performed by MFG (MFG, 2003). Figure 2-20 indicates that after several loading and unloading cycles of the filter paper, the hysteresis becomes small. Therefore, it is recommended that the filter paper be subjected to several loading and unloading cycles before calibrating the apparatus and before the consolidation-swell test is performed (MFG, 2003).

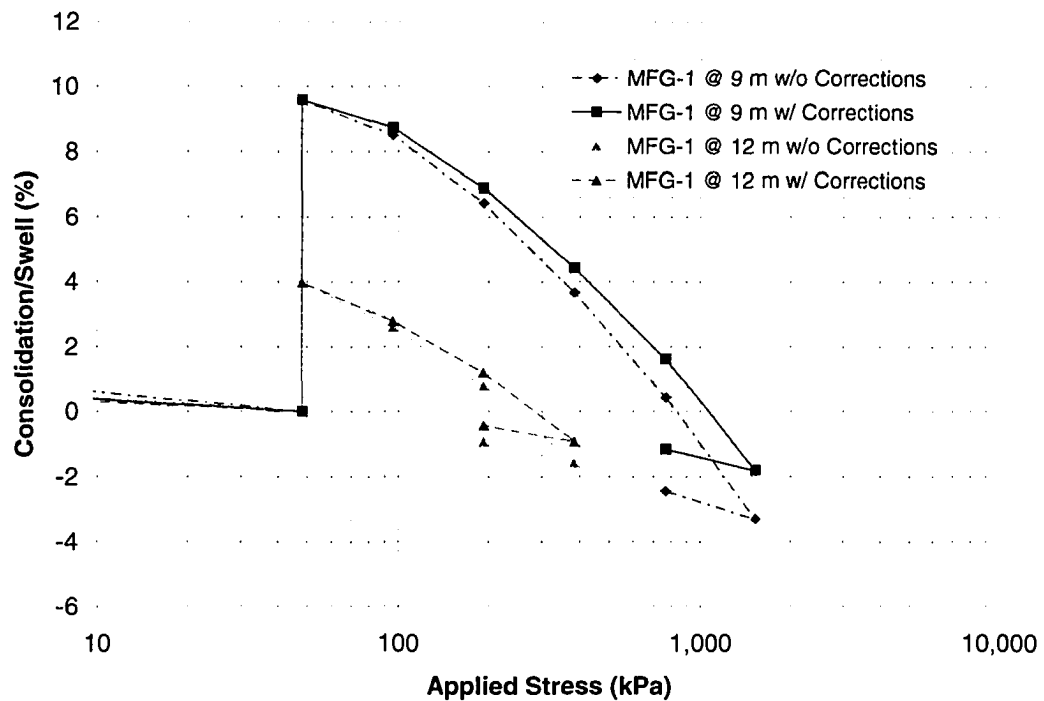


**Figure 2-20 Results of Filter Paper Correction (from MFG, 2003)**

ASTM D2435-96 recommends that porous stones be used at the top and bottom of the specimen in the oedometer test so that water can imbibe or drain, and thereby dissipate excess hydrostatic pressure. The procedure for measuring the compressibility of the porous stones and the associated correction are similar to those for the oedometer apparatus.

Fredlund (1969) stated that percentage errors without the corrections can be in excess of 100% for the swelling pressure and up to 50% for the swelling index. Chen (1988) reported that it is possible for the corrected values of swelling pressure to be 300% of the measured swelling pressure before correction. MFG (2003) verified the correction factors of the oedometer test data and found that the percentage difference between the corrected and uncorrected swelling pressures could be greater than 80%.

Figure 2-21 shows typical results of the corrections of the consolidation-swell test data obtained from MFG (2003). MFG (2003) concluded that deformation of the testing apparatus is attributed primarily to deformation of the porous stones and filter paper, and to a lesser degree to deformation of the oedometer itself.



**Figure 2-21 Results of Corrections for Oedometer Test Data (from MFG, 2003)**

### 2.3.2.3 Comparison of Swelling Pressures Determined from Consolidation-Swell and Constant Volume Tests

The swelling pressure,  $\sigma'_{cs}$ , determined in the consolidation-swell test is significantly higher than the swelling pressure,  $\sigma'_{cv}$ , measured in the constant volume test (Edil and Alanazy, 1992; Reichler, 1997; and Nelson, et al., 2003 and 2006). Nelson, et al. (2003 and 2006) stated that this is because the specimen imbibes water in the consolidation-swell test, and the swelling pressure includes the work necessary to expel

the water. In the constant volume test, the swelling pressure represents only that load necessary to avoid imbibition of water.

Edil and Alanazy (1992) evaluated the difference between the two swelling pressures for a highly plastic clay from Al-Medinah City, Saudi Arabia and concluded that the ratio of  $\sigma'_{cv}$  to  $\sigma'_{cs}$  is approximately 0.7. Research conducted by Reichler (1997) showed that the ratio of  $\sigma'_{cv}$  to  $\sigma'_{cs}$  is approximately 0.8 for Pierre Shale from Fort Collins, Colorado. It has been generally considered that the ratio is approximately 0.5 to 0.6 within the practicing engineering community (Bonner, 1998).

Nelson, et al. (1998 and 2006) proposed a relationship between  $\sigma'_{cv}$  and  $\sigma'_{cs}$  in a form as follows:

$$\sigma'_{cv} = \sigma'_i + \lambda(\sigma'_{cs} - \sigma'_i) \quad \text{Equation (2-11)}$$

where:

$\sigma'_i$  = inundation pressure, and  
 $\lambda$  = constant.

Nelson, et al. (2006) showed that an appropriate value for  $\lambda$  is in the general range of 0.5 to 0.7. They indicated that a value of 0.6 for the clay soil in the Front Range area of Colorado has been shown to provide reasonably accurate values of predicted heave in cases where actual measurements were possible. The value of  $\lambda$  should be determined for soil on a regional basis.

#### 2.3.2.4 Factors Influencing Swelling Pressure and Percent Swell

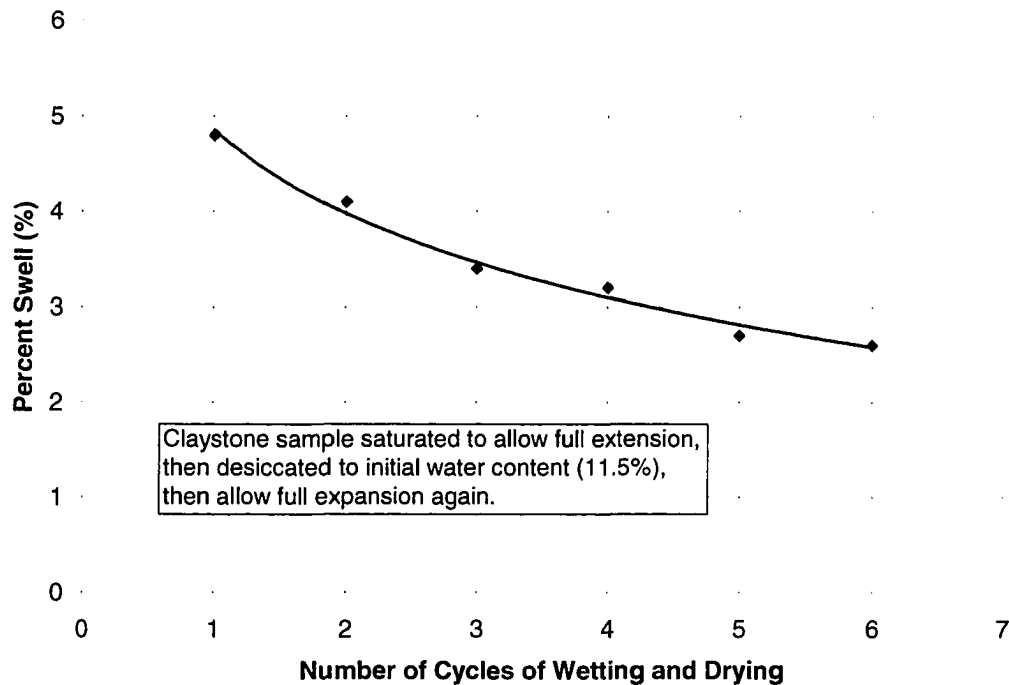
Chen (1988) performed a series of consolidation-swell tests on remolded samples to determine the effect of inundation pressure, degree of saturation, sample thickness, initial water content, and initial dry density on swelling pressure and percent swell. The results of his tests are summarized in Table 2-6. He concluded that the swelling pressure is independent of the inundation pressure, the degree of saturation, the sample thickness, and the initial water content. The swelling pressure increases with the increase of initial dry density. The percent swell is dependent on all of the parameters, except the sample thickness, as shown in Table 2-6.

**Table 2-6 Summary of Factors Influencing Swelling Pressure and Percent Swell by Chen (1988)**

| Increased Parameter          | Range of Parameter Tested     | Swelling Pressure | Percent Swell |
|------------------------------|-------------------------------|-------------------|---------------|
| Inundation Pressure          | 48 – 335 kPa                  | Constant          | Decrease      |
| Initial Degree of Saturation | 61 – 93%                      | Constant          | Decrease      |
| Sample Thickness             | 1.28 – 3.81 cm                | Constant          | Constant      |
| Initial Water Content        | 5.84 – 19.37%                 | Constant          | Decrease      |
| Initial Dry Density          | 1.51 – 1.90 Mg/m <sup>3</sup> | Increase          | Increase      |

The phenomenon of soil fatigue is another factor affecting the swelling pressure and percent swell of an expansive soil (Chen, 1965; Chu and Mou, 1973; and Popescu, 1980). Chen (1965) observed that expansive soil showed decreasing values of percent swell after each cycle of drying and wetting. Figure 2-22 shows results from his experiments. It was observed that pavements founded on expansive clays which have

undergone seasonal movement due to wetting and drying have a tendency to reach a point of stabilization after a number of years (Chen, 1988).



**Figure 2-22 Effect of Wetting and Drying Cycles on Percent Swell (after Chen, 1965)**

### 2.3.3 Heave Prediction Equation Using the Oedometer Method

“Free-field” heave is the amount of heave that the ground surface will experience due to wetting of the subsoils with no surface load applied. Because the surface load applied by slab-on-grade floors is very small relative to the swell pressure generated by an expansive soil, the heave of slabs is essentially the same as the free-field heave. To predict the heave of a soil profile, the soil is divided into a number of layers,  $n$ , of thickness,  $z_i$ . The general equation for predicting heave using the oedometer methods is presented as follows (Nelson and Miller, 1992):

$$\rho = \sum_1^n \left[ \frac{C_p z_i}{(1 + e_o)_i} \log \left( \frac{\sigma'_f}{\sigma'_{cv}} \right)_i \right] \quad \text{Equation (2-12)}$$

where:

- $\rho$  = free-field heave,
- $C_p$  = heave index,
- $z_i$  = layer thickness,
- $e_o$  = initial void ratio,
- $\sigma'_f$  = final effective stress state, and
- $\sigma'_{cv}$  = swelling pressure from the constant volume test.

It should be noted that the swelling pressure shown in Equation (2-12) is the swelling pressure obtained from the constant volume test.

Nelson, et al. (2006) proposed a refinement of the heave prediction method using the oedometer method as the following equation:

$$\rho = \sum_1^n \left[ C_H z_i \times \log \left( \frac{\sigma'_{cv}}{\sigma'_{vo}} \right)_i \right] \quad \text{Equation (2-12)}$$

where:

- $C_H$  = heave index,  $= \frac{\% \text{Swell}}{\log \left( \frac{\sigma'_{cv}}{\sigma'_i} \right)}$ , and
- $\sigma'_{vo}$  = overburden pressure at the midpoint of the layer at depth  $z$ .

Nelson, et al. (1998 and 2006) indicated that an accurate method to determine  $C_H$  would be to perform several consolidation-swell tests at different inundation pressures and a constant volume test. They noted, however, that doing so is generally not practical nor economical. Therefore, the relationship between  $\sigma'_{cv}$  and  $\sigma'_{cs}$  shown in Equation (2-

11) was proposed so that the value of the heave index,  $C_H$ , can be determined from a single consolidation-swell test (Nelson, et al., 2006).

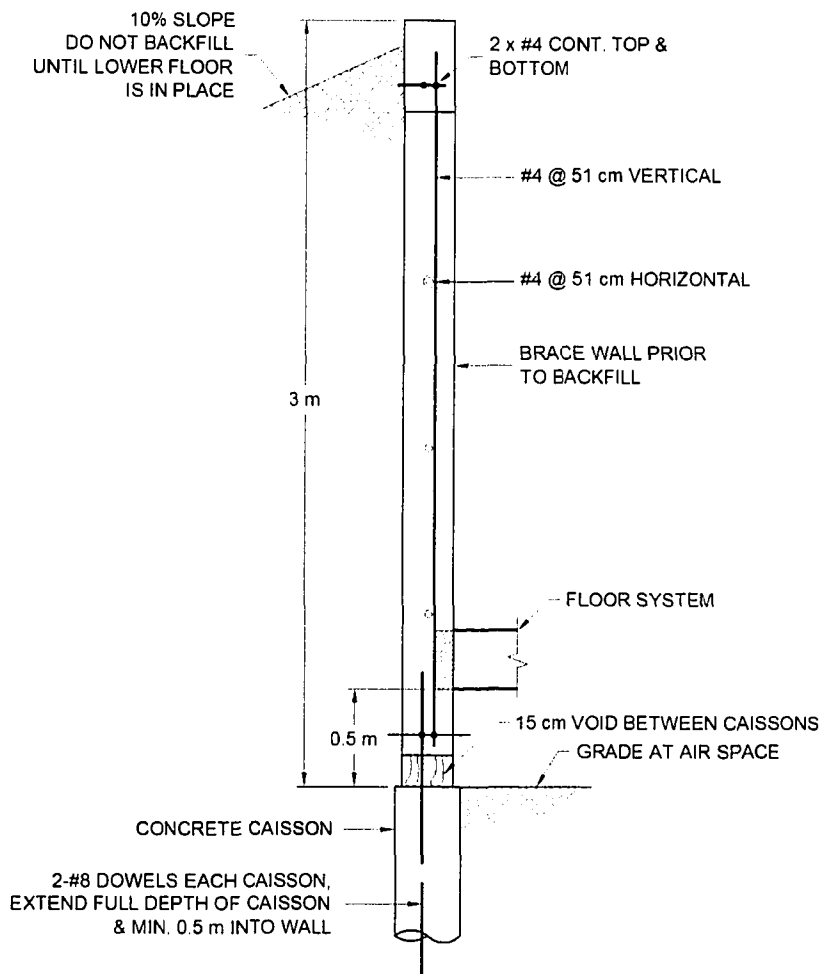
## **2.4 Design of Pier and Grade Beam Foundations**

### **2.4.1 General Description**

Piers have been used extensively for many years as foundations in expansive soils in the Rocky Mountain Front Range area of Colorado. A typical pier and grade beam foundation system that is used in the Front Range area of Colorado is shown in Figure 2-23. The main function of the piers is to provide anchorage in a stable non-swelling stratum sufficient to resist uplift caused by skin friction of expansive soils at shallower depths.

The major concern in the design of any piers in expansive soils is the uplift force exerted by soil swelling along the pier shaft within the active zone. A conservative approach is to consider the ultimate depth of the active zone to be equal to the depth of potential heave.

Straight shaft or belled piers are two commonly used piers. The use of these piers differs in different areas, depending on the soil conditions, depth to bedrock, and ground water conditions (Nelson and Miller, 1992). The pier diameter is typically kept small, usually between 25.4 and 45.7 cm, to minimize the area on which uplift pressures develop.



**Figure 2-23 Typical Pier and Grade Beam Foundation System**

#### 2.4.2 Design Considerations

Two approaches may be taken in the design of piers. If a stable non-expansive stratum exists sufficiently near the surface, the pier may be designed as a rigid member anchored in that stratum so as to prevent movement. This is called the rigid pier design method. However, if the depth of potential heave is large, the required length of pier may be too long to be practical. The pier may then be designed as an elastic member in an elastic medium. The predicted heave of the pier is computed, and the structure must be

designed to accommodate that amount of movement. This is called the elastic pier design method. A review of the design methods are provided in the following sections.

#### 2.4.2.1 Rigid Pier Design Method

Nelson and Miller (1992) presented a method of analysis for designing rigid piers based on work by Chen (1988) and O'Neill (1988). The forces acting on a rigid pier are shown in Figure 2-24. The principle of the design is that the negative skin friction below the depth of potential heave plus the dead load,  $P$ , must resist the uplift pressures produced by the swelling pressures exerted on the pier above that point. Chen (1988) assumed that the uplift pressures are constant throughout the active zone.

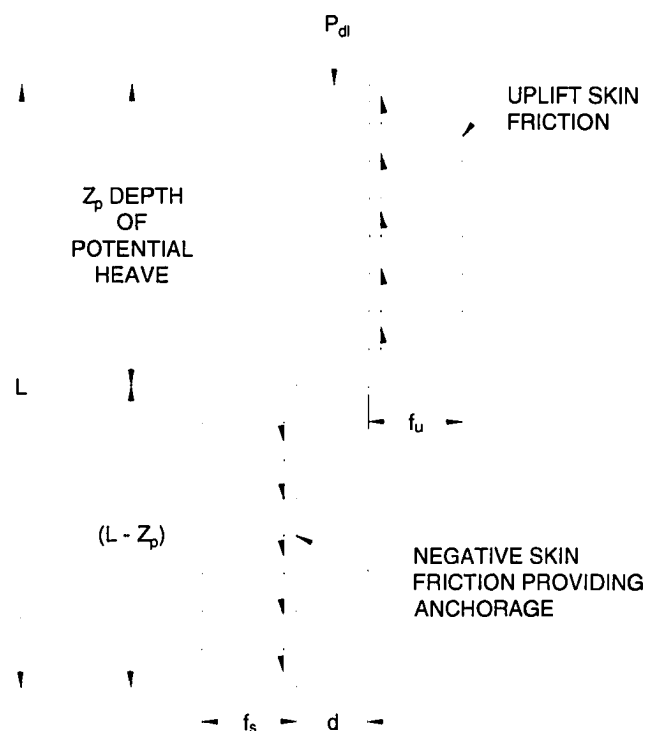
The equation for required length of a rigid straight shaft pier is as follows (Nelson, et al., 2003):

$$L = z_p + \frac{1}{f_s} \left[ \alpha_1 \sigma'_{cv} z_p - \frac{P_{dl}}{\pi d} \right] \quad \text{Equation (2-13)}$$

Where:

|                |   |                                                            |
|----------------|---|------------------------------------------------------------|
| $z_p$          | = | depth of potential heave,                                  |
| $f_s$          | = | negative skin friction below the depth of potential heave, |
| $\alpha_1$     | = | coefficient of uplift between the pier and the soil,       |
| $\sigma'_{cv}$ | = | swelling pressure from the constant volume test,           |
| $P_{dl}$       | = | minimum dead load on the pier, and                         |
| $d$            | = | diameter of the pier                                       |

The coefficient of uplift between the pier and the soil,  $\alpha_1$ , is necessary to determine the uplift forces and anchorage forces for the design of the piers. Chen (1988) reported a value of  $\alpha_1$  ranging from 0.30 and 0.64 based on results of laboratory experiments. Nelson and Miller (1992) stated that the value of  $\alpha_1$  can reasonably be assumed to be between 0.10 and 0.25. Benvenga (2005) found that the value of  $\alpha_1$  ranges from 0.3 to 0.8, and stated that the coefficient  $\alpha_1$  depends on the nature and strength of the soil, dimensions and method of installation of the pile, time effects, and other factors. The values presented by Benvenga agree more closely with typical factors presented for piers in ordinary soils.



**Figure 2-24 Forces Acting on a Rigid Pier in Expansive Soil**

The maximum tensile force generated in the pier,  $P_{\max}$ , generally occurs at a depth of approximately 0.6 of the pier length,  $L$ , (Poulos and Davis, 1980) and can be computed as follows:

$$P_{\max} = P_{dl@P_{\max}} - f_u z_p \pi d \quad \text{Equation (2-14)}$$

$P_{dl@P_{\max}}$  is the axial force caused by the applied dead load at the point where the maximum tensile force occurs. The coefficient  $f_u$  is the uplift skin friction on the pier ( $= \alpha_1 \sigma'_{cv}$ ).

#### 2.4.2.1 Elastic Pier Design Method

Design charts that allow prediction of pier movement for an elastic pier and soil are presented in Nelson and Miller (1992) based on solutions developed by Poulos and Davis (1980). These solutions utilize the predicted future free-field heave, as discussed in Section 2.3.3, stiffness of the soil, stiffness of the pier, and the geometry of the system. Nelson and Miller (1992) provided a complete discussion of the elastic pier design method for straight shaft piers and belled piers. The material discussed below is modified from Nelson and Miller (1992) to further facilitate its use by the design engineer.

The uplift skin friction along the side of the pier may be considered to be uniform along the length of the pier, or it may increase with depth. Cases of uniform and linear uplift skin friction along the side of the pier are shown in Figure 2-25 as Cases A and B, respectively. Case A would be the situation where the soil has generally the same swelling pressure throughout the depth of potential heave. Case B would be where a

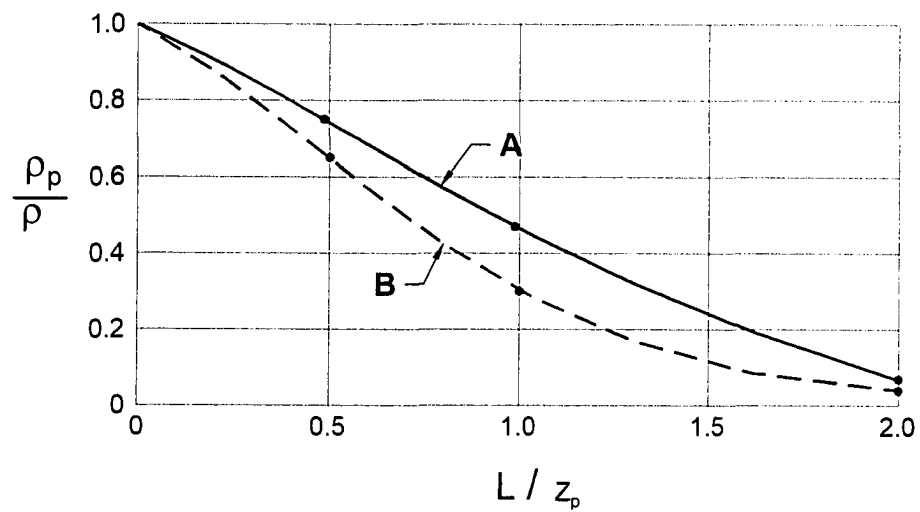
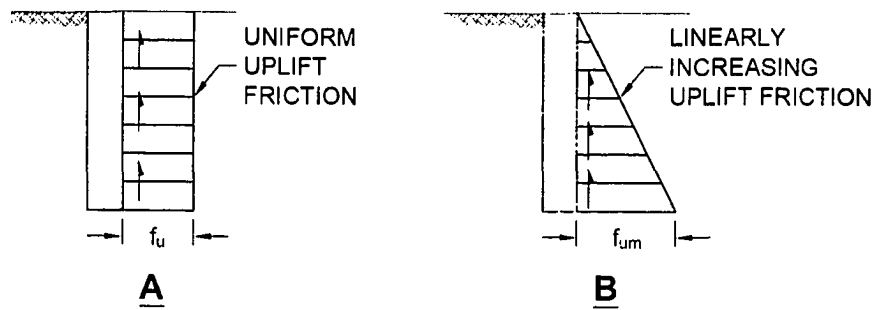
lower swelling soil and/or bedrock stratum overlies a more swelling claystone stratum (Nelson, et al., 2007).

Figure 2-25 shows normalized pier heave plotted as a function of the ratio of pier length to the depth of potential heave for a straight shaft pier (Nelson, et al., 2007). Two curves are presented depending on whether the uplift skin friction is constant with depth or whether it varies linearly with depth. Similar curves are presented in Figure 2-26 for belled piers having a bell diameter twice that of the shaft. Pier heave,  $\rho_p$ , can be computed using Figures 2-25 and 2-26 as long as the free-field heave,  $\rho$ , depth of potential heave,  $z_p$ , and pier length,  $L$ , are known.

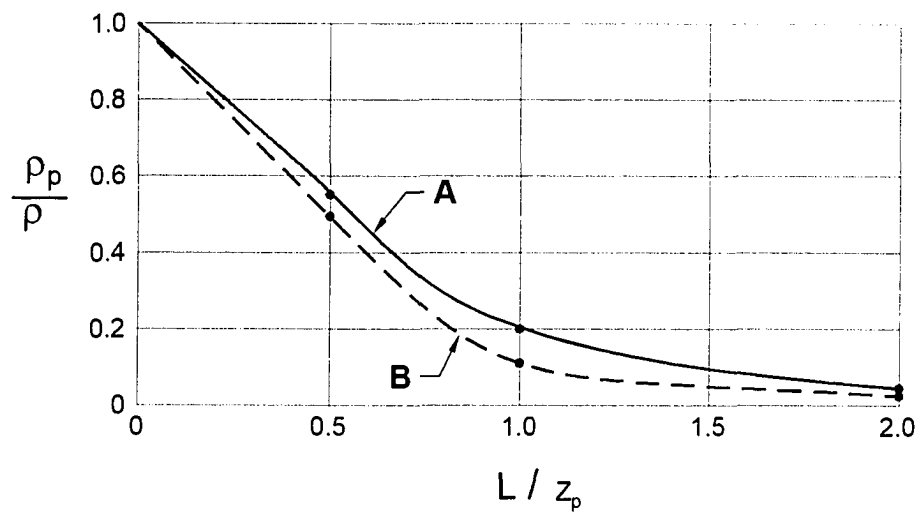
Figures 2-27 and 2-28 present the normalized maximum tensile force in straight shaft and belled piers as a function of the ratio of pier length to depth of potential heave. The maximum tensile force is normalized to the frictional force,  $P_{FS}$ , that would exist if the uplift friction were applied to the entire length of the pier. Nelson, et al. (2007b) provided equations for calculating  $P_{FS}$  values for Cases A and B as follows.

$$\text{Case A: } P_{FS} = -f_u L \pi d \quad \text{Equation (2-15)}$$

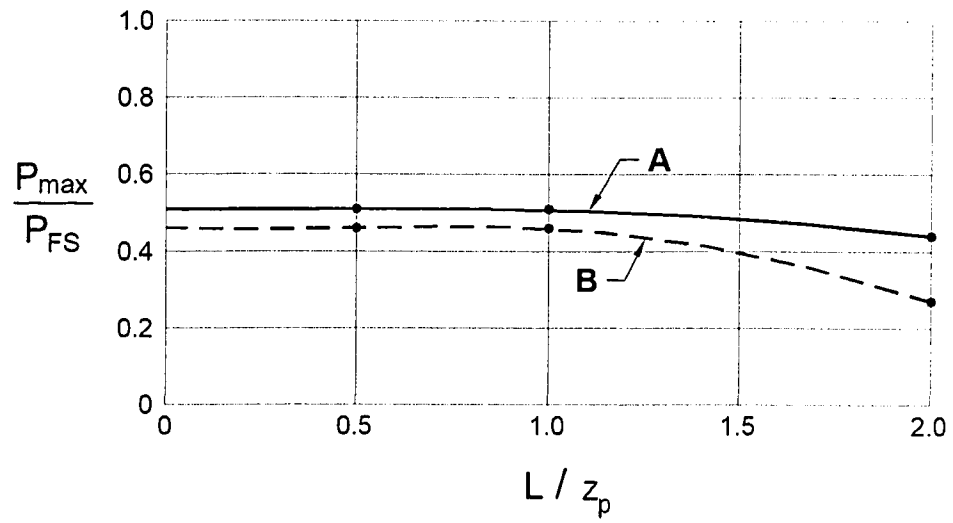
$$\text{Case B: } P_{FS} = -0.5f_u L \pi d \quad \text{Equation (2-16)}$$



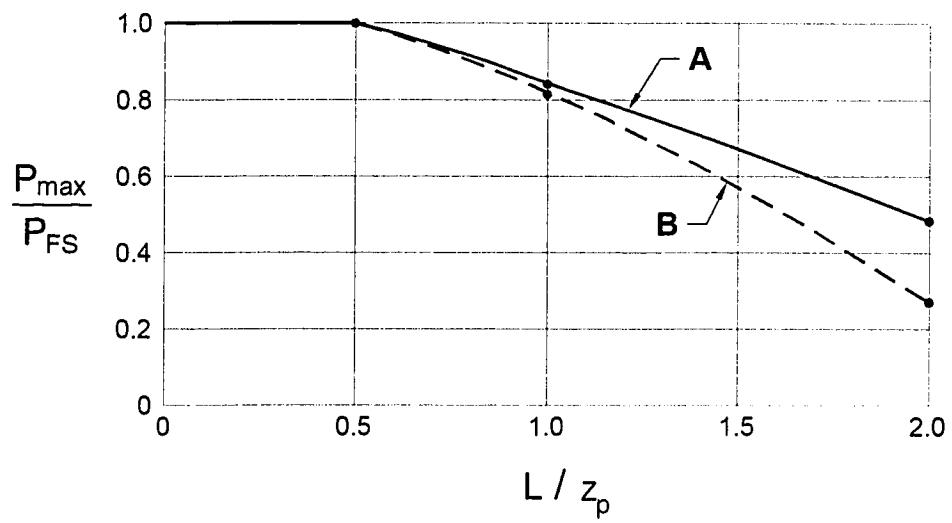
**Figure 2-25 Normalized Straight Shaft Pier Heave vs.  $L/z_p$**



**Figure 2-26 Normalized Belled Pier Heave vs.  $L/z_p$**



**Figure 2-27 Normalized Force in Straight Shaft Piers vs.  $L/z_p$**



**Figure 2-28 Normalized Force in Belled Piers vs.  $L/z_p$**

## **CHAPTER 3**

### **FIELD INVESTIGATION**

The field investigation conducted at the TRACON site included the drilling of thirty seven (37) exploratory borings, installation of nine (9) pneumatic piezometers for monitoring the pore water pressure conditions in the coal seams, five (5) standpipe piezometers to the top of the claystone bedrock for monitoring perched water conditions, twelve (12) subsurface nuclear gauge tubes for monitoring water content profiles of the subsoils and bedrock, three (3) deep benchmarks for elevation surveying, and elevation surveying of fifty (50) slab and pier monitoring points within the TRACON building. Table 3-1 summarizes the drilling and installation information including the period of drilling performed, the borehole depths, and the primary purpose of drilling.

#### **3.1 Exploratory Borings**

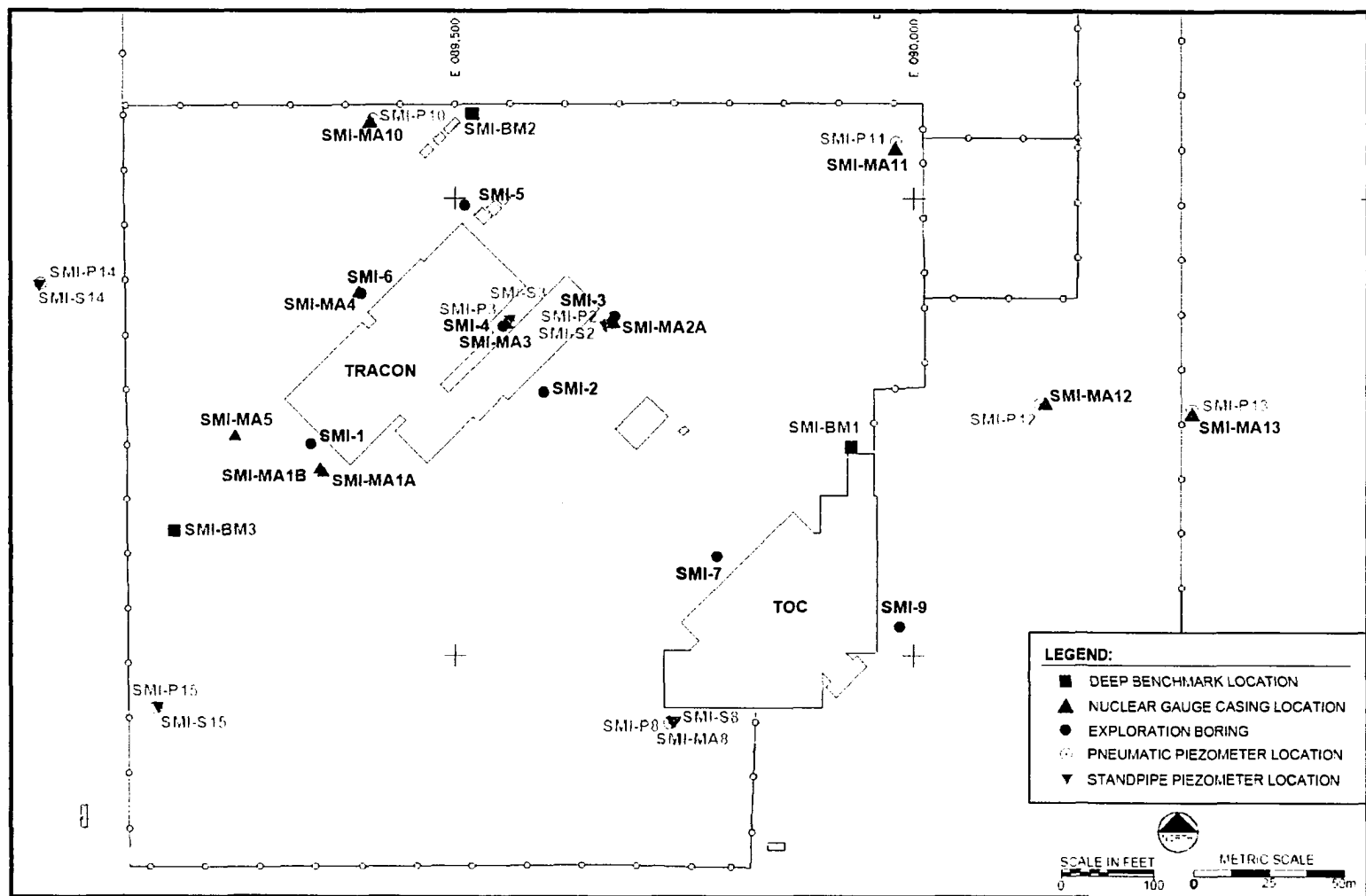
A total of thirty seven (37) exploratory borings were drilled within the TRACON facility to investigate soil/bedrock strata, soil/bedrock properties, and local and regional ground water conditions. The locations of the boreholes are shown on Figure 3-1.

Drilling was performed by Drilling Engineers, Inc., of Fort Collins, Colorado, using a CME 75 drill rig with a 18.4-cm outside diameter hollow-stem auger for the purpose of sampling and installation of the piezometers, and an air rotary drilling technique with a 7.0 or 7.9-cm drag bit for the purpose of installation of the subsurface

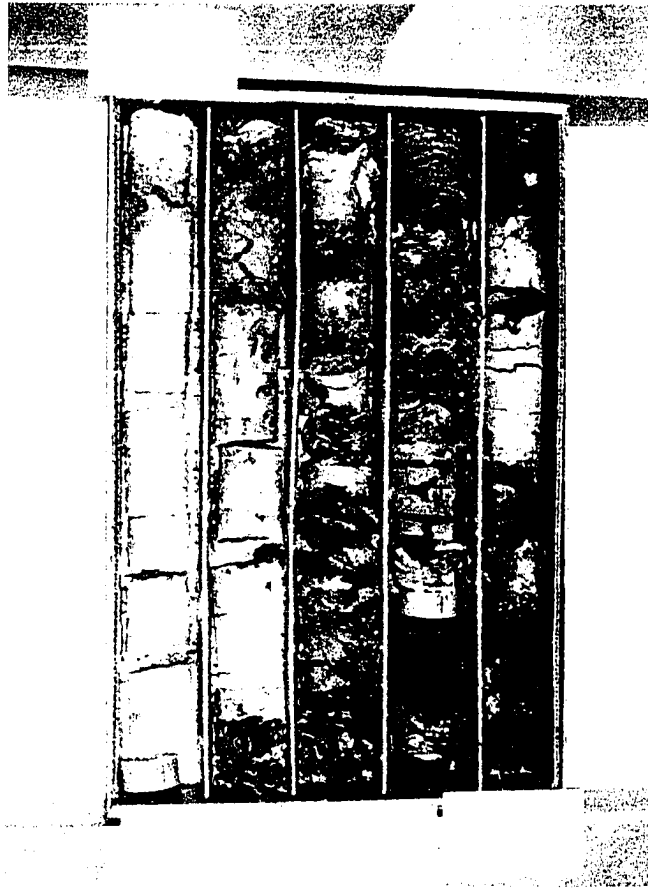
nuclear gauge tubes. During drilling, the majority of the exploratory borings were sampled continuously using a continuous core sampler. Figure 3-2 shows an example of the continuous core samples obtained from the drilling at the TRACON facility. In addition, 5.1-cm diameter California (CA) samples were collected at 1.5-meter intervals during drilling. Selected CA and bulk samples were tested in the laboratory for geotechnical characteristics.

**Table 3-1 Summary of Information of the Drilling and Installation Performed at the TRACON Facility**

| <b>Borehole I.D.</b>                                                | <b>No. of Boreholes</b> | <b>Period of Drilling Performed</b> | <b>Range of Borehole Depths (m)</b> | <b>Primary Purpose of Drilling</b>             |
|---------------------------------------------------------------------|-------------------------|-------------------------------------|-------------------------------------|------------------------------------------------|
| SMI-1 through 7, and 9                                              | 8                       | 2/9/1999 – 7/1/2005                 | 12.2 – 22.6                         | Sampling and Laboratory Testing                |
| SMI-P2, P3, P8, P10 through P15                                     | 9                       | 4/29/2002 – 1/21/2003               | 13.7 – 24.4                         | Installation of Pneumatic Piezometers          |
| SMI-S2, S3, S8, S14, and S15                                        | 5                       | 4/29/2002 – 1/21/2003               | 1.7 – 3.0                           | Installation of Standpipe Piezometers          |
| SMI-MA1A, MA1B, MA2A, MA2B, MA3 through MA5, MA8, MA10 through MA13 | 12                      | 5/18/2000 – 1/15/2003               | 3.0 – 24.4                          | Installation of Subsurface Nuclear Gauge Tubes |
| SMI-BM1 through BM3                                                 | 3                       | 9/20/1999 – 7/19/2000               | 30.5 – 36.6                         | Installation of Benchmarks                     |



**Figure 3-1** Locations of the Boreholes, Piezometers, Subsurface Nuclear Gauge Tubes, and Deep Benchmarks at the TRACON Facility



**Figure 3-2 Photo of Continuous Core Samples at the TRACON Facility**

Subsoils at the site generally consist of 0.3 to 3.4 meters of fill and native soils, underlain by weathered claystone, and interbedded or alternating layers of claystone and sandstone bedrocks. The maximum boring depth in any exploratory boreholes was 36.6 meters. Coal seams were encountered during drilling. A typical soil profile and the primary subsurface units are described in more detail below and are shown in Figure 3-3.

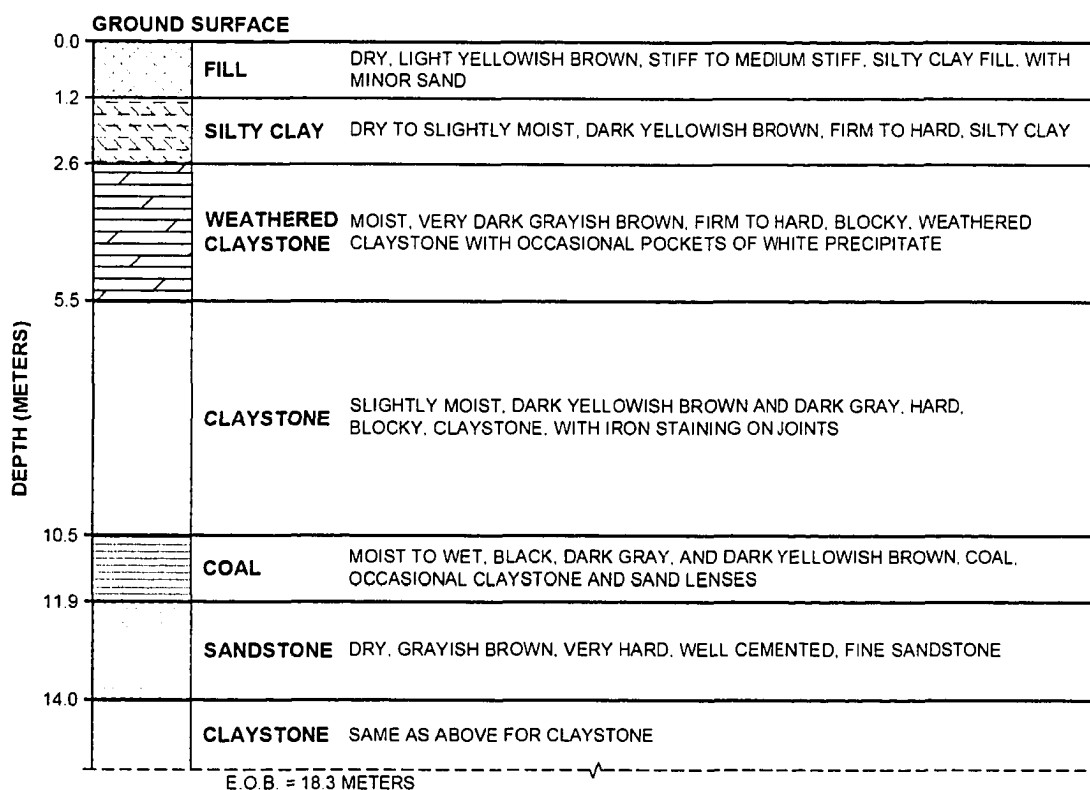
Fill: Fill soils were visually classified as olive brown, yellowish brown and/or dark grayish brown silty and sandy clay. The fill soils were typically dry, slightly moist or moist.

Native Soils: Native soils generally consisted of brown, yellowish to olive or gray brown silty or sandy clay, clayey sand, or sandy silt. The native soils exhibited morphologic features, such as a “blocky” structure, not found in the fill soils.

Claystone Bedrock: Claystone bedrock was dry to slightly moist and consisted of light to very dark gray, or light to dark brown claystone. The claystone samples exhibited high to very high swell potential.

Sandstone Bedrock: Sandstone bedrock was often interbedded with claystone at deeper depths within the borings. The sandstone was generally clayey, dry to slightly moist and brown, yellow, or light gray in color.

Coal: To the extent of the maximum depth of the borings, there are three layers of coal ranging in thickness from approximately 0.9 to 3.4 meters. The top coal seam is approximately 2.4 meters thick on average, and is located at depths ranging from approximately 10.0 to 20.4 meters below the ground surface. The shallower coal layers were usually found to be interbedded with claystone. The coal was generally black or dark greenish black, hard and thinly bedded.



**Figure 3-3 Typical Soil Profile and Primary Subsurface Units at the TRACON Facility**

## 3.2 Installation and Monitoring of Piezometers

### 3.2.1 Pneumatic Piezometers

Pneumatic piezometers were installed at the bottom of the upmost major coal seam encountered in each of the boreholes (SMI-P2, P3, P8, P10 through P15) to monitor the ground water conditions in the coal seams. Figure 3-1 shows the locations of the borings where the pneumatic piezometers were installed. The pneumatic piezometer was attached to a 2.5-cm diameter schedule 40 PVC pipe and was installed in the borehole. The depths of the piezometers SMI-P2, SMI-P3, SMI-P8, and SMI-P10 through P15 are shown in Table 3-2. The piezometers were grouted in place with a Portland

Cement/Bentonite grout mixture. A flush-mounted protective cap was installed at the ground surface.

Measurements of the pneumatic piezometers were taken shortly after installation. The dates for subsequent measurements of the pneumatic piezometers are shown in Table 3-2. A summary of the pneumatic piezometer measurements is presented in Table 3-2. As shown in Table 3-2, the values of the pressure head in the coal seam ranged from approximately 0 to 5.8 meters as of April 2, 2003. SMI-P10 and SMI-P11 were dry during the monitoring period. In general, no significant changes in ground water levels were noted in the pneumatic piezometers during the period of monitoring. The piezometer data show that the regional ground water in the coal seam generally flows to the north or northwest direction within the TRACON site. This regional groundwater flow direction agrees with the findings from USGS (1987), EnecoTech (1994), and CDWR (2002).

**Table 3-2 Summary of Pneumatic Piezometer Data**

| Pneumatic<br>Piezometer | Piezometer<br>Placement (m) |        | 5/6/2002        |        | 6/4/2002        |        | 7/25/2002       |        | 8/20/2002       |        | 10/3/2002       |        | 11/14/2002      |        |
|-------------------------|-----------------------------|--------|-----------------|--------|-----------------|--------|-----------------|--------|-----------------|--------|-----------------|--------|-----------------|--------|
|                         |                             |        | Water level (m) |        | Water level (m) |        | Water level (m) |        | Water level (m) |        | Water level (m) |        | Water level (m) |        |
|                         | BGS <sup>(1)</sup>          | Elev.  | BGS             | Elev.  | BGS             | Elev.  | BGS             | Elev.  | BGS             | Elev.  | BGS             | Elev.  | BGS             | Elev.  |
| SMI-P2                  | 13.3                        | 1628.6 | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      |
| SMI-P3                  | 14.9                        | 1627.1 | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      |
| SMI-P8                  | 18.4                        | 1624.5 | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      |
| SMI-P10                 | 12.1                        | 1627.7 | -               | -      | dry             | -      | dry             | -      | dry             | -      | dry             | -      | dry             | -      |
| SMI-P11                 | 12.1                        | 1631.8 | dry             | -      | dry             | -      | dry             | -      | dry             | -      | dry             | -      | dry             | -      |
| SMI-P12                 | 18.6                        | 1626.0 | 3.2             | 1629.3 | 3.3             | 1629.3 | 3.1             | 1629.3 | 3.5             | 1629.6 | 3.2             | 1629.3 | 3.4             | 1629.5 |
| SMI-P13                 | 21.9                        | 1625.2 | 3.4             | 1628.7 | 3.7             | 1629.0 | 3.7             | 1629.0 | 3.9             | 1629.2 | 3.9             | 1629.2 | 3.9             | 1629.2 |
| SMI-P14                 | 9.1                         | 1624.0 | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      |
| SMI-P15                 | 16.5                        | 1623.8 | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      | -               | -      |

Note: <sup>(1)</sup> BGS = Depth Below Ground Surface

| Pneumatic<br>Piezometer | Piezometer<br>Placement (m) |        | 1/16/2003       |       | 2/4/2003        |        | 2/10/2003       |        | 2/27/2003       |        | 4/2/2003        |        |
|-------------------------|-----------------------------|--------|-----------------|-------|-----------------|--------|-----------------|--------|-----------------|--------|-----------------|--------|
|                         |                             |        | Water level (m) |       | Water level (m) |        | Water level (m) |        | Water level (m) |        | Water level (m) |        |
|                         | BGS                         | Elev.  | BGS             | Elev. | BGS             | Elev.  | BGS             | Elev.  | BGS             | Elev.  | BGS             | Elev.  |
| SMI-P2                  | 13.3                        | 1628.6 | -               | -     | -               | -      | -               | -      | 0.5             | 1629.1 | 0.0             | 1628.6 |
| SMI-P3                  | 14.9                        | 1627.1 | -               | -     | -               | -      | -               | -      | 1.9             | 1629.0 | 1.5             | 1628.6 |
| SMI-P8                  | 18.4                        | 1624.5 | -               | -     | -               | -      | -               | -      | 5.4             | 1629.9 | 5.3             | 1629.7 |
| SMI-P10                 | 12.1                        | 1627.7 | dry             | -     | dry             | -      | -               | -      | dry             | -      | dry             | -      |
| SMI-P11                 | 12.1                        | 1631.8 | dry             | -     | dry             | -      | -               | -      | dry             | -      | dry             | -      |
| SMI-P12                 | 18.6                        | 1626.0 | -               | -     | 3.6             | 1629.6 | 3.5             | 1629.6 | 3.7             | 1629.8 | 3.7             | 1629.7 |
| SMI-P13                 | 21.9                        | 1625.2 | -               | -     | 4.1             | 1629.3 | -               | -      | 4.4             | 1629.7 | 4.1             | 1629.3 |
| SMI-P14                 | 9.1                         | 1624.0 | -               | -     | 3.9             | 1627.9 | 4.1             | 1628.1 | 4.4             | 1628.3 | 4.3             | 1628.3 |
| SMI-P15                 | 16.5                        | 1623.8 | -               | -     | -               | -      | -               | -      | 5.8             | 1629.6 | 5.8             | 1629.5 |

### **3.2.2 Standpipe Piezometers**

A shallow standpipe piezometer was installed in each of the five shallow borings SMI-S2, S3, S8, S14, and S15 to monitor potential perched ground water levels above the existing claystone layer. The locations of the standpipe piezometers are shown on Figure 3-1. The depths of the standpipe piezometers varied from 1.7 to 3.0 meters, as indicated in Table 3-3. The standpipe piezometer consisted of a schedule 40 PVC pipe with a 5.1-cm inside diameter and a 5.7-cm outside diameter. The bottom was screened for a distance of 61 cm with a PVC cap on the end. The borehole was backfilled with silica sand from a depth of 0.1 meters below the screened interval to 0.5 meters above the screened interval. The remainder of the borehole was backfilled with bentonite chips. A flush-mounted protective cap was installed at the ground surface.

Measurements of the standpipe piezometers were taken on January 21, February 4, February 10, February 27, and April 2, 2003. A summary of the standpipe piezometer data is presented in Table 3-3. Perched ground water was not encountered in the standpipe piezometers during the monitoring period, except that perched ground water was encountered in SMI-S14 at a depth of 1.2 meters below the ground surface on February 10, 2003. On April 2, 2003, the level of the perched ground water in SMI-S14 had risen to a depth of 0.04 meters below the ground surface. SMI-S14 is located near a low-lying marsh area where there is usually standing water and/or soft ground visible at the surface. The stormwater outlet from the TRACON facility is located within approximately 60 meters of this area, which indicates that the source of water here is likely the runoff from the parking lots.

EnecoTech (1994) conducted a regional ground water study that included the DIA site. In that study, 35 monitoring wells were located within the DIA site. Perched ground water was encountered in 26 of those 35 monitoring wells. They stated that “at DIA, recent unconsolidated stream and wind deposited sediments (alluvium, loess, eolian sands) provide significant quantities of ground water.” The April 29, 2002 Agriculture News from Colorado State University stated that 2002 is the third consecutive year of a drought cycle in Colorado and that the state is in the fifth year of below-average snow pack (CSU, 2002). It is believed that the standpipe piezometers did not show “typical” ground water conditions during the monitoring period due to the drought in Colorado.

**Table 3-3 Summary of Standpipe Piezometer Data**

| Standpipe Piezometer | Depth of Piezometer (m) | 1/21/2003          |       | 2/4/2003        |       | 2/10/2003       |        | 2/27/2003       |        | 4/2/2003        |        |
|----------------------|-------------------------|--------------------|-------|-----------------|-------|-----------------|--------|-----------------|--------|-----------------|--------|
|                      |                         | Water level (m)    |       | Water level (m) |       | Water level (m) |        | Water level (m) |        | Water level (m) |        |
|                      |                         | BGS <sup>(1)</sup> | Elev. | BGS             | Elev. | BGS             | Elev.  | BGS             | Elev.  | BGS             | Elev.  |
| SMI-S2               | 3.0                     | dry                | dry   | dry             | dry   | n/a             | n/a    | dry             | dry    | dry             | dry    |
| SMI-S3               | 2.3                     | dry                | dry   | dry             | dry   | n/a             | n/a    | dry             | dry    | dry             | dry    |
| SMI-S8               | 2.4                     | dry                | dry   | dry             | dry   | n/a             | n/a    | dry             | dry    | dry             | dry    |
| SMI-S14              | 1.7                     | dry                | dry   | dry             | dry   | 1.2             | 1634.6 | 1.1             | 1634.8 | 0.04            | 1635.8 |
| SMI-S15              | 2.7                     | dry                | dry   | dry             | dry   | n/a             | n/a    | dry             | dry    | dry             | dry    |

Note: (1) BGS = Depth Below Ground Surface

### **3.3 Installation and Monitoring of Subsurface Nuclear Gauge Tubes**

#### **3.3.1 Installation of Subsurface Nuclear Gauge Tubes**

Twelve (12) subsurface nuclear gauge tubes were installed within the TRACON facility to evaluate the migration of the subsurface water at the site. Figure 3-1 shows the locations of the subsurface nuclear gauge tubes. Table 3-4 summarizes the installation information for each subsurface nuclear gauge tube. Each subsurface nuclear gauge tube consists of a schedule 40 PVC pipe with a 5.1-cm inside diameter and a 5.7-cm outside diameter. The subsurface nuclear gauge tubes were installed in boreholes using an air rotary drilling technique with a 5.7, 7.0, or 7.9-cm drag bit. As shown in Table 3-4, a 7.0-cm drag bit was used for subsurface nuclear gauge tubes SMI-MA1A, MA4, MA5, MA8, and MA10 through MA13. A 7.9-cm drag bit was used for subsurface nuclear gauge tubes SMI-MA2A and MA3. The annulus between the boring wall and the PVC pipe for these subsurface nuclear gauge tubes was backfilled with slurry consisting of 16 kilograms of bentonite mixed with 303 liters of water. A 5.7-cm drag bit was used for subsurface nuclear gauge tubes SMI-MA1B and MA2B. These nuclear gauge tubes were used for calibration purposes to assess the effect of the grout in the annulus. No backfill was placed between the annulus of the boring wall and the PVC pipe of the shallow nuclear gauge tubes.

**Table 3-4 Installation Information for Subsurface Nuclear Gauge Tubes**

| <b>Subsurface Nuclear Gauge Tube I.D.</b> | <b>Borehole Diameter</b> | <b>Borehole Depth</b> | <b>Tube Depth</b> |
|-------------------------------------------|--------------------------|-----------------------|-------------------|
|                                           | <b>(cm)</b>              | <b>(m)</b>            | <b>(m)</b>        |
| SMI-MA1A                                  | 7.0                      | 12.2                  | 10.7              |
| SMI-MA1B                                  | 5.7                      | 3.0                   | 3.0               |
| SMI-MA2A                                  | 7.9                      | 13.7                  | 13.7              |
| SMI-MA2B                                  | 5.7                      | 3.0                   | 3.0               |
| SMI-MA3                                   | 7.9                      | 13.7                  | 13.7              |
| SMI-MA4                                   | 7.0                      | 13.7                  | 10.7              |
| SMI-MA5                                   | 7.0                      | 12.2                  | 12.2              |
| SMI-MA8                                   | 7.0                      | 19.8                  | 19.8              |
| SMI-MA10                                  | 7.0                      | 16.8                  | 16.8              |
| SMI-MA11                                  | 7.0                      | 15.2                  | 15.2              |
| SMI-MA12                                  | 7.0                      | 20.7                  | 20.7              |
| SMI-MA13                                  | 7.0                      | 24.4                  | 24.4              |

### **3.3.2 Monitoring with the Subsurface Nuclear Gauge**

Monthly measurements using the subsurface nuclear gauge were taken from June 2000 through June 2004. Whitney (2003) and Benvenga (2005) showed the profiles of water content, degree of saturation, and density at each tube location. Additional readings at the locations of SMI-MA2A and MA3 were taken in August 2006. Examples of the water content profiles at the locations of SMI-MA2A and MA3 are shown in Figures 3-4 and 3-5, respectively. SMI-MA2A is located on a bare ground area near the north-east corner of the building, where the greatest amount of slab and pier heave has been observed. Figure 3-4 indicates that changes in water content that have occurred are

close to the coal seam and are subject to seasonal fluctuation. This indicated that the coal seam is a water source for the overlying claystone bedrock. SMI-MA3 is located underneath a concrete slab between the TRACON and Environmental Wings, where climatic conditions have no effect on the wetting of the subsoils. Figure 3-5 indicates that the water content in the claystone above the coal seam increased slightly for the same reason that was discussed previously for SMI-MA2A. The water content profiles at SMI-MA2A and MA3 were used for the model calibration and validation process in this study.

The water migration study indicates that the TRACON building has three major sources of water to the subsoils (Whitney, 2003; Benvenga, 2005). The primary source of water is the coal seam. This is observed in SMI-MA2A, MA3, and MA5. The secondary source of water to the subsoils is the perched water zone seen in SMI-MA4 and MA5. The water is located in the sandy or silty clay strata and is perched on top of the weathered claystone. The third major source of water is the localized gravel layer. It was concluded that surface infiltration has had little or no impact on water contents below the TRACON building due to the drought that has been in existence for the past several years. The major source of water to the subsoil appears to be off-site. It appears that control of water from off-site, particularly to the north and northeast, is important in controlling the ground water recharge.

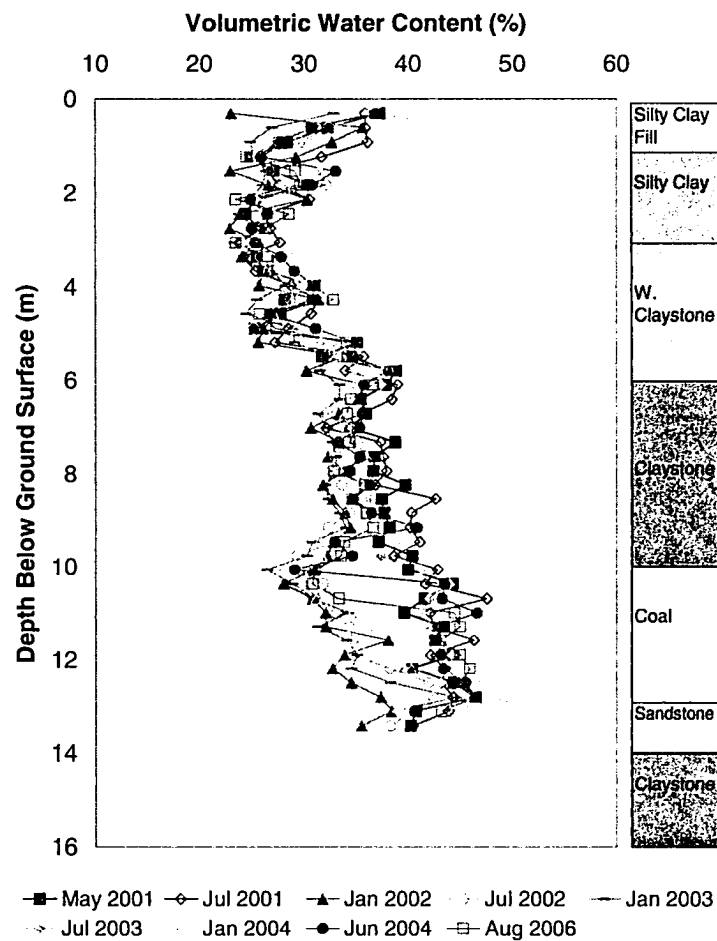


Figure 3-4 Volumetric Water Content Profiles for SMI-MA2A

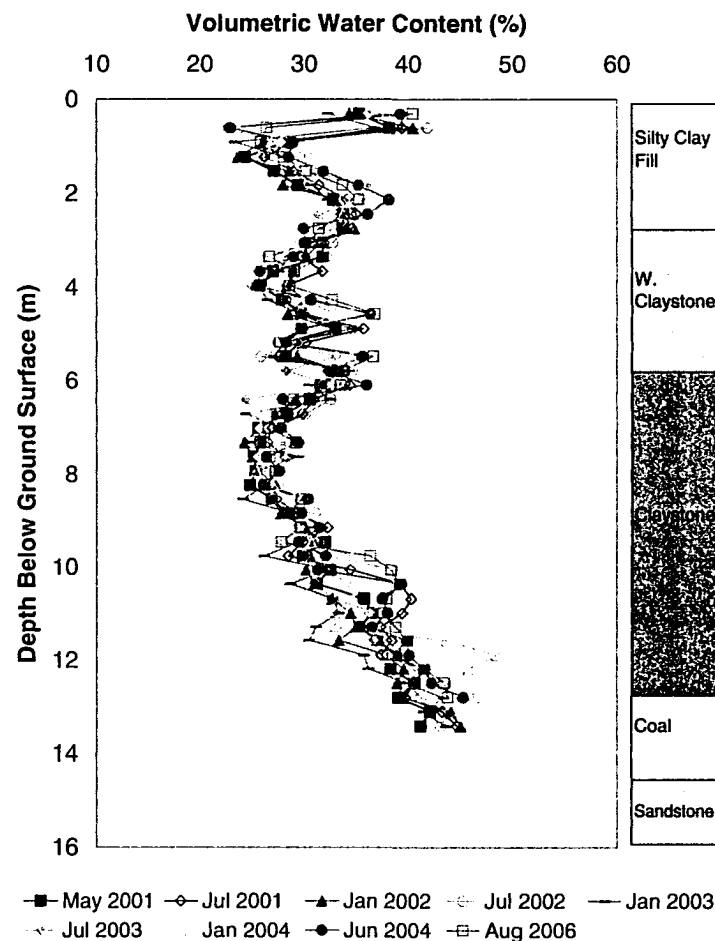


Figure 3-5 Volumetric Water Content Profiles for SMI-MA3

### **3.4 Elevation Surveying**

#### **3.4.1 Design, Installation, and Monitoring of Deep Benchmarks**

##### **3.4.1.1 General**

Benchmarks are long lasting stable points for which elevations have been determined, and are used to control other surveys and to monitor movement of and within the Earth's crust. Several phenomena can cause benchmark instability. These include, but are not limited to, the following: (1) shrinking and swelling of soil due to changes in water content, (2) frost heave, (3) slope instability, (4) soil consolidation, and (5) physical disturbances. For benchmarks installed into areas with expansive soils, swelling of the soils is the most significant factor that influences the instability of the benchmarks. To prevent the movement of benchmarks due to heaving of expansive soils, benchmarks must be anchored below depths at which these movements originate. The term "deep benchmarks" is used since the benchmarks are anchored at depths such that heave of the expansive soil will not cause movement of the benchmarks.

Stable benchmarks were needed at the TRACON building to which elevation monitoring of the building movements could be referenced. A deep benchmark (labeled SMI-BM1) was installed in September 1999 at the site, and two additional benchmarks, SMI-BM2 and SMI-BM3, were installed in July 2000. The performance of the deep benchmarks has been monitored since September 2000. Elevation readings on the three benchmarks have been used to confirm their stability relative to each other.

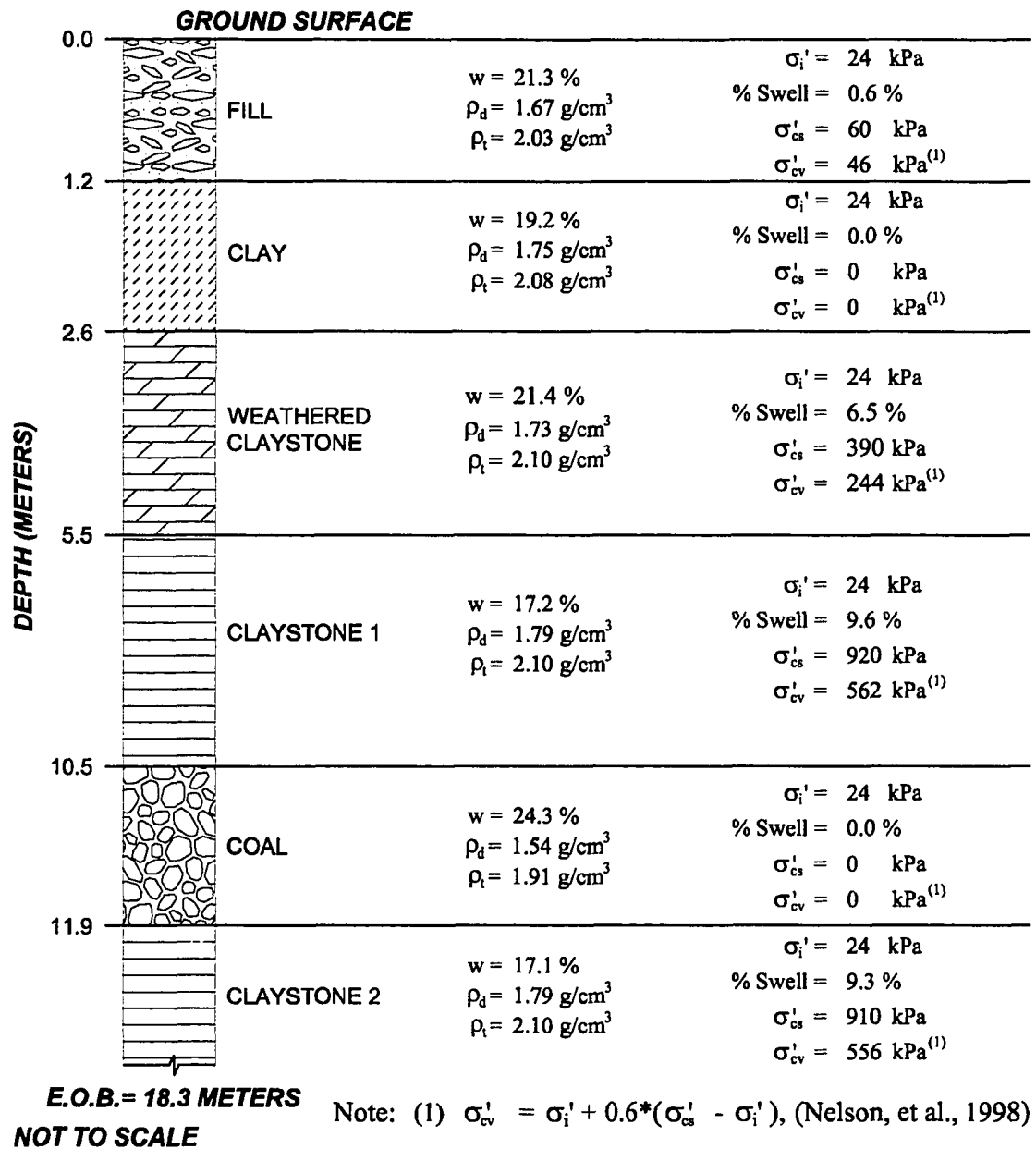
The procedures for determining the depth of the deep benchmarks, the soil profiles that were observed during installation, steps followed during installation of the

benchmarks, verification of the benchmark depth, and results of the benchmark monitoring are presented in the following sections.

#### **3.4.1.2 Calculations of Deep Benchmark Depth**

Soil profiles at the six different borehole locations shown in Section 3.1 varied slightly. In order to predict the depth of potential heave for design of the deep benchmark, a generalized soil profile was constructed. Figure 3-6 shows the typical soil profile and soil properties interpreted from the data gathered from six boreholes that had been drilled previously. The soil properties were obtained from laboratory testing and will be discussed in Chapter 4. The soil properties shown in Figure 3-6 represent the most conservative values with respect to soil expansion that were measured.

Table 3-5 shows the computations for depth of potential heave based on the data in Figure 3-6. The maximum depth of potential heave at the site was calculated to be 27.2 meters. To account for uncertainties in the calculations, the required depths of anchorage of the deep benchmarks SMI-BM1, SMI-BM2, and SMI-BM3 were taken to be approximately 37, 30, and 30 meters, respectively. Subsequent test results from testing of samples taken during installation of the deep benchmarks were used to confirm the required depths of anchorage at the actual installed locations.



**Figure 3-6 Typical Soil Profile and Properties**

**Table 3-5 Calculation of Depth of Potential Heave**

| Step | Description                                                                                                                                                                                                                                                                                                                                                  | Notes                                         |
|------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| 1    | Assuming $z_p$ = Depth of Potential Heave below the Ground Surface                                                                                                                                                                                                                                                                                           | -                                             |
| 2    | Calculating Overburden Pressure, $\sigma'_{vo}$ :<br>$\sigma'_{vo} = (1.2 - 0) \times 2.03 \times 9.81 + (2.6 - 1.2) \times 2.08 \times 9.81 + (5.5 - 2.6) \times 2.10 \times 9.81 + (10.5 - 5.5) \times 2.10 \times 9.81 + (11.9 - 10.5) \times 1.91 \times 9.81 + (z_p - 11.9) \times 2.10 \times 9.81$ $= [241.4 + (z_p - 11.9) \times 20.6] \text{ kPa}$ | Refer to Figure 3-6 for the soil profile      |
| 3    | Obtaining Constant Volume Swelling Pressure, $\sigma'_{cv}$ :<br>$\sigma'_{cv} = 556 \text{ kPa}$ from Claystone 2                                                                                                                                                                                                                                           | Refer to Figure 3-6 for the swelling pressure |
| 4    | Solving for $z_p$ :<br>$\therefore \sigma'_{vo} = \sigma'_{cv}$ , Thus, $z_p = 27.2 \text{ meters}$                                                                                                                                                                                                                                                          | -                                             |

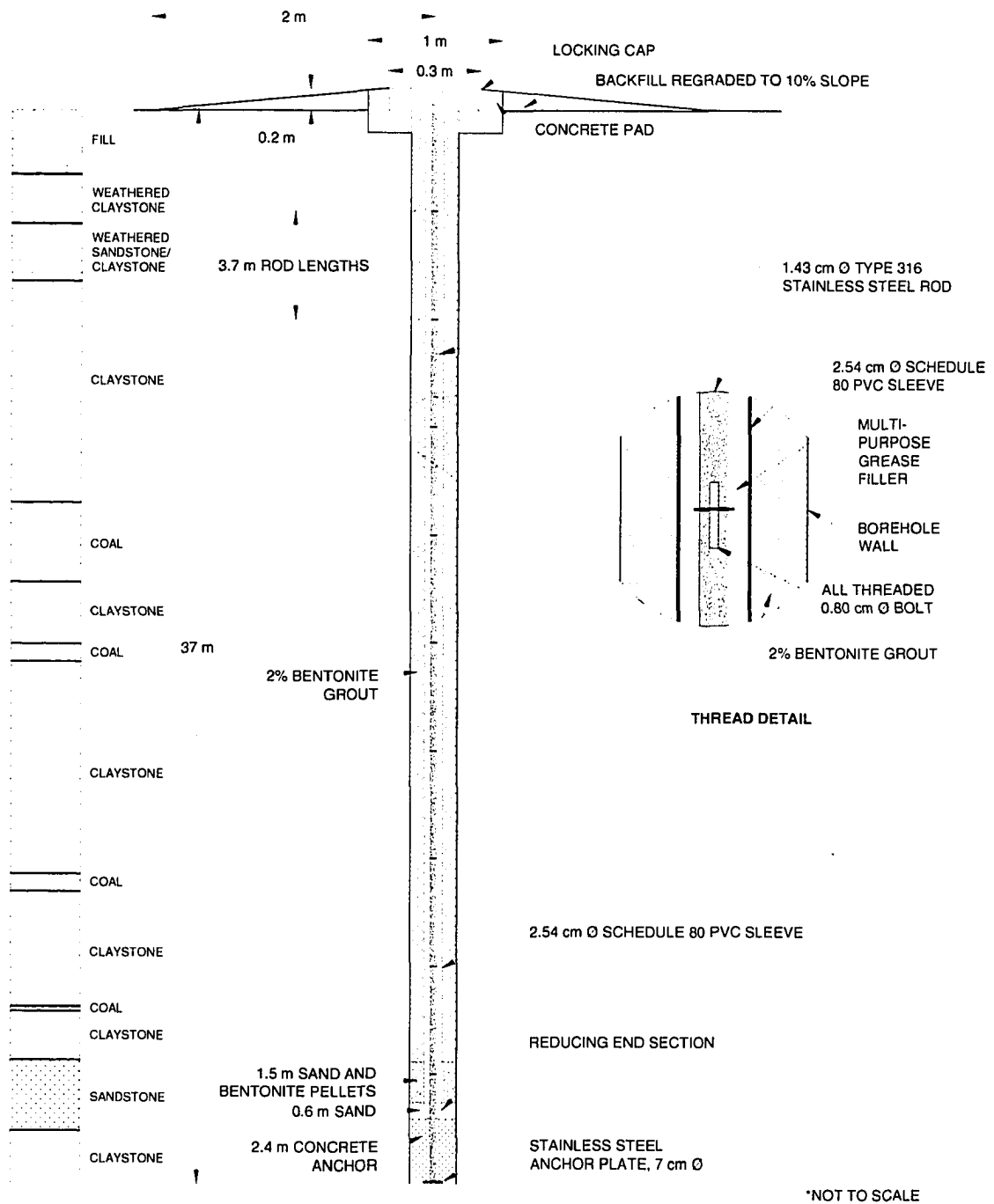
### 3.4.1.3 Drilling and Sampling

Three boreholes were drilled at selected locations for installation of the deep benchmarks SMI-BM1, SMI-BM2, and SMI-BM3. The borehole for SMI-BM1 was drilled to a depth of 37 meters and the other two boreholes were drilled to a depth of 30 meters. The boreholes were advanced using an 8.3 cm ID hollow-stem auger powered by a CME-75 drill rig. During drilling, 5.1 cm California samples of the soil and bedrock were taken at 1.5 meter intervals. These samples were tested in the laboratory to confirm assumptions that were made in the calculations for the depths of the benchmarks. In addition, continuous samples were taken using a 5.7 cm diameter CME continuous sampler during drilling.

The actual deep soil/bedrock profile encountered at SMI-BM1 is shown in Figure 3-7. The profiles at the other two benchmark locations were similar. It can be seen that

in the deep holes additional strata of claystone, sandstone and coal were observed. After testing the samples, the computed required depth of the benchmark was verified as discussed below.

The testing of the samples requires 2 to 3 weeks for completion. It is not practical to keep the drill hole open for that length of time in order to wait for the verification of the benchmark depth from the test results. Therefore, it was very important that an experienced engineer or geologist be on site to observe the core that was recovered and note any particular deviations from the assumptions used in determining the depth of the benchmark. Also, it was important to be conservative in selecting the depth of anchorage. A general knowledge of the properties of the local bedrock is essential. It must be kept in mind that although a stratum of material with low expansive potential may be encountered at some depth, this may not be the stratum that controls the depth of potential heave. A lower stratum of material with higher expansion potential may govern the depth of potential heave, and, therefore, the depth of anchorage.



**Figure 3-7 SMI-BM1 Soil Profile and Construction of Deep Benchmark**

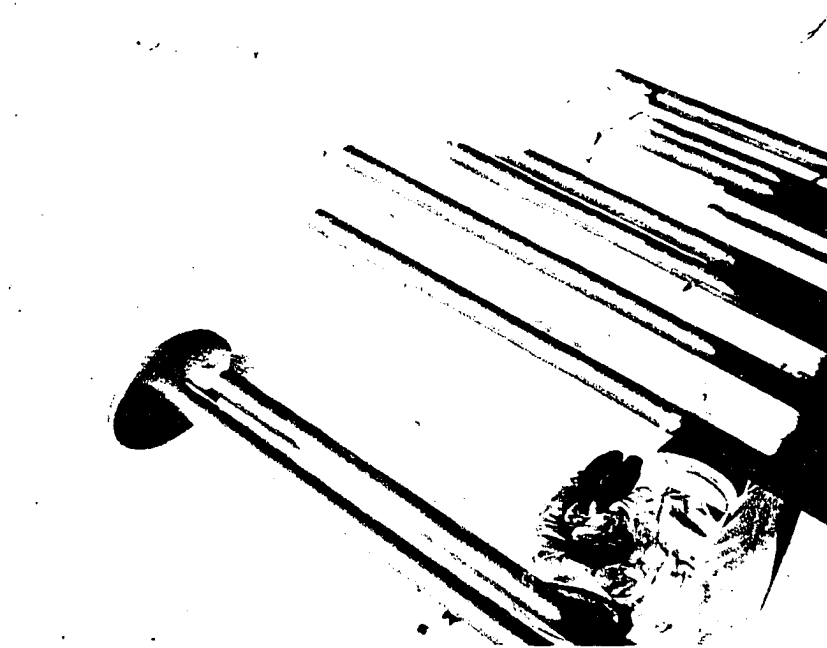
#### **3.4.1.4 Installation of Deep Benchmarks**

Following the drilling, the deep benchmarks were installed into the boreholes. The installation procedure of the deep benchmarks was based on the procedure for a class A rod mark established by the National Geodetic Survey (NGS, 1978) but modified for the expansive soil conditions. The construction of the deep benchmarks is shown in Figure 3-7. As shown in Figure 3-7, a rod was assembled from 3.7-meter sections of 1.43-cm-diameter type 316 stainless steel. The type 316 stainless steel has excellent corrosion and oxidation resistance. The coefficient of thermal expansion of the type 316 stainless steel is  $15.9 \mu\text{m}/\text{m}/^{\circ}\text{C}$  within a temperature range of 0 to  $100^{\circ}\text{C}$ .

The rod was anchored in concrete at depths between 34 and 37 meters for SMI-BM1 and at depths between 26 and 30 meters for SMI-BM2 and SMI-BM3. A sleeve made from 2.54-cm schedule 80 polyvinyl chloride (PVC) pipe was placed over the steel rod. The purpose of the sleeve is to isolate the rod from soil movements occurring above the stable stratum. The annular space between the rod and the sleeve was filled with multi-purpose grease made by Lubriplate Division, Fiske Brothers Refining Company, Newark, N.J. A two-percent bentonite grout was tremied between the annulus of the boring wall and the PVC sleeve. The grout provided a low permeability backfill so as to minimize downward migration of water along the borehole. The bentonite served to minimize shrinkage of the grout. The top of the steel rod serves as the datum point. At the surface, a locking cover with a watertight seal was installed in a concrete pad to protect the benchmark from disturbance.

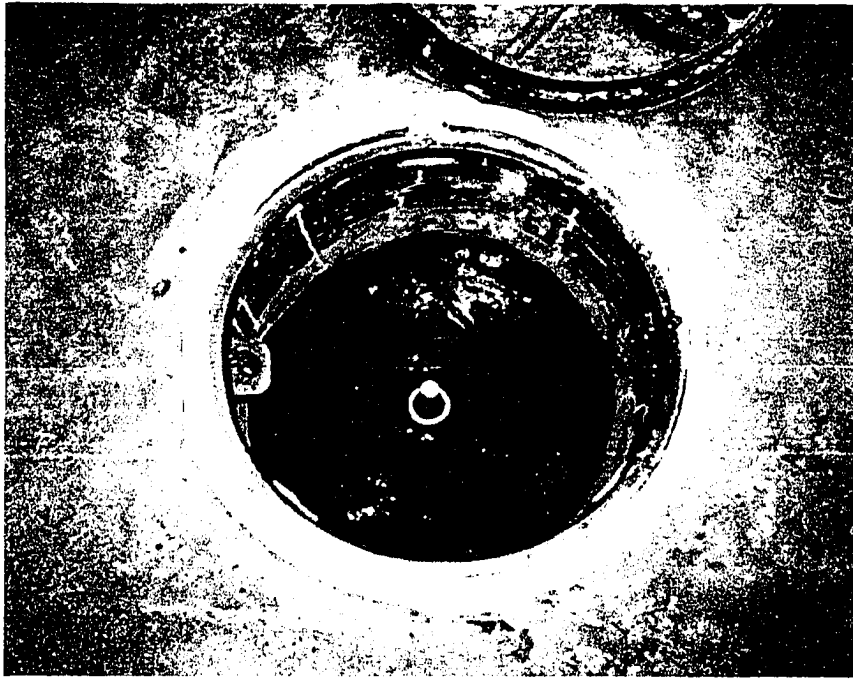
The step-by-step procedure for installing the deep benchmarks is presented below.

1. The proposed boring location was staked out and utility clearance was conducted to prevent any damage of the electrical, gas, water, and sewer lines at the site.
2. After positioning the drill rig, a 30.5-cm diameter hole was drilled to a depth of 0.6 meters. This hole was filled with soil during subsequent operations, but the objective was to loosen the soil in preparation for placing the locking cover.
3. The exploratory boring was drilled with a 18.4-cm OD and 8.3-cm ID hollow stem auger to the required deep benchmark depth. Continuous core and CA samples were taken during drilling for laboratory testing. Results of the laboratory testing were used to verify the selected deep benchmark depths.
4. After the completion of drilling, a 1.43-cm-diameter type 316 stainless steel rod was assembled and lowered through the hollow stem of the auger string to the bottom of the borehole as shown in Figures 3-7 and 3-8. Additionally, a 7-cm-diameter plate was attached to the bottom of the rod to assist in centering the rod and to provide anchorage. To obtain tight joints, the rod was finger tightened and then an additional one-quarter turn was applied using wrenches.



**Figure 3-8**     **Type 316 Stainless Steel Rod and Steel Plate Attached to the Bottom of the Rod**

5. Two sections of the augers were pulled up with no rotation to keep the hole clean and prevent caving of the borehole.
6. A temporary PVC pipe was placed over the steel rod. The purpose of the temporary PVC pipe was to prevent concrete that was poured at the next step from adhering to the steel rod.
7. Concrete was prepared and tremied through the hollow stem of the auger string to the bottom of the hole to anchor the steel rod. A total of approximately 3 meters of the concrete was filled. The concrete was allowed to cure overnight to provide good anchorage for the steel rod.
8. The sleeves that were to be placed over the steel rod were filled with multi-purpose grease. The temporary PVC pipe was pulled out of the borehole and the grease-filled sleeves were then placed over the steel rods and pushed down to the point where they rested on the concrete anchor.
9. The grease was injected under pressure into the annular space between the rod and sleeve. The sleeve was lifted slightly to minimize air voids at the bottom and then positioned into place. When the sleeve and the grease were placed, a 1.43-cm ID and 2.54-cm OD O-ring was placed around the rod, just below the top of the sleeve.
10. Two more sections of the augers were pulled up and 0.6 meters of Silica sand followed by 1.5 meters of a Silica sand/bentonite pellet mixture were placed into the annular space between the sleeve and the outside of the hole. These materials were above the concrete anchor to prevent bonding between the concrete and bentonite grout that was put in at the next step.
11. The remaining sections of the augers were pulled out of the borehole at this step. A 2 percent bentonite grout was prepared on site and tremied between the sleeve and the outside of the borehole up to the ground surface.
12. The soil was cleaned out of the 30.5-cm diameter surface hole around the benchmark sleeve to a depth of 0.6 meters. A locking cover with a watertight seal was installed in place with concrete. The joint between the cover and the concrete was sealed.
13. The completed benchmark and cover was set approximately 0.2 meter above the existing ground surface. A 1-meter square concrete pad was placed to surround the benchmark cover (see Figure 3-9). The surface of the concrete pad was finished with a 10 percent slope to drain water away from the benchmark cover. The surface was regraded to a 10 percent slope such that it slopes back to the original ground surface. The removed sod was replaced and the site was cleaned up.



**Figure 3-9 Completion of the Deep Benchmark**

#### **3.4.1.5 Verification of Deep Benchmark Depth**

Laboratory testing was performed on samples of the soil core taken from the benchmark boreholes in order to verify that the anchorage zone was below the depth of potential heave. Laboratory tests including measurements of water content, dry density, and Atterberg limits. Consolidation-swell tests were also conducted. The soil and bedrock properties for selected samples are summarized in Table 3-6. The samples from the deepest depths swelled by amounts up to about 10 percent when inundated at an inundation pressure of 24 kPa. Swelling pressures as high as 1,340 kPa were observed.

The depths of potential heave at the actual locations where the benchmarks were installed were calculated following the procedure shown in Table 3-5. The calculated depths of potential heave for the benchmarks SMI-BM1 through SMI-BM3 are approximately 13.1, 16.5, and 27.3 meters, respectively.

**Table 3-6 Summary of Soil and Bedrock Properties from Boreholes SMI-BM1 through SMI-BM3**

| Soil Type             | Natural Water Content<br>(%) | Natural Dry Density<br>(Mg/m <sup>3</sup> ) | Atterberg Limits<br>LL / PL <sup>(1)</sup><br>(%) | Consolidation-Swell Test <sup>(2)</sup> |                         |
|-----------------------|------------------------------|---------------------------------------------|---------------------------------------------------|-----------------------------------------|-------------------------|
|                       |                              |                                             |                                                   | Percent Swell<br>(%)                    | Swell Pressure<br>(kPa) |
| Silty/Sandy Clay Fill | 25.5                         | 1.57                                        | -                                                 | -                                       | -                       |
| Silty Clay            | -                            | -                                           | -                                                 | -                                       | -                       |
| Weathered Claystone   | 21.6 – 29.0                  | 1.46 – 1.70                                 | 71/24                                             | 4.4                                     | 240                     |
| Sandstone             | 16.0 – 27.3                  | 1.57 – 1.86                                 | -                                                 | -                                       | -                       |
| Claystone             | 13.4 – 31.0                  | 1.44 – 1.94                                 | 33 – 69/17 – 35                                   | 0.2 – 9.9                               | 37 – 1,340              |
| Coal                  | 42.0 – 48.6                  | 1.00 – 1.10                                 | -                                                 | -                                       | -                       |

Notes: (1) LL = Liquid Limit, PL = Plastic Limit

(2) Inundation Pressure,  $\sigma'_i = 24$  kPa

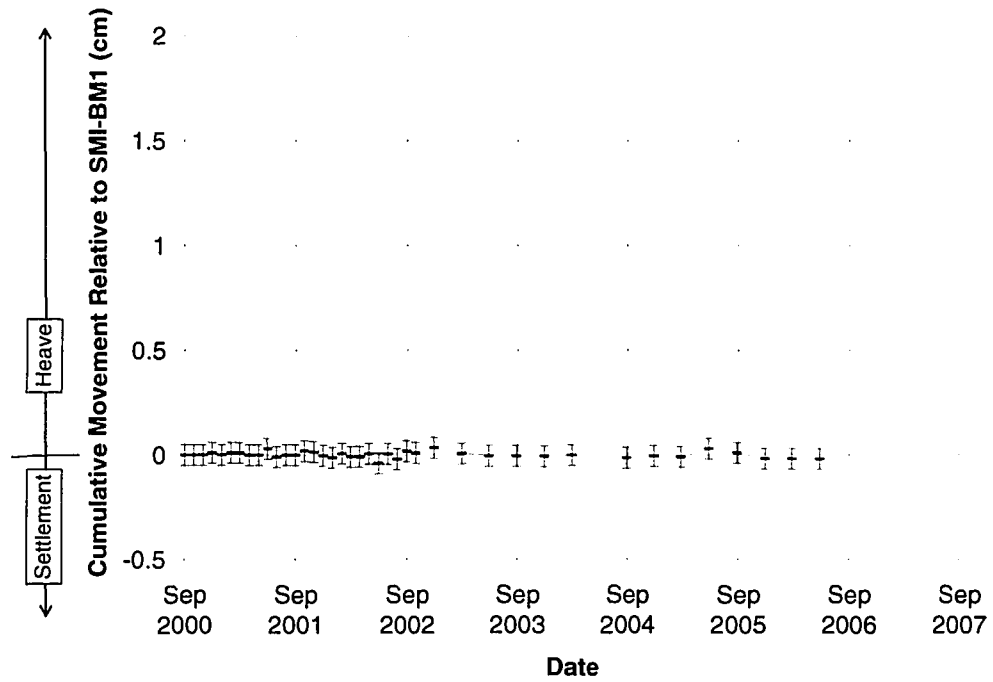
For the benchmarks SMI-BM1 and SMI-BM2, the depths of the anchorages are 34 to 37 meters and 26 to 30 meters, respectively. The anchorage for SMI-BM1 is in sandstone and claystone and for SMI-BM2 it is in claystone. The depths of the anchorages are both greater than the calculated depth of potential heave.

The anchorage for benchmark SMI-BM3 is in interbedded silty claystone, siltstone and sandstone at depths from 26 to 30 meters. The effective overburden stresses are greater than the effective swelling pressures of the bedrock units throughout the entire depth, except for the claystone bedrock at depths between 26.0 and 27.3 meters. At that depth the constant volume swelling pressure was measured to be 814 kPa, and the overburden pressure is 530 kPa. Nevertheless, it is expected that for this limited zone, the effect of heave will be small.

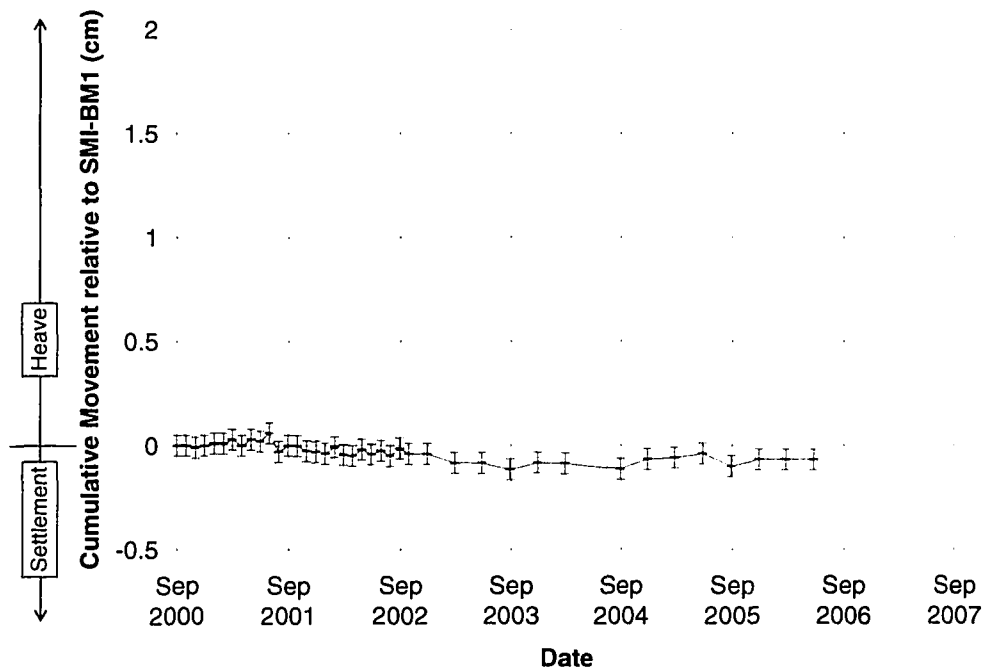
#### **3.4.1.6 Monitoring of Deep Benchmarks**

The deep benchmarks have been monitored by Zylstra Baker Surveying, Inc. (ZBSI) since September 2000. A Zeiss digital barcode leveling system was implemented in the monitoring of the benchmarks. A Zeiss DiNi 11 automatic digital level with either a one piece 3 meter alloy invar bar code rod, a one piece 2 meter alloy invar bar code rod or a ½ meter invar strip was used to take survey readings. The digital bar code leveling system can measure precisely to 0.01 mm and has a standard deviation of 0.3 mm per 1 km of double run measurements. A tripod stabilizer was used as the turning points. For purposes of this monitoring, the benchmark SMI-BM1 has been taken as the primary reference point because of its installed depth and the soil expansion properties at that location. The performance of the benchmarks SMI-BM2 and SMI-BM3 were evaluated relative to SMI-BM1. The accuracy of the monitoring was evaluated from the least square adjustment by ZBSI using the standard deviations computed from the adjustment. Values of the accuracy of the monitoring ranged from 0 to 0.05 cm within one standard deviation or within a 95% confidence level.

Figures 3-10 and 3-11 present the results of the deep benchmark monitoring from September 2000 to June 2006 for the benchmarks SMI-BM2 and SMI-BM3, respectively. The actual accuracy of the measurements calculated by ZBSI using the least squares adjustment is included in Figures 3-10 and 3-11. As indicated in Figures 3-10 and 3-11, the benchmark SMI-BM2 was stable with respect to SMI-BM1, whereas the benchmark SMI-BM3 showed a potential movement of about 0.11 cm during the monitoring period.



**Figure 3-10 SMI-BM2 Deep Benchmark Monitoring Results**

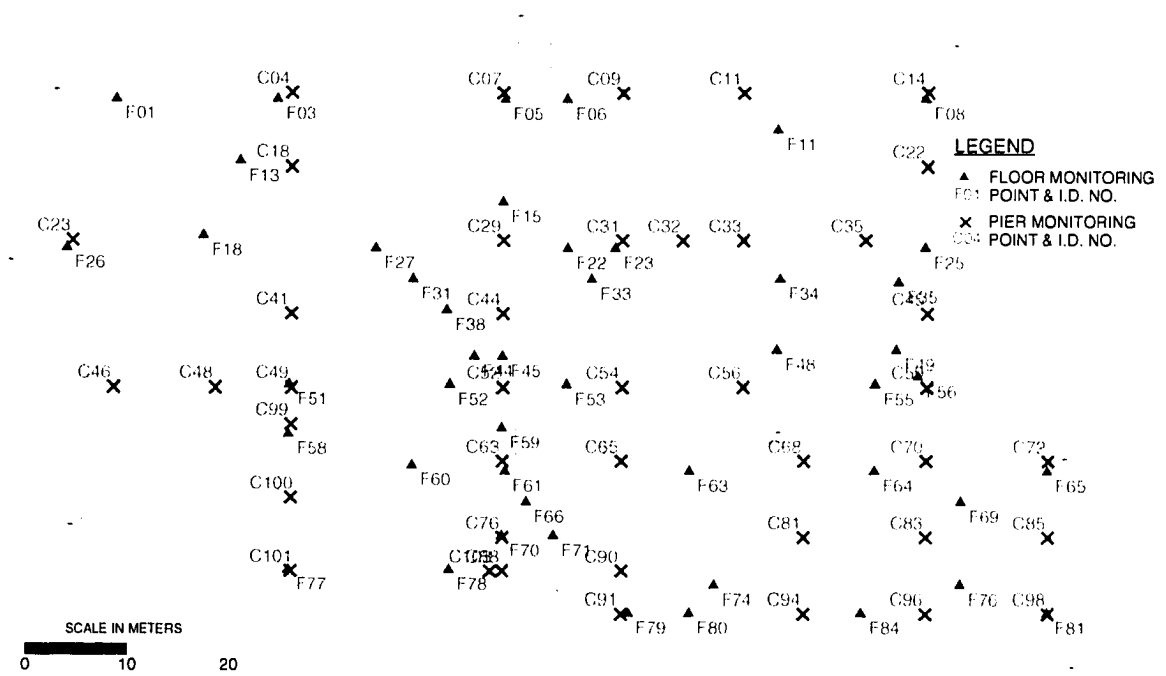


**Figure 3-11 SMI-BM3 Deep Benchmark Monitoring Results**

The monitoring results indicate that the deep benchmarks were stable during the monitoring period and are reliable to function as good references for elevation monitoring. It is concluded that the design and installation procedure for the deep benchmarks proposed herein provides an ideal opportunity to upgrade the quality of benchmarks installed in expansive soil areas. More precise surveys can be performed in the future providing deep benchmarks are properly designed and installed.

### **3.4.2 Monitoring of Slab and Pier Movement**

ZBSI was contracted by the FAA on July 11, 2000 to perform digital monitoring of the slab and pier movement at the TRACON building. Surveying of the TRACON building was performed at 50 floor and 50 column locations. The locations of the survey points on the columns and floors are shown in Figure 3-12 and were determined to cover critical movement areas at the site. The column points consist of a metal plate attached to the column using a power actuated nailer. A stainless steel rod is attached to the metal plate. The monitoring datum point is rounded. The floor points consist of marked "X's" on various floor locations. In carpeted areas, an approximately 7.6-cm hole with an aluminum disc was placed in the concrete floor slab.

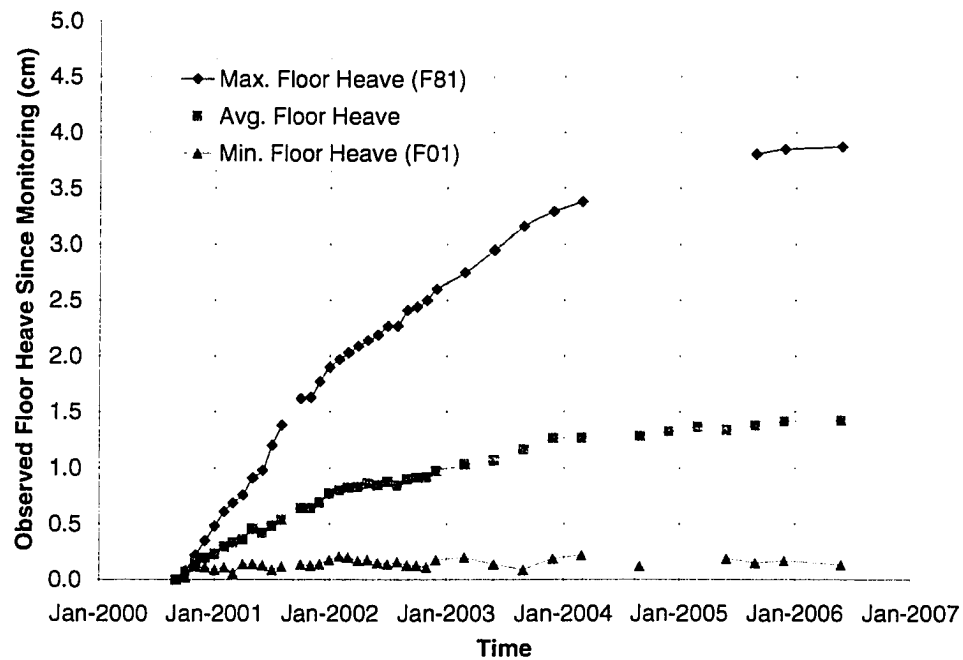


**Figure 3-12 Locations of Floor and Column Monitoring Points at the TRACON Building**

The survey was designed to achieve an accuracy of  $\pm 0.5$  mm as required by the FAA. The actual accuracy of the monitoring points was evaluated from the least square adjustment using the standard deviations computed from the adjustment. Values of the actual accuracy of the monitoring points ranged from 0.00 to 0.50 mm within one standard deviation or within a 95% confidence level. The baseline survey for the TRACON building was completed in September 2000. The elevation survey was performed monthly initially, and quarterly after December 2002. The results of the monitoring are presented in the following sections.

### 3.4.2.1 Floor Monitoring

Figure 3-13 presents the results of the floor monitoring for the TRACON building from September 2000 through June 2006. Figure 3-13 indicates that the TRACON floor continued to heave throughout the monitoring period. In June 2006, the maximum and average observed heave values of the floor monitoring points since beginning of monitoring were approximately 3.9 and 1.4 cm, respectively. The maximum heave occurred at Floor Monitoring Point F81 in the Environmental Wing (east corner of the TRACON building).

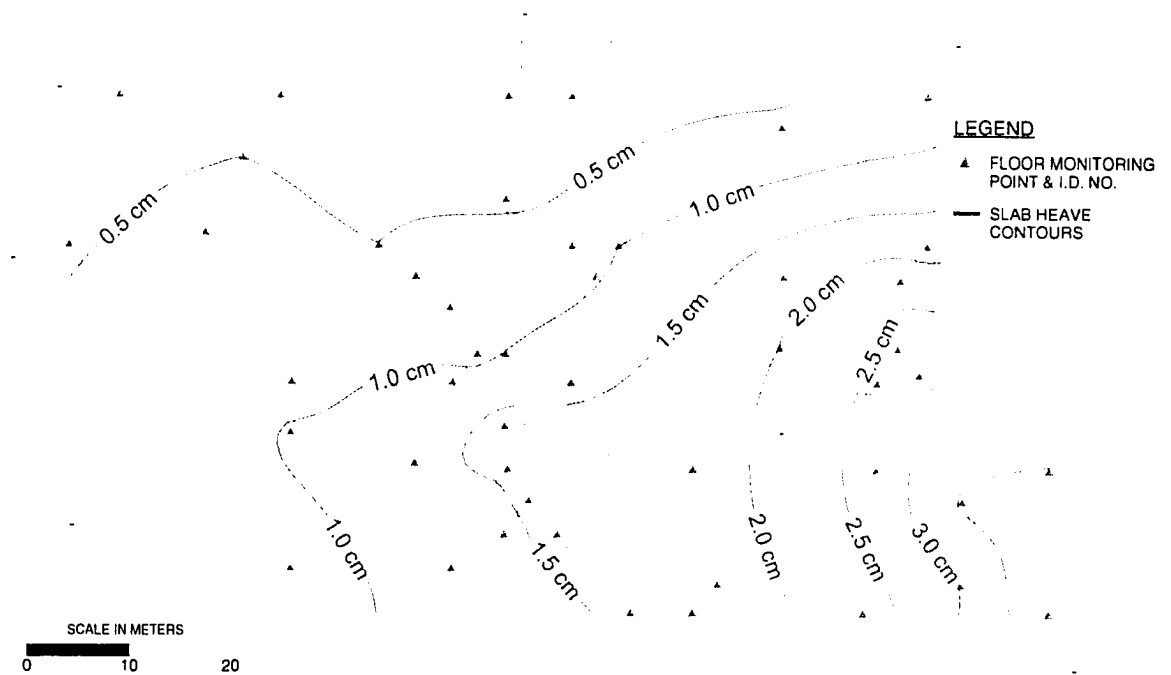


**Figure 3-13 Observed Floor Heave Since Beginning of Monitoring**

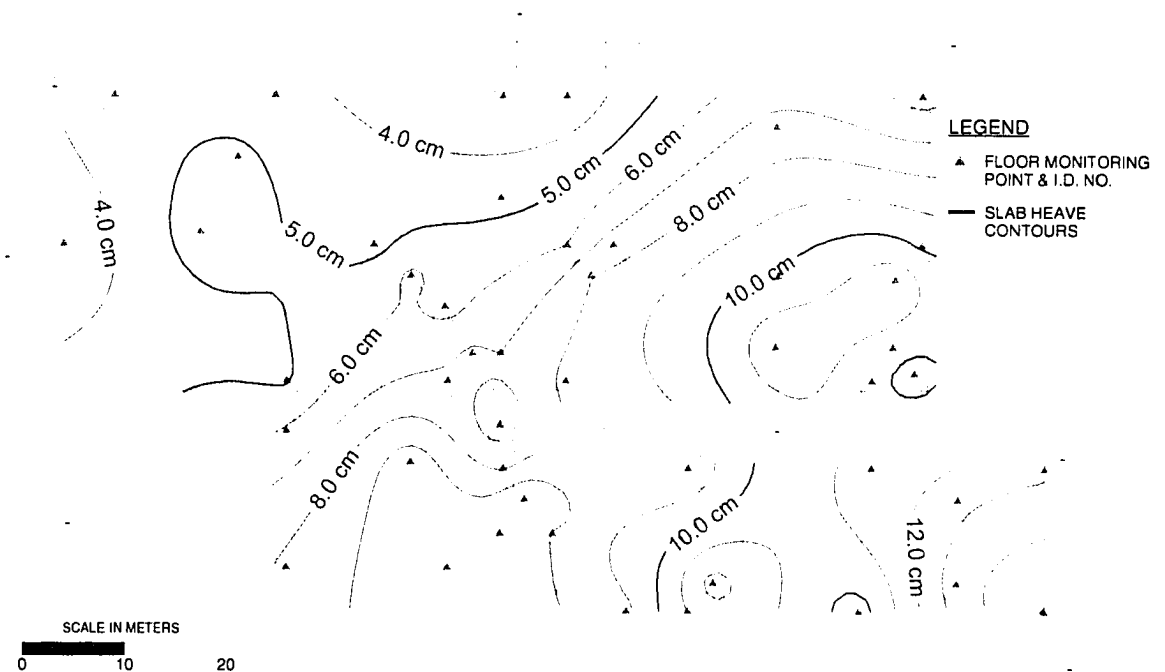
Contours of the observed floor heave since beginning of monitoring and since the time of construction, as of June 2006 are shown in Figures 3-14 and 3-15, respectively. In order to determine the floor heave that has occurred since the time of construction, the as-built elevations of the slab monitoring points were determined by ZBSI using the initial surveying performed by Bell Surveying and/or design drawings (ZBSI, 2001). Figures 3-14 and 3-15 indicate that as of June 2006 the maximum observed floor heave since beginning of monitoring and since the time of construction was 3.9 and 15.1 cm, respectively. The observed floor heave decreases toward the western portion of the building.

The rate of floor heave based on the September 2000 baseline readings through successive readings are shown in Figure 3-16. This figure indicates that the rates of floor heave increased initially and then decreased steadily to the present time. The maximum and average values of the floor heave rate in June 2006 were approximately 0.7 and 0.3 cm/year, respectively.

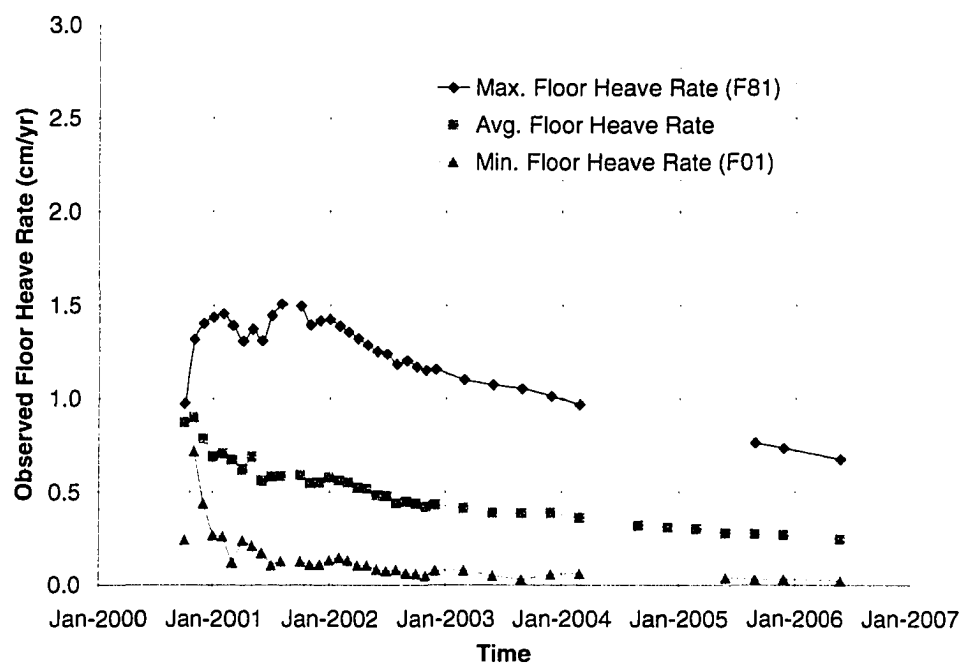
It should be noted that although the rates of heave are decreasing, the amount of heave is continuing to occur. Also, the year 2002 was one of the driest years on record in Denver.



**Figure 3-14** Contours of Observed Floor Heave from Initial Monitoring (Sept 2000) to June 2006



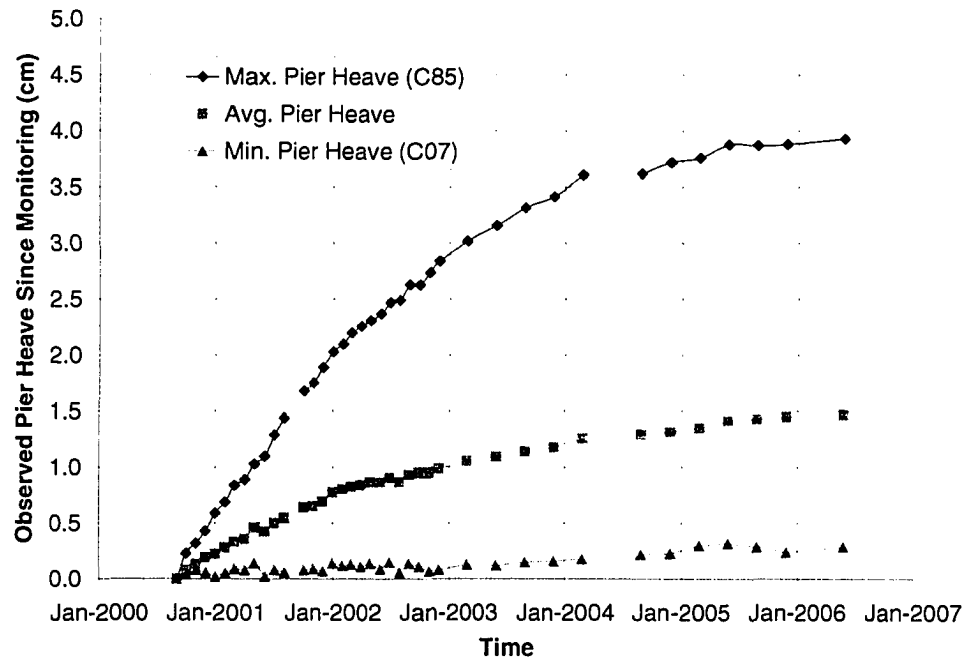
**Figure 3-15** Contours of Observed Floor Heave from the Time of Construction (1991) to June 2006



**Figure 3-16 Observed Rate of Floor Heave Since Beginning of Monitoring**

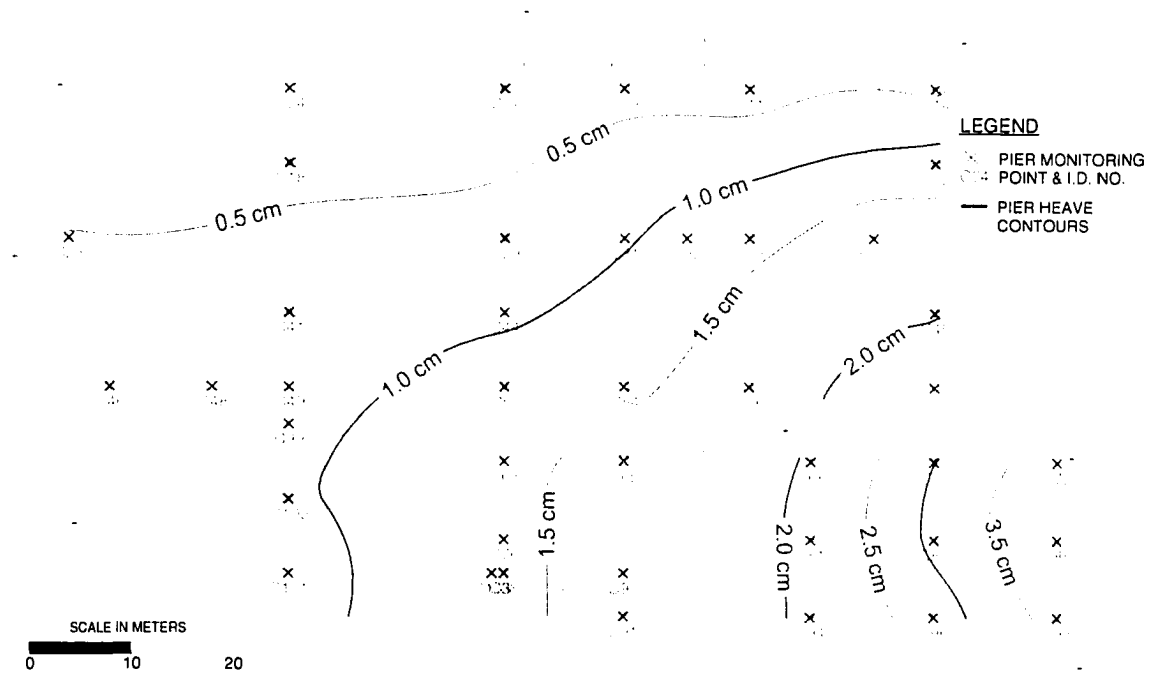
### 3.4.2.2 Pier Monitoring

The results of the pier monitoring for the TRACON building from September 2000 through June 2006 are shown in Figure 3-17. This figure indicates that the TRACON piers continued to heave during the monitoring period. The maximum and average heave values of the pier monitoring points since beginning of monitoring are approximately 3.9 and 1.5 cm, respectively. The maximum heave occurred at Pier Point No. C85 in the Environmental Wing (east corner of the TRACON building). This point is located at the point just next to Point F81 where the maximum slab heave was measured.

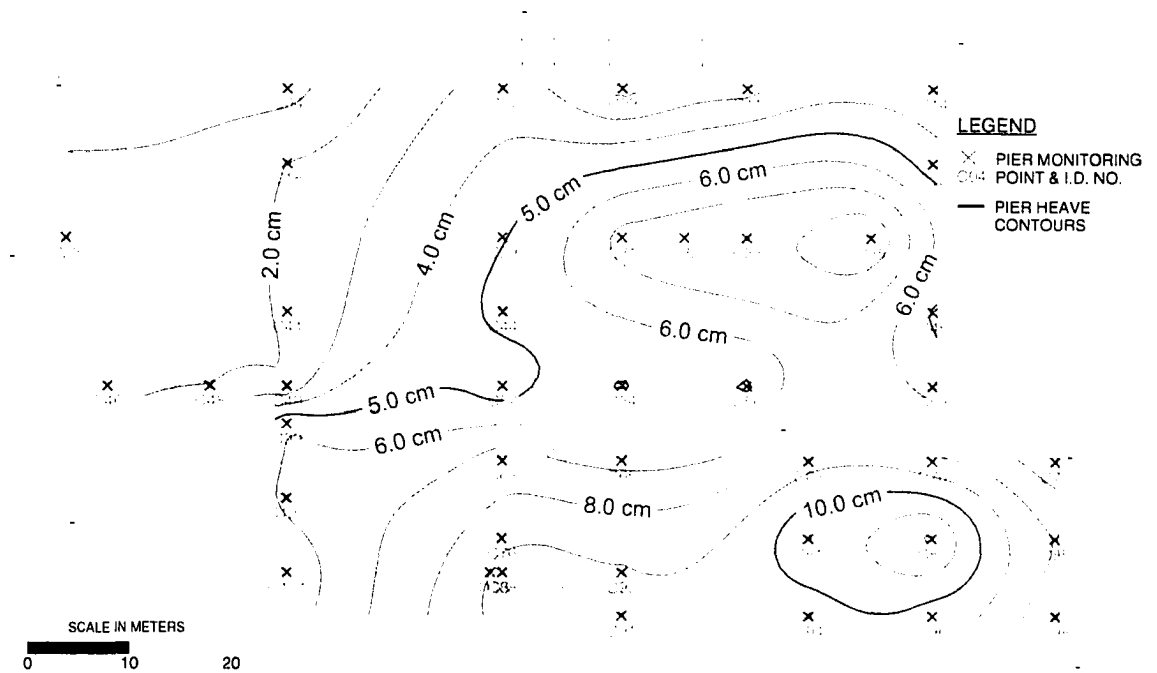


**Figure 3-17 Observed Pier Heave Since Beginning of Monitoring**

Contours of the observed pier heave as of June 2006 since beginning of monitoring and since the time of construction are presented in Figures 3-18 and 3-19, respectively. As for the floor heave, pier heave since the time of construction was calculated based on the as-built elevations of the pier monitoring points determined by ZBSI using the initial surveying performed by Bell Surveying and/or design drawings (ZBSI, 2001). Figures 3-18 and 3-19 indicate that the maximum observed pier heave as of June 2006 since beginning of monitoring and since the time of construction was 3.9 and 12.3 cm, respectively. As for the floor heave, the observed pier heave also decreases toward the western portion of the building.

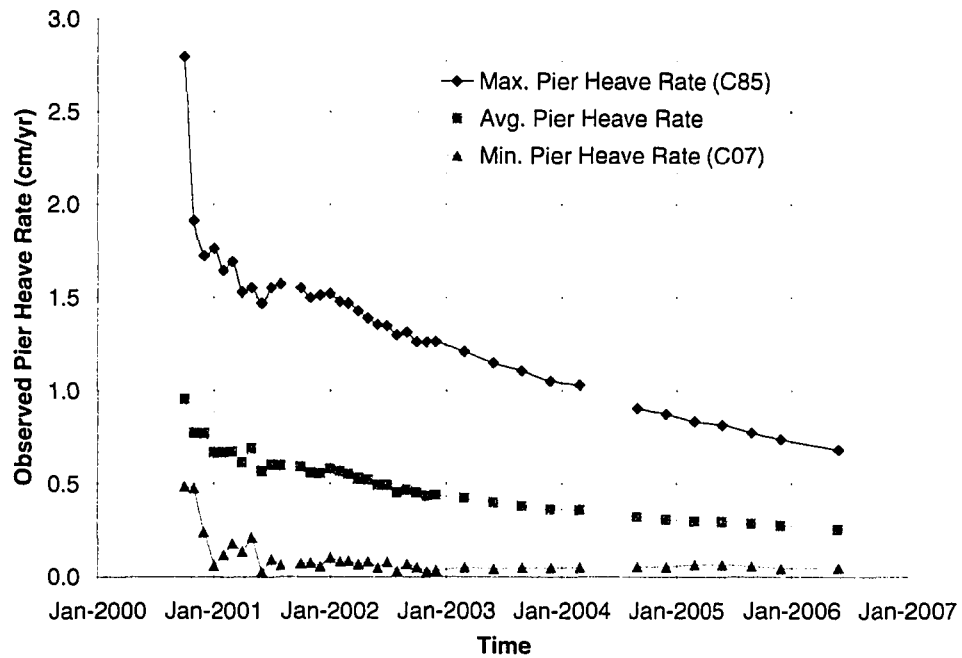


**Figure 3-18** Contours of Observed Pier Heave from Initial Monitoring (September 2000) to June 2006



**Figure 3-19** Contours of Observed Pier Heave from the Time of Construction (1991) to June 2006

Figure 3-20 shows the rate of pier heave for the TRACON building based on September 2000 baseline readings through successive readings. Figure 3-20 indicates that the rate of pier heave has decreased since the initiation of monitoring. The maximum and average values of the pier heave rate in June 2006 were 0.7 and 0.3 cm/year, respectively.



**Figure 3-20 Observed Rate of Pier Heave Since Beginning of Monitoring**

## **CHAPTER 4**

### **LABORATORY TESTING PROGRAM**

Laboratory testing was conducted on soil/bedrock samples obtained from two sources. One source is the TRACON site. Soil samples were obtained from the drilling of the boreholes around the TRACON building, as described in Section 3.1. Laboratory testing included measurements of natural water content, natural dry density, Atterberg limits, and specific gravity. Consolidation-swell tests were also performed. The results of the laboratory tests were used to perform the total heave prediction, as described in Chapter 7.

The other source of samples was obtained from two local sites in the Front range area of Colorado. The bedrock samples obtained from the local sites consist of two types of geological formations including the Denver and Pierre Shale Formations. For purpose of identification, the site located on the Denver Formation is designated as “Denver Site”, and the site located on the Pierre Shale Formation is designated as “Pierre Shale Site.”

Besides the laboratory tests described above, filter paper test and Fredlund SWCC test were conducted on the samples obtained from the Denver and Pierre Shale sites to determine the soil water characteristic curve as well as the relationship between water content and swell potential. The results of the laboratory testing were used in the water migration and rate of heave analyses, as described in Chapters 6 and 7. The Denver Formation is the same formation as that at the TRACON site. Although the samples were

taken from a different site, it is believed that the SWCC and the functional relationship between water content changes and heave determined therefrom will be representative of the bedrock at the TRACON site. The laboratory testing was conducted for the soil samples obtained from the Pierre Shale site for comparison purposes.

A detailed description of the laboratory testing program for the soil samples from the two sources is provided in the following sections.

#### **4.1 Soil Description and Index and Consolidation-Swell Properties**

##### **4.1.1 Soil Samples from TRACON Site**

A total of thirty-seven exploratory borings were drilled by SMI/MFG at the TRACON site to investigate soil/bedrock strata, soil/bedrock properties, and local and regional ground water conditions. Subsoils at the site generally consist of 0.3 to 3.4 meters of silty/sandy clay fill, underlain by silty/sandy clay, weathered claystone, claystone bedrock, and sandstone bedrock to the maximum boring depth of 36.6 meters. Coal seams were also encountered in the bedrock. A detailed description of the soil and bedrock was presented in Section 3.1.

Laboratory testing was conducted on selected California (CA) samples obtained from the borings at the TRACON site to determine index and engineering properties of the soils and bedrock. The laboratory testing was conducted by Smith Geotechnical Engineering, Inc., Fort Collins, Colorado. The laboratory testing included water content, dry density, Atterberg limits, specific gravity, and consolidation-swell tests. The results of the laboratory tests are summarized in Table 4-1. The samples of claystone bedrock

were classified as low plasticity clay (CL) or high plasticity clay (CH). The claystone exhibited high to very high swell potential.

**Table 4-1 Summary of Soil and Bedrock Properties for Soil Samples from the TRACON Site**

| Soil Type             | Natural Water Content<br>(%) | Natural Dry Density<br>(Mg/m <sup>3</sup> ) | Specific Gravity | Atterberg Limits              | Consolidation-Swell Test <sup>(2)</sup> |                         |
|-----------------------|------------------------------|---------------------------------------------|------------------|-------------------------------|-----------------------------------------|-------------------------|
|                       |                              |                                             |                  | LL / PL <sup>(1)</sup><br>(%) | Percent Swell<br>(%)                    | Swell Pressure<br>(kPa) |
| Silty/Sandy Clay Fill | 4.0 – 24.4                   | 1.55 – 1.92                                 | -                | 34/14                         | 0.4 – 0.8                               | 50 – 60                 |
| Silty Clay            | 19.1 – 21.1                  | 1.68 – 1.75                                 | -                | -                             | -                                       | -                       |
| Weathered Claystone   | 19.9 – 28.8                  | 1.49 – 1.76                                 | -                | 37 – 90/<br>18 – 27           | 4.3 – 8.4                               | 290 – 530               |
| Sandstone             | 11.5 – 19.9                  | 1.68 – 1.91                                 | -                | NP                            | -                                       | -                       |
| Claystone             | 7.4 – 31.5                   | 1.30 – 2.08                                 | 2.66 &<br>2.71   | 37 – 87/<br>18 – 26           | 3.0 –<br>10.2                           | 290 – 1,400             |
| Coal                  | 20.3 – 40.8                  | 0.88 – 1.57                                 | -                | -                             | -                                       | -                       |

Notes: (1) LL = Liquid Limit, PL = Plastic Limit

(2) Inundation Pressure,  $\sigma'_i = 24$  kPa

#### 4.1.2 Soil Samples from Denver and Pierre Shale Sites

Claystone samples obtained from the Denver and Pierre Shale sites were used for laboratory testing as part of this research. The samples of the claystone of the Denver Formation were obtained from drilling with a continuous core sampler at a site in Denver, Colorado. The boring log of the claystone of the Denver Formation indicates that the claystone bedrock was slightly moist and consisted of yellowish brown, hard claystone with some oxidation and occasional silty claystone lenses.

The samples of the claystone of the Pierre Shale Formation were obtained from drilling with a continuous core sampler at a site in Littleton, Colorado. This site is located at the Designated Dipping Bedrock Area of the Jefferson County (Jefferson County GIS, 1997). In this area, beds dip toward the east or northeast at approximately 30 to 90 degrees from the horizontal. The boring log of the claystone taken from the Pierre Shale Formation indicates that the claystone bedrock was slightly moist and consisted of light olive brown and gray claystone with oxidation along the bedding planes.

Laboratory testing including water content, dry density, Atterberg limits, Standard Proctor compaction tests, and consolidation-swell tests was conducted on selected CA samples obtained from the borings to provide basic engineering properties of the claystone bedrock. The laboratory testing of the samples from both the Denver and Pierre Shale Formations was conducted in the geotechnical engineering laboratory at Tetra Tech, Inc., Fort Collins, Colorado. The results of the laboratory tests are provided in Table 4-2. The samples of the claystone of both the Denver and Pierre Shale Formations were classified as high plasticity clay (CH). They exhibited moderate to very high swell potential.

**Table 4-2 Summary of Bedrock Properties for Soil Samples from the Denver and Pierre Shale Sites**

| Formation of Claystone Bedrock | Natural Water Content (%) | Natural Dry Density (Mg/m <sup>3</sup> ) | Standard Proctor <sup>(1)</sup><br>$\rho_{dmax.} / w_{opt.}$<br>(Mg/m <sup>3</sup> / %) | Atterberg Limits              | Consolidation-Swell Test <sup>(3)</sup> |                      |
|--------------------------------|---------------------------|------------------------------------------|-----------------------------------------------------------------------------------------|-------------------------------|-----------------------------------------|----------------------|
|                                |                           |                                          |                                                                                         | LL / PL <sup>(2)</sup><br>(%) | Percent Swell (%)                       | Swell Pressure (kPa) |
| Denver                         | 20.1 – 26.5               | 1.54 – 1.67                              | 1.55 / 24.2                                                                             | 56 – 68/<br>32 – 43           | 6.5 – 7.4                               | 1,150 – 2,550        |
| Pierre Shale                   | 15.2 – 16.3               | 1.81 – 1.92                              | 1.61 / 22.3                                                                             | 60 – 61/<br>41 – 42           | 3.1 – 5.7                               | 710 – 1,300          |

Notes: (1)  $\rho_{dmax.}$  = maximum dry density,  $w_{opt.}$  = optimum water content

(2) LL = Liquid Limit, PL = Plastic Limit

(3) Inundation Pressure,  $\sigma'_i$  = 48 kPa

## 4.2 Filter Paper Test and Fredlund SWCC Test

Filter paper test and Fredlund SWCC test were conducted using the bedrock samples remolded from the continuous core samples obtained from the drillings at the Denver and Pierre Shale sites. The tests were conducted to determine the soil water characteristic curve as well as the relationship between water content and swell potential for the water migration and rate of heave analyses, as described in Chapters 6 and 7. A detailed laboratory testing program including sample preparation, equipment used in the tests, laboratory testing procedures, and the results of the laboratory testing is discussed in the following sections.

### 4.2.1 Specimen Preparation

A variety of methods have been developed for reconstituting soil specimens in the laboratory. The moist tamping method is one of the successful methods proposed for

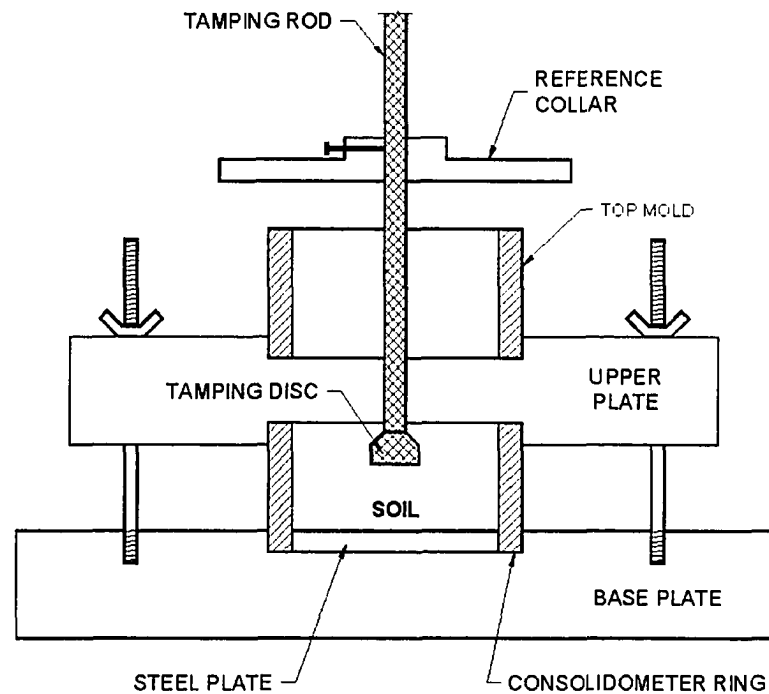
preparing “identical” soil specimens (Mulilis, et al., 1975). The early implementations of the moist tamping method involved the soil specimen being prepared using a number of layers of equal dry weight and volume wherein each layer was being compacted to the same target density. Mulilis, et al. (1975) found that this could result in the lower portion of the specimen becoming denser than the desired specimen density because the compaction of each overlying layer also resulted in the densification of underlying layers.

Ladd (1978) proposed an “undercompaction” concept to improve this moist tamping method. With this technique, the lower layers are initially compacted looser than the final desired density by predetermined amounts so that the final density of each layer is equal to the target density, even with the effects of compaction of successive overlying layers. The difference in density between successive layers is defined as “percent undercompaction” (Ladd, 1978). It was shown that the undercompaction concept could create more uniform moist-tamped specimens (Ladd, 1978; Frost and Park, 2003).

Noorany (1992 and 2005) noted that the undercompaction concept was impractical especially when the height of the soil sample was small (Noorany, 1992 and 2005). Noorany (2005) proposed to prepare a soil sample with a number of layers of equal soil weight and volume when compacting each layer into a compaction mold, as shown in Figure 4-1. Noorany (2005) found that this modified moist tamping method was successful in preparing uniform soil specimens for the oedometer test.

The modified moist tamping method was utilized to prepare and compact soil specimens for the laboratory testing. The soil specimens were prepared for testing by compacting them to 100% of the maximum Standard Proctor dry density at a water

content 3% less than the optimum water content. The sample rings used for the test have dimensions of 6.4 cm in diameter and 3.1 cm in height. The steel plate shown in Figure 4-1 is 0.5 cm in height. Therefore, the soil sample at the completion of compaction within each ring was 2.5 cm in height. In addition, four (4) layers with each layer being 0.6 cm in height were selected for the compaction process in this research. The laboratory data worksheets for the sample preparation are included in Appendix A.

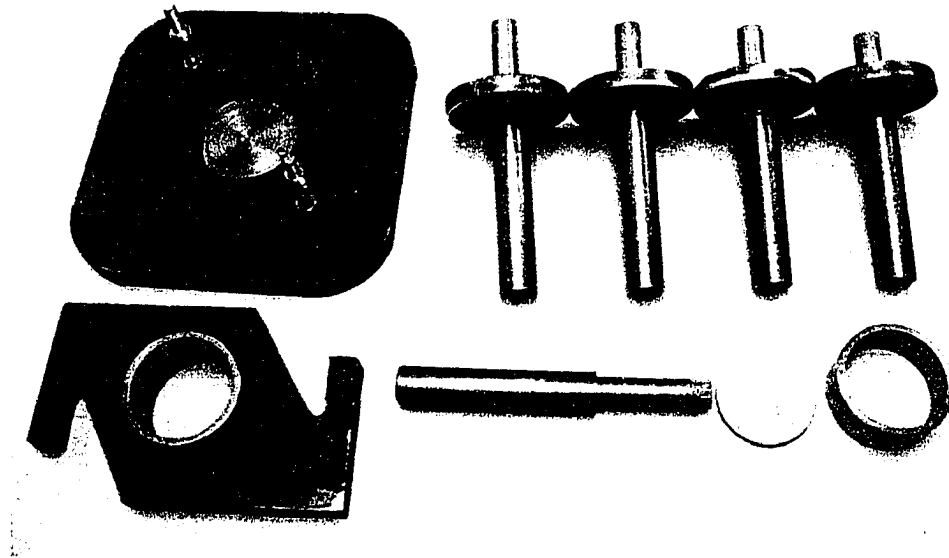


**Figure 4-1 Schematic of Moist Tamping System (modified from Noorany, 2005)**

The general procedure for the specimen preparation is provided below.

1. The continuous core sample was air-dried and broken down with a mortar and pestle. The air-dry water content of the bulk sample was measured using at least two determinations.
2. The weight of water needed to adjust the water content of the bulk sample to 3% less than the optimum water content was determined. The water was added evenly to the soil sample, and the sample was moisture-cured overnight.

3. The water content of the bulk sample was checked to verify that the desired water content of the sample was reached. The water content was adjusted as necessary.
4. All the necessary parts of the equipment shown in Figure 4-2 were assembled to be used in preparing the soil specimen. Four tamping rods numbered 1 to 4 with decreasing lengths were designed to compact the sample to an appropriate height at each layer.



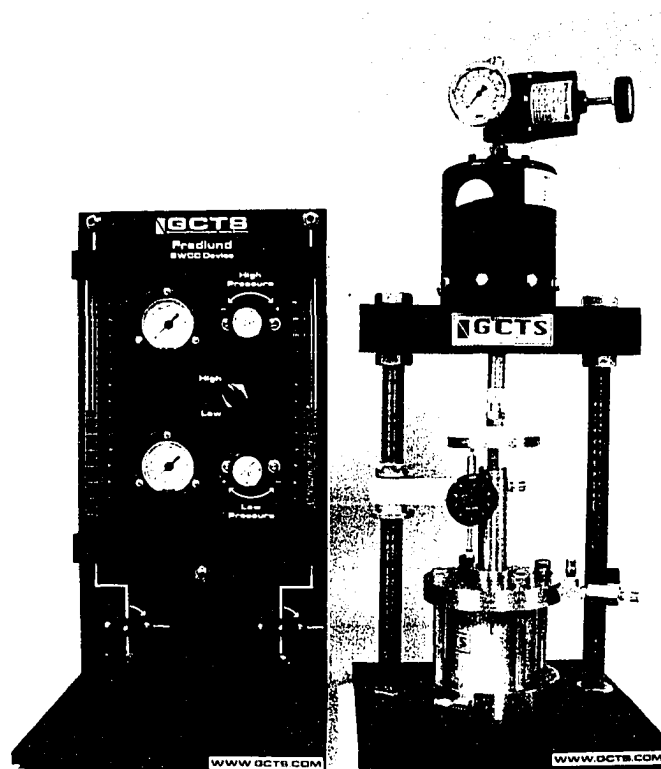
**Figure 4-2 Moist Tamping Equipment Used in This Research**

5. The volume of material needed for the compaction was calculated based on the measurements described above.
6. The total wet weight of material required for sample preparation was determined. The sample was divided into four parts.
7. The amount of the material for the first layer was placed into the consolidometer ring and compacted with the No. 1 tamping rod. Initially, a light tamping force was used to distribute and seat the material uniformly in the ring. The force was then gradually increased until the reference collar attached to the tamping rod uniformly hit the top of the top mold.
8. Steps 6 and 7 were repeated until the last layer was in place. The soil specimen was ready to be used in the laboratory testing.

## 4.2.2 Equipment Used in the Testing

### 4.2.2.1 Fredlund SWCC Device

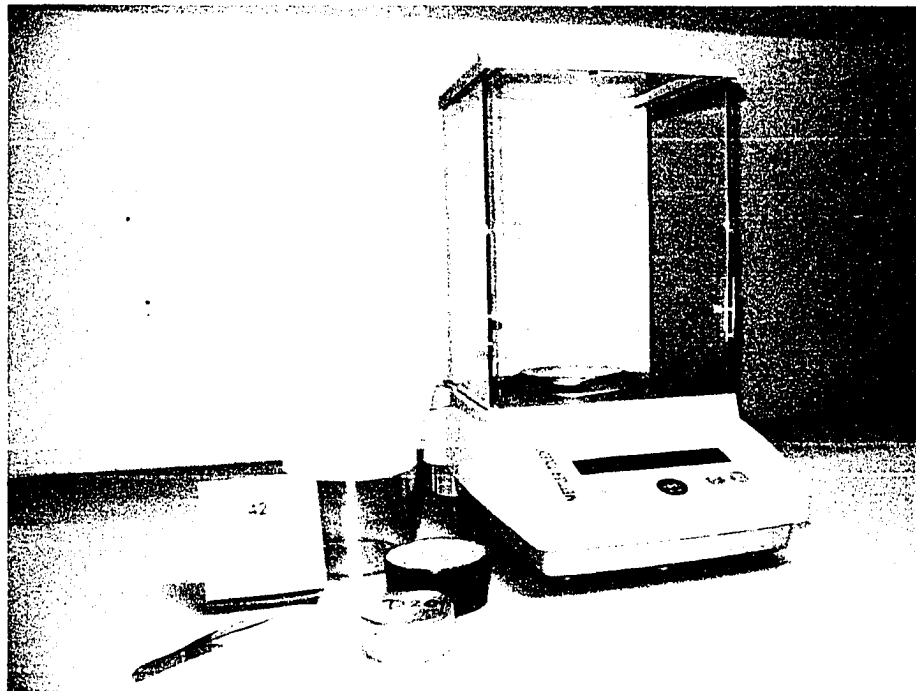
The Fredlund SWCC device was utilized to determine the soil water characteristic curve as well as the relationship between water content and swell potential for the claystone bedrock. A photo of the Fredlund SWCC device used in this study is shown in Figure 4-3. The sample rings used for the test have dimensions of 6.4 cm in diameter and 2.5 cm in height. The Fredlund SWCC device was calibrated to account for compressibility of the device, filter paper, and porous stone, as discussed in Section 2.3.2.2. Detailed information regarding the Fredlund SWCC device is presented in Section 2.2.2.1.



**Figure 4-3 Photo of Fredlund SWCC Device with Loading Frame**

#### 4.2.2.2 Filter Paper Equipment

The filter paper equipment was utilized to determine the soil water characteristic curve of the entire soil suction range for the claystone bedrock. Figure 4-4 shows the filter paper equipment including filter papers, an analytic balance, a moisture can, a brass ring, a pair of tweezers, and a plastic jar that was used in this study. Whatman No. 42 filter paper was used in this research. The weight of the filter paper was measured to the nearest 0.0001 g during the test. Detailed information regarding the filter paper technique is provided in Section 2.2.2.2.



**Figure 4-4 Photo of Filter Paper Test Equipment**

## **4.2.3 Laboratory Testing Procedures**

### **4.2.3.1 Procedure for Determination of Filter Paper Calibration Curve**

Since the accuracy of the filter paper method is dependent on its water content-suction relationship, the calibration procedure for the filter paper is very important. ASTM D5298-94 recommends that a calibration curve applicable to a specific filter paper be obtained before running the filter paper test. In addition, as described in Section 2.2.2.2, differences in the calibration curve may exist even for the same brand of filter paper. The calibration test for the Whatman No. 42 paper used in this research was performed using sodium chloride (NaCl) salt solutions as an osmotic suction source. Salt concentrations from 0.001 to 2.700 molality were prepared and two filter papers were placed above the salt solutions in sealed containers. Figure 4-5 shows a photo of the calibration test adopted in this research.



**Figure 4-5 Photo of Filter Paper Calibration Test**

The sealed containers of filter papers and salt solution were put in an insulated chest where a constant temperature of approximately 23.3°C was kept during the equilibration process. An equilibration period of either two or three weeks was used to check the sensitivity of the test to the time for equilibration. The procedure that was adopted for the calibration test is as follows:

1. Filter papers selected for testing were dried at least 16 hours or overnight in the drying oven. The dried filter papers were placed in a desiccant jar over a desiccant after drying for storage until use.
2. Sodium chloride (NaCl) solutions were prepared at values of molality ranging from 0.001 to 2.700.
3. A 400 ml plastic jar was filled with approximately 250 ml of a solution of known molality of NaCl. Then, a small plastic cup was inserted into the plastic jar to serve as a support for the filter papers.
4. Two filter papers were placed on the top of the plastic cup. The plastic jar was sealed tightly with electrical tape to ensure air tightness.
5. Steps 3 and 4 were repeated for each NaCl concentration.
6. The plastic jars with different NaCl concentrations were placed into the insulated chest. After two or three weeks of equilibration time, the water content of the filter paper was measured. The procedure followed for the filter paper water content measurement is discussed in Section 4.2.3.3.
7. The values of osmotic suction for the solutions were calculated using the osmotic coefficients obtained from Hamer and Wu (1972). The calibration curve was established using the calculated osmotic suction and the measured filter paper water content.

#### **4.2.3.2 Procedure for Determination of Equilibration Time for Filter Paper Test**

ASTM D5298-94 states that “equilibration of suction between the soil, filter paper and air in the closed container is the desired result of the equilibration period. It must be recognized that the equilibration process is dependent upon the initial suction of the soil, initial relative humidity of the air, soil mass and space in the container. The seven day

period is sufficient for conditions normally involved in soil mechanics; however, under many conditions equilibration will be completed more quickly.” Research by others presented in Table 2-4 indicates that the equilibration time could be more than 7 days depending on suction source, measured suction type, material type, water content of soil specimen, number of filter papers used, and so on. Therefore, the equilibration time for performing the filter paper test on the remolded claystone samples was evaluated.

The procedure that was adopted for determining time needed for equilibration is provided as follows:

1. Filter papers were dried at least 16 hours or overnight in the drying oven. The dried filter papers were stored in a desiccant jar over a desiccant.
2. Claystone samples were compacted in the oedometer rings using the modified moist tamping method. Samples were compacted to 100% of the maximum Standard Proctor dry density at volumetric water contents ranging from 13.6 to 44.4%.
3. Soil specimens were placed into a plastic specimen container having a resealable lid. Eight filter papers were removed from the desiccator and immediately placed over the samples. The filter papers were isolated from the samples by inserting screen wire between the filter papers and the samples.
4. The lid of the container was put in place and sealed with electrical tape. The container was placed in the insulated chest to minimize temperature fluctuations.
5. Steps 3 and 4 were repeated for the samples compacted at different volumetric water contents.
6. At various increments of time, a pair of tweezers was used to transfer each filter paper from the specimen container into an aluminum weighing can. The water content of the filter paper was measured following the procedure described in Section 4.2.3.3. The corresponding total suction of the filter paper was determined using the calibration curve for that paper.
7. The total suction measured for each filter paper was plotted as a function of the period of time for which the filter paper was exposed to the soil.

#### **4.2.3.3 Procedure for Determination of Soil Water Characteristic Curve**

The SWCC of a soil is hysteretic. Therefore, depending on whether the process being simulated in the field is a wetting or drying process, an appropriate wetting or drying curve needs to be determined for the soil. Heaving of expansive soils/bedrock is a wetting process. Consequently, a wetting curve should be utilized in simulations of the migration of water in the subsoils/bedrock for modeling heave phenomena. This research was focused on an evaluation of the wetting curves of the claystone of the Denver and Pierre Shale Formations.

The filter paper method and Fredlund SWCC device were adopted to determine the soil water characteristic curves of the claystone of the Denver and Pierre Shale Formations. The filter paper method was used in accordance with ASTM D5298-94 to obtain the soil water characteristic relationship for a soil suction range of approximately 1 to 175,000 kPa. This range corresponds to a pF of 1.01 to 6.25. The Fredlund SWCC device was used in accordance with the GCTS Operating Instructions (GCTS, 2004) and ASTM D6836-02 to obtain the soil water characteristic relationship for a soil suction range of 2 to 900 kPa. The soil suction ranges from both methods overlapped from 2 to 900 kPa in order to verify the measured laboratory data from each other. The test procedures are summarized in the following sections.

##### **4.2.3.3.1 Filter Paper Test**

The filter paper method was adopted to measure total and matric suctions of soil specimens in accordance with both non-contact and contact techniques described in ASTM D5298-94. The soil specimen was compacted into a brass liner with an inside

diameter of 6.2 cm following the procedures described in Section 4.2.1. The soil specimen was prepared to be 2.5 cm in height.

For determining the boundary wetting curve as shown in Figure 2-8, the soil specimen was initially air-dried in the laboratory. The weight and volume of the air-dried sample were measured. A filter paper test was performed on the air-dried sample to obtain a soil suction corresponding to the lowest water content of the sample. At the completion of the first filter paper test, water was added to the soil specimen to obtain a desired water content of the sample for the next filter paper test. The values of water content of the sample were increased at intervals of approximately 5%. The wetting curve test continued until the last desired water content of the soil specimen was reached. Measurements of the weight and volume of the sample at equilibrium were taken throughout the test.

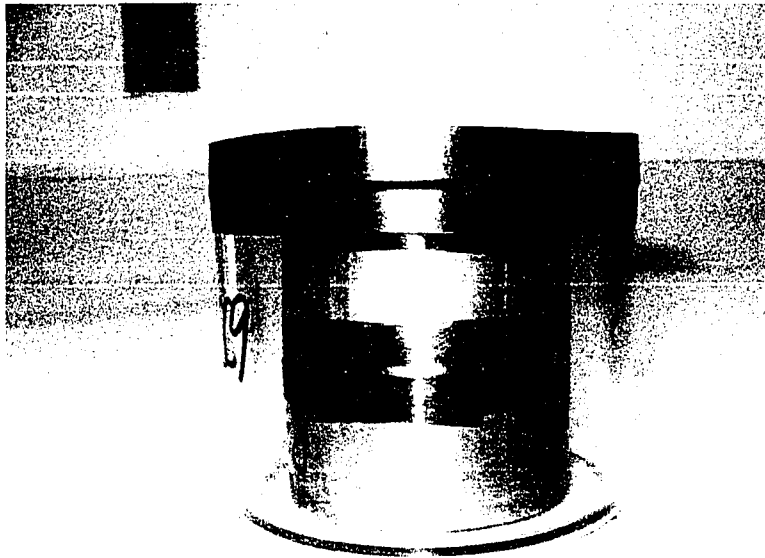
The step-by-step procedure for measuring the boundary wetting curve by using the filter paper technique is discussed below.

1. Filter papers selected for testing were dried at least 16 hours or overnight in the drying oven. The dried filter papers were stored in a desiccant jar over a desiccant.
2. Two samples were compacted to the desired initial stress state conditions using the modified moist tamping method described in Section 4.2.1. The samples were placed on a glass plate for several days until a minimum water content of the samples was reached. The weight and volume of each sample were measured.
3. For the measurement of matric suction, three stacked filter papers were “sandwiched” in between the soil specimens (refer to Figure 4-6). The diameter of the outer filter papers was 6.2 cm, and the diameter of the center filter paper was 5.1 cm. The outer filter papers are slightly larger in diameter than the center filter paper to prevent soil contamination of the center filter paper used for analysis of the matric suction. The samples were sealed together with electrical tape after the placement of the stacked filter papers to ensure a close contact between the filter papers and the samples.

4. For the measurement of total suction, the soil specimens were placed into a plastic specimen container having a resealable lid. Three filter papers were removed from the desiccator and immediately placed over the samples. The filter papers were isolated from the samples by inserting screen wire between the filter papers and the soil samples. The lid of the container was put in place and sealed with electrical tape. Figure 4-7 shows the sample setting for the filter paper test.



**Figure 4-6 Photo of Soil Specimens for Matric Suction Measurement**



**Figure 4-7 Photo of Sample Setting for Filter Paper Test**

5. The plastic container was placed into the insulated chest to minimize temperature fluctuations. The system was left for equilibration. The time needed for equilibration was determined based on the results obtained in this research.
6. Five small aluminum weighing cans were weighed at room temperature. The room temperature was monitored and ranged from 22.2 to 23.3°C during testing.
7. At the end of the equilibration period, a pair of tweezers was carefully used to transfer each filter paper from the specimen container into an aluminum weighing can. The entire process was completed in 3 to 5 seconds to minimize moisture loss in the filter paper. Each aluminum weighing can with the filter paper was weighted to the nearest 0.0001 g. Then each aluminum weighing can with the filter paper was oven-dried at 105°C at least 12 hours or overnight.
8. After the filter papers were oven-dried, each aluminum weighing can with the filter paper was closed inside the oven. Each can was then removed from the oven and placed on a metal block for about 30 seconds to cool. The metal block acted as a heat sink and reduced the temperature variation during weighing. The oven-dried weight of each can with the filter paper was determined immediately after the 30 second cooling period. The filter papers were discarded and the weight of each can was measured immediately under a “hot” condition.
9. The weight and volume of each soil specimen were measured at the end of equilibration. Water was then added to each sample to raise its water content by 5%.
10. Steps 3 through 9 were repeated until the wetting process for the sample was completed.
11. At the completion of the wetting curve test, the soil specimens were oven-dried at 105°C at least 12 hours or overnight. The weight and volume of the dry samples were measured. The water content, total suction, and matric suction of the soil at each pressure increment were calculated.

#### **4.2.3.3.2 Fredlund SWCC Test**

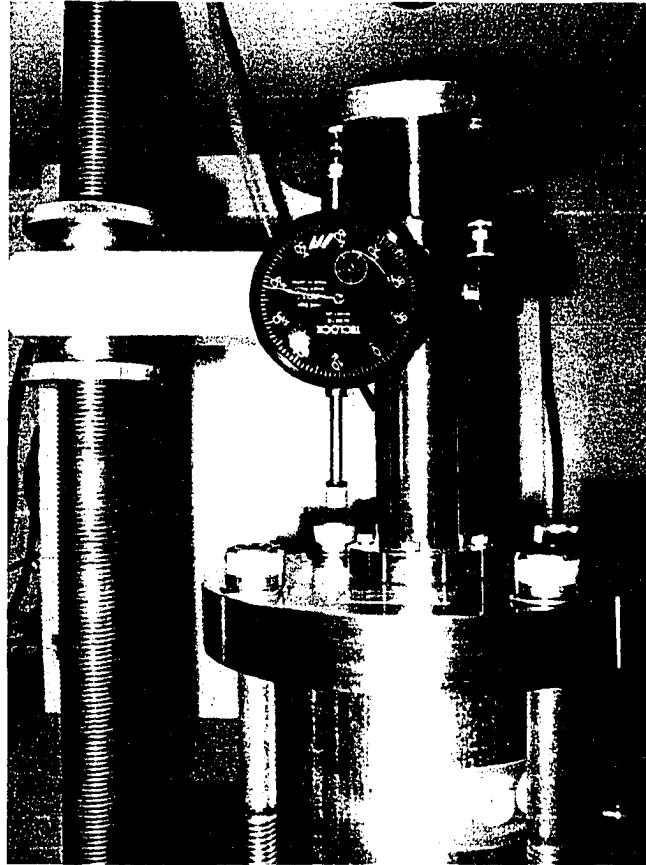
The Fredlund SWCC device was utilized to obtain the soil water characteristic curve of the claystone of the Denver Formation for a soil suction range of 2 to 900 kPa. This soil suction range overlapped the range used in the filter paper tests to verify the measured laboratory data from each other. Similar to the filter paper test, the soil specimen was compacted to 100% of the maximum Standard Proctor dry density at a water content 3% less than the optimum water content. The soil specimen was

compacted into a consolidometer ring with an inside diameter of 6.3 cm. The soil specimen was prepared to be 2.5 cm in height. For determination of the boundary wetting curve, the soil specimen was then air-dried until a minimum water content was reached in the laboratory. The weight and volume of the air-dried sample were measured.

The air-dried soil specimen was transferred to a ceramic stone placed in the pressure cell of the Fredlund SWCC device. The ceramic stone had an air entry pressure of 1,500 kPa (15 bars) such that only water and not air could pass through the ceramic stone. The bottom of the ceramic stone was maintained at atmospheric pressure by connecting the drain holes to two volume indicator tubes filled with water.

A desired air pressure was applied into the pressure cell. The difference between the applied air pressure and the water pressure is equal to the corresponding matric suction. In response to the applied suction the water from the volume indicator tubes moved in the soil specimen through the ceramic stone until the equilibrium was established. The water levels in the tubes showed the amount of water released and ceased to move once the equilibrium was attained. Following equilibrium, the water levels in the volume indicator tubes were recorded. It was possible for air to diffuse through the ceramic stone and collect on the bottom of the cell. Therefore, the diffused air was flushed out before reading the levels in the volume indicator tubes. The water content of the specimen was back-calculated using the volume indicator tube readings. The change in the height of the soil specimen was measured from the attached dial gauge (refer to Figure 4-8). This procedure was repeated for successive pressure decrements to obtain a series of data points on the wetting curve. The pressure values that were used were 900, 400, 100, 10, and 2 kPa (or 9, 4, 1, 0.1, and 0.02 bars). These pressure

decrements produced a reasonable uniform distribution of values of volumetric water content. At the end of the wetting curve test, the soil specimen was removed from the cell and its water content and dry density were determined.



**Figure 4-8 Photo of Sample Height Measurement Using the Fredlund SWCC Device**

The step-by-step procedure for measuring the wetting SWCC by using the Fredlund SWCC device is provided below. The procedure is determined based on the combination of the procedures from the GCTS Operating Instructions (GCTS, 2004) and ASTM D6836-02.

1. A soil specimen was compacted to the desired initial stress state conditions using the modified moist tamping method described in Section 4.2.1. The soil specimen was placed on a glass plate for several days until a minimum water content of the

sample was reached. The weight and volume of the air-dried sample were measured.

2. The ceramic stone was saturated overnight before using. A ceramic stone was removed from water and mopped to a saturated surface dry (SSD) condition. The weight of the SSD ceramic stone was measured.
3. The sample was transferred on to the SSD ceramic stone. The sample was examined to ensure a properly centered position of the sample on the ceramic stone. A piece of filter paper and a porous stone were placed on the top of the soil specimen. The filter paper was subjected to several loading and unloading cycles before use, as discussed in Section 2.3.2.2.2.
4. Water was added into the bottom plate of the pressure cell. The ceramic stone and the sample were carefully pressed into the bottom plate. The pressure cell was assembled.
5. The volume indicator tubes were filled with de-ionized water until about half full. A ball pump was used to expel any trapped air in the base until no air bubbles appeared in the tubes during flushing. Water columns should level out in both tubes after flushing. The initial readings in the volume indicator tubes were recorded with the date and time.
6. The first pressure of 900 kPa was applied to the cell. The compensator on the top plate automatically equalized the pressure exerted on the piston by the chamber pressure. The system was checked for any air leaks.
7. The water levels in the tubes and dial gauge readings were taken during the equilibration process. At least two tube readings, typically six to eight hours apart per day were taken. The readings were taken before and after flushing. When the tube readings did not change more than one division over a 12-hour period, the system was considered to have reached equilibrium and the next pressure increment was applied.
8. At the end of the last pressure decrement, the apparatus was disassembled, and the sample was taken out. The weight and volume of the sample were recorded. The sample was then placed in an oven overnight at 105 °C.
9. The ceramic stone was removed from the bottom plate of the device. The ceramic stone was brought to the SSD condition and the weight of the stone was measured. The difference between the initial weight and the final weight of the ceramic stone indicated if water was absorbed or released from the ceramic stone during the test. If the difference existed, the tube readings from the volume indicator tubes were corrected accordingly.
10. Once the dry weight of the sample was available, the water content and volume of the sample at each pressure increment were calculated.

#### **4.2.3.4 Procedure for Determination of Relationship Between Water Content and Swelling Potential**

In order to predict timewise variation of heave of the soil/bedrock, it is necessary to understand the relationship between the change in water content and the change in volume of the soil/bedrock. The relationship was determined for the claystone of the Denver Formation using the Fredlund SWCC device. The advantage of using the Fredlund SWCC device instead of the consolidometer to determine this relationship is that water content and volume change of the soil specimen were measured simultaneously during the test.

The soil specimens were remolded to 100% of the maximum Standard Proctor dry density at various values of initial volumetric water content using the modified moist tamping system and then placed in the Fredlund SWCC device. The values of initial volumetric water content that were used were 18, 23, 30, and 38%. A vertical load of approximately 10 kPa was applied on the top of the loading cap to ensure a close contact between the load shaft and the soil specimen. Water was then introduced into the device through the volume indicator tubes and the soil specimen began to swell upon wetting. Volume change and water content of the soil specimen were measured until completion of the swelling process.

A step-by-step procedure for performing the test is provided below. The procedure was determined based on the procedure recommended in ASTM 4546-03 but has been modified for running the test by using the Fredlund SWCC device.

1. A soil specimen was placed on the saturated high air entry pressure ceramic stone and the device was assembled by following Steps 2 through 5 in Section 4.2.3.3.2.

2. A vertical load of approximately 10 kPa was applied on the top of the load shaft to ensure a close contact between the load shaft and the soil specimen. After the application of the seating pressure, the dial gauge was adjusted for the initial reading. The initial readings of the dial gauge and the volume indicator tubes were taken. The soil specimen was ready for the saturation process.
3. Readings of the dial gauge and volume indicator tubes were taken after various elapsed times until the completion of the swelling process. The readings were taken at 0.1, 0.2, 0.5, 1.0, 2.0, 4.0, 8.0, 15.0, and 30.0 minutes and 1, 2, 4, 8, 24, 48, 72, etc., hours.
4. At the completion of the swelling process, the apparatus was disassembled, and the sample was removed. The weight and volume of the sample were recorded. The sample was then placed in an oven overnight at 105 °C. Once the dry weight of the sample was determined, the water content and volume of the sample at each stage were calculated.
5. Steps 1 through 4 were repeated for soil specimens with various initial water contents.

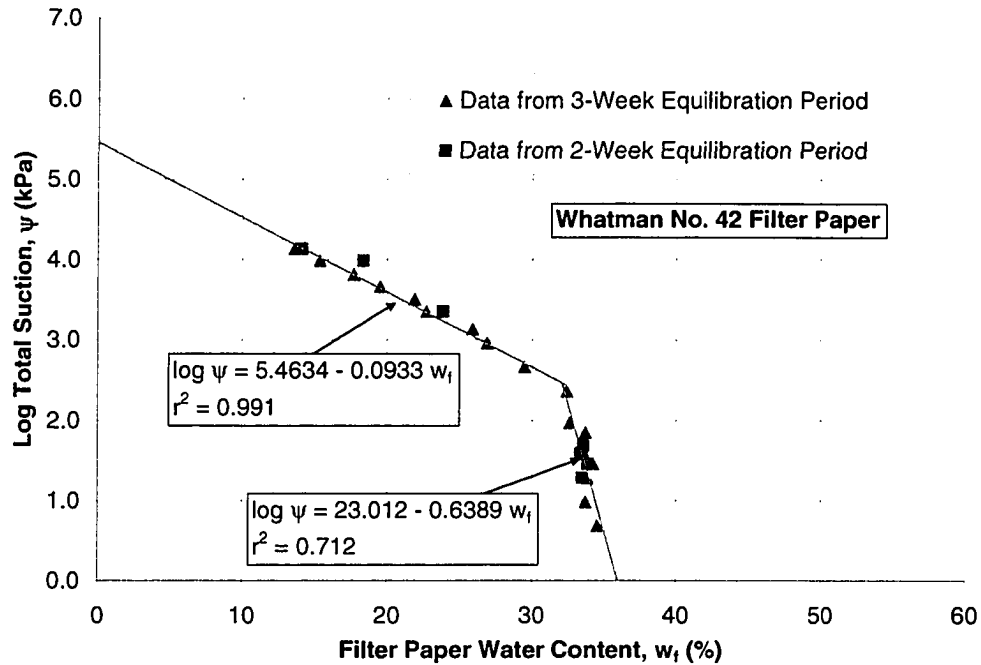
## **4.2.4 Results of Laboratory Testing**

### **4.2.4.1 Filter Paper Calibration Curve**

The filter paper calibration test for the Whatman No. 42 filter paper was conducted using the sodium chloride salt solutions by following the procedure described in Section 4.2.3.1. The calibration test was performed for either a 2 or 3 week equilibration period. The results of the calibration test are shown in Figure 4-9. The laboratory data worksheets for the calibration test are included in Appendix B.

Marinho (1994) concluded that the effect of equilibration time on total suction calibration depends on the imposed level of suction. As shown in Table 2-4, Marinho (1994) concluded that the lower the suction, the longer will be the equilibration time needed to achieve suction equilibrium inside the non-contact filter paper. The equilibration time for suction less than 100 kPa could be longer than 30 days. However, Figure 4-9 indicates that the data points for suction less than 100 kPa obtained from the 3

week equilibration period case are in close agreement with those from the 2 week equilibration period case. Therefore, it is suggested that a 2-week equilibration period for the calibration time is sufficient for the test.



**Figure 4-9 Filter Paper Calibration Curve for Total Suction Measurement**

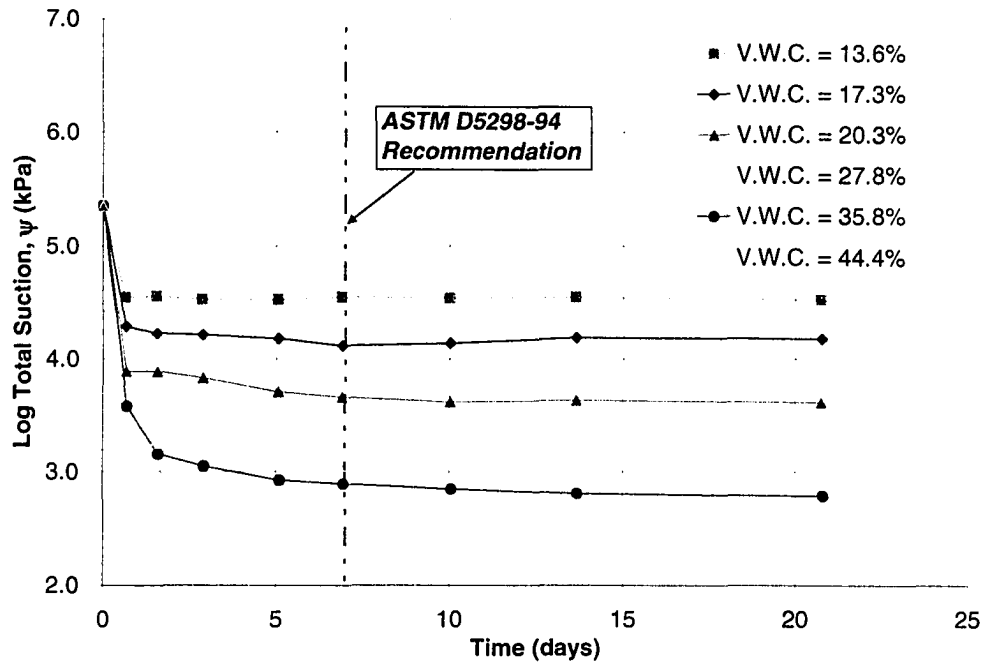
The equation for the total suction calibration curve was determined by log-linear regression and is also shown in Figure 4-9. The calibration curve shows the same bilinear relationship as that discussed previously with respect to Figure 2-11. By comparison of Figure 4-9 with Figure 2-10, it is clear that the calibration curve presented in ASTM D5298-94 should be used only for matric suction measurement. Figure 4-9 also shows that the value of  $r^2$  for the low suction range (approximately less than 200 kPa) is less than that for the high suction range. This trend is consistent with the findings from other researchers (Bulut, et al., 2001 and Leong, et al., 2002). Bulut, et al. (2001)

explained the trend by saying that when relative humidity approaches 100 percent, the total suction becomes very sensitive to small changes in humidity.

#### **4.2.4.2 Equilibration Time for Filter Paper Test**

The time required for equilibration of the filter paper when measuring the suction of the claystone from the Pierre Shale Formation was evaluated by following the procedure described in Section 4.2.3.2. The claystone samples were remolded to 100 percent of the maximum Standard Proctor dry density at volumetric water content ranging from 13.6 to 44.4 percent. Eight stacked filter papers were placed over the claystone samples in a plastic specimen container. The filter papers were isolated from the samples by inserting screen wire with minimal surface area between the filter papers and the soil samples for the measurement of the total suction. The water content of each filter paper was measured at various time periods to determine the total suction vs. time relationship for the samples. In addition, the values of three oven-dried filter papers were measured to provide the initial point. The results are shown in Figure 4-10. The laboratory data worksheets for the time required to reach equilibrium are included in Appendix C.

The average value of the total suction for the oven-dried filter papers shown in Figure 4-10 is 223,870 ( $10^{5.35}$ ) kPa. The data in Figure 4-10 indicate that equilibrium is achieved quickly for the samples with low water contents, whereas equilibrium is not attained even within the 7 days of the equilibration time recommended by ASTM for the samples with high water contents. Data analyses of the results are provided in Section 5.2.



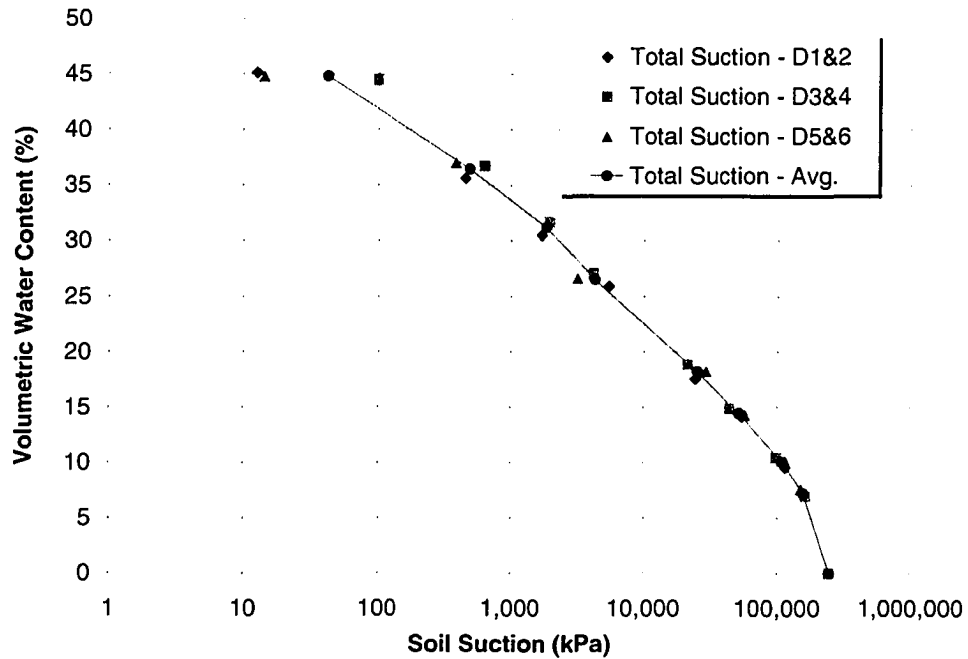
**Figure 4-10 Time to Reach Equilibrium for Filter Paper Test**

#### 4.2.4.3 Soil Water Characteristic Curves

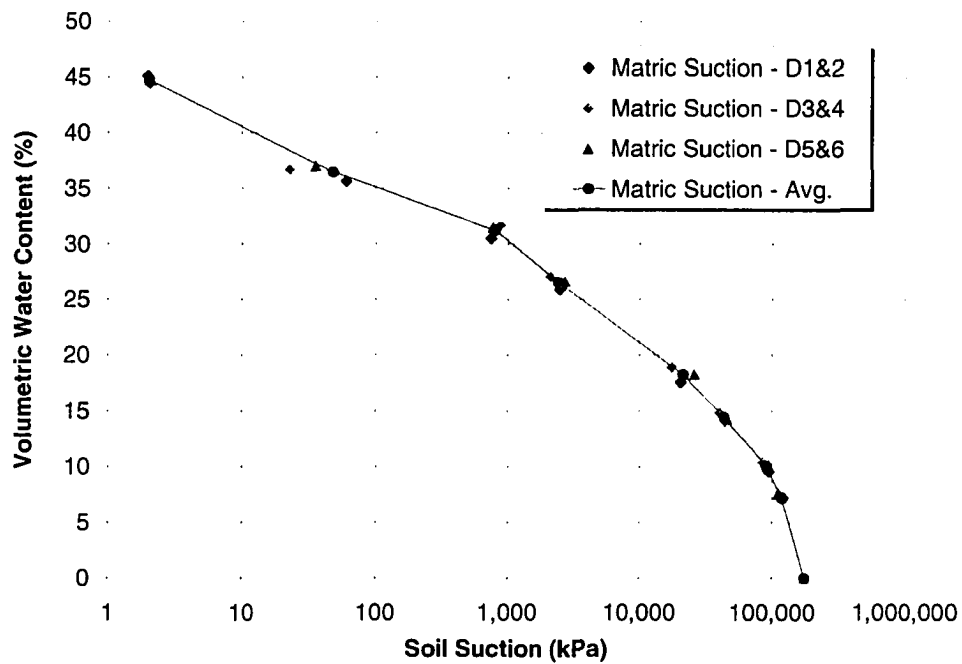
Soil water characteristic curves for the claystone of the Denver and Pierre Shale Formations were determined using the filter paper method and the Fredlund SWCC device. For determination of the boundary wetting curve, the soil specimens were air-dried to a minimum water content in the laboratory after compaction. The procedures for determining the boundary wetting curves were presented in Sections 4.2.3.3.1 and 4.2.3.3.2. In addition, five remolded samples of the Pierre Shale claystone were oven-dried to obtain the soil suction of the claystone at “zero” water content using the filter paper method. Appendices D and E include the laboratory test data sheets for determining the SWCCs using the filter paper method and the Fredlund SWCC device, respectively.

Figures 4-11 and 4-12 show the SWCCs for the claystone of the Denver Formation in terms of the total and matric suctions, respectively. The average values of the laboratory data are also shown in Figures 4-11 and 4-12. Figures 4-13 and 4-14 present the SWCCs in terms of volumetric water content and degree of saturation, respectively, from the average values of the laboratory data for the claystone of the Denver Formation. The osmotic suction curves shown in Figures 4-13 and 4-14 were computed by subtracting the matric suction values from the total suction values. Similarly, Figures 4-15 through 4-18 present the SWCCs for the claystone of the Pierre Shale Formation.

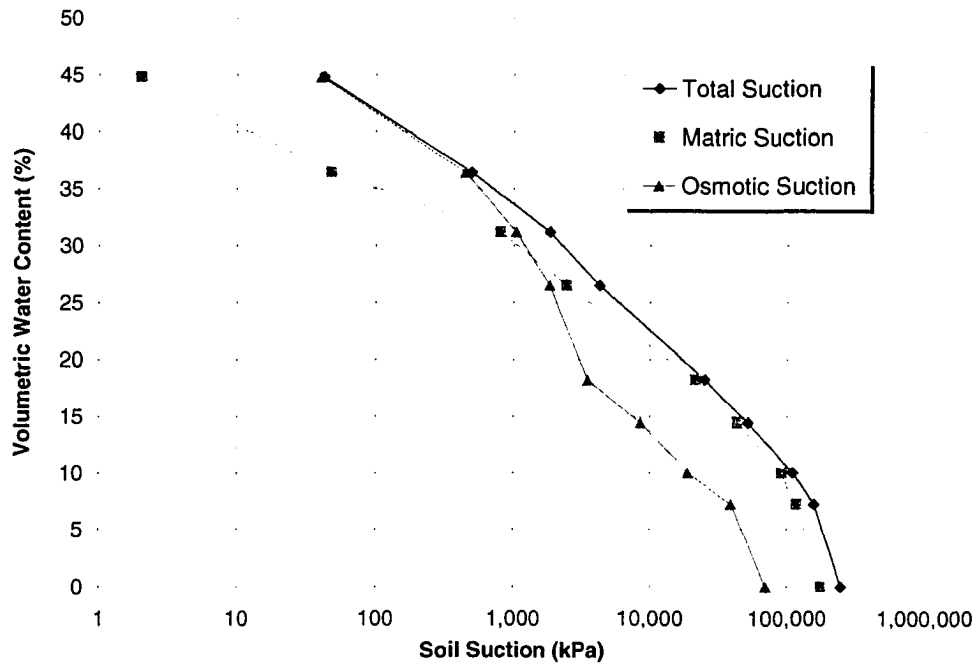
The soil suction at zero water content is used as a boundary parameter in heave prediction using the soil suction method proposed by McKeen (1992). The soil suction at zero water content has been found by McKeen (1992) to be near 174,385 kPa (6.25 pF). Fredlund and Xing (1994) introduced a correction function,  $C(\psi)$ , in their SWCC fitting equation to force the SWCC to pass through a soil suction of  $10^6$  kPa (7.0 pF) at zero water content. Leong and Rahardjo (1997) indicated that the soil suction value of  $10^6$  kPa at zero water content is supported by experimental data. Leong and Rahardjo (1997) stated that this value has no theoretical basis. The measured average total suction of the five oven-dried claystone samples shown in Figures 4-14 and 4-18 is approximately 245,000 kPa (6.40 pF) at oven-dry water content. The measured soil suction at oven-dry water content is closer to that found by McKeen (1992).



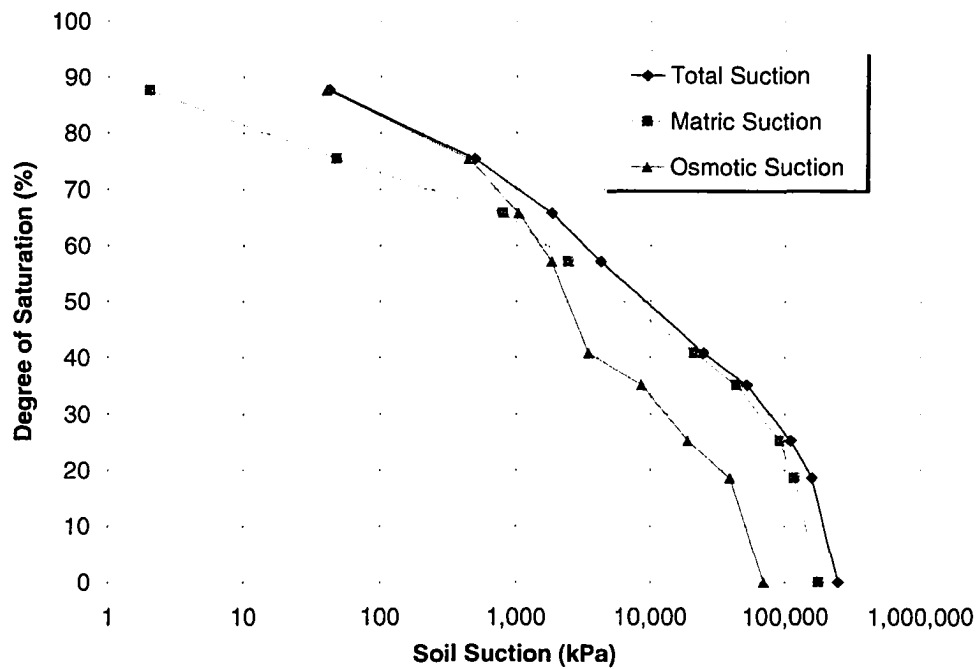
**Figure 4-11 Wetting SWCC – Total Suction – Remolded Claystone of Denver Formation**



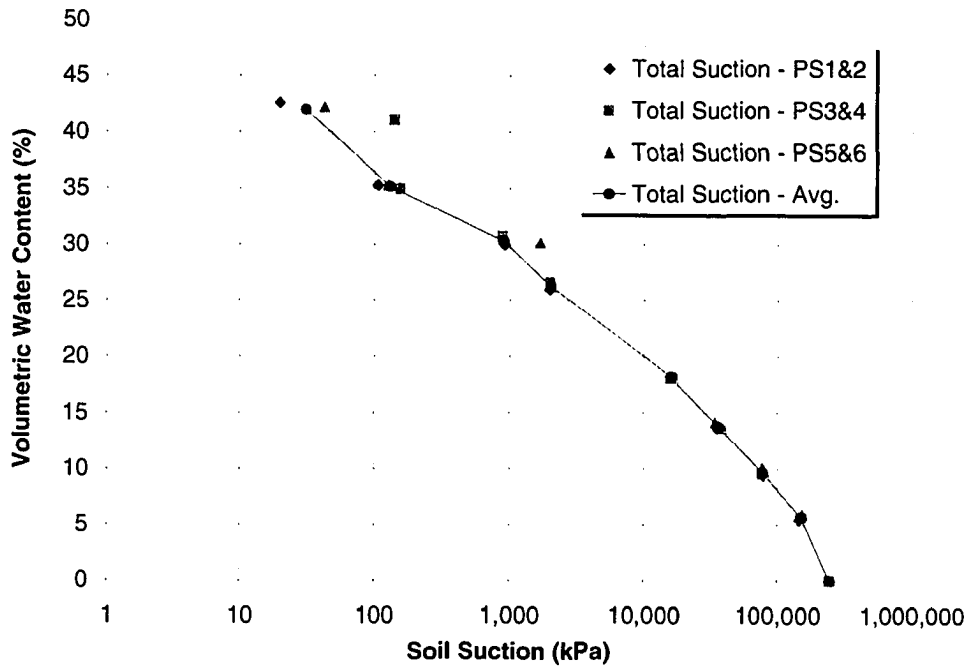
**Figure 4-12 Wetting SWCC – Matric Suction – Remolded Claystone of Denver Formation**



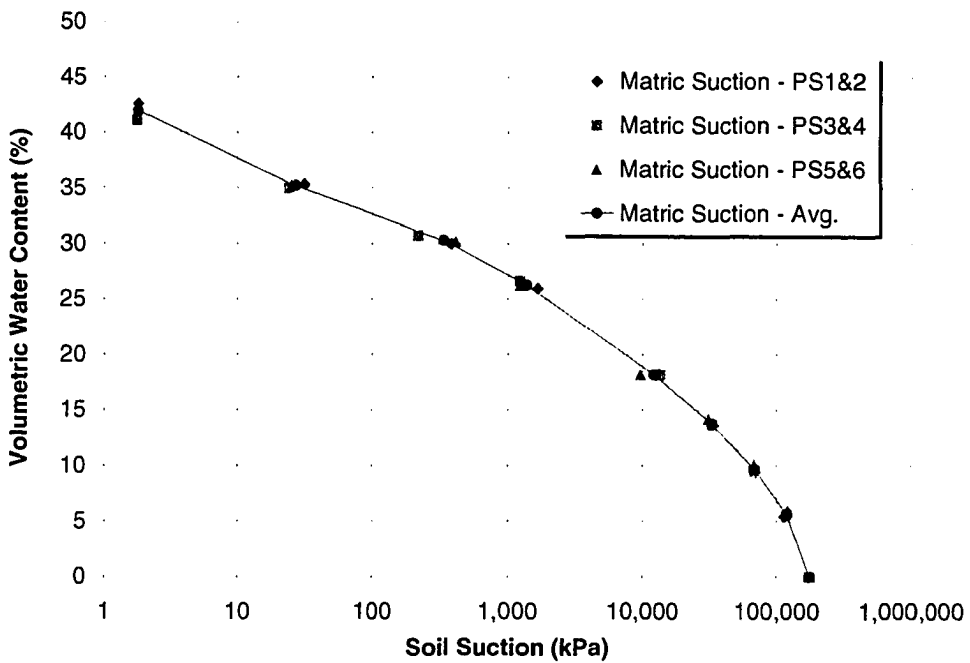
**Figure 4-13 Wetting SWCC – Total, Matric, and Osmotic Suctions – Remolded Claystone of Denver Formation**



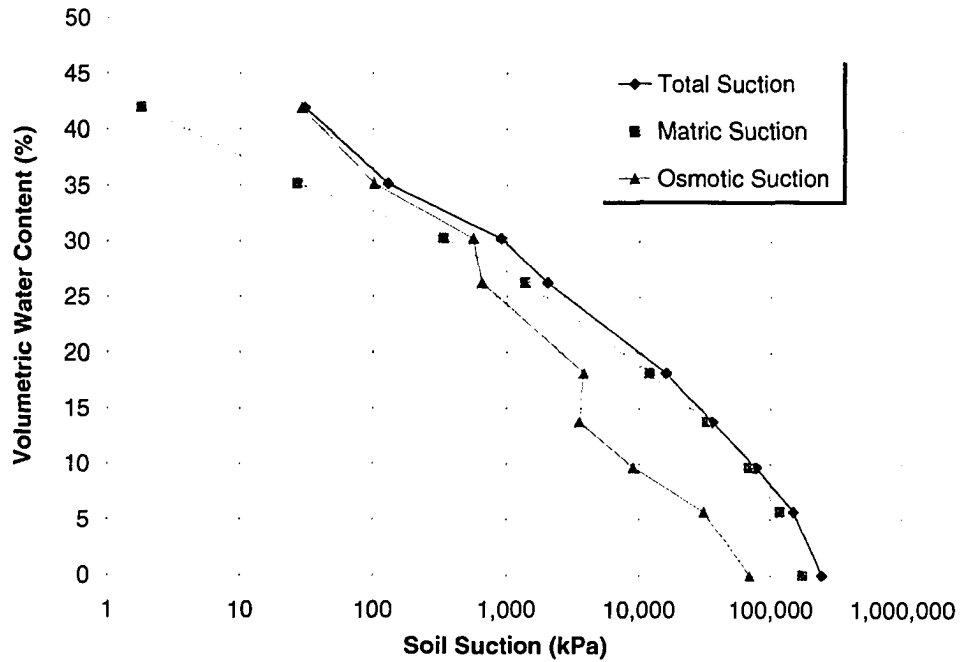
**Figure 4-14 Degree of Saturation vs. Soil Suction – Total, Matric, and Osmotic Suctions – Remolded Claystone of Denver Formation**



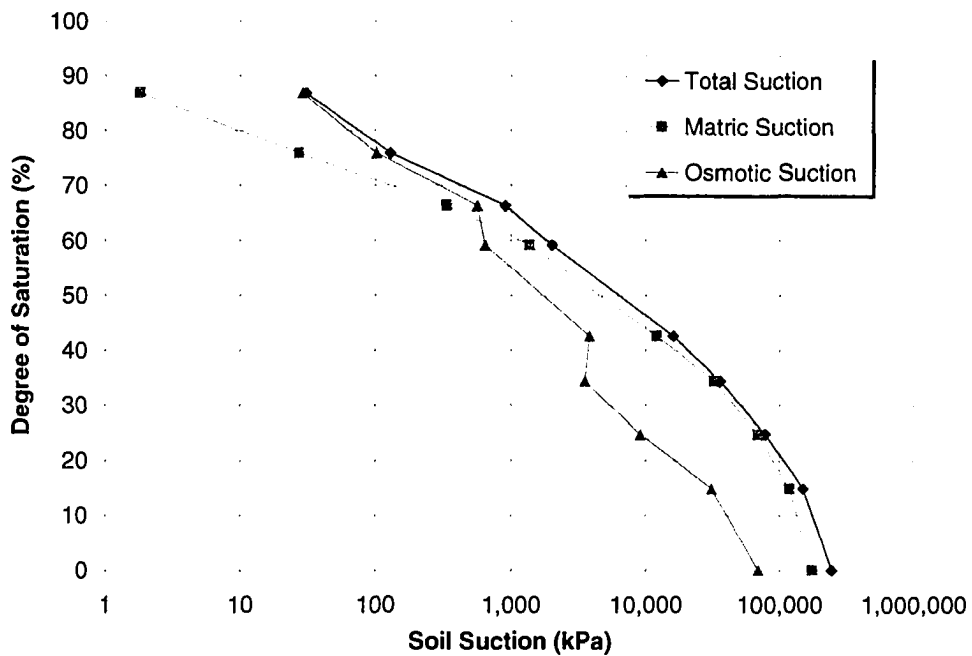
**Figure 4-15 Wetting SWCC – Total Suction – Remolded Claystone of Pierre Shale Formation**



**Figure 4-16 Wetting SWCC – Matric Suction – Remolded Claystone of Pierre Shale Formation**



**Figure 4-17 Wetting SWCC – Total, Matric, and Osmotic Suctions – Remolded Claystone of Pierre Shale Formation**



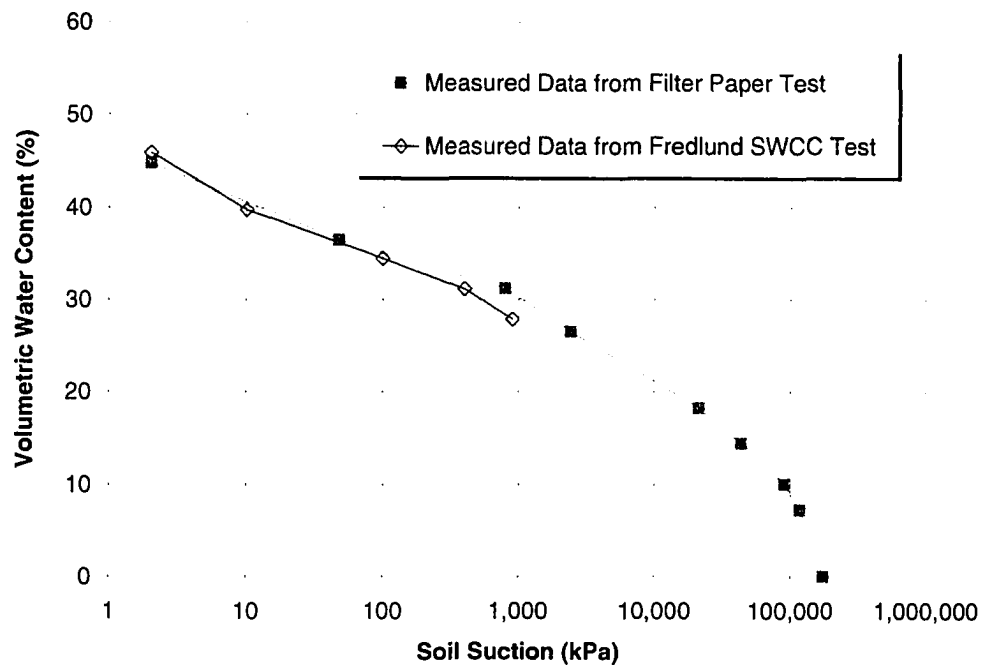
**Figure 4-18 Degree of Saturation vs. Soil Suction – Total, Matric, and Osmotic Suctions – Remolded Claystone of Pierre Shale Formation**

Fredlund (2002) stated that matric suction has been shown to dominate the lower suction portion of a SWCC, while osmotic suction dominates the high suction portion of the SWCC. Capillary effects dominate when there is a significant amount of liquid water in the soil, whereas the osmotic suction related to the adsorbed salts begins to dominate the behavior of the soil at a high suction range. van der Raadt, et al. (1987) showed that filter paper results used in the contact and noncontact methods were similar for values of suction above 1,000 kPa, but were different for values of suction less than 1,000 kPa. Leong, et al. (2002) suggested that “up to 1,000 kPa suction, the contact filter paper method can be used to measure matric suction reliably, while the noncontact method can be used to measure total suction. Beyond 1,000 kPa suction, the filter paper method measures only total suction, regardless if the contact or the noncontact procedure is used.” Review of Figures 4-13 and 4-17 indicates that this limit is much higher (close to 10,000 kPa).

The SWCCs shown in Figures 4-13 and 4-17 for the claystone of both formations do not exhibit an “apparent” displacement pressure head. This trend of not having the apparent displacement pressure head for expansive soil has also been reported by others (Chao, 1995; Al-Mukhtar, 1995; Alonso, et al., 1995; Wan, et al., 1995; and Miller, 1996).

For comparison purposes, the Fredlund SWCC test was conducted on the remolded claystone of the Denver Formation with values of soil suction ranging from 2 to 900 kPa. The results of the Fredlund SWCC test are included in Figure 4-19. This figure demonstrates that the Fredlund SWCC test reproduced the results obtained from the filter paper test. Consequently, it is confident that the wetting soil water characteristic curve

shown in Figure 4-19 is reasonable and can be used in the water migration analysis that will be described in Chapter 6.

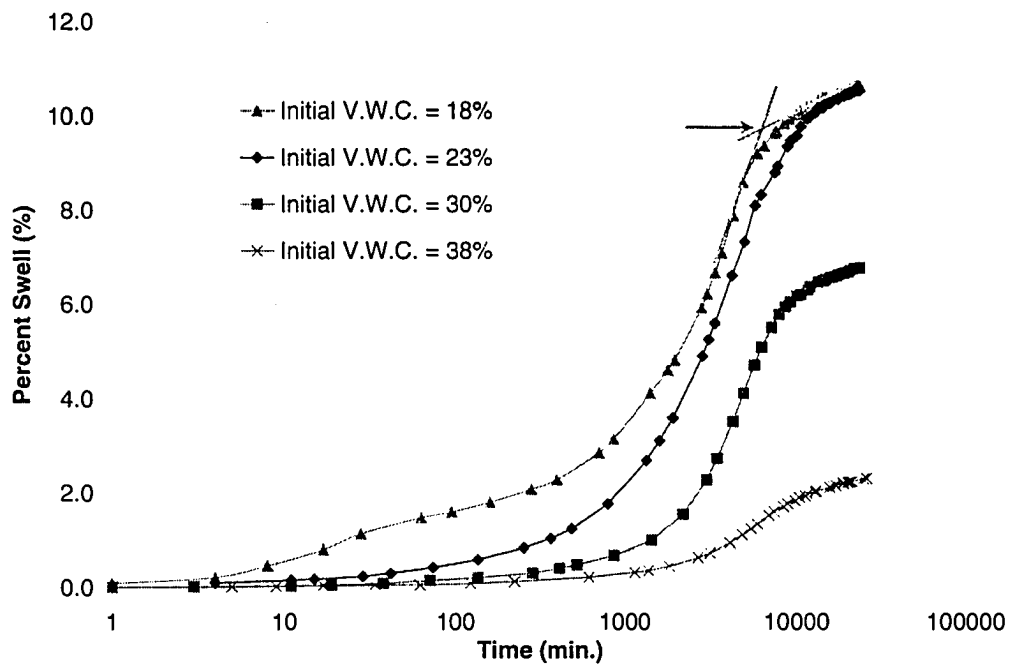


**Figure 4-19 Comparison of Wetting SWCCs from Filter Paper Test and Fredlund SWCC Test – Remolded Claystone of Denver Formation**

#### **4.2.4.4 Relationship Between Water Content and Swell Potential**

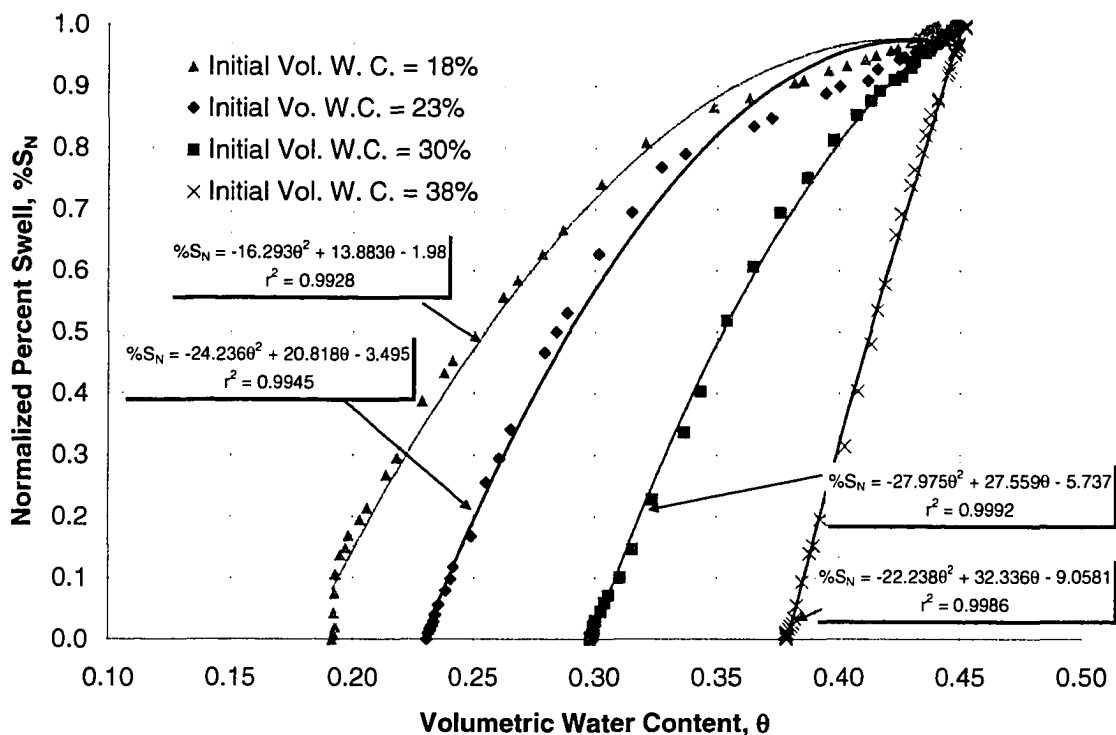
The relationship between water content and swell potential for the claystone of the Denver Formation was evaluated. The claystone samples were remolded to the values of initial volumetric water content ranging from 18 to 38 percent. Changes in sample height and water content of the soil samples placed in the Fredlund SWCC device were measured until completion of the swelling process. The laboratory data worksheets for determining the relationship between water content and swell potential are included in Appendix E.

Figure 4-20 presents percent swell as a function of time data for the claystone samples with various initial volumetric water contents. Tangents were drawn to the two straight-line portions of the observed curve for the claystone with the initial water content of 18%. ASTM D4546-03 defines the intersection of the tangent lines as being the end of primary swell. The arrow shown in Figure 4-20 indicates the end of primary swell for the sample with the initial water content of 18%. Values for the time at the end of primary swell for other samples were determined using the same approach. The end of primary swell occurred at approximately 6,400, 8,100, 9,000, and 10,500 minutes for the samples with the initial volumetric water contents of 18, 23, 30, and 38%, respectively.



**Figure 4-20 Percent Swell vs. Time for Claystone Samples at Various Initial Water Contents**

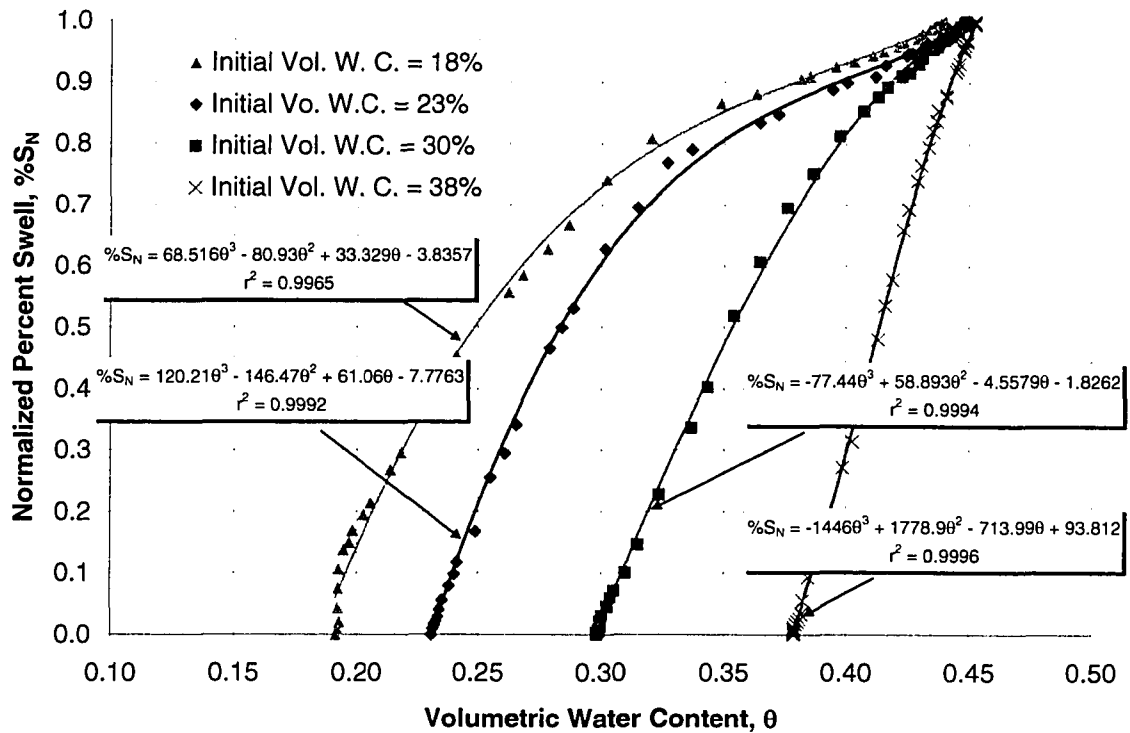
Figure 4-21 shows the laboratory test data of the relationship between water content and percent swell. The percent swell values for each soil specimen shown in Figure 4-21 were normalized to the maximum value of percent swell obtained during the consolidation-swell test for that sample. The observed laboratory data were fitted to second and third order polynomial equations using regression analyses. The results of the regression analyses are shown in Figures 4-21 and 4-22, respectively.



**Figure 4-21 Normalized Percent Swell vs. Volumetric Water Content – Second Order Polynomial Equation**

Figures 4-21 and 4-22 indicate that the values of  $r^2$  for regression analyses of the second order polynomial equation are very close to those for regression analyses of the third order polynomial equation. The main difference between the second order and third order polynomial equations is the agreement with the observed data in the range from 0.9 to 1.0 Normalized Percent Swell. Inspection of Figures 4-21 and 4-22 shows that the

overall effect of using the second order equation would be about 3% inaccuracy in the final stages of the heave process for initially dry soils. In the case of initially wetter soils, the difference between the two equations is negligible. Therefore, the curve fitting results with the second order polynomial equation were selected in this research. Additional analyses of the laboratory data are provided in Section 5.4.



**Figure 4-22 Normalized Percent Swell vs. Volumetric Water Content – Third Order Polynomial Equation**

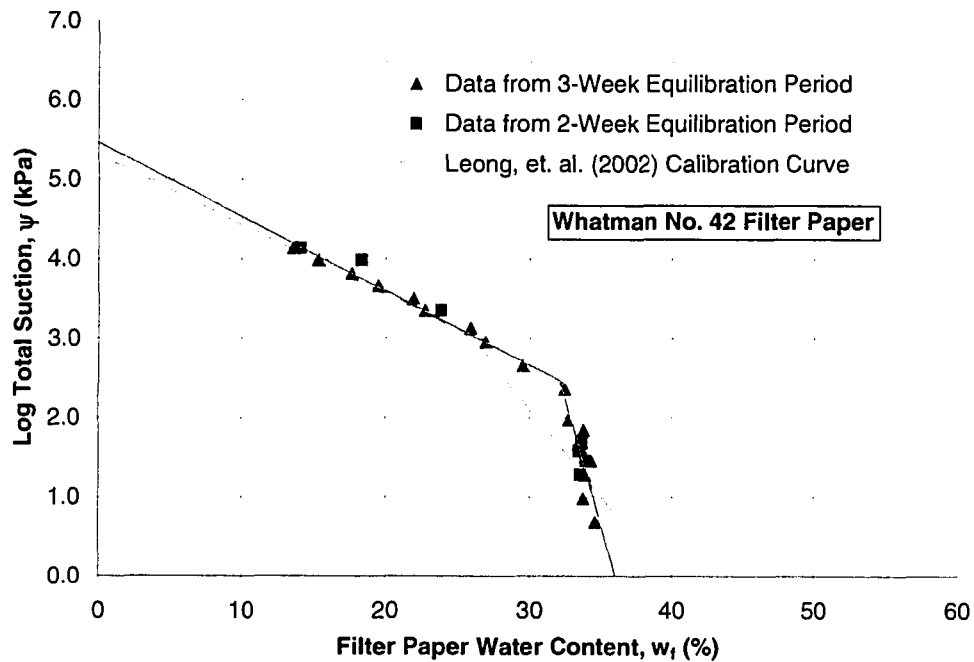
## **CHAPTER 5**

### **ANALYSIS OF LABORATORY TESTING DATA**

The primary goal of the experimental testing was to provide the SWCC and the relationship between water content and swelling potential of the claystone for use in the water migration analysis and rate of heave prediction. The results of the experimental testing were presented in Chapter 4. Analysis of the experimental testing data is discussed in this chapter.

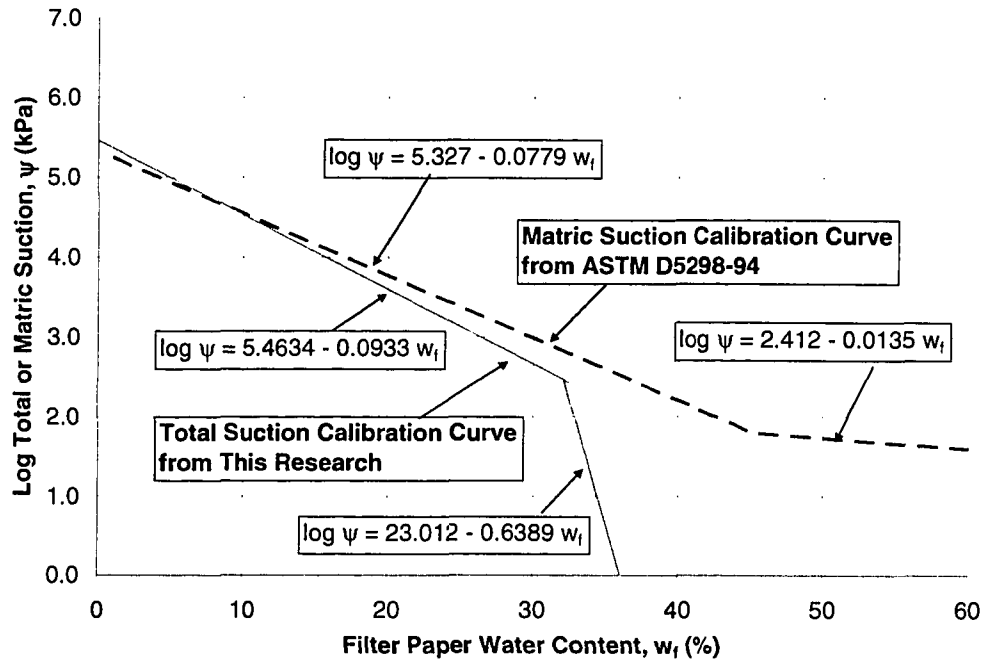
#### **5.1 Filter Paper Calibration Curve**

Leong, et al. (2002) developed total suction calibration curve for Whatman No. 42 filter paper. The total suction calibration curve was obtained by calibrating initially dry filter papers over salt solutions of various concentrations in vacuum desiccators. The equilibration time of the calibration curve from Leong, et al. (2002) was observed to be between 2 and 5 days. The calibration curve from Leong, et al. (2002) is shown in Figure 5-1 in conjunction with the calibration data obtained from this research. It is shown in Figure 5-1 that the filter paper responses are the same for values of soil suction greater than 1,000 kPa, whereas the calibration curves for soil suction less than 1,000 kPa are somewhat different. The difference in the calibration curves may result from the quality of the filter papers, the differences in the equilibration time, or sensitivity to minor changes in the filter paper water content, as discussed in Section 4.2.4.1.



**Figure 5-1 Comparison of Filter Paper Calibration Curves for Total Suction Measurement**

The calibration curve for total suction obtained from this research is shown in Figure 5-2 in conjunction with the calibration curve for matric suction obtained from ASTM D5298-94. ASTM D5298-94 reports that the calibration curve was obtained from Greacen, et al. (1987). Greacen, et al. (1987) referred to the paper by Fawcett and Collis-George (1967) for their calibration data for values of matric suction greater than 50 kPa. Houston, et al. (1994) successfully duplicated the matric suction calibration curve from Fawcett and Collis-George (1967). Additional calibration curves for the matric suction measurement using the Whatman No. 42 filter paper is presented in Figure 2-12. The difference in the calibration curves from other research is insignificant. Therefore, the matric suction calibration curve obtained from ASTM D5298-94 was adopted in this research.



**Figure 5-2 Filter Paper Calibration Curves for Whatman No. 42 Filter Paper**

Figure 5-2 shows that the calibration curves are different for total and matric suctions. This was also observed by Houston, et al. (1994), Bulut, et al. (2001), Leong, et al. (2002), and Bulut and Wray (2005). The matric suction curve is essentially the same as the total suction curve for a suction value higher than approximately 10,000 kPa.

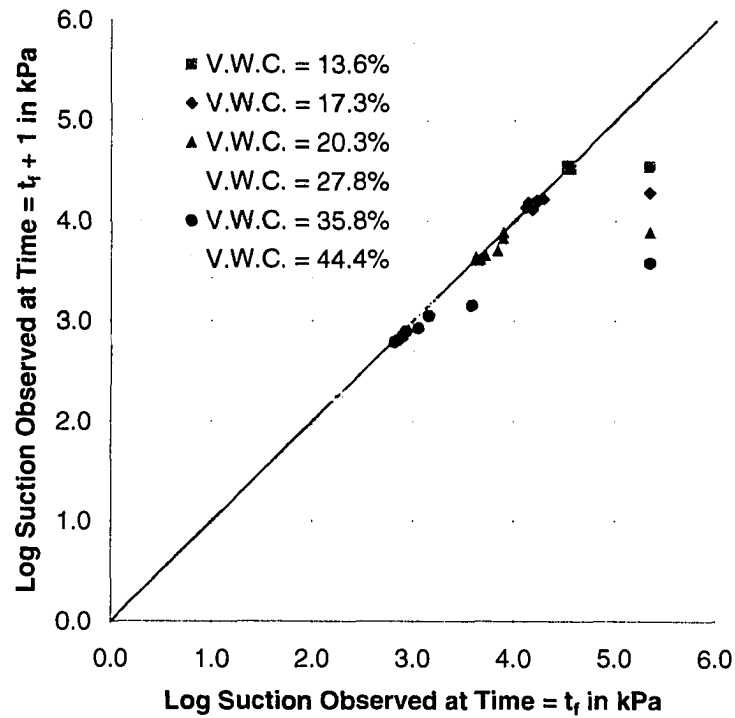
## 5.2 Equilibration Time for Filter Paper Test

ASTM D5298-94 recommends a minimum equilibration time of 7 days when measuring suction using the filter paper method. However, various investigators have used different equilibration times, as listed in Table 2-4. Table 2-4 indicates that the equilibration time could be more than 7 days depending on suction source, measured suction type, material type, water content of soil specimen, number of filter papers used, etc. To facilitate determination of the equilibration time for the claystone, the soil

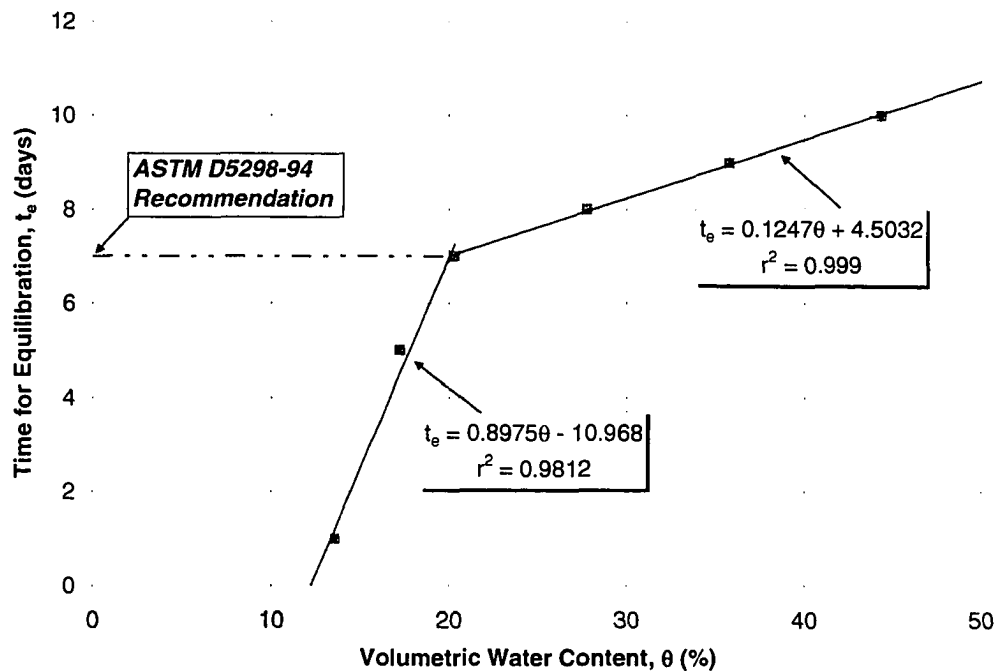
suctions observed at a time,  $t_f$ , was plotted against that observed at the time,  $t_f + 1$ . This is shown in Figure 5-3. When equilibrium of the system has been reached, the observed soil suction at  $t_f + 1$  will equal to that at  $t_f$ . For that case, the data point will plot along a  $45^\circ$  line. Using this approach the time required for equilibration was determined to range from 1 to 10 days for the claystone samples with volumetric water content ranging from 13.6 to 44.4%.

The equilibration times determined for the soil samples with various water contents are depicted in Figure 5-4. It is shown that the time required for equilibration increases as the water content of the sample increases. The equilibration time could be longer than 7 days as recommended by ASTM D5298-94 if the volumetric water content of the sample is higher than 20%. It is interesting to note in Figure 5-4 that a bilinear form of the relationship between equilibration time and water content of the soil gives the best fit to the measured laboratory data. It is also interesting to note that the intersection point of the two points of the bilinear curve corresponds to the ASTM recommendation.

The equilibration time vs. water content relationship developed in Figure 5-4 was used as a guide to determine a minimum time period for running the filter paper test in this research.



**Figure 5-3 Plot of Suction Observed at Time =  $t_f$  vs. Time =  $t_f + 1$**

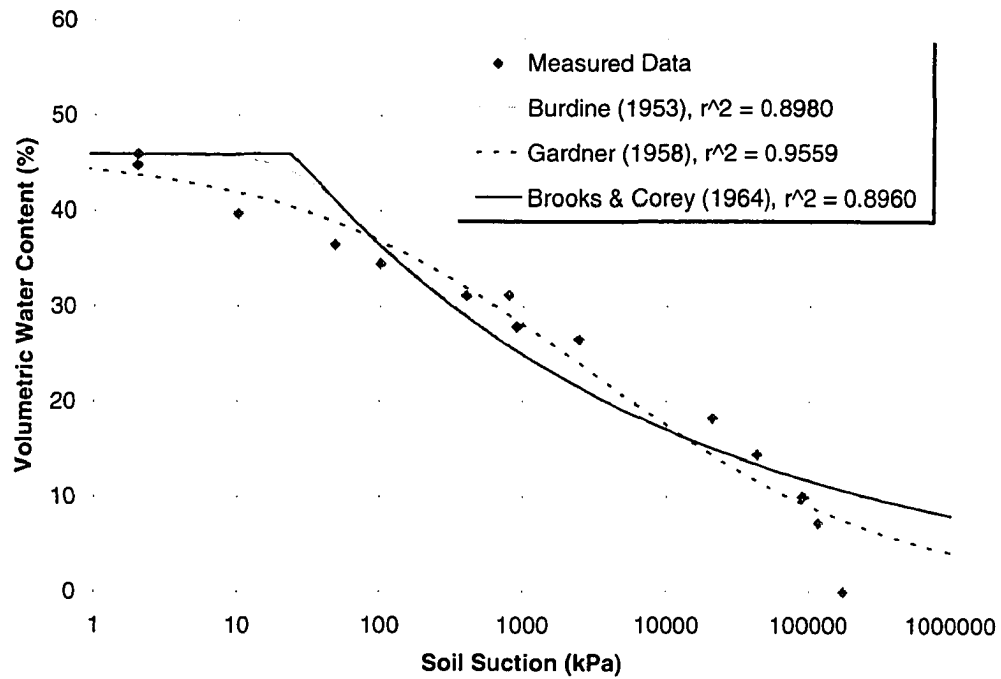


**Figure 5-4 Equilibration Time vs. Volumetric Water Content Relationship**

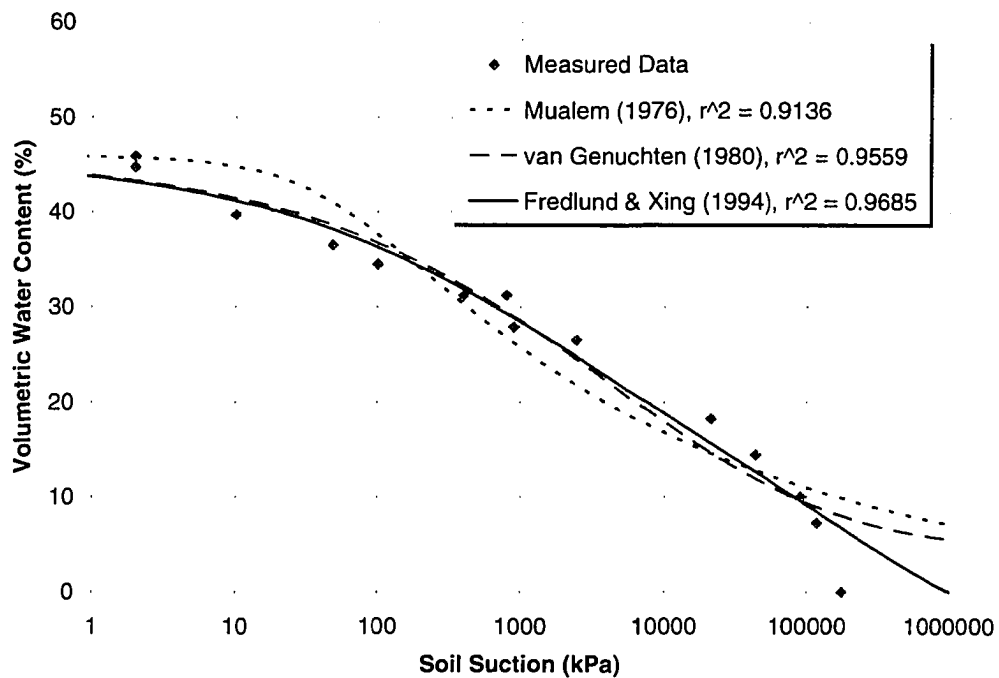
### 5.3 Soil Water Characteristic Curves

Soil water characteristic curves of the claystone of the Denver and Pierre Shale Formations were obtained by means of the filter paper test and the Fredlund SWCC test in this research. The results of the observed experimental data are shown in Figures 4-11 through 4-19. The observed experimental data were used to evaluate the previously proposed mathematical equations of SWCCs described in Table 2-5. Selected mathematical equations include the equations proposed by Burdine (1953), Gardner (1958), Brookes and Corey (1964), Mualem (1976), van Genuchten (1980), and Fredlund & Xing (1994). Figures 5-5 and 5-6 show the results of the curve fitting for the claystone of the Denver Formation. Figures 5-7 and 5-8 show the results of the curve fitting for the claystone of the Pierre Shale Formation. The values of  $r^2$  for regression analyses of the equations are also shown in the figures.

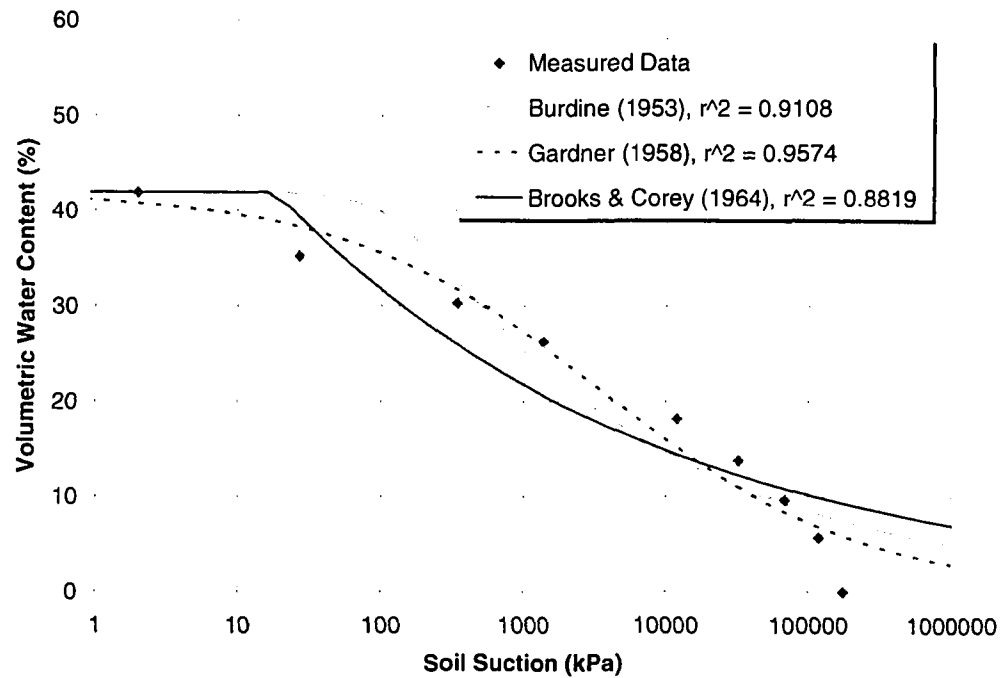
Comparison of Figures 5-5 through 5-8 indicates that the Brooks and Corey equation provides the least agreement with the experimental data among the equations. The reason for the poor fit of the Brooks and Corey equation is that the Brooks and Corey model exhibits a sharp break in the curve at the air entry value which is typically more representative of sandy soil having a relatively narrow grain size distribution. It is seen in Figures 5-5 through 5-8 that the Fredlund and Xing equation exhibits the best agreement with the experimental data among the equations. An interesting observation is that the four-parameter equations (such as the van Genuchten and Fredlund & Xing equations) performed a better curve fitting than the three-parameter equations (such as the Burdine, Brooks and Corey, and Mualem equations). This observation was also made by Leong and Rahardjo (1997) for other soil types.



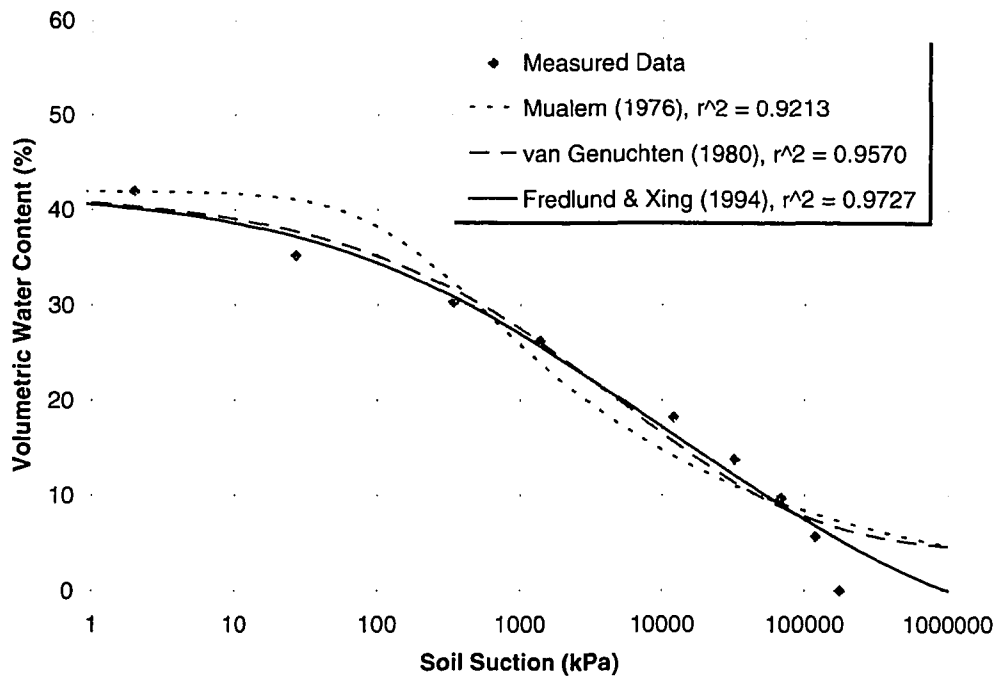
**Figure 5-5 Burdine, Gardner, and Brooks & Corey Equations Fitted to Experimental Data – Claystone of Denver Formation**



**Figure 5-6 Mualem, van Genuchten, and Fredlund & Xing Equations Fitted to Experimental Data – Claystone of Denver Formation**



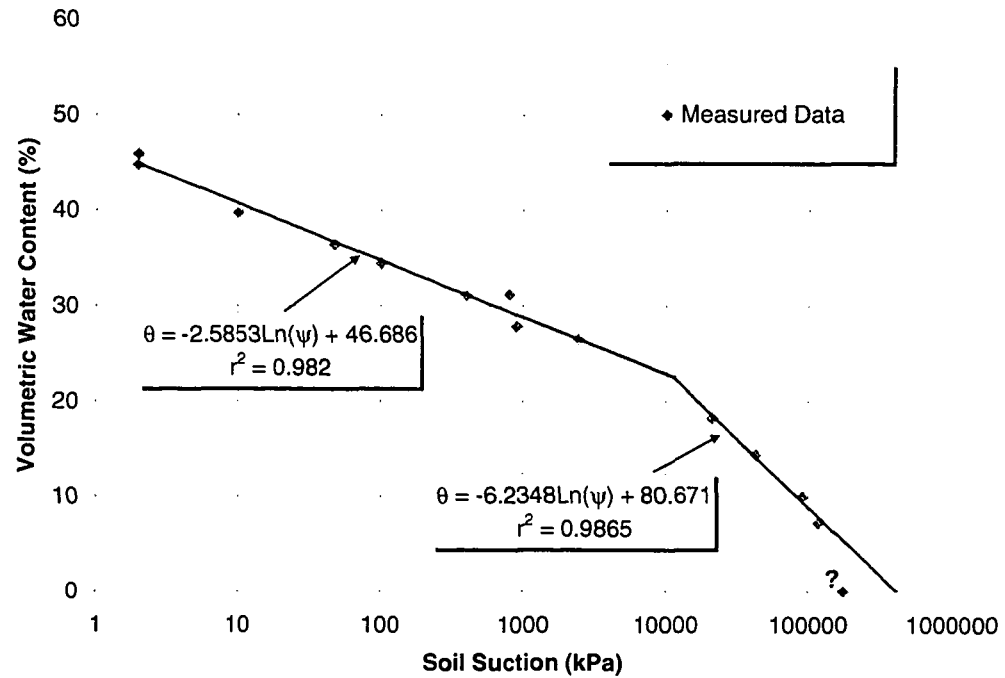
**Figure 5-7 Burdine, Gardner, and Brooks & Corey Equations Fitted to Experimental Data – Claystone of Pierre Shale Formation**



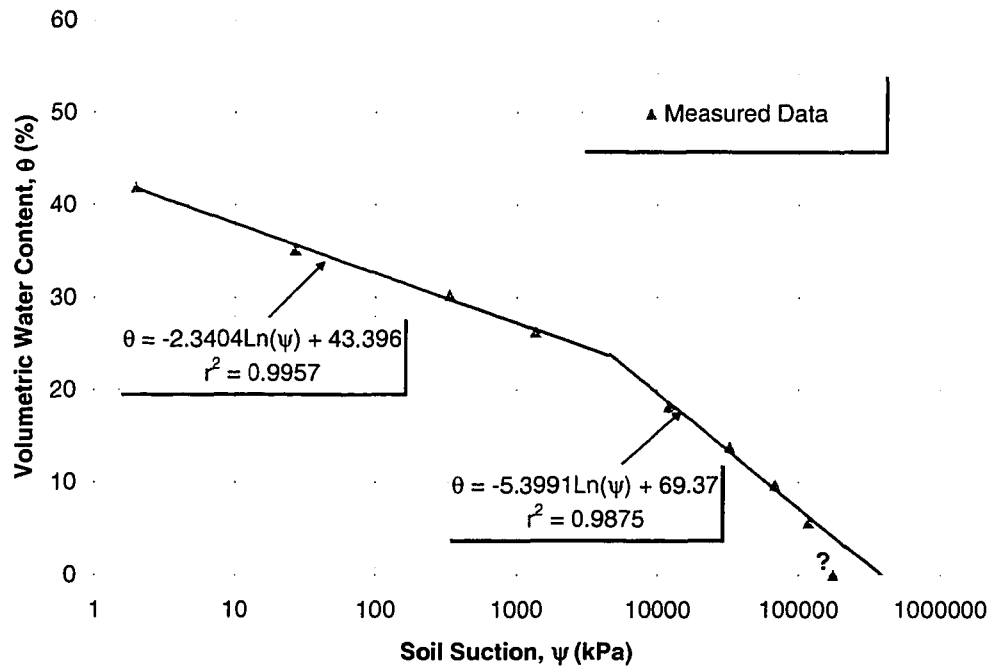
**Figure 5-8 Mualem, van Genuchten, and Fredlund & Xing Equations Fitted to Experimental Data – Claystone of Pierre Shale Formation**

Chao, et al. (1998) indicated that a bilinear form gives a good agreement to the observed experimental data for expansive soils. The bilinear relationship of the SWCC for expansive soils has also been reported by others (McKeen and Neilsen, 1978; Marinho, 1994; and Miller, 1996). The results of the experimental data plotted in the bilinear form are shown in Figures 5-9 and 5-10 for the claystone of the Denver and Pierre Shale Formations, respectively. It is shown in Figures 5-9 and 5-10 that the bilinear form of the SWCC gives the best fit to the measured experimental data compared to the previously proposed mathematical equations discussed previously. The question mark by the point at zero water content indicates that this point was not used in the curve fitting procedure.

As discussed in Section 2.2.3, the change in slope of the SWCC for expansive soil has been attributed to a transition between macropore (capillary) spaces and micropore (diffuse double layer) spaces (Miller, 1996). The physical significance of this apparent transition point may be attributed to the bi-level pore size distribution researched by Al-Mukhtar (1995), Alonso, et al. (1995); and Wan, et al. (1995). Miller (1996) hypothesized that this bilinear relationship is a manifestation of the transition from macropore spaces, where water retention is governed by capillary mechanisms, to micropore spaces, where water retention is governed by thermodynamic forces.



**Figure 5-9 Bilinear Equation Fitted to Laboratory Data – Claystone of Denver Formation**



**Figure 5-10 Bilinear Equation Fitted to Laboratory Data – Claystone of Pierre Shale Formation**

#### 5.4 Relationship Between Water Content and Swell Potential

The equations for the curve fitting to the observed experimental data shown in Figure 4-21 are summarized in Table 5-1. Table 5-1 indicates that a second order polynomial equation provides a very reasonable fit to the observed experimental data.

An attempt was made to derive a general form of that polynomial equation. Figure 5-11 plots the values of the coefficients C1, C2, and C3 obtained from Table 5-1 as a function of the initial water contents. Figure 5-11 indicates that the coefficients C1, C2, and C3 can be represented by another second order polynomial equation relating the coefficient to the initial water content. This results in a general form of the normalized percent swell and water content relationship as follows:

$$\begin{aligned} \%S_N = & (850.17 \cdot \theta_i^2 - 505.61 \cdot \theta_i + 47.14) \cdot \theta^2 \\ & + (-283.20 \cdot \theta_i^2 + 250.35 \cdot \theta_i - 21.94) \cdot \theta \\ & + (-45.70 \cdot \theta_i^2 - 9.57 \cdot \theta_i + 1.19) \end{aligned} \quad \text{Equation (5-1)}$$

Where:

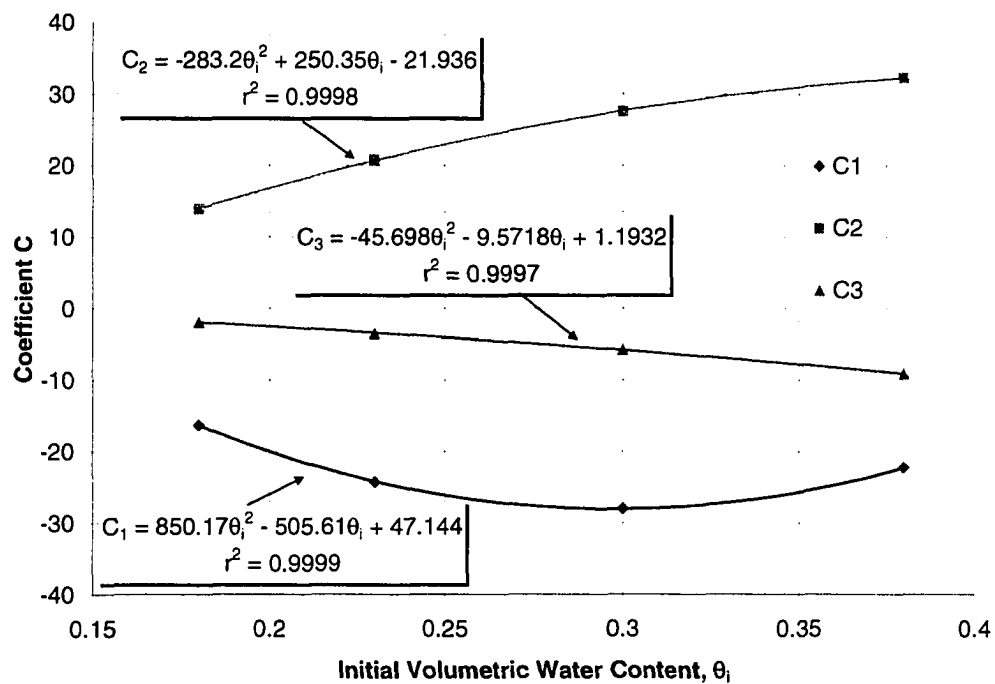
$$\begin{aligned} \%S_N &= \text{normalized percent swell,} \\ \theta_i &= \text{initial volumetric water content, and} \\ \theta &= \text{volumetric water content.} \end{aligned}$$

Equation (5-1) was used for calculations of timewise variation of slab and pier heave in this research.

**Table 5-1 Summary of Coefficients of Second Order Polynomial Equations**

| Initial<br>Volumetric<br>Water<br>(%) | Second Order Polynomial Equation <sup>(1)</sup><br>$\%S_N = C_1\theta^2 + C_2\theta + C_3$ |                   |                   | $r^2$ Value for<br>Regression |
|---------------------------------------|--------------------------------------------------------------------------------------------|-------------------|-------------------|-------------------------------|
|                                       | Coefficient $C_1$                                                                          | Coefficient $C_2$ | Coefficient $C_3$ |                               |
| 18                                    | -16.293                                                                                    | 13.883            | -1.980            | 0.9928                        |
| 23                                    | -24.236                                                                                    | 20.818            | -3.495            | 0.9945                        |
| 30                                    | -27.975                                                                                    | 27.559            | -5.737            | 0.9992                        |
| 38                                    | -22.238                                                                                    | 32.336            | -9.058            | 0.9986                        |

Note: (1)  $\%S_N$  = normal percent swell, and  $\theta$  = volumetric water content



**Figure 5-11 Relationship Between Coefficient C and Initial Volumetric Water Content**

## **CHAPTER 6**

### **WATER MIGRATION IN THE VADOSE ZONE**

#### **6.1 General**

Analyses of the migration of water in the subsoil were conducted for the TRACON facility using VADOSE/W Version 6.20 software (GEO-SLOPE, 2006). VADOSE/W is a finite element program that can be used to model movement and distribution of pore water within porous materials such as soil and bedrock. VADOSE/W can model both saturated and unsaturated flow in response to climatic conditions making it possible to analyze seepage as a function of time while considering infiltration, precipitation, surface water runoff and ponding, plant transpiration, evaporation, and heat flow. The physical relationships required for rigorous calculation of evaporation include fully coupled heat and mass transfer with vapor flow in the soil and across the soil-atmosphere continuum.

The water migration analyses were performed at two soil profiles corresponding to those at the locations of SMI-MA2A and MA3. These two locations were selected for analysis based on the boring logs, elevation survey data provided by Zylstra Baker Surveying, Inc. (ZBSI, 2006), and the subsurface nuclear gauge data provided Whitney (2003) and Benvenga (2005). These two locations represent conditions having natural climate with no irrigation (SMI-MA2A) and no flow at the surface due to pavement (SMI-MA3). The soil profiles analyzed were obtained from boreholes SMI-3 and SMI-4

for the shallower portions of the profiles and deep benchmark SMI-BM1 for the deeper portions of the profiles (SMI, 1999 and 2000).

The time period over which the analysis extended was based on the design life of the foundation. The Housing Facts, Figures, and Trends published by National Association of Home Builders (1997) indicated that the design life for residential foundations should be 200 years. The minimum design life for residential foundations as presented by the U.S. Department of Housing and Urban Development (2002) and Schmatz and Stierner (1995) is 100 years for foundations. However, FAA personnel expressed that the design life of the TRACON building is approximately 50 years. The TRACON building was constructed in the year 1991. Therefore, the migration of soil water for the soil profiles at SMI-MA2A and MA3 were simulated up to the year 2040 in the seepage analyses. However, for other applications such as design of normal residential structures, a design life of about 100 years should be considered.

## **6.2 Modeling Procedure**

The water migration analyses were performed in four steps. Details of these procedures will be discussed in Section 6.5.

1. Initial Water Content Profiles – The values of water content in May 2001 obtained from the subsurface nuclear gauge tubes SMI-MA2A and MA3 were input into the seepage models as initial water content profiles (CSU, 2004). In addition, the values of water content obtained from the deep benchmark SMI-BM1 were also input into the models for the deeper portions of the soil profile.

2. Model Calibration – The SWCC for the claystone was measured in the laboratory. The SWCC for the weathered claystone was assumed to be the same as that for the claystone. SWCCs and hydraulic conductivity functions for the other soils including the silty clay fill, silty clay, coal, and sandstone bedrock were initially estimated using the soil database program SoilVision, Version 4.14 (SoilVision, 2006). The model was calibrated by varying the input parameters until the predicted water contents match the observed water content data at SMI-MA2A and MA3 from May 2001 to June 2004. In addition, the water observed in the coal seams was input as a pressure head function and was varied until the predicted water content matched the observed water content.
3. Model Validation – Validation of the computer model involves comparison of the values of subsurface water content measured using the downhole nuclear gauge with the results of the computational model. In this way, it is demonstrated that the calibrated model is capable of predicting measured data. Subsurface nuclear gauge readings at the locations of SMI-MA2A and MA3 were taken in August 2006. The model was validated at the locations of SMI-MA2A and MA3 by comparing the computed values of water content with the measured water content data collected in August 2006.
4. Water Migration Simulation – The migration of soil water for the soil profiles at SMI-MA2A and MA3 was simulated from the year 2006 to 2040. VADOSE/W models were calibrated over the period from May 2001 to June 2004 and validated over the period from July 2004 to August 2006.

## **6.3 Boundary Conditions Specified in the Models**

### **6.3.1 Climate Data**

Table 6-1 shows the annual precipitation values for the years 1949 to 2005 recorded at either Stapleton or DIA. The precipitation data were obtained from the National Oceanic and Atmospheric Administration (NOAA). As shown in Table 6-1, the average annual precipitation was 39.0 cm for the years 1949 to 2005. An “average” four year climate scenario was developed by selecting those four years that had annual precipitation closest to the average value. Therefore, climate data obtained from NOAA at Stapleton or DIA for the years 1975, 1989, 1992, and 1998, which had corresponding annual precipitation values of 39.4, 39.3, 39.8, and 40.5 cm, were input one following the other. This sequence was repeated in the seepage models for the entire modeling period. The climate data input in the seepage models include the daily precipitation, the maximum and minimum daily temperature, the maximum and minimum daily relative humidity, and the average daily wind speed. The daily climate data for these years were applied at the ground surface of the VADOSE/W model at the location of SMI-MA2A. This precipitation scenario is termed the “average precipitation” case in this research. SMI-MA3 is located underneath a concrete slab, and therefore, no precipitation data was applied at the ground surface of the model at that location.

**Table 6-1 Summary of Annual Precipitation for Denver International Airport**

| <b>Year</b>         | <b>Annual<br/>Precipitation<br/>(cm)</b> | <b>Year</b>         | <b>Annual<br/>Precipitation<br/>(cm)</b> | <b>Year</b>         | <b>Annual<br/>Precipitation<br/>(cm)</b> |
|---------------------|------------------------------------------|---------------------|------------------------------------------|---------------------|------------------------------------------|
| 1949                | 42.6                                     | 1968                | 30.8                                     | 1987                | 50.9                                     |
| 1950                | 35.4                                     | 1969                | 54.7                                     | 1988                | 38.0                                     |
| 1951                | 49.4                                     | 1970                | 34.9                                     | 1989 <sup>(1)</sup> | 39.3                                     |
| 1952                | 34.1                                     | 1971                | 27.8                                     | 1990                | 42.4                                     |
| 1953                | 36.1                                     | 1972                | 42.8                                     | 1991                | 51.6                                     |
| 1954                | 19.1                                     | 1973                | 58.3                                     | 1992 <sup>(1)</sup> | 39.8                                     |
| 1955                | 40.8                                     | 1974                | 35.6                                     | 1993                | 37.5                                     |
| 1956                | 34.8                                     | 1975 <sup>(1)</sup> | 39.4                                     | 1994                | 27.9                                     |
| 1957                | 54.8                                     | 1976                | 34.1                                     | 1995                | 45.8                                     |
| 1958                | 48.0                                     | 1977                | 26.3                                     | 1996                | 26.0                                     |
| 1959                | 42.0                                     | 1978                | 29.7                                     | 1997                | 49.8                                     |
| 1960                | 38.0                                     | 1979                | 51.7                                     | 1998 <sup>(1)</sup> | 40.5                                     |
| 1961                | 48.3                                     | 1980                | 34.7                                     | 1999                | 53.2                                     |
| 1962                | 21.5                                     | 1981                | 32.0                                     | 2000                | 37.0                                     |
| 1963                | 31.1                                     | 1982                | 36.7                                     | 2001                | 42.0                                     |
| 1964                | 25.8                                     | 1983                | 51.3                                     | 2002 <sup>(3)</sup> | 19.0                                     |
| 1965                | 55.5                                     | 1984                | 41.9                                     | 2003                | 35.4                                     |
| 1966                | 27.5                                     | 1985                | 41.4                                     | 2004                | 37.3                                     |
| 1967 <sup>(2)</sup> | 59.2                                     | 1986                | 30.7                                     | 2005                | 32.5                                     |

Notes: (1) Years with the annual precipitation approximately equal to the annual precipitation of 39.0 cm

(2) Year with the highest annual precipitation

(3) Year with the lowest annual precipitation

### **6.3.2 Pressure Head Functions Specified in the Coal Seams**

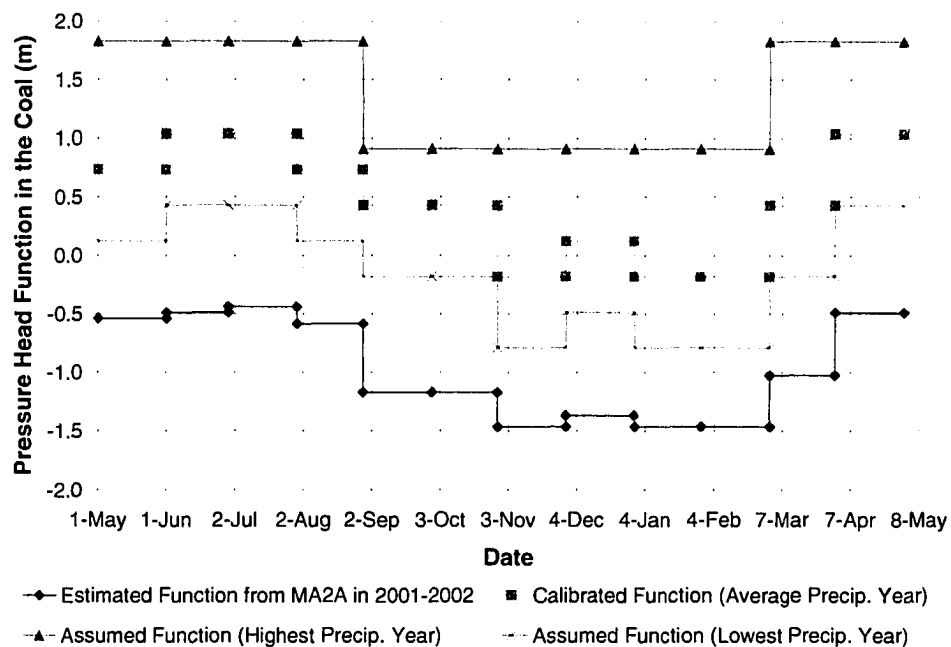
Whitney (2003) and Benvenga (2005) indicated that the primary source of water to the subsoil at the TRACON site is the coal seam. Table 3-2 shows that values of the pressure head in the coal seam ranged from approximately 0 to 5.8 meters during the monitoring period from May 2002 to April 2003. Therefore, it was necessary to estimate the amount of water in the coal seams at the locations of SMI-MA2A and MA3A for the water migration analyses.

As shown in Figure 3-1, piezometer SMI-P2 is located next to the location of SMI-MA2A, but unfortunately, only two water levels were taken and were 0.5 and 0 meters on February 27, 2003 and April 2, 2003. Similarly, piezometer SMI-P3 is located next to the location of SMI-MA3, but only two water levels were taken and were 1.9 and 1.5 meters on February 27, 2003 and April 2, 2003. Therefore, the amount of water in the coal seams at the locations of SMI-MA2A and MA3A was estimated indirectly from the subsurface nuclear gauge tube SMI-MA2A.

The water observed in the coal seams was input into the model in the form of pressure head as a function of time. This input function is termed the “pressure head function.” Figure 6-1 shows the pressure head function interpreted from the SWCC of the coal and water content readings from the subsurface nuclear gauge tube SMI-MA2A in the period of May 2001 to April 2002. The pressure head ranges from -0.44 to -1.47 meters. Figure 6-1 indicates that the pressure head fluctuated and reflected wet and dry seasons of the year. The reason that the period of May 2001 to April 2002 was selected is because this period was around one of the driest years on record in Denver. The

fluctuation of the function reflecting the wet and dry seasons of the year could be estimated from the nuclear gauge data compared to data from other time periods.

During the model calibration process from May 2001 to June 2004, it was assumed that the pattern of the fluctuation from the estimated pressure head function remained the same, but the magnitude of the pressure head varied. The calibrated pressure head function was varied in the model until the predicted water content of the claystone bedrock above the coal seam matched the observed water content from the subsurface nuclear gauge data at SMI-MA2A. The results of the calibration are discussed in the following section. Additionally, other pressure head functions presented in Figure 6-1 are described in the model sensitivity section.



**Figure 6-1 Pressure Head Functions Specified in the Coal Seams**

## 6.4 Material Properties Used in the Analyses

Boreholes SMI-MA2A, MA3, and BM1 indicate that the soils at the site consisted of a layer of silty clay fill, underlain by silty clay, weathered claystone, claystone, coal, and sandstone bedrock. The input soil parameters are summarized in Table 6-2. The soil parameters for the materials shown in Table 6-2 were obtained from the laboratory test results, the SoilVision database, and calibrated values.

As shown in Table 6-2, the ratios of hydraulic conductivity in the horizontal direction to that in the vertical direction ( $K_h:K_v$ ) for the silty clay fill and silty clay were assumed to be 1:1. The  $K_h:K_v$  ratio for the weathered claystone, claystone, coal, and sandstone bedrock were assumed to be 10:1 to account for variations due to bedding planes and fractures.

**Table 6-2 Summary of Soil Parameters Used in the Seepage Analyses**

| Soil Type           | Saturated Hydraulic Conductivity<br>(cm/sec) | $K_h/K_v$<br>Ratio | Saturated Volumetric Water Content<br>(vol./vol.) | Residual Volumetric Water Content<br>(vol./vol.) |
|---------------------|----------------------------------------------|--------------------|---------------------------------------------------|--------------------------------------------------|
| Silty Clay Fill     | $1.6 \times 10^{-6(1)}$                      | 1 <sup>(4)</sup>   | 0.40 <sup>(1)</sup>                               | 0.22 <sup>(1)</sup>                              |
| Silty Clay          | $1.0 \times 10^{-6(2)}$                      | 1 <sup>(4)</sup>   | 0.40 <sup>(1)</sup>                               | 0.22 <sup>(1)</sup>                              |
| Weathered Claystone | $3.6 \times 10^{-7(3)}$                      | 10 <sup>(4)</sup>  | 0.46 <sup>(5)</sup>                               | 0.07 <sup>(5)</sup>                              |
| Claystone           | $8.5 \times 10^{-8(3)}$                      | 10 <sup>(4)</sup>  | 0.46 <sup>(5)</sup>                               | 0.07 <sup>(5)</sup>                              |
| Coal                | $5.0 \times 10^{-4(3)}$                      | 10 <sup>(4)</sup>  | 0.48 <sup>(1)</sup>                               | 0.04 <sup>(1)</sup>                              |
| Sandstone           | $1.5 \times 10^{-5(1)}$                      | 10 <sup>(4)</sup>  | 0.44 <sup>(1)</sup>                               | 0.13 <sup>(1)</sup>                              |

Notes: (1) SoilVision Database  
(2) Laboratory data (SMI, 2001)  
(3) Calibrated values  
(4) Assumed values  
(5) Laboratory data in this study

## **6.5 Results of Analyses**

### **6.5.1 Initial Water Content Profiles**

The values of volumetric water content obtained from SMI-MA2A, MA3, and BM1 were input into the VADOSE/W models as the initial water content profiles in May 2001. Figures 6-2 and 6-3 show the observed values of volumetric water content from the boreholes versus the initial water content profiles from the VADOSE/W output for SMI-MA3 and MA2A, respectively. These water content profiles from the VADOSE/W output were utilized as initial conditions for the model calibration process, as discussed in the following section.

### **6.5.2 Model Calibration**

The SWCCs, the hydraulic conductivity functions of the materials, and the estimated pressure head function in the coal seams shown in Figure 6-1 were varied until the computed water content profiles matched the water content data collected between May 2001 and June 2004 at the subsurface nuclear gauge tubes SMI-MA3 and MA2A. Figures 6-4 through 6-7 show the predicted volumetric water content computed by VADOSE/W and the measured water content data for SMI-MA3 in July 2001, July 2002, July 2003, and June 2004, respectively. Figure 6-8 through 6-11 present the predicted volumetric water content computed by VADOSE/W and the measured water content data for SMI-MA2A in July 2001, July 2002, July 2003, and June 2004, respectively. Based on the close agreement between the predicted and observed values of water content, the models were considered calibrated.

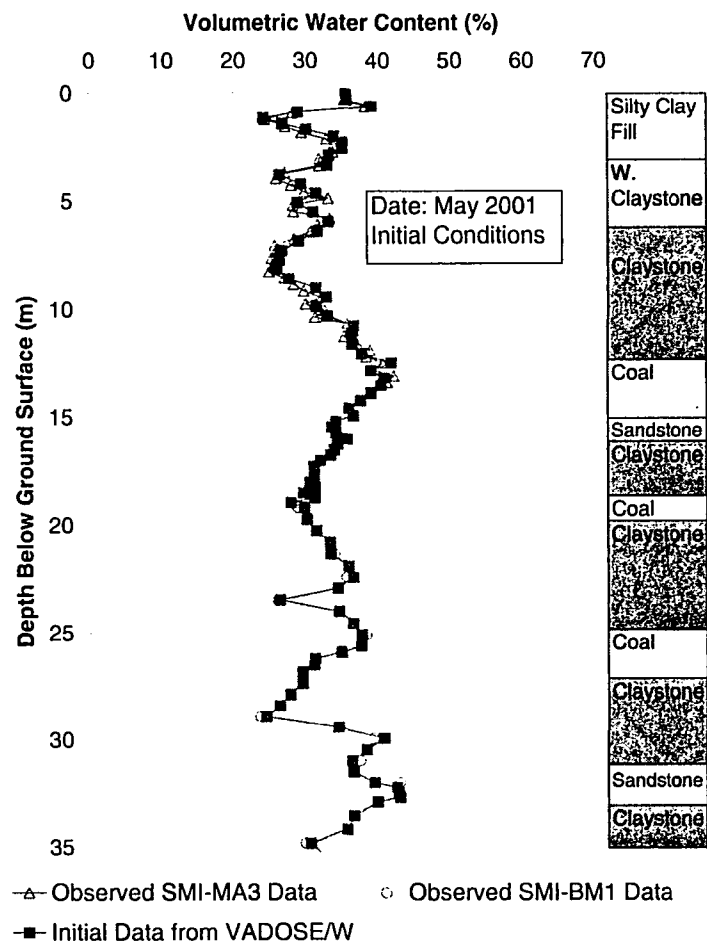


Figure 6-2 Initial Water Content Profile for SMI-MA3

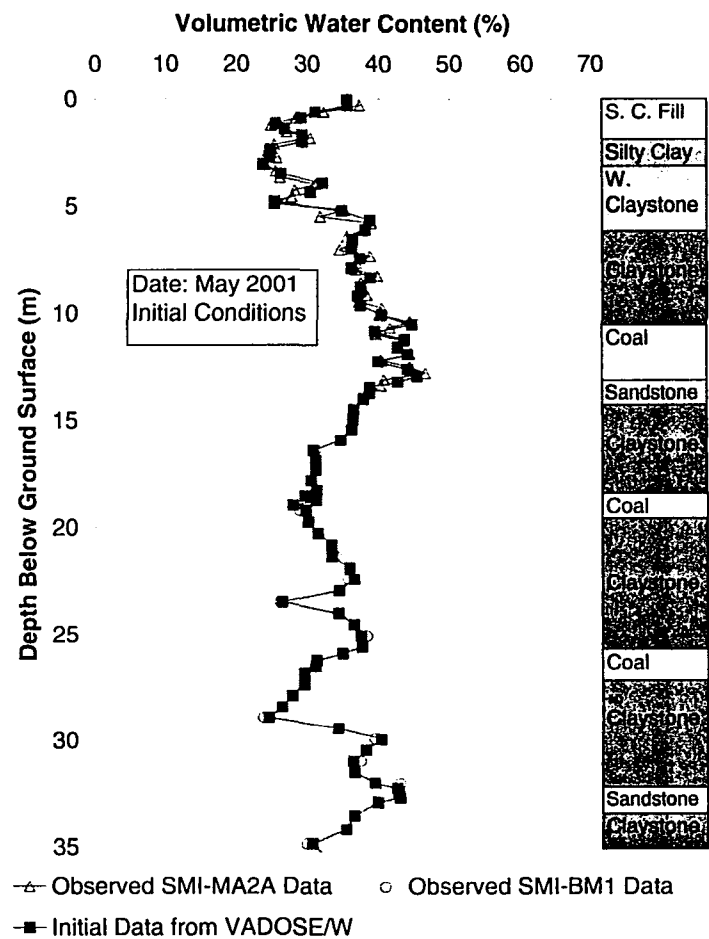
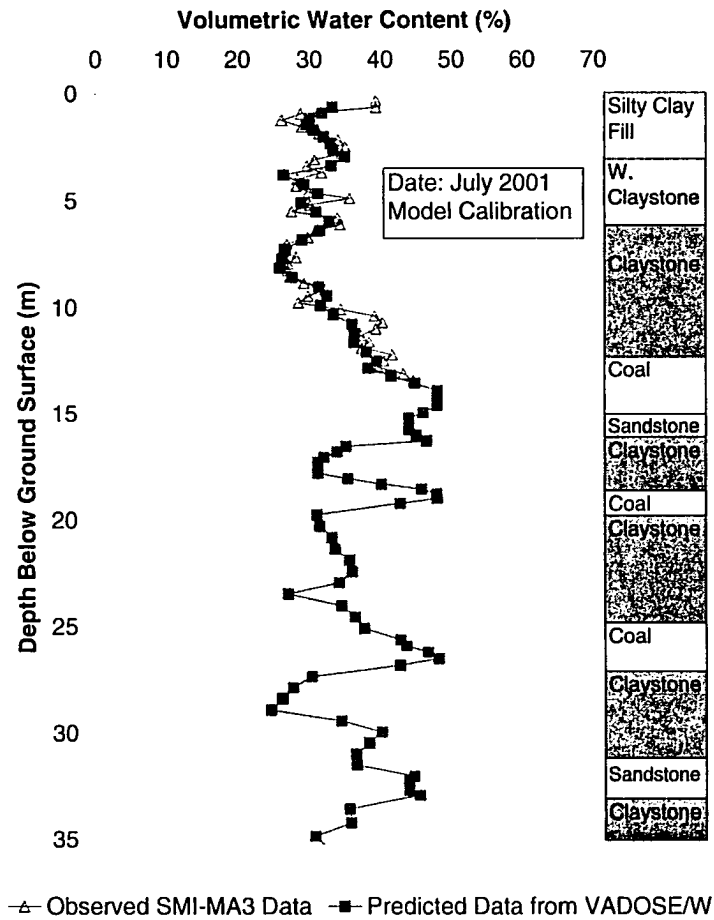
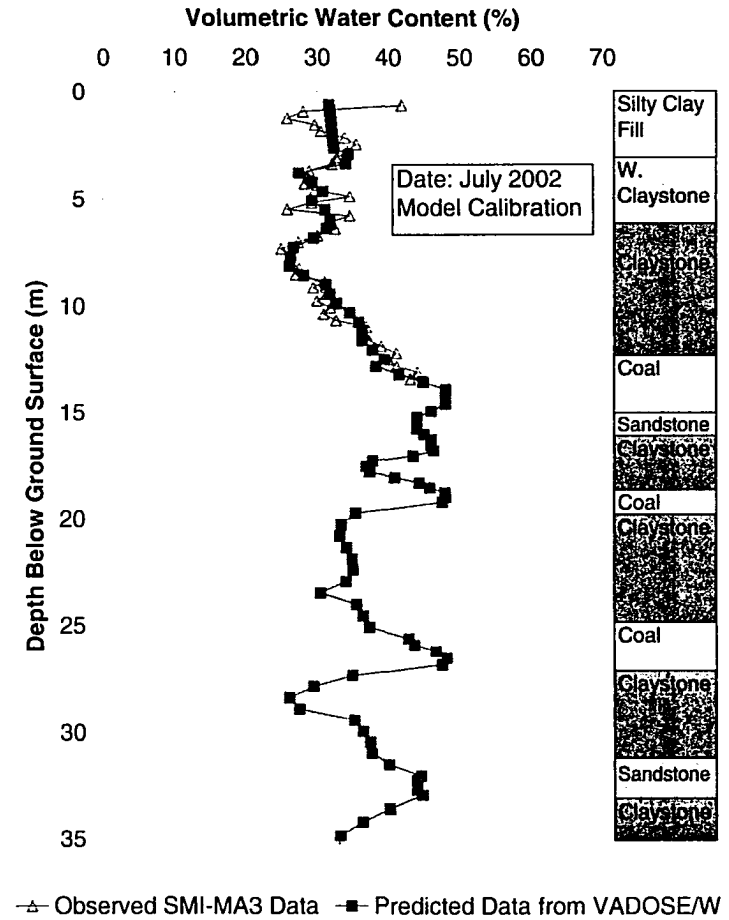


Figure 6-3 Initial Water Content Profile for SMI-MA2A



**Figure 6-4 Measured and Predicted Water Content Profiles for SMI-MA3 in July 2001**



**Figure 6-5 Measured and Predicted Water Content Profiles for SMI-MA3 in July 2002**

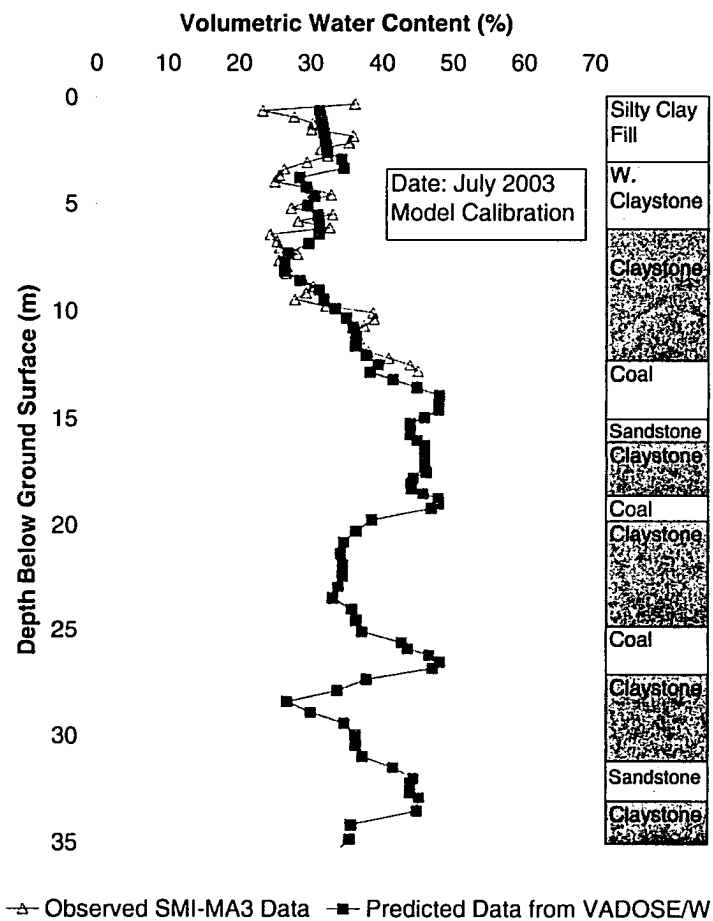


Figure 6-6 Measured and Predicted Water Content Profiles for SMI-MA3 in July 2003

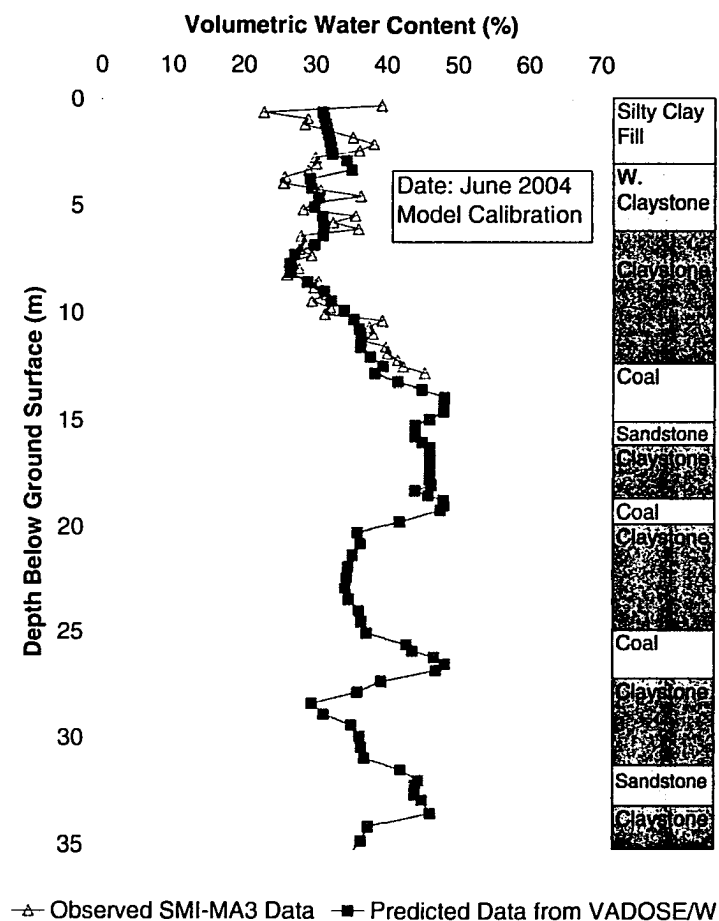
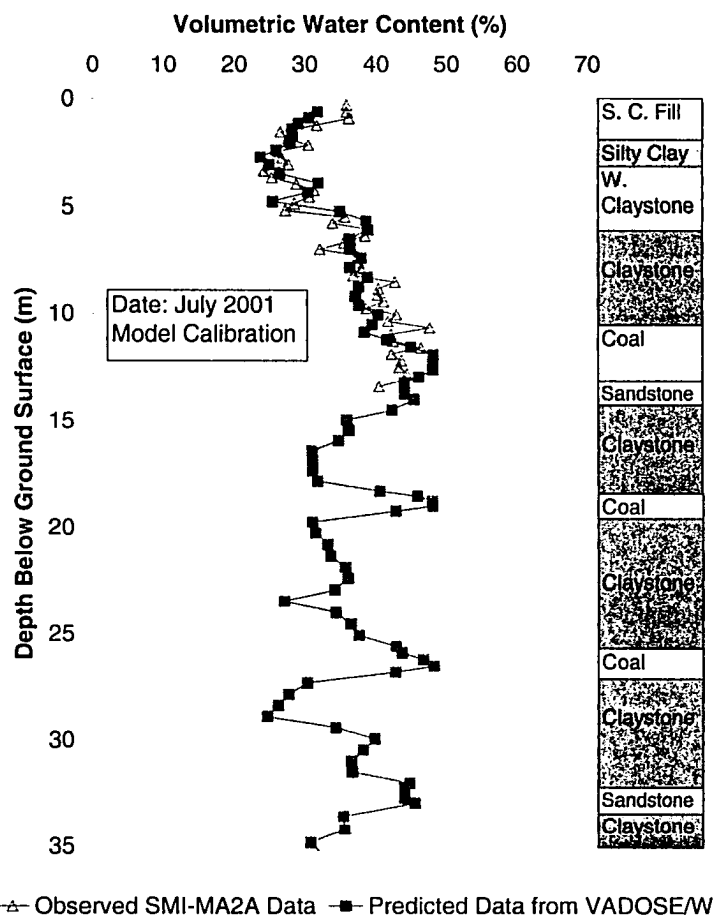
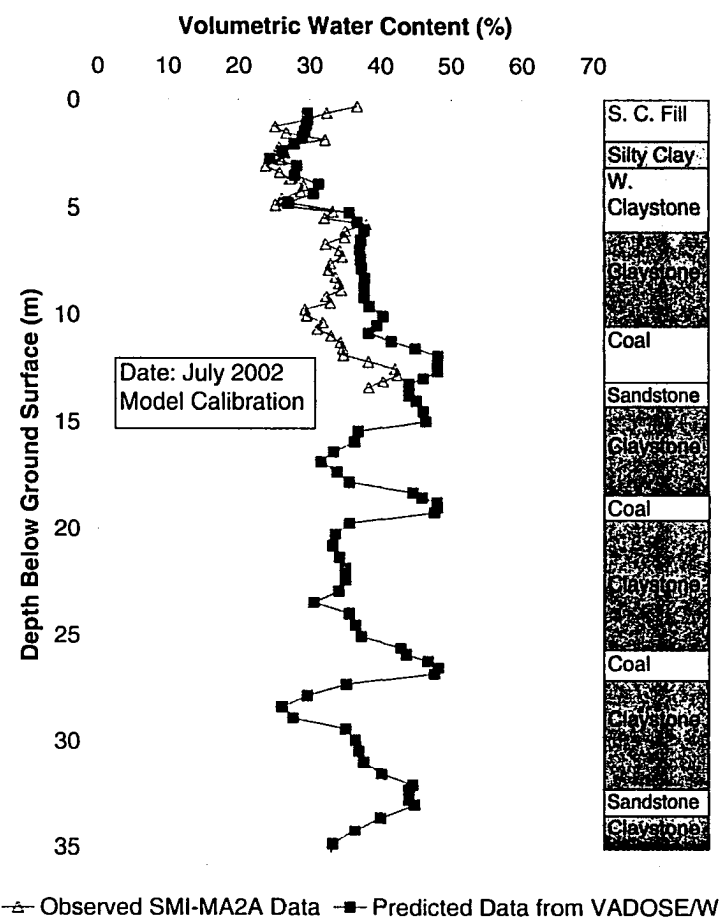


Figure 6-7 Measured and Predicted Water Content Profiles for SMI-MA3 in June 2004



**Figure 6-8 Measured and Predicted Water Content Profiles for SMI-MA2A in July 2001**



**Figure 6-9 Measured and Predicted Water Content Profiles for SMI-MA2A in July 2002**

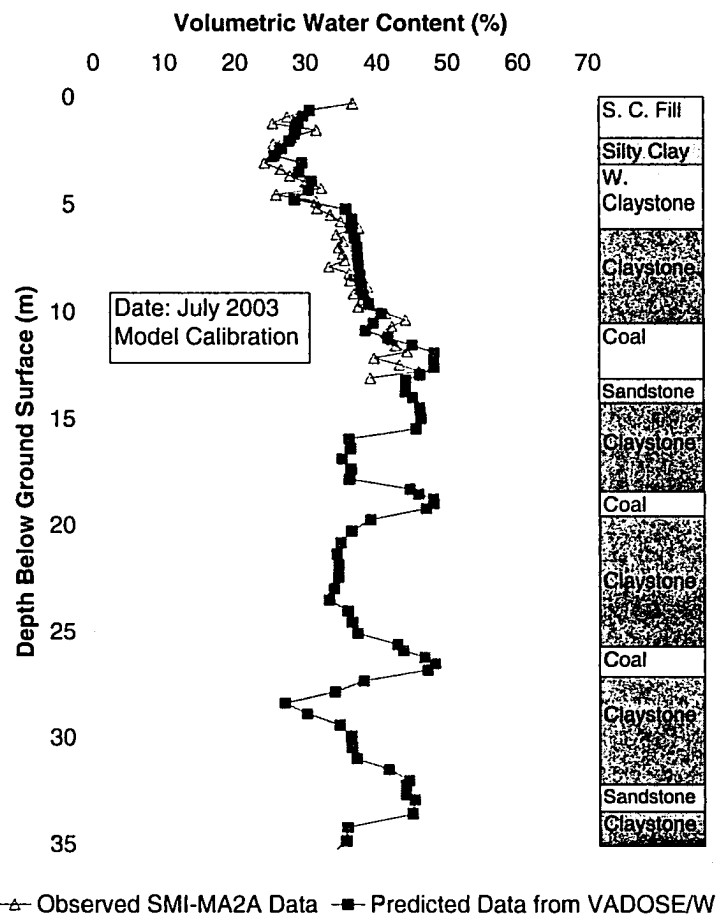


Figure 6-10 Measured and Predicted Water Content Profiles for SMI-MA2A in July 2003

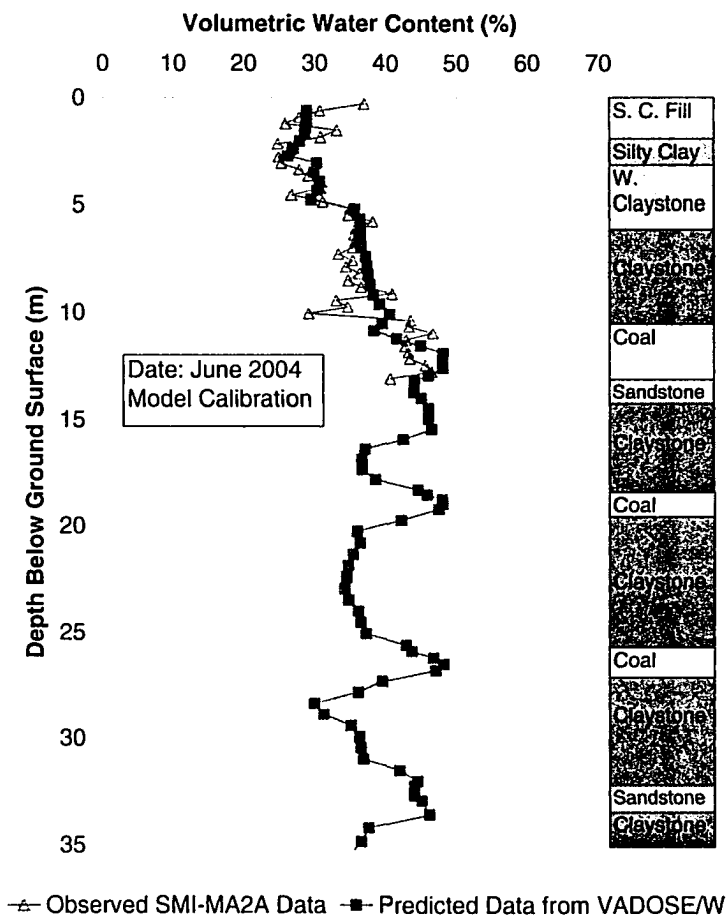


Figure 6-11 Measured and Predicted Water Content Profiles for SMI-MA2A in June 2004

### **6.5.3 Model Validation**

The validation was performed by comparing the model output with downhole nuclear gauge data obtained in August 2006 at the locations of SMI-MA3 and MA2A. Figures 6-12 and 6-13 present the comparison between the predicted and measured volumetric water content profiles at the locations of SMI-MA3 and MA2A, respectively. In general, there is good agreement between the predicted water content distribution and the measured data. This demonstrated the consistency and validation of the model.

### **6.5.4 Long-Term Water Migration Simulation**

The migration of water in the subsoil profile for the case of average precipitation was evaluated by applying climate data for year 1975, 1989, 1992, and 1998 as described previously. Figures 6-14 and 6-15 show the predicted water content profiles through the year 2040 at the locations of SMI-MA3 and MA2A, respectively. These figures indicate that water will continue to migrate within the soils and bedrock through the entire time period. Of particular interest is the fact that the results show that water will migrate both upward and downward from the coal seams and sandstone layers. Comparison of these figures indicates that climate conditions will influence primarily the top 6 meters below the ground surface, whereas the deeper soils are influenced by the deep wetting. This upper 6 m zone represents the zone of seasonal fluctuation.

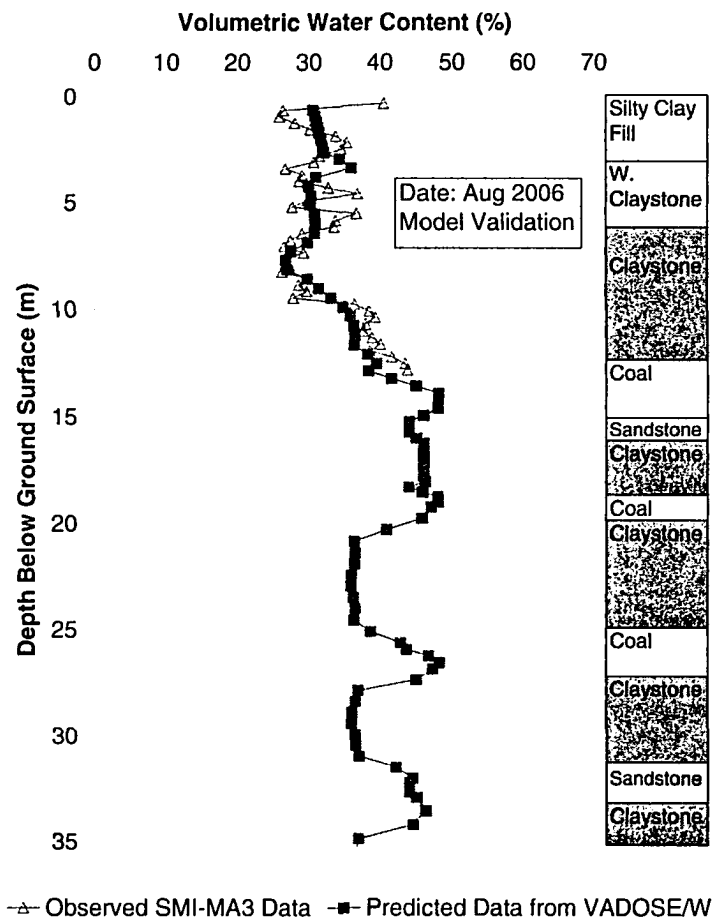


Figure 6-12 Measured and Predicted Water Content Profiles for SMI-MA3 in August 2006

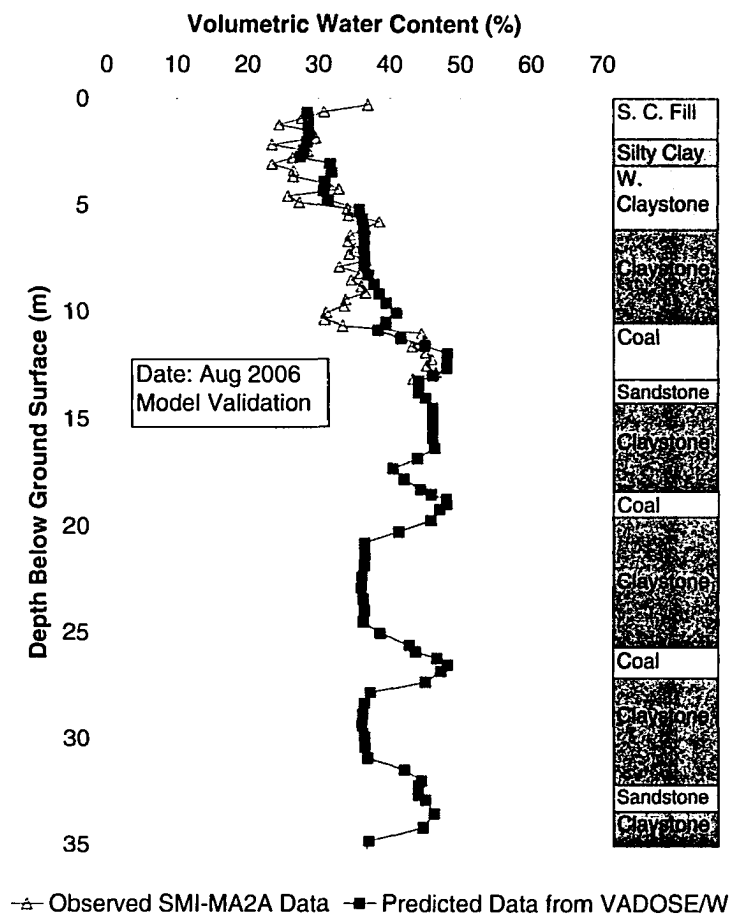
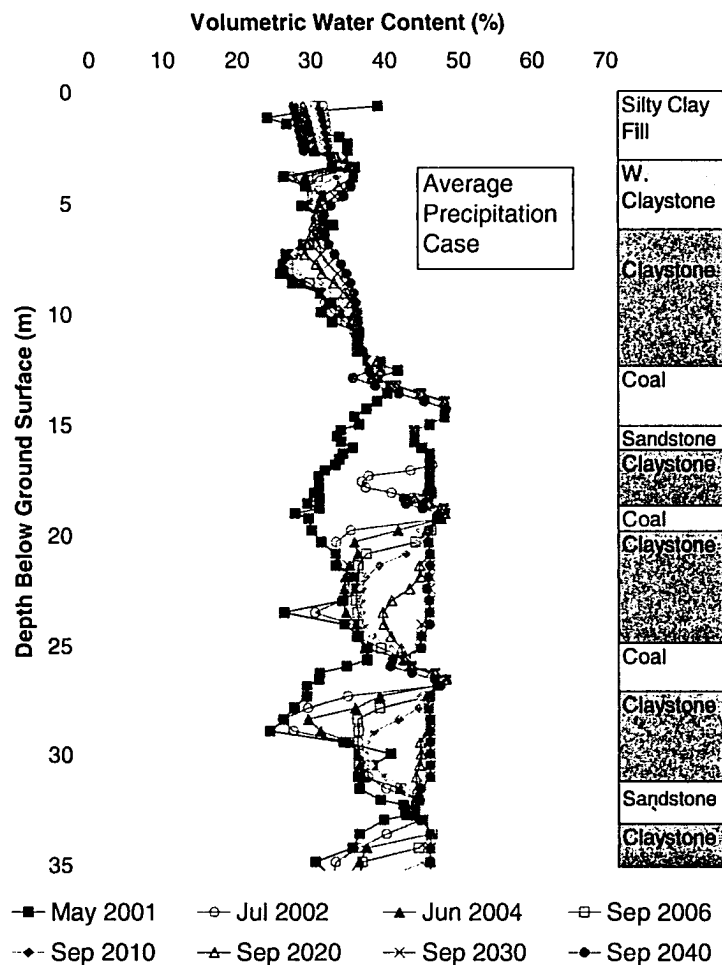
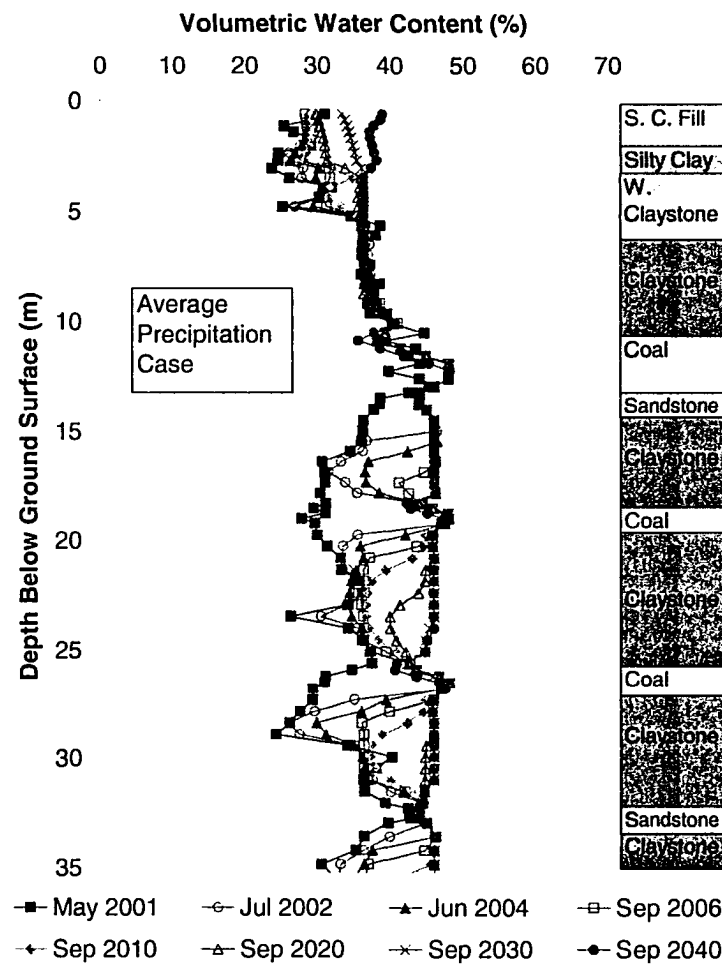


Figure 6-13 Measured and Predicted Water Content Profiles for SMI-MA2A in August 2006



**Figure 6-14 Predicted Long-Term Water Content Profiles for SMI-MA3 – Average Precipitation Case**



**Figure 6-15 Predicted Long-Term Water Content Profiles for SMI-MA2A – Average Precipitation Case**

## **6.6 Effect of Extraordinary Climate and Irrigation**

The effects of extraordinary precipitation events and irrigation were also evaluated. Table 6-1 shows the annual precipitation values from the year 1949 to 2005. As indicated in Table 6-1, the years 1967 and 2002 exhibited the highest and lowest annual precipitation values. The annual precipitation values for these years were 59.2 and 19.0 cm, respectively. The annual precipitation for the year 1967 was approximately 52% more than the average value, and the annual precipitation for the year 2002 was approximately 51% less than the average value. Therefore, seepage analyses were conducted to evaluate the migration of soil water for both the highest precipitation year ( $1967 \pm 2$  years) and the lowest precipitation year ( $2002 \pm 2$  years).

The subsurface nuclear gauge data indicate that the amount of water in the coal seams is sensitive to changes in the amount of the precipitation. For the case of the highest precipitation, the pressure head in the coal seams was assumed to be 1.8 meters during the wet season, and 0.9 meters during the dry season. These values are approximately 1 meter higher than those for the year of average precipitation. In this case, the wet season comprised March, April, May, June, July, and August. For the case of the lowest precipitation, the pressure head in the coal seams was assumed to be 0.6 meters lower than that for the year of average precipitation. The pressure head functions specified in the VADOSE/W models for the cases of the highest and lowest precipitation are shown in Figure 6-1.

Sensitivity of water migration within the soils and bedrock to lawn irrigation was also evaluated in this research. Irrigation was applied to the ground surface in the

VADOSE/W model in addition to the precipitation for the case of average precipitation. The irrigation was applied in the months of April through October at a rate of 130% of the turf grass water requirement (Mecham, 2005).

#### **6.6.1 Highest Precipitation Case**

Figures 6-16 and 6-17 show the predicted water content profiles for SMI-MA3 and MA2A through the year 2040 for the case of highest precipitation, respectively. As expected in Figures 6-16 and 6-17, water migrates at a much faster rate within the soils and bedrock through the year 2040, compared to that for the case of average precipitation. If these conditions were to continue year after year, the entire bedrock below the uppermost coal layer would be saturated after the year 2015. Comparison of Figures 6-15 and 6-17 indicates that the top 6 meters below the ground surface is subject to the most change due to the climate conditions.

#### **6.6.2 Lowest Precipitation Case**

The predicted water content profiles at the locations of SMI-MA3 and MA2A for the case of the lowest precipitation are shown through the year 2040 in Figures 6-18 and 6-19, respectively. These figures indicate that water migrates at about the same rate as that for the average precipitation case through the year 2040. Comparing Figures 6-15 and 6-19 indicates that there is little difference in the water content profiles, except in the bedrock layer close to the uppermost coal seam, for the average and lowest precipitation cases. The results of the VADOSE/W modeling demonstrate that the primary source of water for the overlying claystone bedrock is the coal seams rather than precipitation.

This was also concluded by Whitney (2003) and Benvenga (2005). Consequently, the presence of a deep water bearing stratum is significant in the migration of the subsurface water within soils and bedrock.

### **6.6.3 Average Precipitation Plus Irrigation Case**

The predicted water content profiles through the year 2040 for the case of average precipitation plus irrigation at the location of SMI-MA2A are shown in Figure 6-20. It is shown that the top 10 meters of the soil layers could be saturated due to irrigation by the year 2020. Review of Figures 6-15 and 6-20 indicates that the water content for the case of average precipitation plus irrigation is significantly greater than that for the case of average precipitation alone. It is evident that irrigation has a significant influence on the migration of the subsurface water within the soils and bedrock.

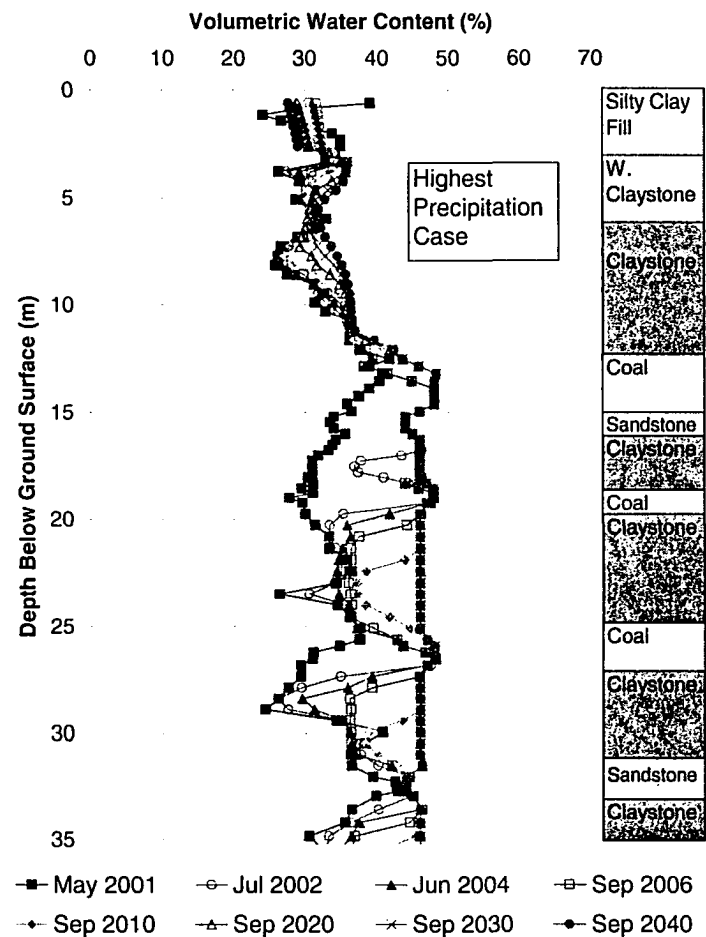


Figure 6-16 Predicted Long-Term Water Content Profiles for SMI-MA3 – Highest Precipitation Case

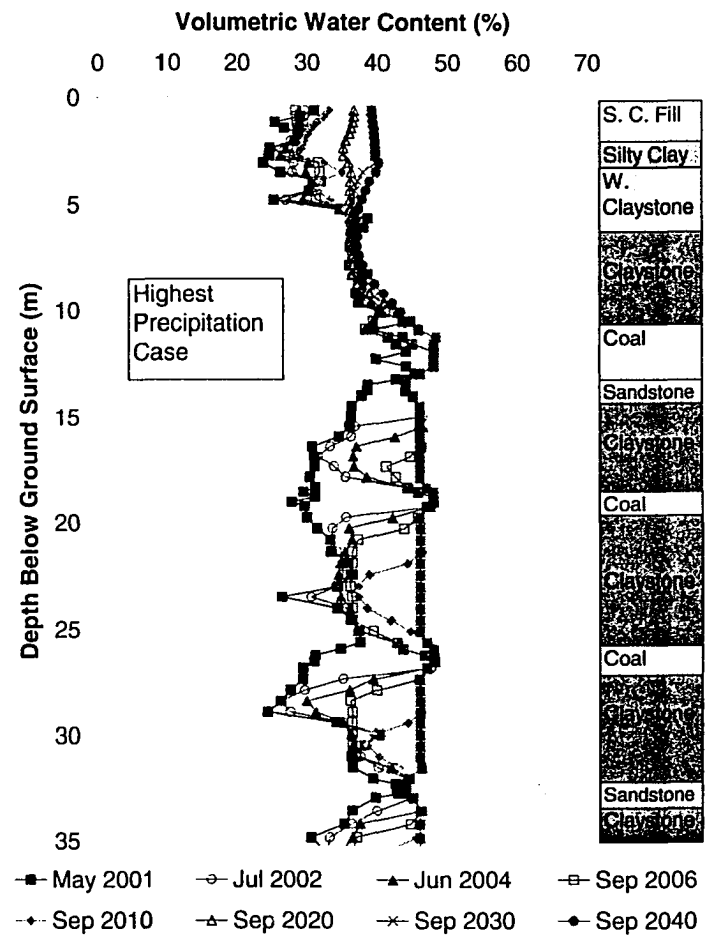


Figure 6-17 Predicted Long-Term Water Content Profiles for SMI-MA2A – Highest Precipitation Case

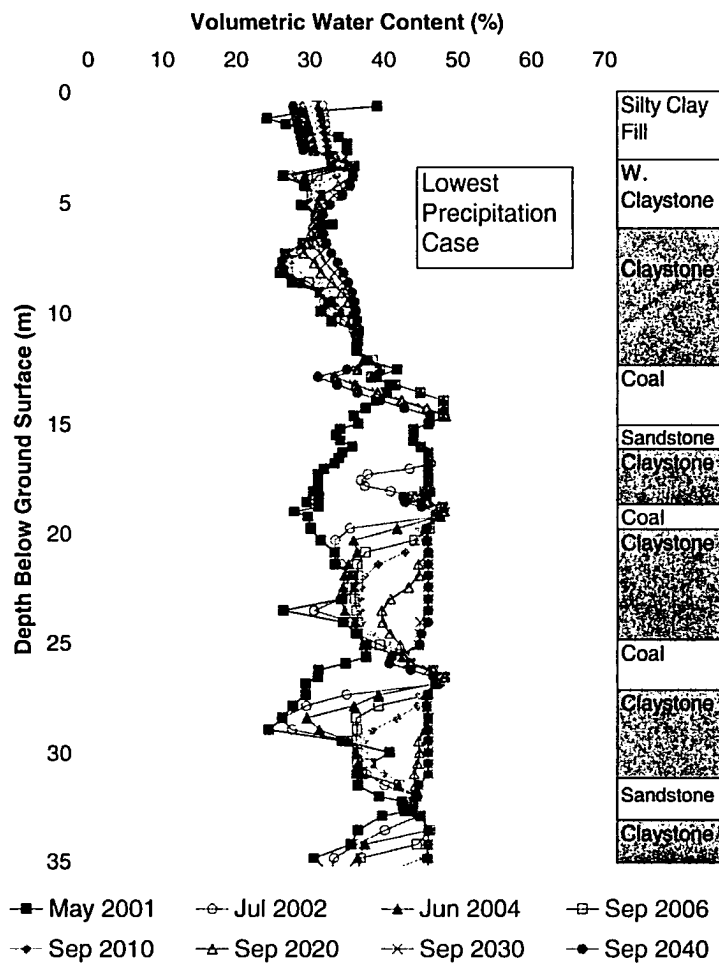


Figure 6-18 Predicted Long-Term Water Content Profiles for SMI-MA3 – Lowest Precipitation Case

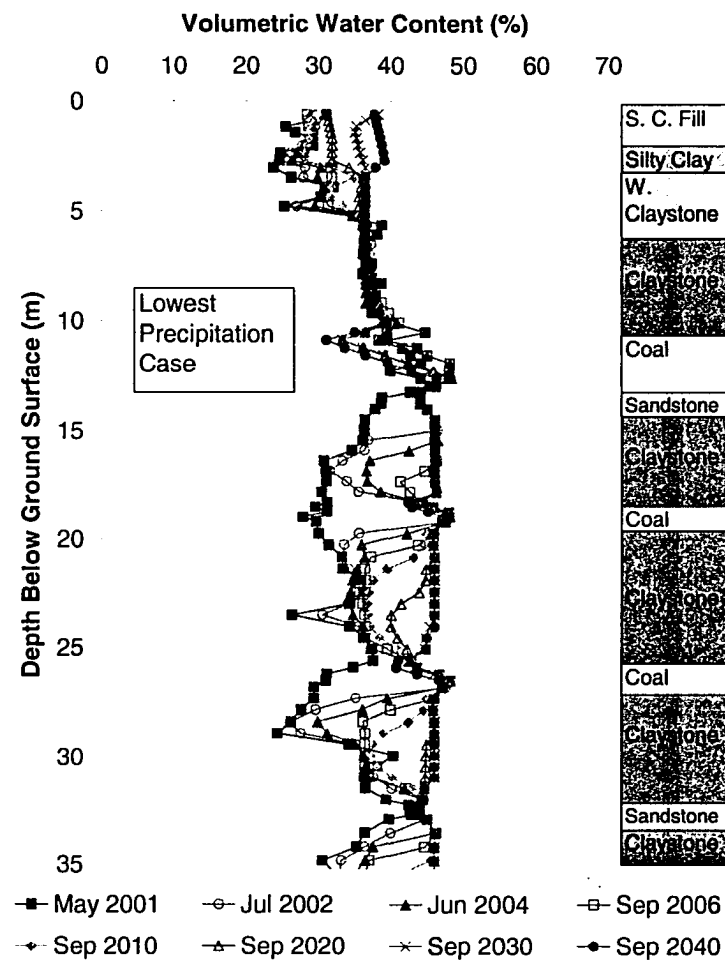
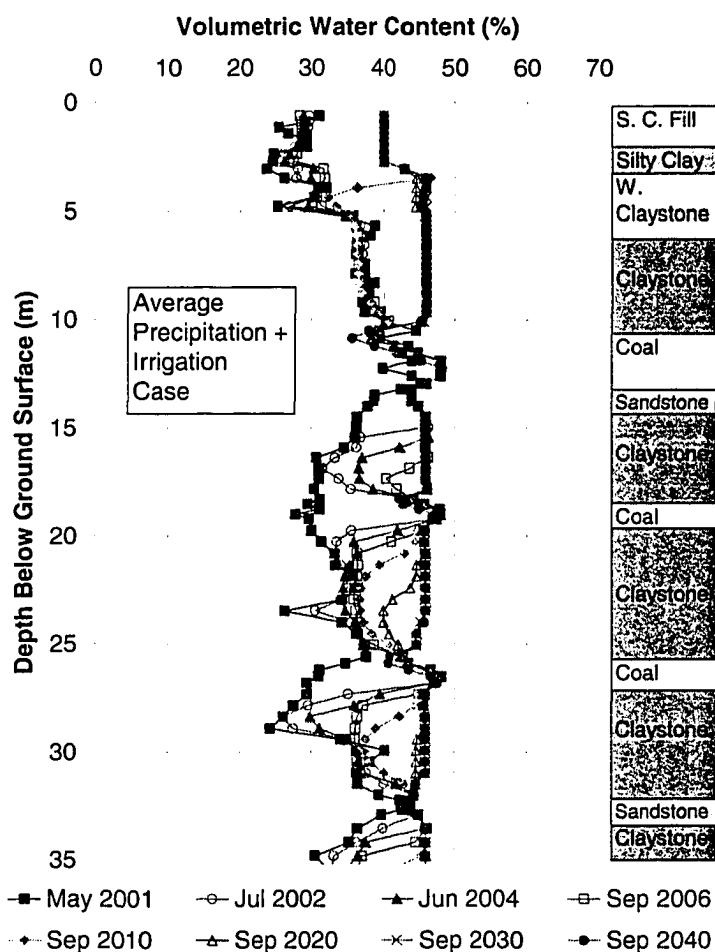


Figure 6-19 Predicted Long-Term Water Content Profiles for SMI-MA2A – Lowest Precipitation Case



**Figure 6-20 Predicted Long-Term Water Content Profiles for SMI-MA2A – Average Precipitation Plus Irrigation Case**

## 6.7 Discussion

The migration of water in the subsoils during the design life of a structure is an important parameter in prediction of slab and pier heave. CAGE guidelines only consider wetting from the surface to a depth of wetting of 6 meters (CAGE, 1996). Practicing engineers in the Front Range area of Colorado often use this arbitrary depth of wetting for their design. Experience has shown that many sites exist where the depth of wetting has exceeded 6 meters (Nelson, et al. 2001; Diwald, 2003). Water will continue to move downward as long as the total potential of the soil above the wetting front is higher

than that below the wetting front until a truly impermeable boundary or a water table is reached. It is evident that the actual depth and pattern of wetting that must be considered for design purposes will depend upon subsurface soil and geologic profiles, water sources, irrigation practices, surface grading, as well as other factors.

The coal seam is shown to be the primary water source for the overlying claystone bedrock at the TRACON site. The sensitivity of the results to the pressure head functions specified in the coal seams show that the presence of a deep water bearing stratum is significant in the migration of water within the soils and bedrock. This emphasizes the necessity to identify any deep water bearing stratum during the design process.

The results of the water migration analyses indicate that depth of wetting at the TRACON site will continue to increase throughout the design life of the structure in 2040. Observations of continuous core samples in claystone indicate that soil adjacent to bedding planes is often wet. This demonstrates that water migrates outward from the bedding planes into the zones between the bedding planes. As water continues to migrate into deeper bedding planes along the joints and cracks, an apparent “wetting front” will continue to move downward, and the claystone at deeper depths will increase in water content.

The water migration analyses were performed using calibrated and validated input parameters and boundary conditions in the VADOSE/W models. The results of the validation process show that there is a good agreement between the predicted water content distribution and the measured data demonstrating model consistency and validation with respect to the data set used. Rigorous water migration analyses can be performed to analyze the actual depth of wetting in soils during the design life of a

structure. Unless site specific analyses can be performed to accurately determine the rate and pattern of subsoil wetting, a prudent designer should assume that the entire depth of potential heave is wetted during the design life of the structure. Although limitations may be placed on owners of structures regarding grading and irrigation practices, it is important to consider the potential that the owners will use the property in a manner that is not in consistent with the engineering design criteria.

## **CHAPTER 7**

### **PREDICTION OF CHANGE OF SLAB AND PIER HEAVE WITH TIME**

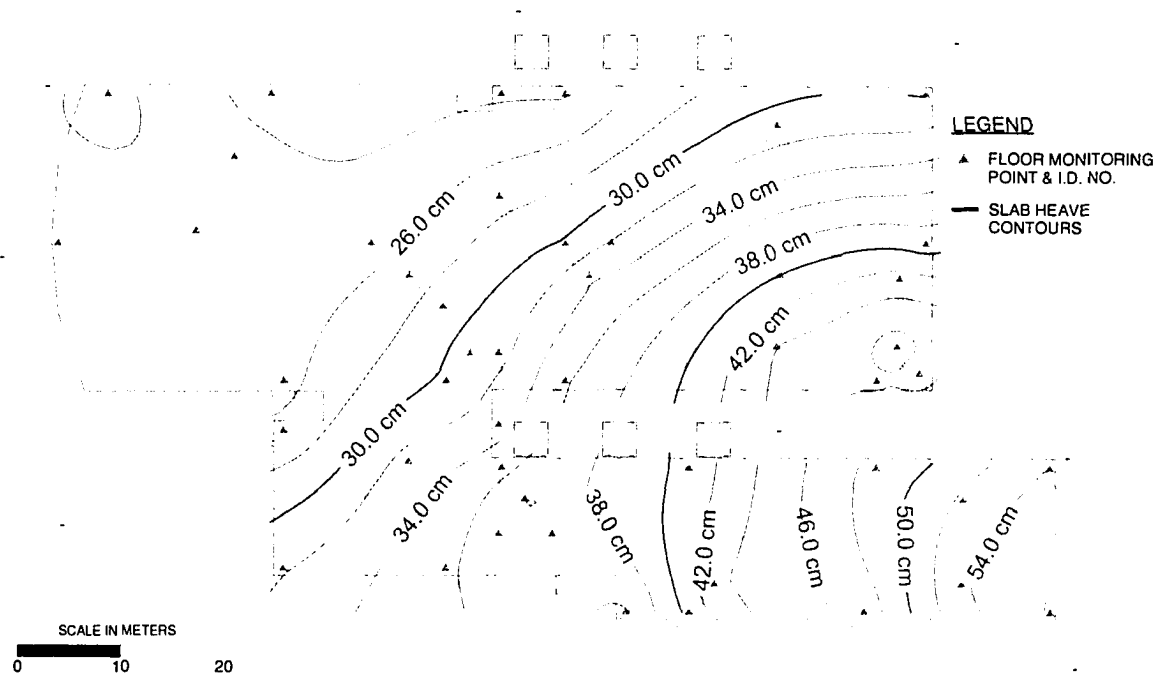
#### **7.1 Total Heave Prediction**

##### **7.1.1 Maximum Slab Heave**

Free-field heave is a basic parameter for design of foundations for sites on expansive soils. The heave of slabs-on-ground is equal to the free-field heave. Also, predicted pier heave is normalized against free-field heave. Therefore, the first step for design of foundations is to compute predicted free-field heave. Maximum slab heave was predicted using the oedometer methodologies presented in Nelson, et al. (1998 and 2006), as discussed in Section 2.3.3.

Predicted maximum future slab heave since the time of construction (i.e. maximum total heave) was calculated at each pier location of the TRACON building based on the subsoil data obtained from the six boreholes drilled around the building. The amount of heave that had occurred from the time of construction to the time of drilling that was estimated by ZBSI (ZBSI, 2001) was also taken into account. The soil profiles and parameters used to complete the calculations for each pier location were interpolated from the data at the six borehole locations.

Contours of the predicted maximum total slab heave since the time of construction are shown in Figure 7-1. The predicted maximum total slab heave ranges from 22.2 cm at Pier A-4.2 (F03) to 56.2 cm at Pier H-17 (F81). It is predicted that the maximum total slab heave will occur at the eastern corner of the building, and that have will decrease toward the western portion of the building.

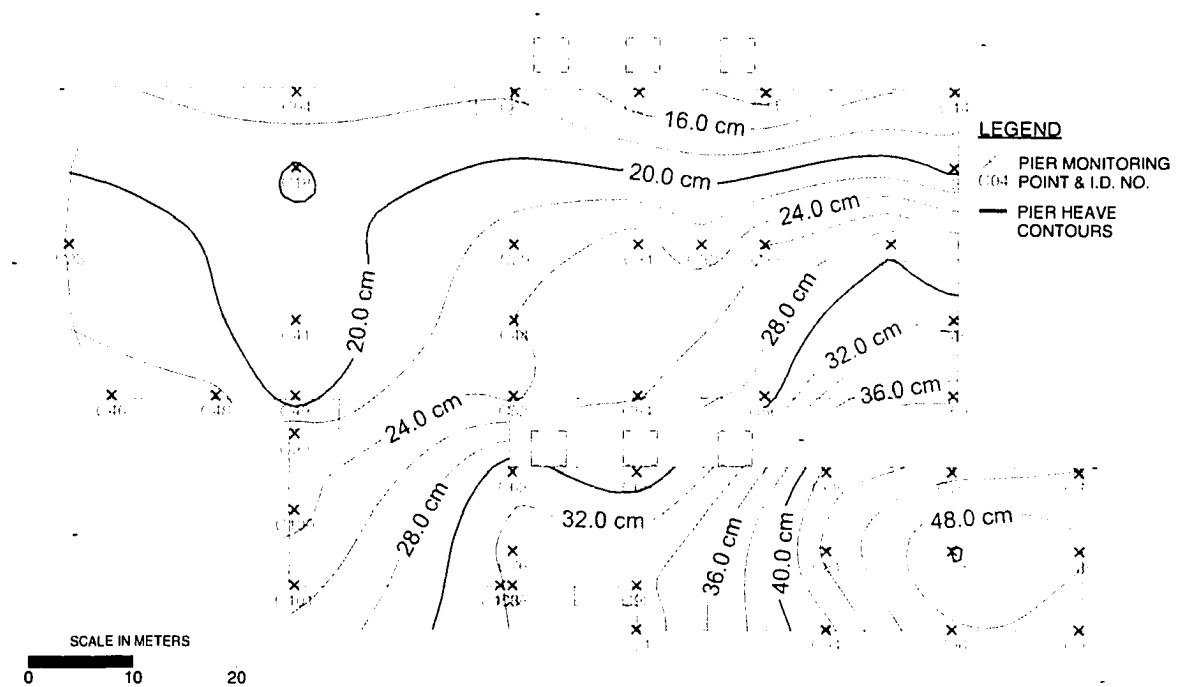


**Figure 7-1 Contours of Predicted Maximum Slab Heave Since the Time of Construction**

### **7.1.2 Maximum Pier Heave**

A total of 146 straight shaft piers were designed for the TRACON building. In the prediction of pier movement, the pier diameter, pier length, and dead load on the pier were taken into account. According to foundation details of the TRACON design drawings, pier diameters ranged from 46 to 76 cm and pier lengths ranged from 7.6 to 8.5 meters. The dead loads on the piers were computed by the Department of Civil, Environmental and Architectural Engineering, University of Colorado, Boulder, Colorado (CU, 2000). The maximum total pier heave was predicted using the solution for pier movement in an elastic medium that was developed by Poulos and Davis (1980) and modified for design use by Nelson and Miller (1992), and Nelson, et al. (2007b). This was described previously in Section 2.4.2.1. Input data for the calculations were obtained from laboratory test data obtained from soil samples taken from the six boreholes, and the amount of heave that had occurred from the time of construction to the time of drilling as estimated by ZBSI (ZBSI, 2001). Conditions of uniform pier-soil strength within each stratigraphic unit were assumed.

Contours of the predicted maximum future pier heave since the time of construction for the TRACON building are shown in Figure 7-2. Pier heave of the existing 146 piers was predicted to range from 13.0 cm at Pier A-12 (C12) to 50.3 cm at Pier G-15 (C83). Figure 7-2 indicates that the maximum future pier heave will occur at the eastern portion of the building, following a trend similar to that for the maximum slab heave.



**Figure 7-2 Contours of Predicted Maximum Future Pier Heave Since the Time of Construction**

## 7.2 Change of Slab and Pier Heave with Time

Slab and pier heave will vary with time. In soil profiles where shrink-swell behavior is present, heave will occur during wet periods and decrease during dry periods. In the dense, highly expansive claystones existing along the Front Range area of Colorado, shrinkage is small or non-existent. The general pattern of heave in soil/bedrock profiles is for heave to continue to increase with time, although it will occur more rapidly during wet periods and slow down during dry periods. At the TRACON site, the effect of climate on the water present in the coal seams has a large influence.

The change in slab and pier heave with time was analyzed using two different methods of analysis. One was to fit the existing survey data to a hyperbolic equation and then use that to project the predicted heave over time. The second method was to analyze the timewise migration of subsoil water in the manner presented previously, and then relate heave to changes in water content. These methodologies are described below.

## **7.2.1 Heave Prediction Using Hyperbolic Relationship**

### **7.2.1.1 Methodology of Heave Prediction Using Hyperbolic Relationship**

The change of heave of the slabs and piers with time was analyzed by fitting the observed survey data during monitoring to a hyperbolic function. The floor and pier heave since September 2000 was surveyed by ZBSI as discussed previously. The as-built elevations at the slab and pier monitoring points were determined by ZBSI based on the initial surveying performed by Bell Surveying and/or design drawings (ZBSI, 2001). Thus, a function of the following form was used to predict heave as a function of time since the time of construction:

$$\rho = \frac{t}{a + bt} \quad \text{Equation (7-1)}$$

where:

$\rho$  = slab or pier heave since the time of construction,  
a and b = curve parameters, and  
t = the time since movement began.

Taking the limit of Equation (7-1) as t approaches infinity shows that the maximum heave approaches a value of 1/b.

Equation (7-1) can be rewritten in the form:

$$\frac{1}{\rho} = \frac{a}{t} + b \quad \text{Equation (7-2)}$$

Equation (7-2) shows that for this function,  $1/\rho$  is a linear function of  $1/t$ . The curve parameters  $a$  and  $b$  are the slope and the intercept, respectively, of the linear function. The parameters  $a$  and  $b$  can then be determined by plotting  $1/t$  vs.  $1/\rho$  in the form of Equation (7-2). The intercept of the plotted data will equal  $b$  and the slope of the line is  $a$ .

When plotting the data to Equation (7-2), it is necessary to know the time at which heave at the ground surface actually began. This time,  $t_0$ , was not able to be determined accurately by observation. What was known was that it occurred at some time during construction.

Also, it was necessary to assure some bond of the extrapolated data, and therefore, the value of  $b$  was taken as the reciprocal of the calculated value of maximum total heave. Thus, the survey data was plotted in the form of Equation (7-2) using the calculated  $b$  values for different dates for the point at which  $t = 0$ . Regression analyses were conducted for the various data plots and the values of  $a$  and  $t_0$  that provided the best fit to the linear plots were used to determine the date at which slab or pier movement first began (i.e., the date at which  $t = 0$ ). The TRACON building was built in approximately 1991. Therefore, the first assumed date corresponding to  $t = 0$  was January 1991. The data was plotted also for successive dates of  $t_0$  on monthly increments. The data for which the plotted data exhibited the best value of the coefficient of determination,  $r^2$ , was taken as the date for  $t_0$ .

The rate of heave can be obtained by taking the derivative of Equation (7-1). The first derivative of the Equation (7-1) is.

$$\dot{\rho} = \frac{1}{a + bt} - \frac{bt}{(a + bt)^2} \quad \text{Equation (7-3)}$$

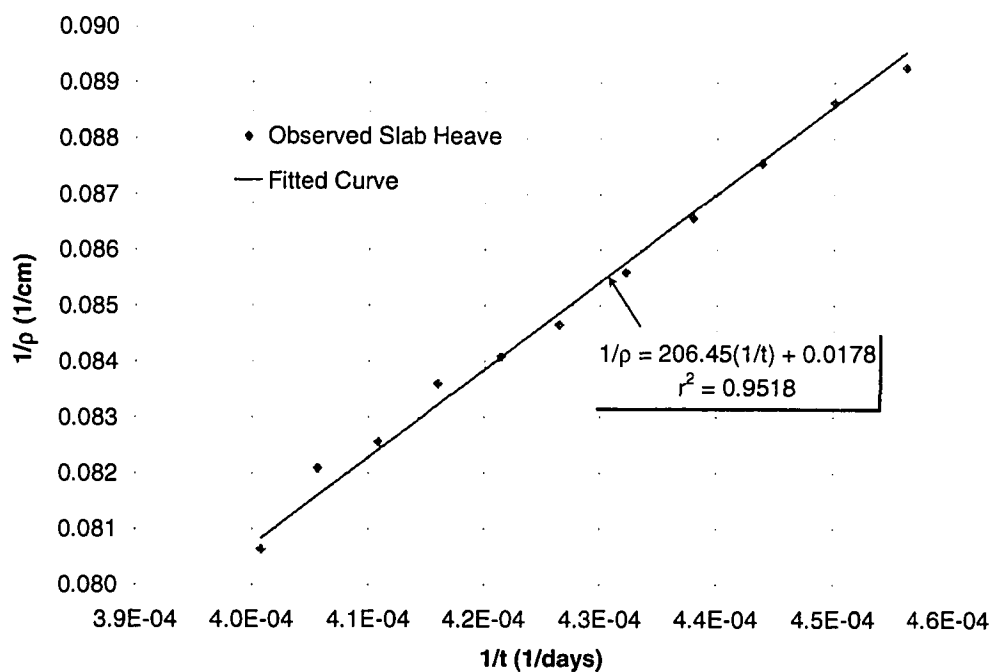
where:  $\dot{\rho}$  = rate of heave

### 7.2.1.2 Results of Heave Prediction

Heaving of the floor and pier was surveyed by ZBSI from September 2000 to June 2006, a period of 70 months. During this period, 64 sets of data were collected. The slab and pier heave data was initially plotted in the hyperbolic form using only the first 11-month set of survey data from September 2000 to July 2001. The scenario of the 11-month survey data was selected for initial analysis, because this was the data available when the first analysis was done. Also, this is usually the general period of time for which data is available in practice. The effect of using longer survey periods on the results of plotting the data in hyperbolic form will be discussed below.

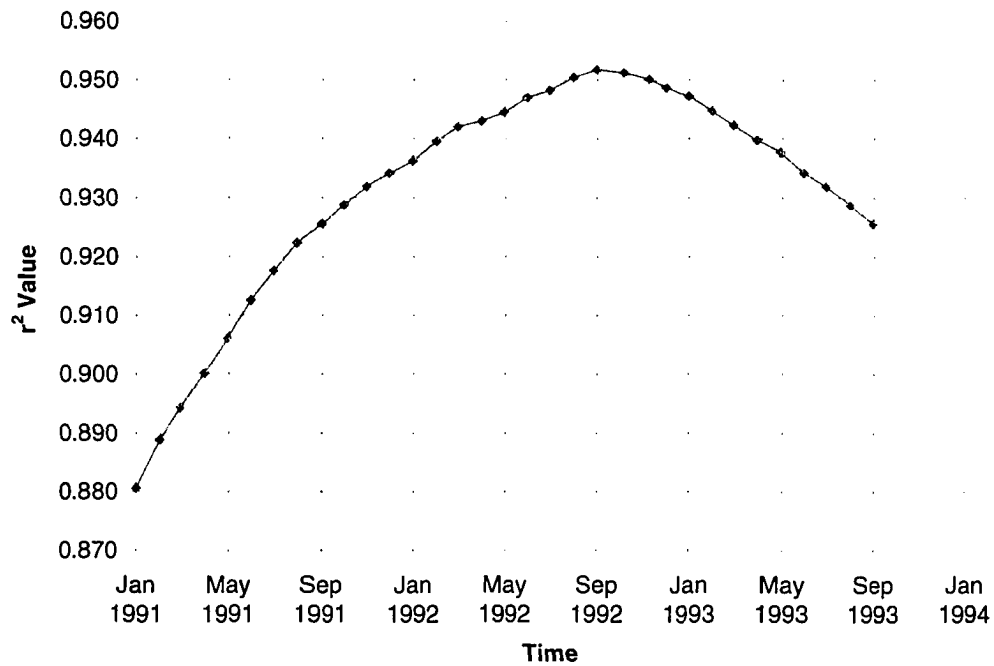
#### 7.2.1.2.1 Change of Slab Heave with Time

The plot of the slab heave survey data for the first 11-month set of data is shown in Figure 7-3 for the Floor Monitoring Point F81. Monitoring Point F81 was selected for illustration, as it is the floor monitoring point at which the most observed heave has occurred. In Figure 7-3, the parameter,  $a$ , is equal to 206.45 days/cm and  $b$  is equal to 0.0178 cm<sup>-1</sup>.



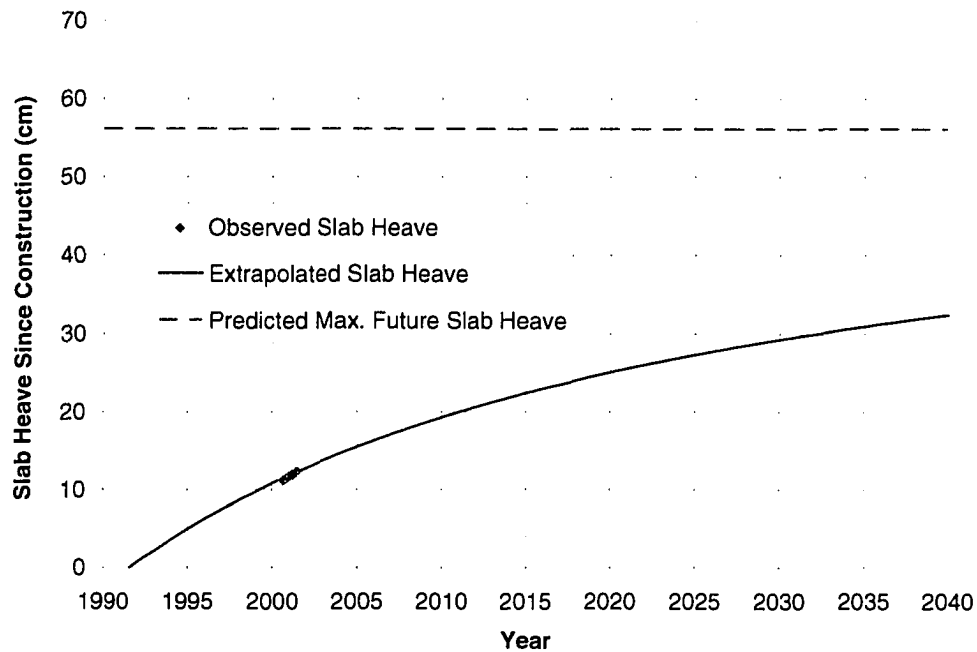
**Figure 7-3 Hyperbolic Plot of Slab Heave Since the Time of Construction – Floor Monitoring Point F81**

As discussed above, the slab movement at Floor Monitoring Point F81 was initially assumed to have begun in January 1991. Dates for  $t = 0$  were assumed at monthly increments until the best value of  $r^2$  for the regression analysis was obtained. Figure 7-4 shows the various values obtained for  $r^2$  plotted against the date corresponding to  $t_0$ . It is seen that the best correlation is obtained for a date of September 1992 for  $t_0$ . This indicates that the slab at Point F81 most likely began to heave in September 1992. The dates when the rest of the floor monitoring points began to heave were determined using the same technique. They range from January 1991 to December 1993.



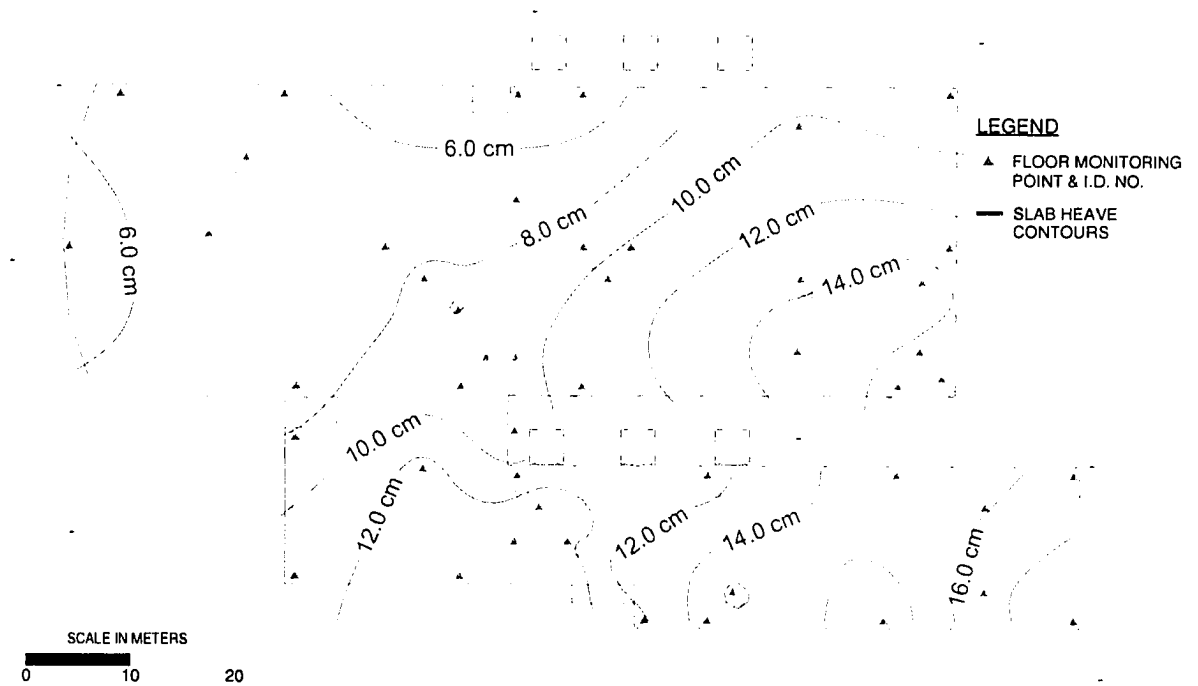
**Figure 7-4 Coefficient of Determination,  $r^2$ , vs. Time – Floor Monitoring Point F81**

Figure 7-5 shows the slab heave predicted by Equation (7-1) using the parameters shown in Figure 7-3 along with the observed heave values for the Floor Monitoring Point F81. Figures 7-3 and 7-5 indicate a good match between the observed and fitted curves by using the hyperbolic function. It is interesting to note that the predicted slab heave in 2040 is approximately 58% of the predicted maximum total slab heave at the location of Point F81.

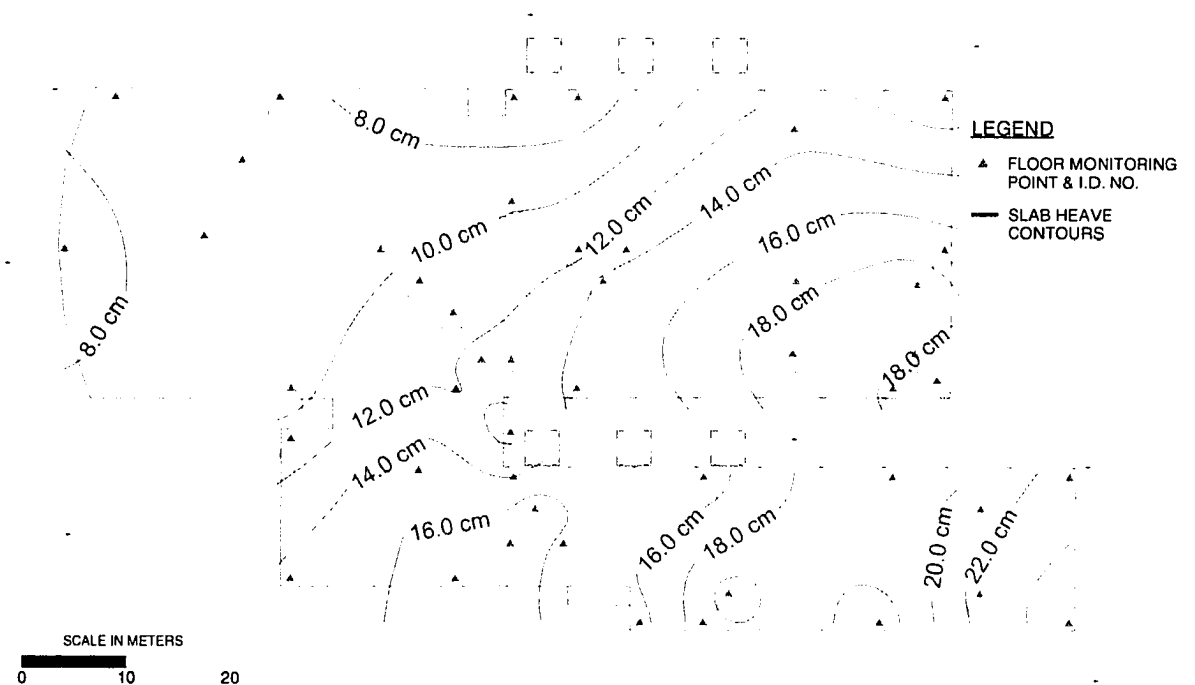


**Figure 7-5 Observed and Predicted Slab Heave Since the Time of Construction – Floor Monitoring Point F81**

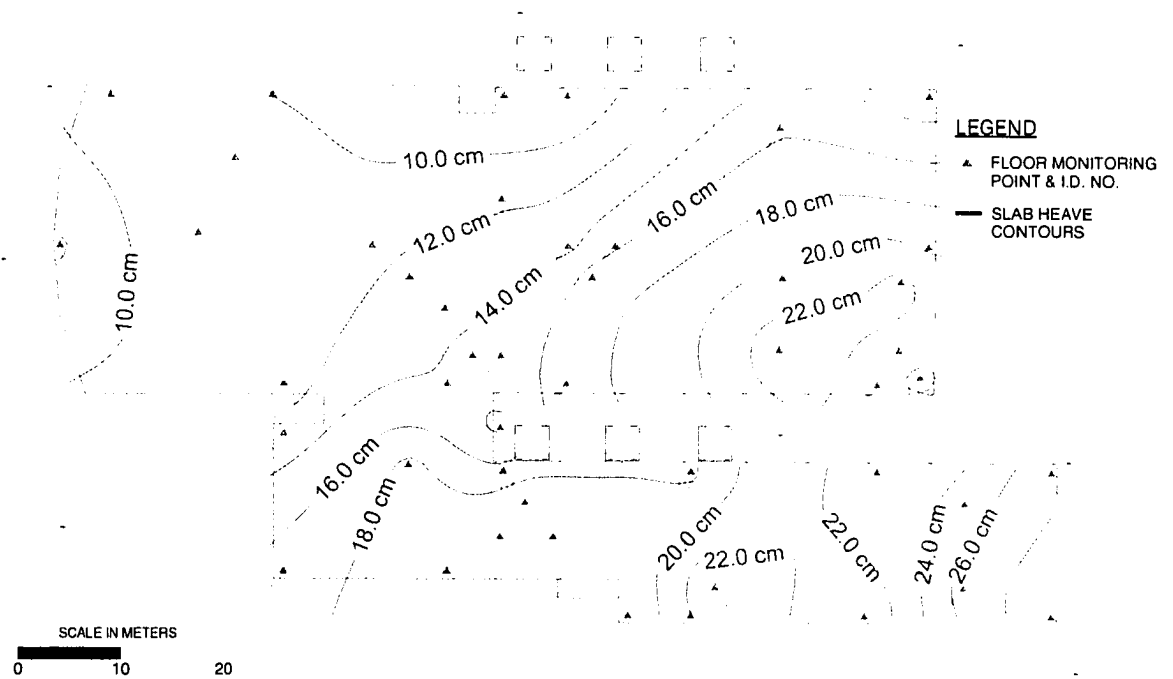
The survey data for total slab heave for all of the 50 floor monitoring points were fitted to the hyperbolic function using the same procedure. Total slab heave for each floor monitoring point was computed from the hyperbolic equation for the time period up to the year 2040. Contours of the total slab heave for the years 2010, 2020, 2030, and 2040 are shown in Figures 7-6 through 7-9, respectively. Figures 7-6 through 7-9 show that the maximum slab heave has occurred at Point F81 in the Environmental Wing. The predicted maximum values of total slab heave as of 2010, 2020, 2030, and 2040 are 19.3, 25.1, 29.3, and 32.5 cm, respectively. Those figures also show that the values of the predicted slab heave generally decreases toward the west of the TRACON building.



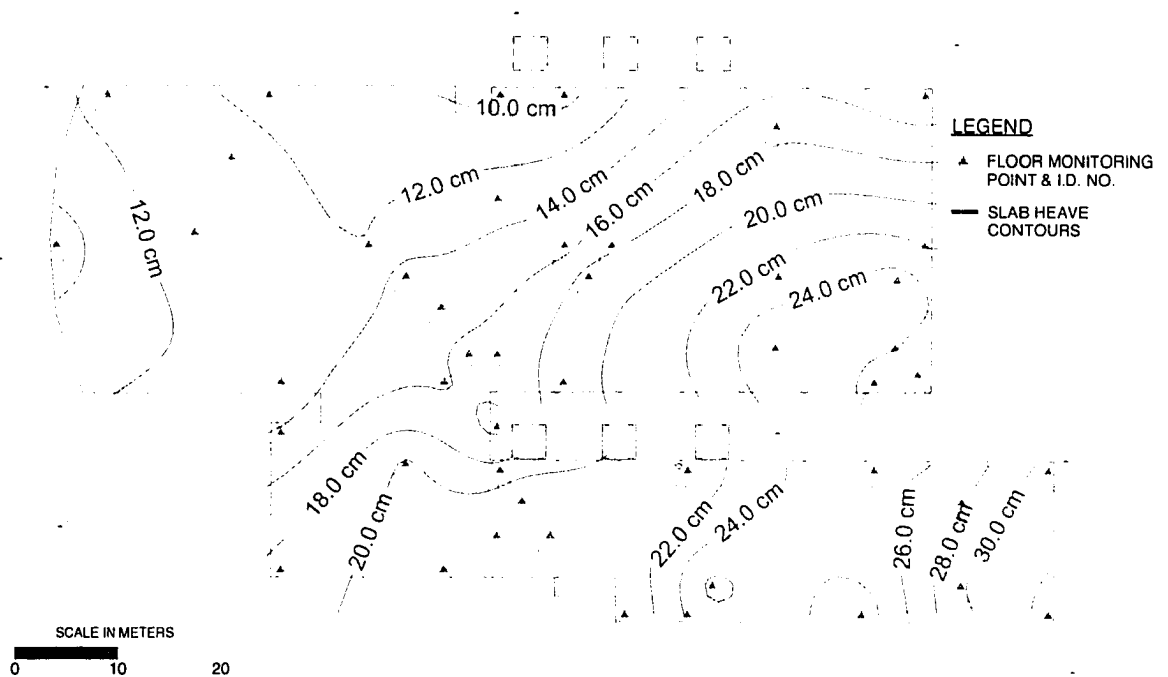
**Figure 7-6**      **Contours of Predicted Slab Heave from the Time of Construction (1991) to January 2010**



**Figure 7-7**      **Contours of Predicted Slab Heave from the Time of Construction (1991) to January 2020**

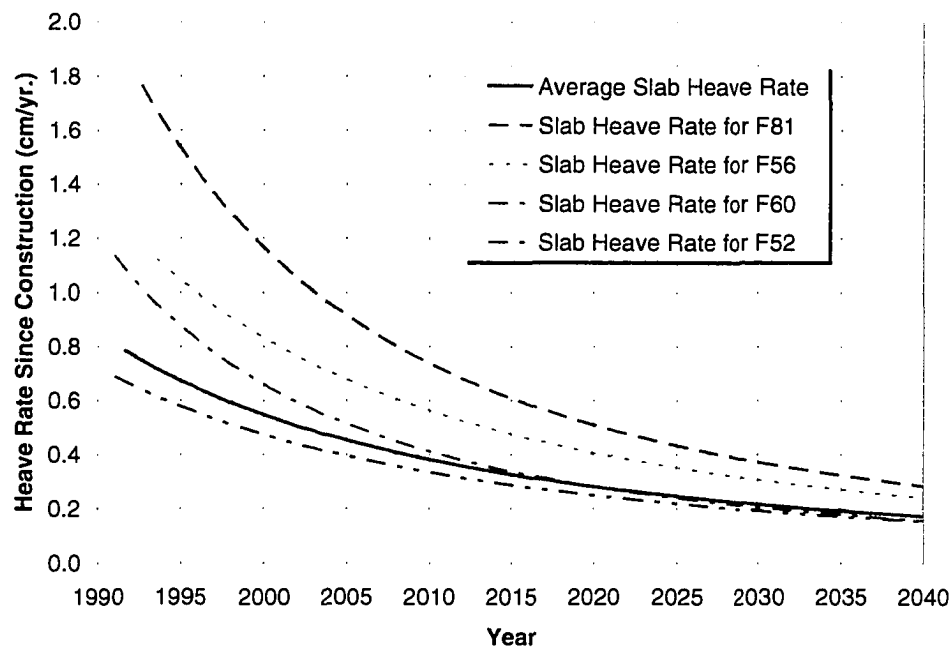


**Figure 7-8** Contours of Predicted Slab Heave from the Time of Construction (1991) to January 2030



**Figure 7-9** Contours of Predicted Slab Heave from the Time of Construction (1991) to January 2040

The rate at which slab heave is occurring, and is predicted to occur in the future, was calculated using Equation (7-3) for several typical floor monitoring points in each wing of the TRACON building. Figure 7-10 shows the rate of slab heave as a function of time. The average heave rate at the time heave began was equal to 0.8 cm/year. The current average slab heave rate is approximately 0.4 cm/year, which is about the same as the observed current heave rate shown in Figure 3-15. The data shown indicates that the average slab heave rate for the TRACON building is expected to reach 0.2 cm/year in approximately 2033. At point F81, the rate of slab heave will be about 0.3 cm/year even at the year 2040, the design life of the structure.

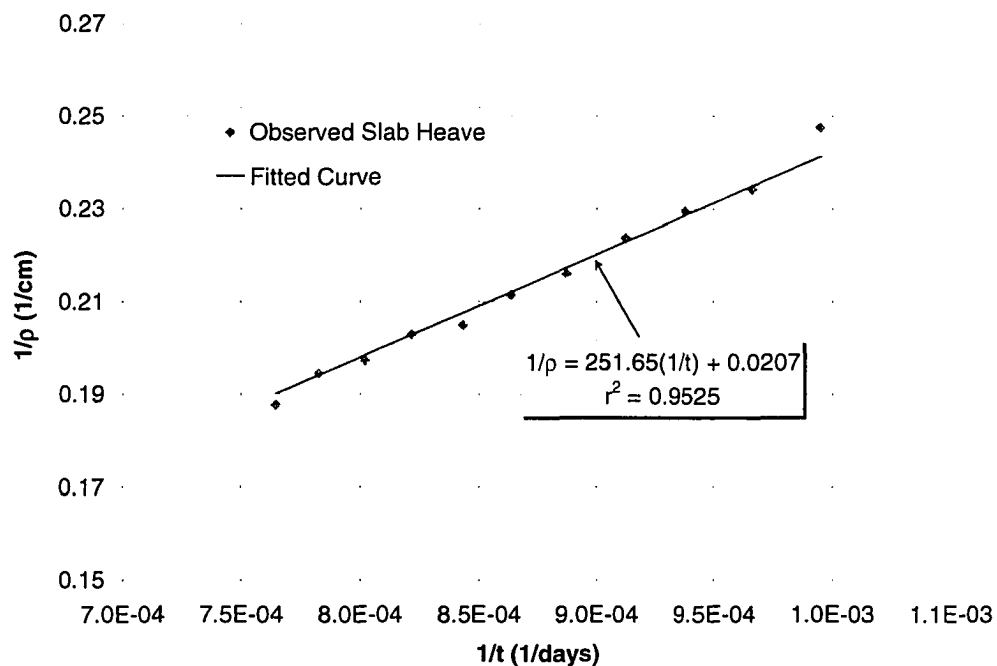


**Figure 7-10 Predicted Rate of Slab Heave as a Function of Time**

#### **7.2.1.2.2 Change of Pier Heave with Time**

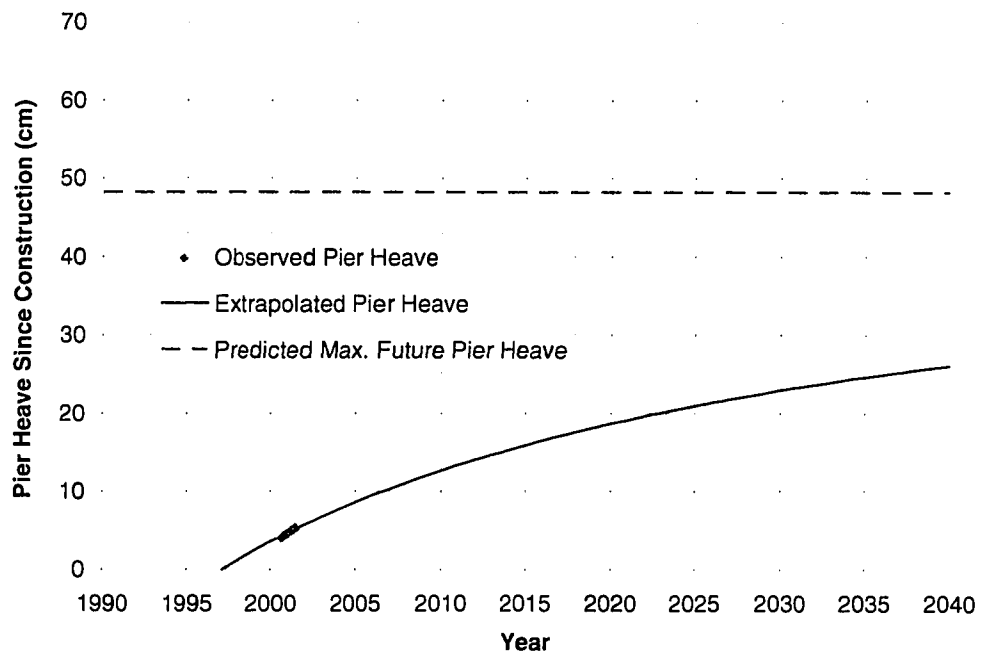
The plot of the pier heave survey data for the first 11-month set of data is shown in Figure 7-11 for the Pier Monitoring Point C85. Point C85 is the pier monitoring point

at which the greatest amount of heave has been recognized from the beginning of monitoring. Figure 7-11 shows the parameter,  $a$ , is equal to 251.65 days/cm and  $b$  is equal to  $0.0207 \text{ cm}^{-1}$ . The dates when the piers began to heave ( $t = 0$ ) were determined using the same technique as described for the slab heave. The dates range from January 1994 to December 1997. It is important to note that the inception of pier heave lags the slab heave by about 3 to 4 years in this case. Longer lag times are possible depending on soil conditions (Nelson, et al., 2001)



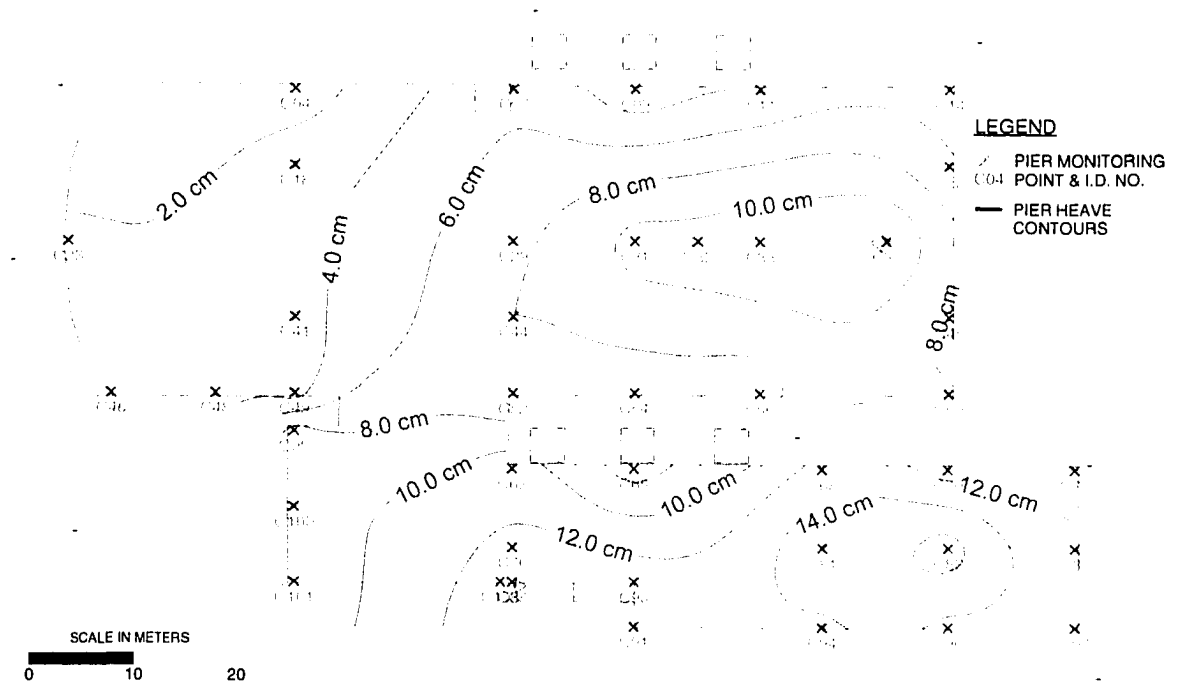
**Figure 7-11 Hyperbolic Plot of Pier Heave Since the Time of Construction – Pier Monitoring Point C85**

Figure 7-12 shows the observed heave data and the pier heave predicted by Equation (7-1) for Point C85 using the parameters shown in Figure 7-11. Figures 7-11 and 7-12 show a good match between the observed and fitted curves by using the hyperbolic function.

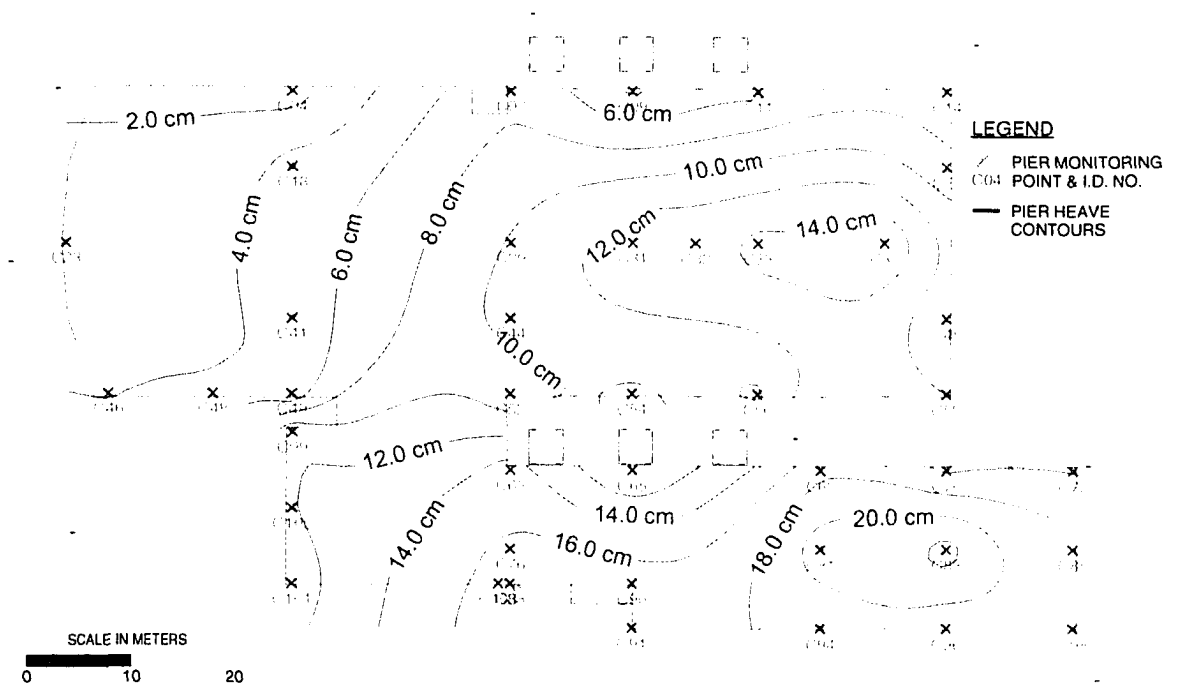


**Figure 7-12 Observed and Predicted Pier Heave Since the Time of Construction – Pier Monitoring Point C85**

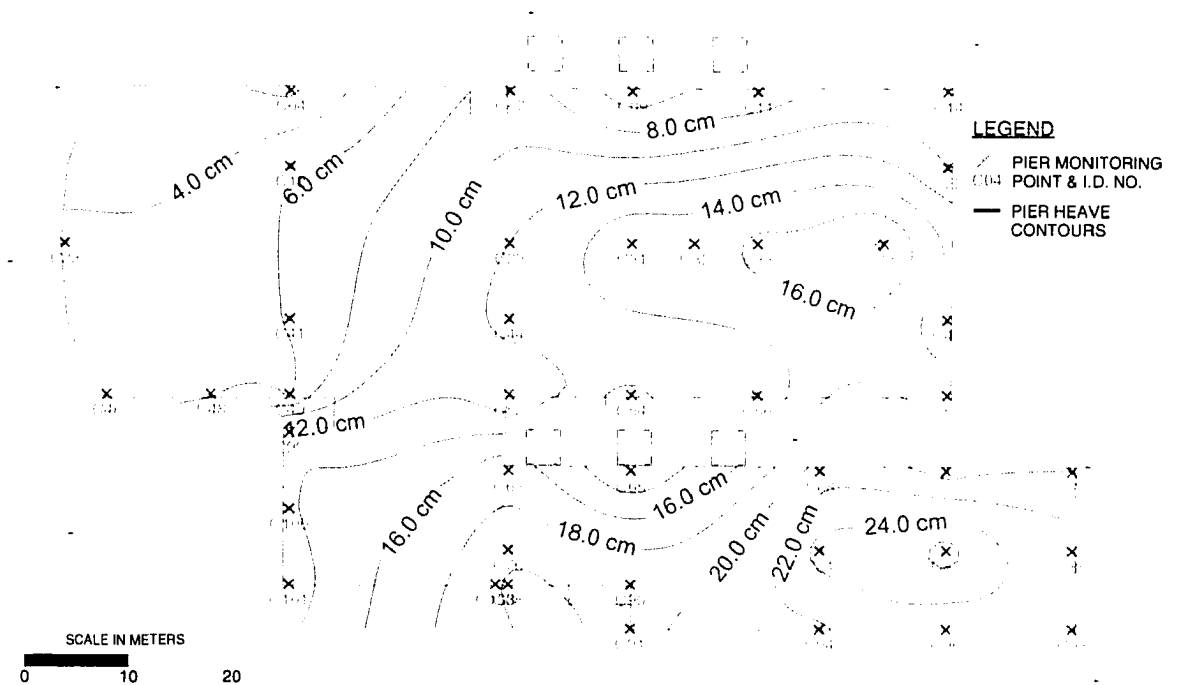
The predicted total pier heave was computed for all of the 50 column monitoring points. Total pier heave at each monitoring point was computed for the time period up to the year 2040. Contours of the total pier heave computed using the hyperbolic equation are shown in Figures 7-13 through 7-16 for the years 2010, 2020, 2030, and 2040, respectively. These figures show that the maximum pier heave occurred at Point C83 in the Environmental Wing. The maximum values of the predicted pier heave as of 2010, 2020, 2030, and 2040 are 17.0, 22.8, 26.9, and 29.9 cm, respectively. These figures also show that the values of the predicted pier heave generally decrease toward the western portion of the TRACON building.



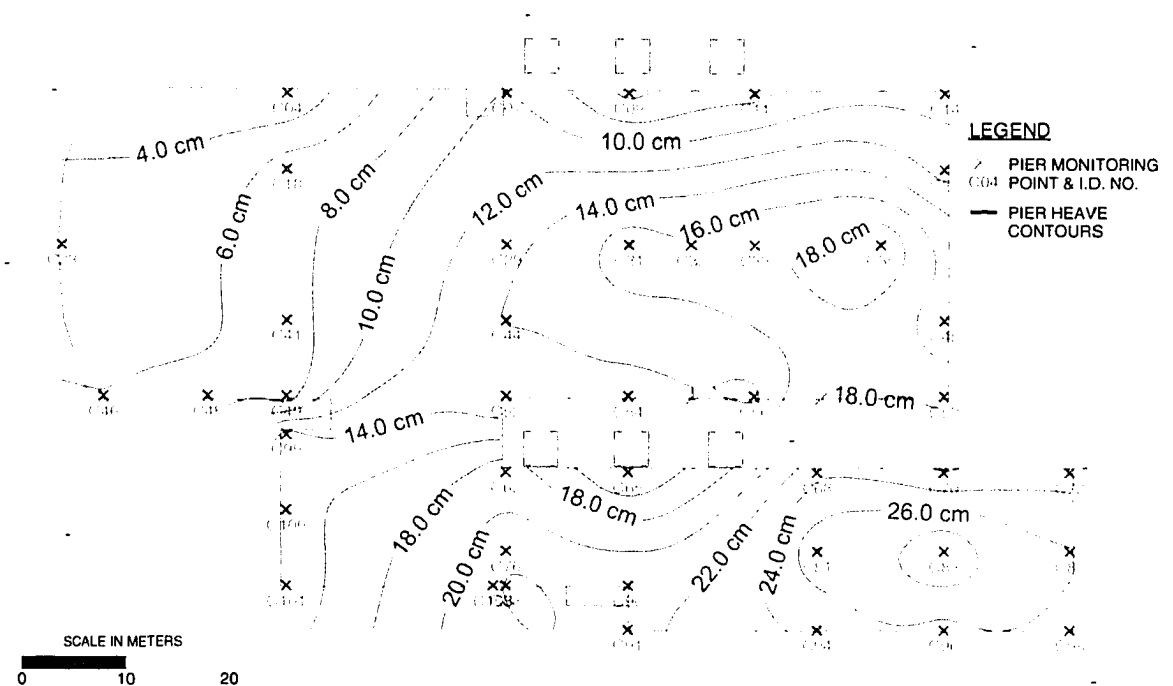
**Figure 7-13** Contours of Predicted Pier Heave from the Time of Construction (1991) to January 2010



**Figure 7-14** Contours of Predicted Pier Heave from the Time of Construction (1991) to January 2020

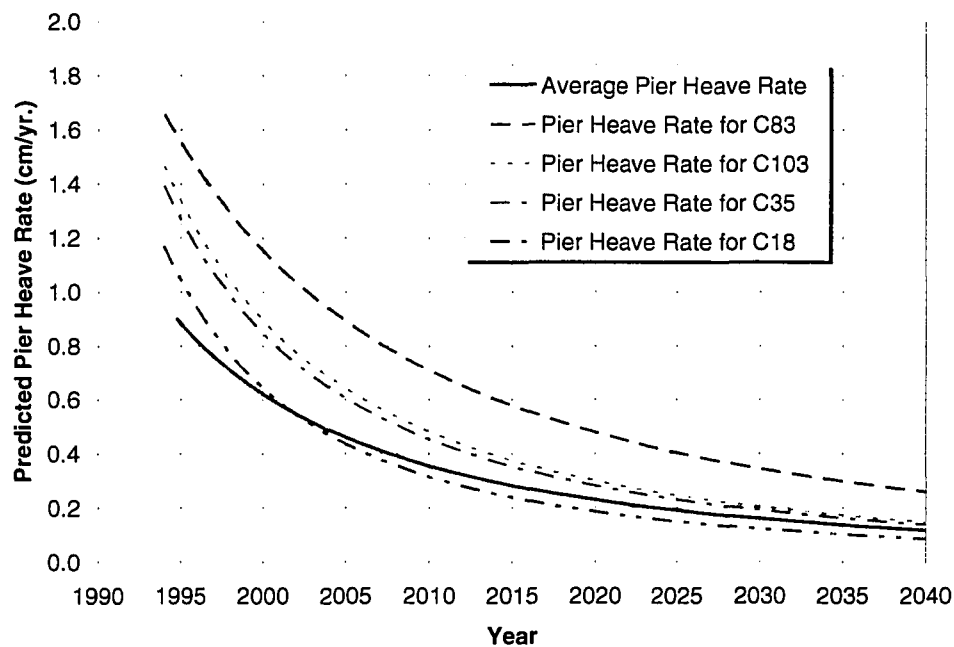


**Figure 7-15** Contours of Predicted Pier Heave from the Time of Construction (1991) to January 2030



**Figure 7-16** Contours of Predicted Pier Heave from the Time of Construction (1991) to January 2040

Figure 7-17 shows the rate at which pier heave is occurring, or expected to occur, as a function of time for several typical pier monitoring points in each wing of the TRACON building. These heave rates were calculated using Equation (7-3). The average heave rate at the time heave began was equal to 0.9 cm/year. The predicted current average pier heave rate is approximately 0.4 cm/year, which is about the same as the observed current heave rate shown in Figure 3-20. Figure 7-17 indicates that the average pier heave rate for the TRACON building will not reach 0.2 cm/year until sometime after the year 2023.



**Figure 7-17 Predicted Rate of Pier Heave as a Function of Time**

## **7.2.2 Prediction Using Water Migration Results**

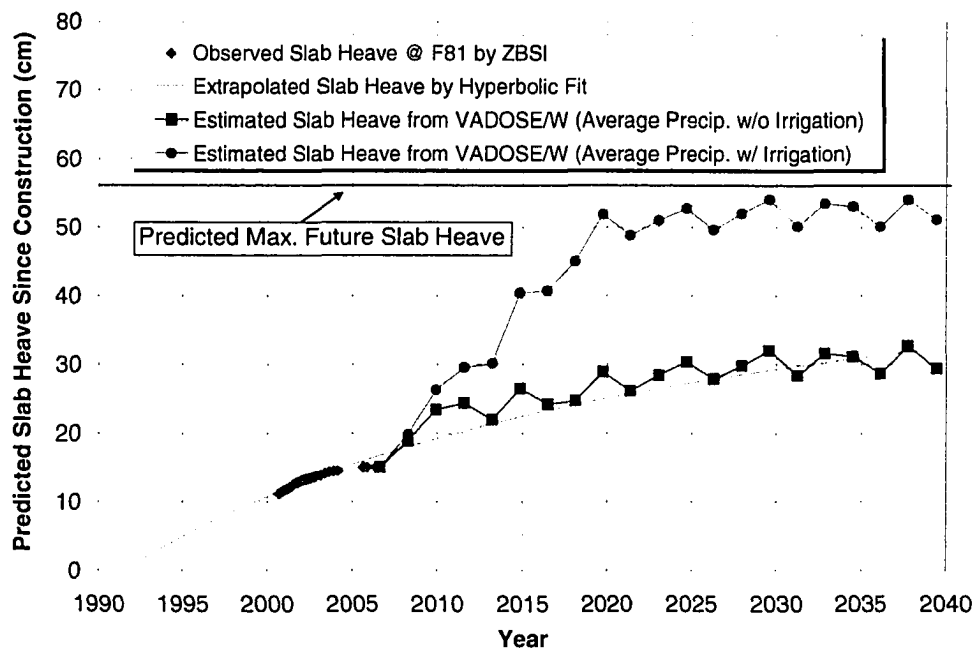
### **7.2.2.1 General**

Actual heave rates will be influenced by fluctuations of climate conditions at the ground surface, redistribution of soil water within soil layers, non-uniform heave resulting from the wetting of soil layers, and possibly other factors. It is expected that they will not precisely follow a smooth hyperbolic function over all time. Therefore, another method of predicting heave was used to compute heave based on changes in water content of the subsoils. Water content values were computed using the VADOSE/W modeling. The results of the water migration study were presented previously in Section 6.5. The relationship between water content and swell potential shown in Equation (5-1) was used to compute heave for various water content profiles computed at different points in time. The slab heave at each time step was computed by multiplying the maximum future free-field heave in each soil layer by a factor obtained from Equation (5-1), taking into consideration the changes in volumetric water content from the VADOSE/W output.

Heave prediction was computed for two precipitation scenarios. One scenario considered the average precipitation with no irrigation, and the other considered the average precipitation with irrigation. The rate of slab heave was calculated at the location of Point F81, the point where the greatest amount of heave has been observed.

### 7.2.2.2 Results of Analyses

Using the values of the volumetric water content obtained from the VADOSE/W modeling, the relationship shown in Equation (5-1), and the calculated value of maximum total free-field heave, the predicted slab heave as a function of time was calculated. The results for Point F81 are shown in Figure 7-18. This figure shows that heaving of the slab will continue to occur and reach a value of about 30 cm at the end of the design life of the building in 2040. This value is about 54% of the predicted maximum total heave. Figure 7-18 also indicates that irrigation may have a significant impact on the slab heave. With irrigation the amount of heave that would occur by the end of the design life of the structure would almost double.



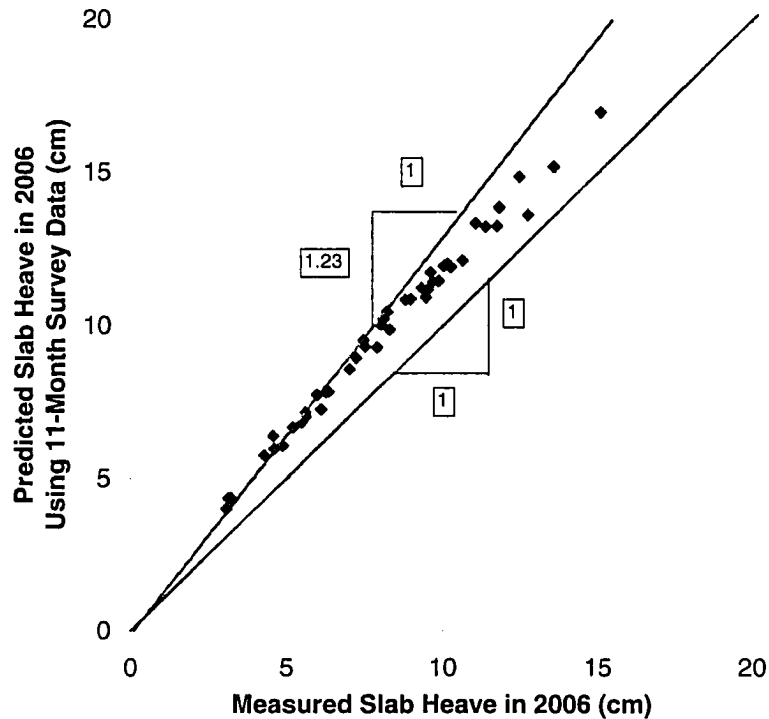
**Figure 7-18 Predicted Slab Heave as a Function of Time – Floor Monitoring Point F81**

### **7.3 Accuracy of Heave Prediction**

#### **7.3.1 Prediction Using Hyperbolic Relationship**

##### **7.3.1.1 Accuracy of Heave Prediction Based on 11-Month Survey Data**

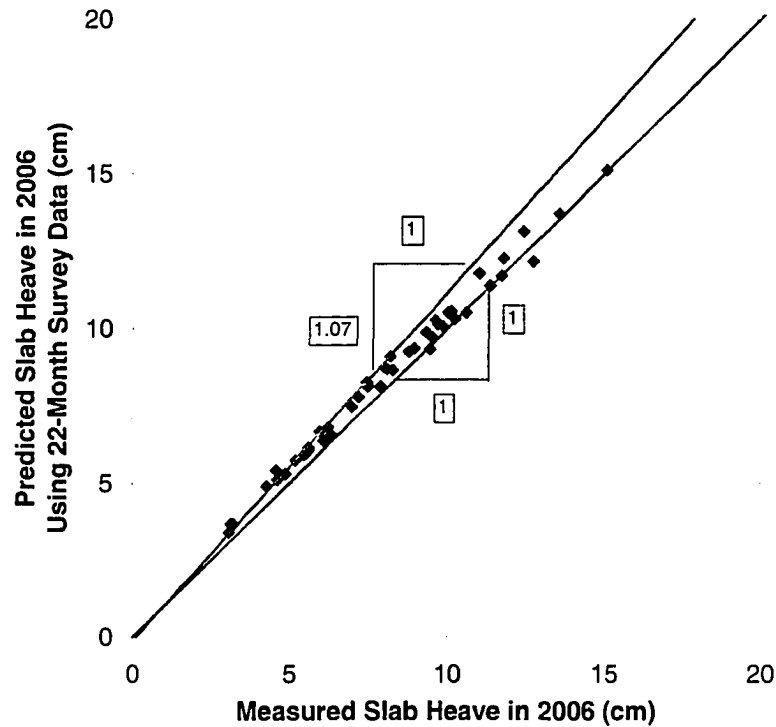
The rate of heave was initially predicted by the hyperbolic function derived using data only from the first 11 months of monitoring (from September 2000 to July 2001). The slab heave measured for all monitoring points in June 2006 is compared to the slab heave predicted in June 2006 using the hyperbolic relationship in Figure 7-19. This figure indicates that use of the hyperbolic fit to predict heave with 11 months of data would have overpredicted heave in the year 2006 by approximately 23 percent. This accuracy is likely influenced by the drought cycle in Colorado, particularly the record dry year in 2002. Nevertheless, the ability to predict heave within 23% is considered to be very accurate. Considering the minor difference in the measured and predicted heave, it is evident that the use of the hyperbolic relationship to represent heave vs. time is a good prediction methodology.



**Figure 7-19 Measured Slab Heave in 2006 vs. Predicted Slab Heave in 2006 Using 11-Month Survey Data**

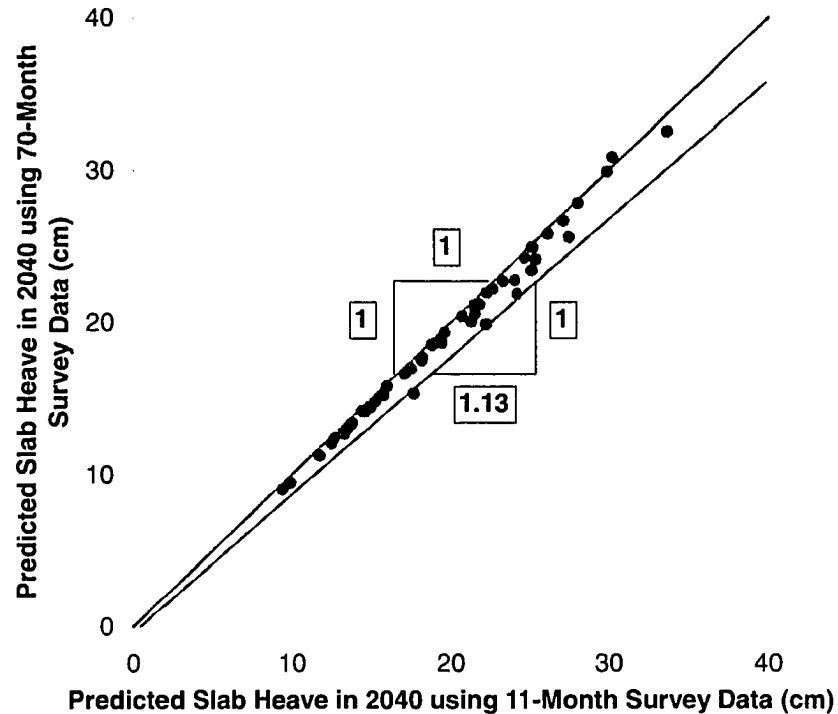
#### **7.3.1.2 Comparison of Heave Prediction Using 11, 22, and 70 Month Survey Data**

Obviously having a longer period of observation on which to base the development of the hyperbolic relationship will influence the accuracy of the predicted results. After the initial development using the 11 month set of data, the hyperbolic relationship was revised two more times using longer data sets over longer periods of time. Figure 7-20 shows the comparison between predicted and measured slab heave in 2006 using survey data taken over a period of 22 months to fit the hyperbola. Figure 7-20 shows that use of a 22 month set of data instead of the 11 month data increased the accuracy of prediction for the year 2006 to where the predicted data is within 7% of the measured data.



**Figure 7-20 Measured Slab Heave in 2006 vs. Predicted Slab Heave in 2006 Using 22-Month Survey Data**

The effect of using the entire 70 month set of data to determine the parameters for the hyperbolic function was then investigated. In this case, the entire set of measurements was used to develop the hyperbolic equation, and therefore, there was not a data of measured values with which to compare the predicted values. Therefore, the values of heave predicted for the year 2040 using the equation developed with the 70 month set of data were compared with those values of heave predicted on the basis of 11 months of data. The results are shown in Figure 7-21. From that figure, it is seen that the effect of using the longer data set to determine the hyperbolic parameters was to decrease the predicted values by as much as 13%. Because the equation for the 11 month data set tended to overpredict by as much as 23%, it is evident that the use of the 70 month data set increased the overall accuracy of the prediction method.



**Figure 7-21 Predicted Slab Heave in 2040 Using 11- and 70-Month Survey Data**

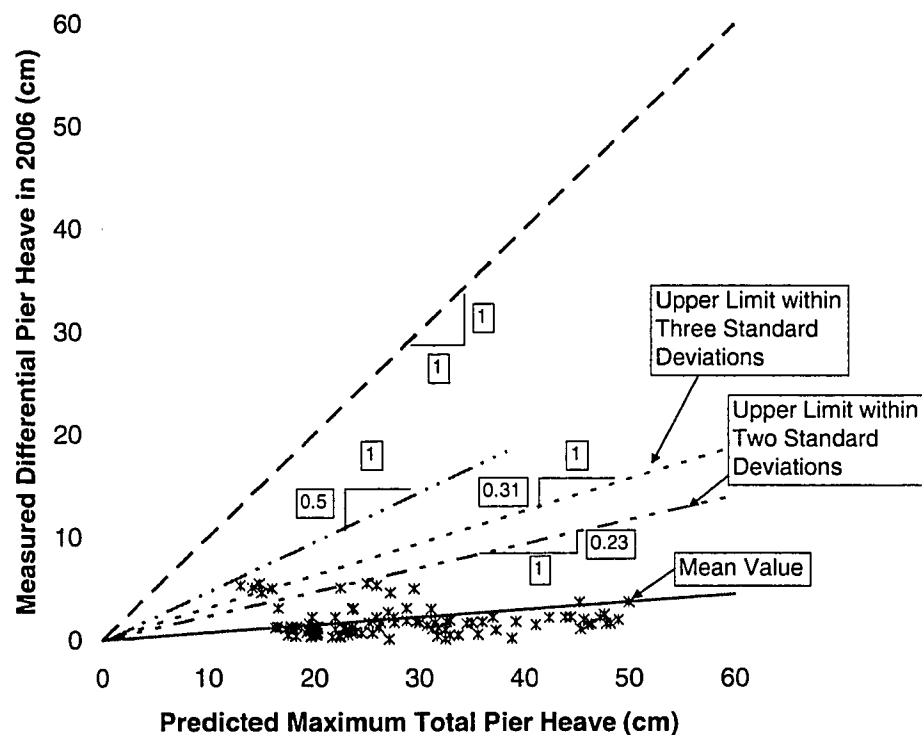
As would be expected, accuracy of the slab heave prediction will increase as the size of the data base and time over which the data is collected increases. In the case of the data presented in Figure 7-21, some difference is due, at least in part, to the drought cycle that Colorado has experienced over the past few years. Nevertheless, it is evident that the use of a hyperbolic relationship to represent heave vs. time, along with an accurate prediction of maximum total heave, is a good assumption, even only a relatively short period of survey data can be obtained.

### **7.3.2 Heave Prediction Based on VADOSE/W Modeling of Water Migration**

The predicted slab heave as a function of time calculated from the VADOSE/W output was compared to the predicted slab heave using the hyperbolic relationship in Figure 7-18. In general, the heave values predicted by both methods agree quite well. Close examination of Figure 7-18 shows that whereas the heave predicted using VADOSE/W modeling is greater than that predicted by the hyperbolic fit up to about the year 2030, it tends to become smaller after that point. It is believed that heave prediction based on water migration is more realistic in that it considers actual soil profiles, soil properties, and climate conditions. Also, a relatively long period of actual heave monitoring is not needed for that method.

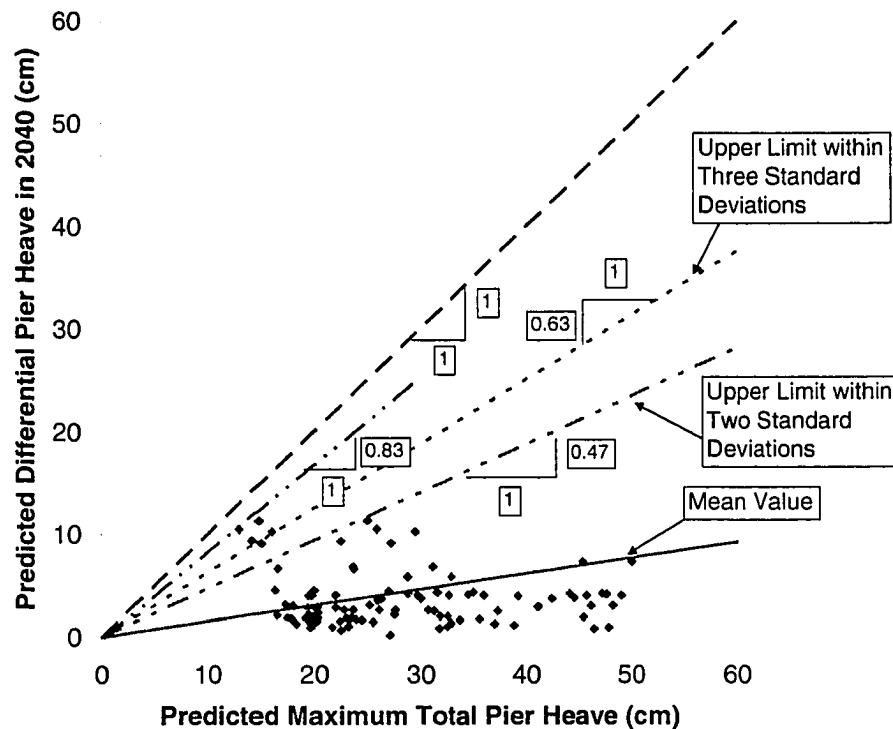
## 7.4 Differential Pier Heave

A general rule of thumb that has been considered in past years has been that differential movement (heave or settlement) between piers is about equal to half of the maximum movement. To test that hypothesis, the measured differential pier heave between adjacent piers in the year 2006 was plotted against the predicted maximum total pier heave in Figure 7-22. Figure 7-22 indicates that the measured differential pier heave at some points is already almost as high as 0.5 times the predicted maximum total pier heave. Considering all points the values corresponding to 2 or 3 standard deviations are 0.23 and 0.31, respectively.



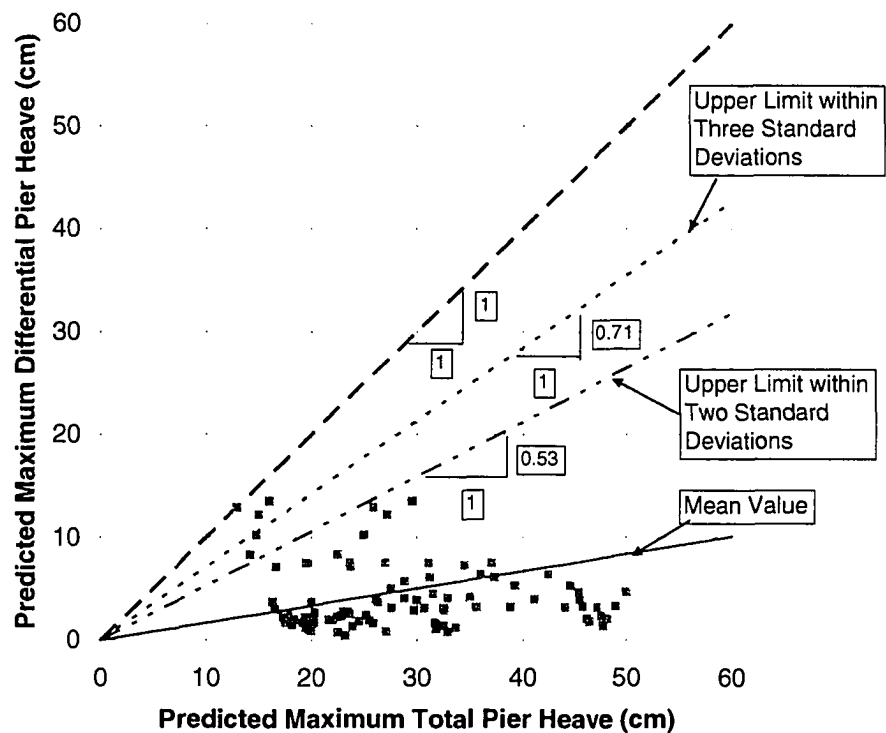
**Figure 7-22** Measured Differential Pier Heave in 2006 vs. Predicted Maximum Total Pier Heave

Figure 7-23 shows the predicted total differential pier heave in the year 2040 as a function of the predicted maximum total pier heave. By the end of the design life of the building, the measured differential pier heave at some points is as high as 0.8 times the predicted maximum total pier heave. Considering all points the values corresponding to 2 or 3 standard deviations are 0.47 and 0.63, respectively.



**Figure 7-23 Predicted Differential Pier Heave in 2040 vs. Predicted Maximum Total Pier Heave**

Figure 7-24 shows the predicted maximum differential pier heave as a function of the predicted maximum total pier heave. Figure 7-24 indicates that the differential heave at some points is predicted to be almost the same as the maximum total pier heave. Based on the results shown in Figure 7-24, it is evident that prudent design would consider that the maximum differential heave could be equal to the maximum heave predicted for the individual pier being considered.

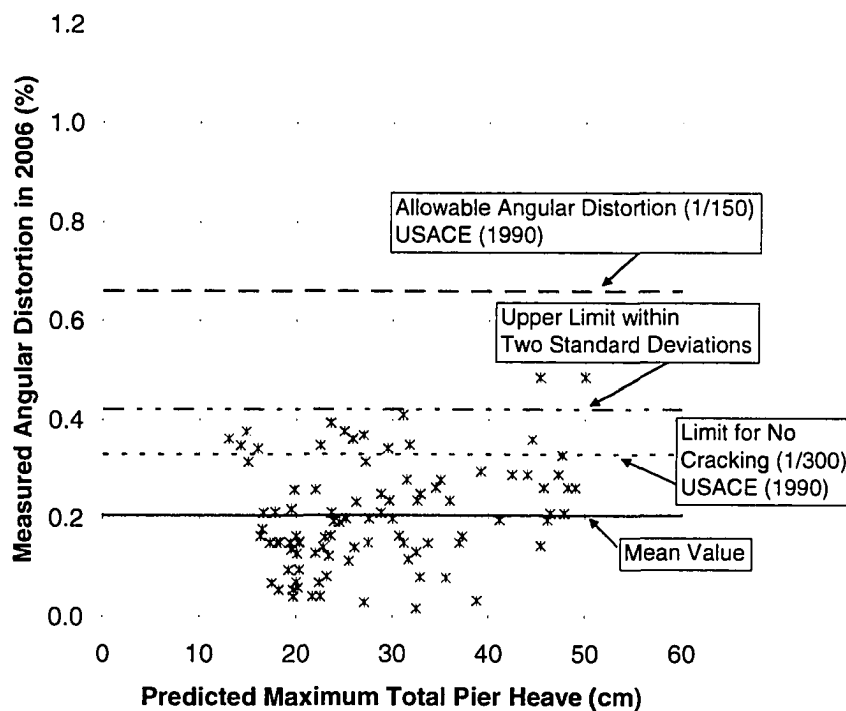


**Figure 7-24 Predicted Maximum Differential Pier Heave vs. Predicted Maximum Total Pier Heave**

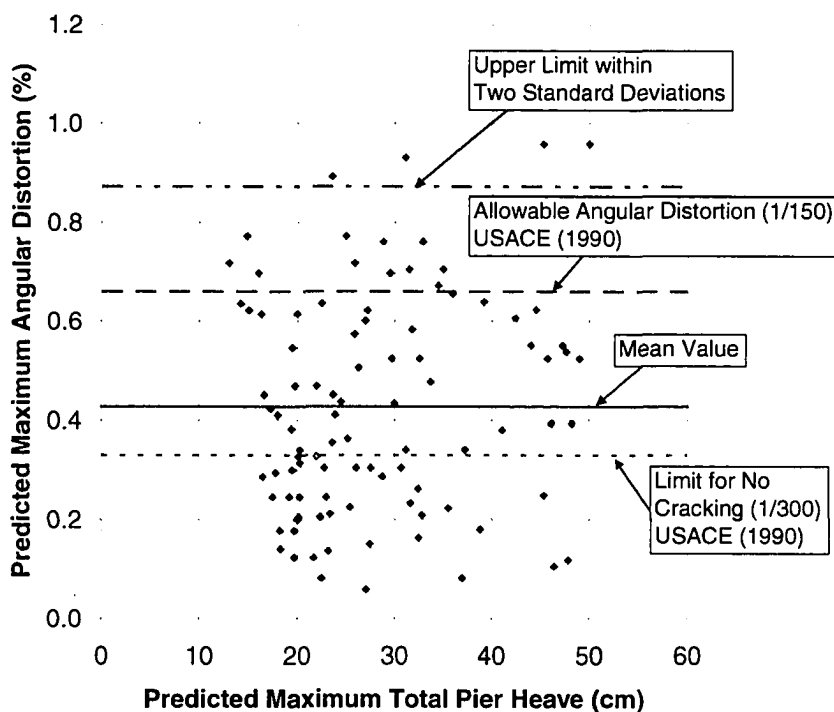
## **7.5 Angular Distortion Between Piers**

Angular distortion between adjacent piers is a more meaningful measure of distress than just differential heave. Therefore, the angular distortion was calculated using the measured and predicted differential heave divided by the span length between adjacent piers. The measured value in 2006 was plotted as a function of the predicted maximum total pier heave in Figure 7-25. The measured angular distortion between adjacent piers in 2006 ranges from 0.02 to 0.49%. The predicted value of angular distortion in 2040 was plotted as a function of the predicted maximum total pier heave in Figure 7-26. The predicted angular distortion between adjacent piers in 2040 ranges from 0.06 to 0.96%.

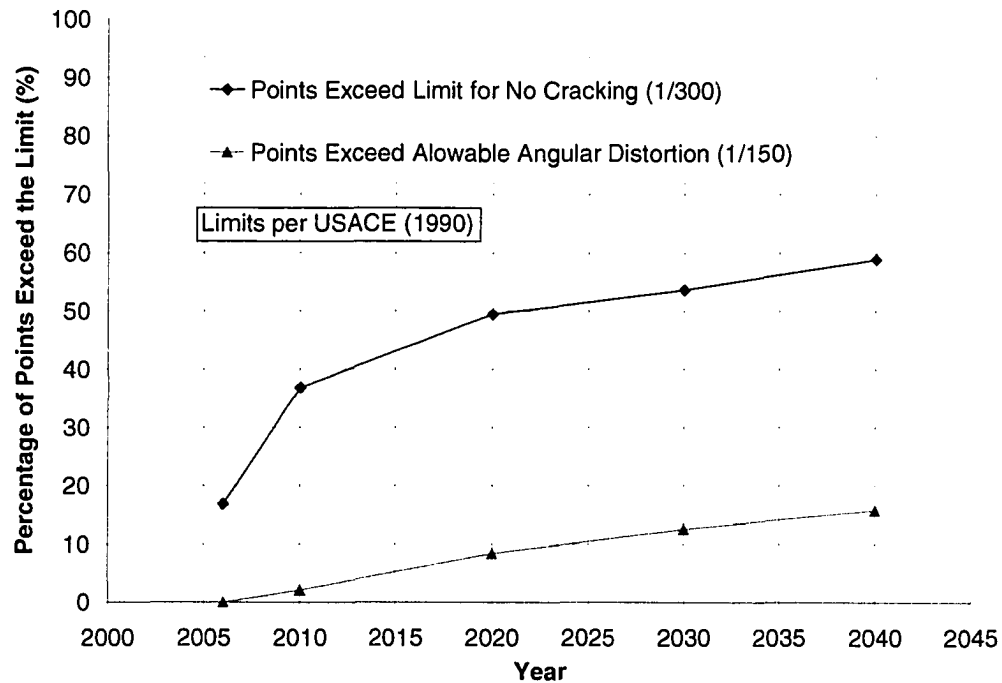
The Engineer Manual from US Army Corps of Engineers (USACE, 1990) indicated that the critical limit of angular distortion for steel frame structures, such as the TRACON building, with no cracking is 0.33% (1/300). It was also indicated that the maximum allowable angular distortion is 0.66% (1/150) for this type of structure. Figure 7-27 shows the number of data points expressed as a percentage of the whole that exceed the limits of 0.33 and 0.66%. This figure shows that the percentage of points exceeding the limits will continue to increase throughout the design life of the building. Figure 7-27 indicates that about 17% of the data points have already exceeded the limit for no cracking in 2006. This is consistent with observations made within the building.



**Figure 7-25 Measured Angular Distortion Between Adjacent Piers in 2006 vs. Predicted Maximum Total Pier Heave**



**Figure 7-26 Predicted Angular Distortion Between Adjacent Piers in 2040 vs. Predicted Maximum Total Pier Heave**



**Figure 7-27 Points Exceed Limits of Angular Distortion as a Function of Time**

## 7.6 Discussion

Current design procedures generally consider the maximum amount of predicted heave that will ultimately occur at a site. At sites with highly expansive soils, when large amounts of heave are predicted, it often becomes impractical to design a foundation system for the ultimate amount of heave. Large amounts of heave generally are associated with large depths of potential heave. Over the design life of a structure, those large depths may not realize the full amount of the expansion potential. Consequently, design of foundations for buildings on expansive soils must consider the timewise pattern of migration of water within subsoils and the associated heave that such wetting will produce over time.

The timewise variation of slab and pier heave for the TRACON building was analyzed by extrapolation of measured data using calculated values of predicted maximum total heave along with curve fitting of the measured data to a hyperbolic equation. It was also analyzed by computer modeling of the migration of subsoil water and predicting heave as the water content changed. It was shown that by the year 2040, the design life of the TRACON building, the slabs and piers are expected to heave by about 50 to 60% of the predicted maximum heave.

It is often, not possible to gather measured heave data over a long period of time. It was shown that, by taking into account the calculated value of maximum heave along with the hyperbolic equation, accurate results could be obtained with less than one year's data.

Nevertheless, predicting the timewise variation of heave by modeling of the subsoil water migration is believed to be the more accurate method. It allows for variations in soil profiles, different sources of water, and climate conditions to be taken into account. It was shown that heave will not precisely follow a smooth hyperbolic function over all time. Furthermore, it was shown that the effect of irrigation on the surface will have a large effect.

## **CHAPTER 8**

### **DESIGN PRINCIPLES FOR FOUNDATIONS ON EXPANSIVE SOILS**

#### **8.1 General**

The design of foundations for light structures on expansive soils is perhaps one of the most challenging problems facing foundation engineers. Foundations on expansive soils will cost more than foundations on ordinary soils, and most likely the site investigation and foundation design will cost more as well. Owners of structures demand that the foundations be capable of supporting the structure within tolerable movement limits, and at the same time they are reluctant to spend more than they are accustomed to for ordinary soil sites.

The characterization of expansive soil can be conducted on the basis of the Expansion Potential, EP, which is defined on the basis of percent swell exhibited in a consolidation-swell test and the swelling pressure of the soil (Nelson, et al., 2007a). For sites having a low expansion potential, EP, the most common method for foundation design is the use of a spread footing system. However, for sites with moderate to very high EP a variety of foundation systems including pier and grade beam foundation, helical piers, post-tensioned slabs-on-grade, and overexcavation and replacement have been proposed and used.

Current design methodologies consider the amount of predicted maximum heave that will ultimately occur at a site. Design of foundations for the extreme ultimate

conditions is not always practical and economical in engineering practice, especially for sites on highly expansive soils. Furthermore, the entire depth of potential heave might not be completely wetted at the end of the design life of a structure. A comprehensive foundation design approach for design of foundations is introduced in this research. This approach considers the rate of water migration in the vadose zone, and designs the foundations in accordance with the amount of expected heave that such wetting will produce at the lifetime of the structure.

An example foundation design was performed using the current and proposed design methodologies. Comparison of the required pier lengths obtained using the current and proposed design methodologies was made. Factors affecting the design of foundations, such as site grading, soil expansion potential, depth of overexcavation, and subsurface water sources, were discussed.

## **8.2 Current Design Methodology**

### **8.2.1 Maximum Free-Field Heave**

Pier heave is normalized against free-field heave. Therefore, the first step for design of foundations on expansive soils is to compute maximum free-field heave. Several methods have been proposed for predicting free-field heave. Two most common methods adopted by practicing engineers in the Front Range area of Colorado are (1) the soil suction method, and (2) the oedometer method.

A method for heave prediction using the soil suction method was developed by McKeen (1992). Heave prediction using the oedometer method was originally presented

by Fredlund (1983). It was presented by Nelson and Miller (1992) and modified in Nelson, et al. (1998 and 2006). The oedometer method was used for the design of pier foundations in this research. A detailed review of the heave prediction using the oedometer method was provided in Section 2.3.3.

## **8.2.2 Pier Design Using Maximum Heave**

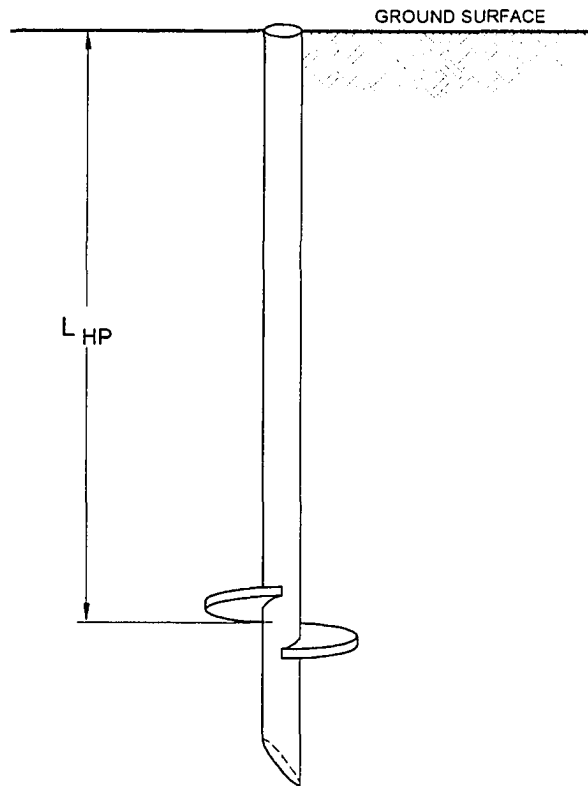
### **8.2.2.1 Concrete Pier Design**

Two approaches may be taken in the design of concrete piers. The rigid pier approach neglects potential strain in the pier itself and equates the uplift forces to applied load and skin friction. In this way, if the forces are balanced, the pier should not move. The rigid pier approach was discussed in Section 2.4.2.1. The elastic pier theory considers both the pier and soil to be elastic media, and considers that movement of the pier will occur. Design by this method would entail definition of the tolerable amount of pier movement. The elastic pier theory was discussed in Section 2.4.2.2.

### **8.2.2.2 Helical Pier Design**

A number of different configurations of helical piers are available commercially. Figure 8-1 shows a schematic of a helical pier to use for describing the heave prediction methodology. In predicting heave of a helical pier, it is assumed that the skin friction along the shaft is very low. This is considered a reasonable assumption, because as the pier is advanced into the soil, the material in the annulus space above the helix, in which the shaft is centered, is disturbed. It is not recompact and the swell potential in this area is reduced greatly. Thus, the heave of the top of the pier is limited by the amount by

which the helix can move. The helix will move by the amount that the soil at the depth,  $L_{HP}$ , will heave. This value can be determined from the calculations of free-field heave.



**Figure 8-1 Schematic of Helical Pier**

### **8.3 Proposed Design Methodology**

Instead of considering the amount of predicted heave that will ultimately occur, the proposed design methodology uses the amount of predicted heave that will occur at the end of design life of a structure. To estimate the predicted heave within the design life of the structure, the following design procedure is proposed:

- Step 1. Maximum free-field heave: The maximum free-field heave can be predicted using the oedometer methodologies presented in Nelson, et al.

(1998 and 2006). The heave calculation procedure was described in Section 2.3.3.

- Step 2. Water migration analysis: The rate of water migration in the vadose zone throughout the design life of the structure can be analyzed using a computer modeling program, such as VADOSE/W, or other seepage programs that take into account climate, evaporation/transportation, and surface water runoff conditions.
- Step 3. Change of heave with time: The change of heave with time throughout the design life of the structure can be calculated using the predicted maximum free-field heave from Step 1, the results of the water migration analysis from Step 2, and the relationship between water content and swell potential shown in Equation (5-1).
- Step 4. Foundation Design using the predicted heave for the design life of the structure: Design of foundations can be performed using the elastic design method presented in Nelson and Miller (1992). Nelson, et al. (2007b) somewhat modified the design charts from Nelson and Miller (1992) to further facilitate its use by the design engineer. The depth of wetting from Step 2 and the predicted heave from Step 3 at the end of design life of the structure are used in the design.

#### **8.4 Example Foundation Design**

For purposes of illustrating the current and proposed design procedures, a hypothetical subsoil profile has been defined. It consists of a site with 3 meters of native clay over claystone. The soil properties used in the heave calculations are summarized in Table 8-1.

**Table 8-1 Summary of Soil Parameters Used in the Heave Calculations**

| Soil Type   | Water Content<br>(%) | Total Density<br>(Mg/m <sup>3</sup> ) | Consolidation Swell Test <sup>(1)</sup> |                            |                                    |
|-------------|----------------------|---------------------------------------|-----------------------------------------|----------------------------|------------------------------------|
|             |                      |                                       | Percent Swell<br>(%)                    | Swelling Pressure<br>(kPa) | Expansion Potential <sup>(2)</sup> |
| Native Clay | 15.0                 | 1.84                                  | 3.0                                     | 335                        | 2.0                                |
| Claystone   | 10.0                 | 1.94                                  | 4.0                                     | 480                        | 3.2                                |

Notes: (1) Inundation Pressure = 48 kPa

(2) Refer to Nelson, et al. (2007a)

For simplicity, the example structure was assumed to have no basement and grade beam. The piers were assumed to be constructed starting from the ground surface. The piers will have a diameter of 25.4 cm. The minimum dead load on the piers will be 50 kN.

The maximum tolerable movement of the foundation was assumed to be 2.5 cm. It should be noted that this is the assumed total movement at that pier location. Experience shows that a differential movement between adjacent piers is usually half to one times of the predicted total heave. Therefore, the assumption of 2.5 cm of the total movement could result in a differential movement of 1.3 to 2.5 cm. The actual maximum tolerable differential movement depends on the type and configuration of the pier and grade beam foundation. Sometimes, an adjustable collar might be needed to be placed on the top of the pier if the predicted total movement is greater than the tolerable heave. The actual maximum tolerable differential movement should be discussed with a structural engineer.

## 8.4.1 Current Design Methodology

### 8.4.1.1 Maximum Free-Field Heave

The maximum free-field heave is predicted using the oedometer methodologies presented in Nelson, et al. (1998 and 2006), as discussed in Section 2.3.3. A step-by-step calculation procedure for computing the maximum free-field heave is provided as follows.

The swelling pressure for a constant volume oedometer test is estimated using Equation (2-11). Thus,

$$\begin{aligned}\sigma'_{cv} &= 48 + 0.6 \times (335 - 48) = 220 \text{ kPa for clay} \\ \sigma'_{cv} &= 48 + 0.6 \times (480 - 48) = 307 \text{ kPa for claystone}\end{aligned}$$

$C_H$  can be determined from Equation (2-12):

$$\begin{aligned}C_H &= 3\% / \log(220 / 48) = 0.045 \text{ for clay} \\ C_H &= 4\% / \log(307 / 48) = 0.050 \text{ for claystone}\end{aligned}$$

The depth of potential heave,  $z_p$ , is computed by equating the overburden pressure to the swelling pressure. Thus,

$$\begin{aligned}(1.84 \times 9.81 \times 3) + [1.94 \times 9.81 \times (z_p - 3)] &= 307 \text{ kPa} \\ z_p &= 16.3 \text{ m}\end{aligned}$$

In computing heave, the depth of potential heave is divided into several layers and the heave of each layer is computed. The total heave is the sum of heave over all layers. For this example, the soil was divided into 35 layers. Therefore, each layer is then (16.3 m / 35) or 0.47 m thick. The midpoint of the first layer is 0.24 meters below the ground surface. The effective stress at that depth is,

$$\sigma'_{vo} = 1.84 \times 9.81 \times 0.24 = 4.3 \text{ kPa}$$

From Equation (2-12), the heave of that layer is

$$\rho_1 = 0.045 \times 0.47 \times \log(220 / 4.3) = 0.036 \text{ m} = 3.6 \text{ cm}$$

This computation is then repeated for all layers and the increments of heave are summed. These computations lend themselves well to computation by simple spreadsheets. The maximum free-field heave is computed to be 32.0 cm.

### 8.4.1.2 Pier Design Using Maximum Heave

#### 8.4.1.2.1 Rigid Pier

The example will calculate the required length of a rigid straight shaft pier with no movement. In addition, the required reinforcement steel for the rigid pier will be computed. A step-by-step calculation procedure is provided as follows.

The required length of a rigid pier is calculated by equating the uplift forces shown in Figure 2-24 to the sum of the negative (anchorage) skin friction forces and the dead load.

The uplift skin friction is equal to,

$$f_u = \alpha_1 \sigma'_{cv}$$

where  $\alpha_1$  is a coefficient of uplift between the pier and the soil. The value of  $\alpha_1$  can reasonably be assumed to be between 0.10 and 0.25 (Nelson and Miller, 1992). A value of 0.2 was assumed here.

The uplift skin friction forces are,

$$F_u = f_u z_p \pi d$$

$$F_{u1} = (0.2 \times 220) \times 3 \times \pi \times (25.4 / 100) = 105 \text{ kN from clay}$$

$$F_{u2} = (0.2 \times 307) \times (16.3 - 3) \times \pi \times (25.4 / 100) = 651 \text{ kN from claystone}$$

$$\text{Total } F_u = F_{u1} + F_{u2} = 756 \text{ kN}$$

The negative (anchorage) skin friction can be calculated by

$$f_s = \alpha_s \sigma'_h$$

where  $\alpha_s$  is a coefficient of negative friction between the pier and the soil, and  $\sigma'_h$  is the lateral stress acting on the pier in the anchorage zone.

The value of  $\alpha_s$  should be similar to that of  $\alpha_1$ . Whereas that is most likely true in most soils, tests at Colorado State University have shown that it may be somewhat higher in the stiff and, sometimes sandy, claystone in Colorado. Therefore, a value of 0.25 will be used here.

The lateral pressure will be taken as being equal to the swelling pressure of the claystone. Thus,

$$\begin{aligned} F_s &= f_s (L - z_p) \pi d \\ &= (0.25 \times 307) (L - 16.3) \pi (25.4 / 100) \\ &= 61.2L - 998 \text{ kN} \end{aligned}$$

Summing all forces including the dead load,

$$61.2L - 998 + 50 = 756 \text{ kN}$$
$$L_{\text{reqd}} = 27.8 \text{ meters}$$

The maximum tensile force in the straight shaft pier generally occurs at a depth of approximately 0.6 of the pier length (Poulos and Davis, 1980), and can be computed using Equation (2-14) as follows:

$$P_{\text{max}} = P_{\text{dl@pmax}} - f_u z_p \pi d$$

$P_{\text{dl@pmax}}$  can be calculated using Figure 5.12 in Nelson and Miller (1992). From Figure 5.12, proportion of the applied dead load transferred to the base is approximately 1 kN. Assuming a linear load distribution with depth,  $P_{\text{dl@pmax}}$  is calculated to be 30 kN at a depth of 0.6 of the designed pier length of 27.8 m. Thus,

$$P_{\text{max}} = 30 - 756 = -726 \text{ kN}$$

The negative sign indicates that the force is tensile. If conventional Grade 60 steel reinforcement, with an allowable design stress of 40 ksi is used, the required area of steel is,

$$(A_s)_{\text{reqd}} = 726 \times (0.23 \text{ kips} / 1 \text{ kN}) / 40 = 4.17 \text{ in}^2 = 26.9 \text{ cm}^2$$

#### **8.4.1.2.2 Elastic Pier**

The example will calculate the required lengths of elastic straight shaft and belled piers with 2.5 cm of movement. In addition, the required reinforcement steel for the straight shaft and belled piers with 2.5 cm of movement will be computed. A step-by-step calculation procedure is provided below.

##### **8.4.1.2.2.1 Straight Shaft Pier**

Figure 2-25 will be used to compute the required length of an elastic straight shaft pier with 2.5 cm of movement. Because the claystone is stiff and has a high swelling pressure, the pier-soil interaction is considered to be uniform with depth. This corresponds to case A in Figure 2-25.

$$\rho_p / \rho = 2.5 / 32.0 = 0.08$$

Using case A in Figure 2-25,

$$L / z_p = 1.9$$

The depth of potential heave,  $z_p$ , was previously calculated to be 16.3 meters. Thus, the required pier length,  $L_{reqd}$ , is,

$$L_{reqd} = 1.9 \times 16.3 = 31.0 \text{ meters}$$

Figure 2-27 will be used to compute the maximum force in the pier, for use in computing the required amount of reinforcing steel. From Equation (2-15), the value of  $P_{FS}$  is calculated to be,

$$P_{FS} = -f_u L \pi d$$

$$P_{FS1} = -(0.2 \times 220) \times 3 \times \pi \times (25.4 / 100) = -105 \text{ kN from clay}$$

$$P_{FS2} = -(0.2 \times 307) \times (31.0 - 3) \times \pi \times (25.4 / 100) = -1,371 \text{ kN from claystone}$$

$$\text{Total } P_{FS} = P_{FS1} + P_{FS2} = -1,476 \text{ kN}$$

Again, the minus sign indicates that the force is tensile.

From Figure 2-27,

$$P_{max} / P_{FS} = 0.45 \text{ for } L / z_p = 1.90$$

$$P_{max} = 0.45 \times -1,476 = -664 \text{ kN}$$

For Grade 60 steel,

$$(A_s)_{reqd} = 664 \times (0.23 \text{ kips} / 1 \text{ kN}) / 40 = 3.82 \text{ in}^2 = 24.6 \text{ cm}^2$$

#### 8.4.1.2.2.2 Belled Pier

Figure 2-26 will be used to compute the required length of an elastic belled pier with 2.5 cm of movement. Again, the pier-soil interaction is considered to be uniform with depth. This corresponds to case A in Figure 2-26.

Using case A in Figure 2-26,

$$L / z_p = 1.6 \text{ for } \rho_p / \rho = 2.5 / 32.0 = 0.08$$

Thus, the required pier length,  $L_{reqd}$ , is,

$$L_{reqd} = 1.6 \times 16.3 = 26.1 \text{ meters}$$

Figure 2-28 will be used to compute the maximum force in the pier, for use in computing the required amount of reinforcing steel. From Equation (2-15), the value of  $P_{FS}$  is equal to  $-1,476 \text{ kN}$ , as calculated in Section 8.4.1.2.2.1.

From Figure 2-28,

$$\begin{aligned}P_{\max} / P_{FS} &= 0.65 \text{ for } L / z_p = 1.60 \\P_{\max} &= 0.65 \times -1,476 = -959 \text{ kN}\end{aligned}$$

For Grade 60 steel,

$$(As)_{\text{reqd}} = 959 \times (0.23 \text{ kips} / 1 \text{ kN}) / 40 = 5.51 \text{ in}^2 = 35.5 \text{ cm}^2$$

#### **8.4.1.2.3 Helical Pier**

The example will calculate the required length of a helical pier with 2.5 cm of movement. As discussed in Section 8.2.3, the helix will move by the amount that the soil at the depth,  $L_{HP}$ , will heave. This value can be determined from the calculations of free-field heave. A spreadsheet calculation of the free-field heave was conducted using the free-field heave procedure, as discussed in Section 8.4.1.1. From that spreadsheet, it is predicted that the soil at a depth of 10.7 meters will heave by the amount of 2.5 cm. Consequently, the required length of a helical pier with 2.5 cm of movement is 10.7 meters.

### **8.4.2 Proposed Design Methodology**

The proposed design methodology described in Section 8.3 was used to compute the required pier length for various design conditions. For comparison purposes, the same foundation design example in Section 8.4.1 was used.

#### **8.4.2.1 Maximum Free-Field Heave**

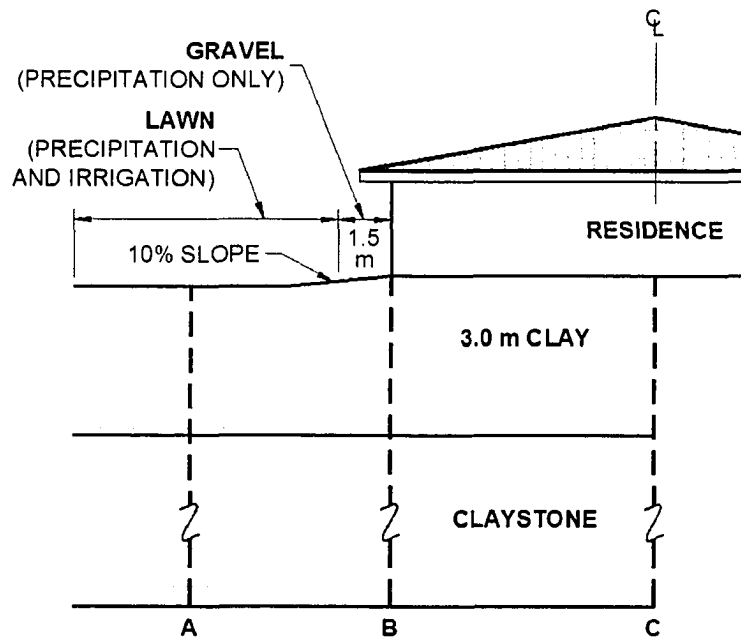
The maximum free-field heave can be predicted following the same calculation procedure described in Section 8.4.1.1. The maximum free-field heave of 32.0 cm was calculated for the same design example in that section.

#### **8.4.2.2 Water Migration Study**

Chao, et al. (2006) conducted water migration analyses for the example subsoil profile (3 meters of native clay over claystone) using the computer program VADOSE/W (GEO-SLOPE, 2006). Analyses were performed for a site with good drainage consisting of non-irrigated gravel within 1.5 meters of the building and a 10% slope away from the building for the first 3.0 meters, as shown in Figure 8-2. The total width of the building used in the analysis was 13.7 meters determined as the average of 16 residential houses for which data was available.

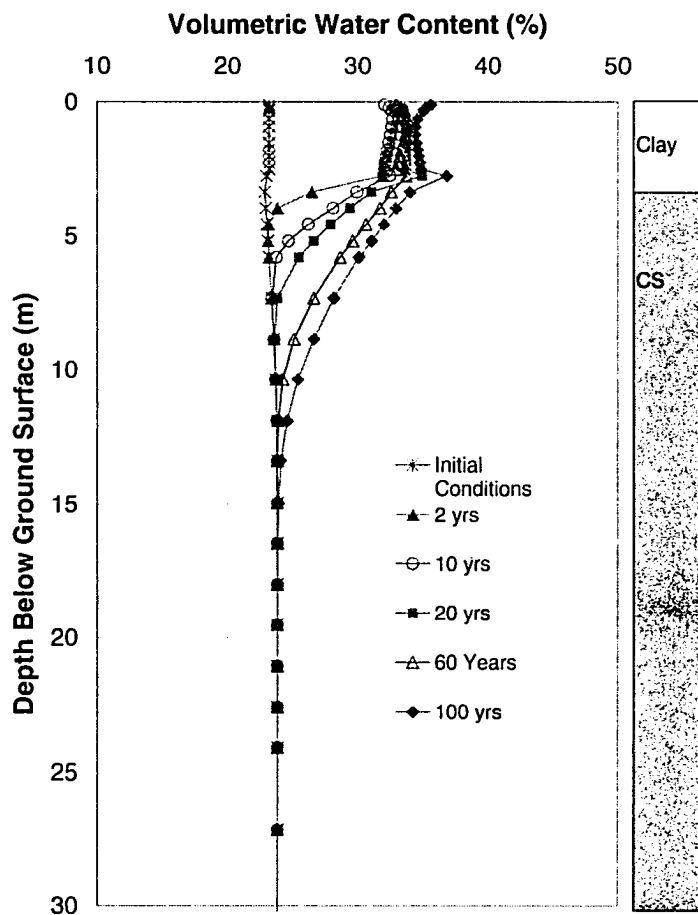
Consideration was given to the time period of analysis. The minimum design life for residential foundations as presented by the U.S. Department of Housing and Urban Development (2002) and Schmatz and Stierner (1995) is 100 years for foundations. Consequently, the VADOSE/W analysis was conducted considering 100 years of the design life of the structure.

The results of the water migration analyses at the locations of 6 meters outside of the building and the edge of the building are shown in Figures 8-3 and 8-4, respectively. The results shown in Figures 8-3 and 8-4 indicate that variation exists in the water content profiles considering the location of the profile relative to the structure. The results shown in Figure 8-4 were used for the proposed foundation design example.

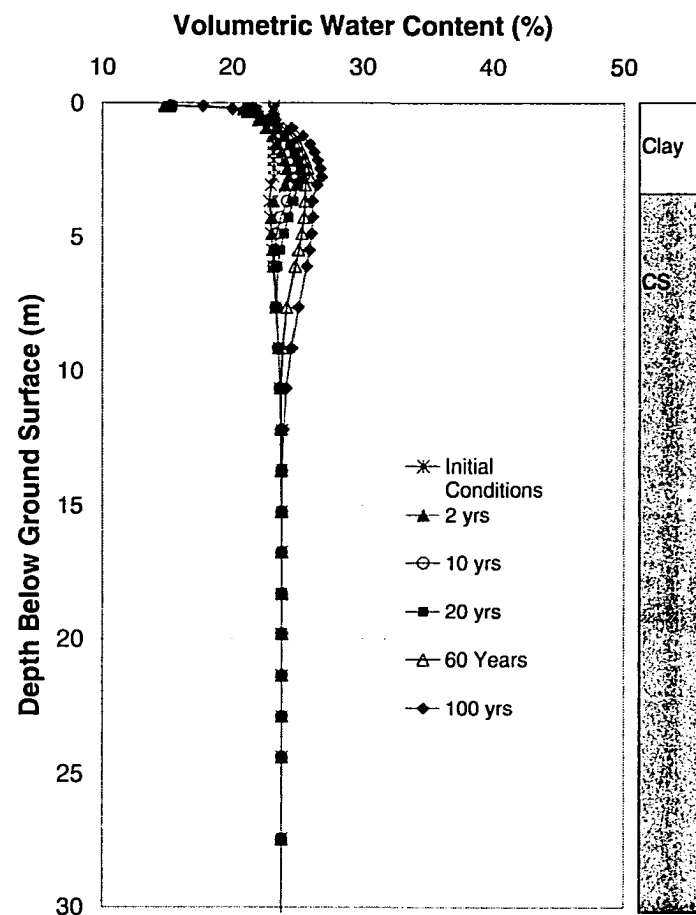


**Figure 8-2 Typical Cross Section Used for Modeling Good Drainage Conditions in VADOSE/W analyses**

Figure 8-4 indicates that water will continue to migrate down into the subsoil to a depth of approximately 12 meters in 100 years. This depth of wetting is shallower than the depth of potential heave of 16.3 meters computed in Section 8.4.1.1 for the example case. Furthermore, it should be noted that neither the clay nor claystone is completely saturated at the end of design life of the structure in 100 years. This means that the heave prediction method using the consolidation-swell test results and assuming the entire depth of potential heave will be wetted could be conservative for certain circumstances.



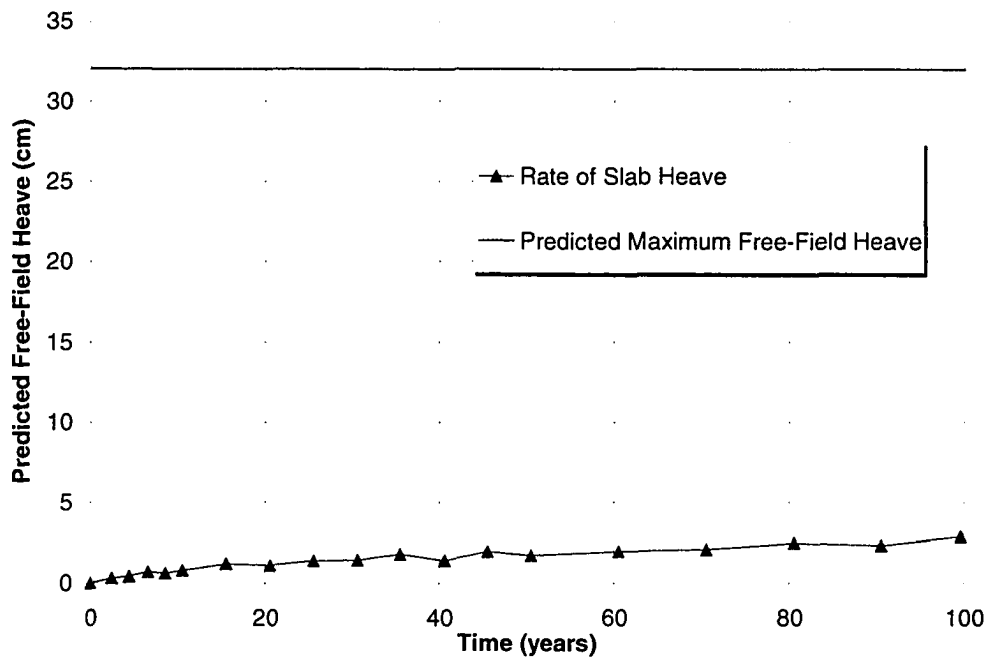
**Figure 8-3** Predicted Long-Term Water Content Profiles at 6 Meters Outside of the Building – Good Drainage Conditions



**Figure 8-4** Predicted Long-Term Water Content Profiles at the Edge of the Building – Good Drainage Conditions

### 8.4.2.3 Change of Heave with Time

Using the values of the volumetric water content at each time step shown in Figure 8-4, the relationship between water content and swell potential shown in Equation (5-1), and the predicted maximum free-field heave of 32.0 cm, the predicted slab heave as a function of time was calculated. The calculated rate of free-field heave for the example site at the location of the edge of the structure is presented in Figure 8-5. This figure shows that heaving of the slab will continue to occur and reaches only about 3 cm, which is approximately 9% of the predicted maximum total heave at the end of the design life of the structure.



**Figure 8-5 Predicted Free-Field Heave as a Function of Time for the Example Site with Good Drainage Conditions**

#### **8.4.2.4 Pier Design Using Predicted Heave for the Design Life of a Structure**

The required pier length for the design life of the structure was calculated by following the procedure, as described in Section 8.4.1.2, except for the following:

1. The predicted maximum depth of wetting in 100 years instead of the depth of potential heave was used.
2. The predicted heave in 100 years instead of the predicted maximum free-field heave was used.

The required lengths of elastic straight shaft and belled piers with 2.5 cm of movement were calculated. In addition, the required length of a helical pier with 2.5 cm of movement was computed. The required pier lengths of the straight shaft, belled, and helical piers with 2.5 cm of movement were calculated to be 5.8, 2.1, and 1.8 meters.

#### **8.4.3 Comparison of Required Pier Lengths Obtained Using the Current and Proposed Design Methodologies**

Table 8-2 summarizes the required pier lengths for the cases computed in Sections 8.4.1 and 8.4.2. For the current design method, Table 8-2 indicates that the required pier length for the straight shaft pier with no movement using the rigid pier method is shorter than that for the straight shaft pier with 2.5 cm of movement using the elastic pier method. Experience indicates that this outcome happens when the soil is highly expansive and the maximum allowable movement of the foundation is small. This outcome is due to the differences in the methods of analyses, and the assumptions made in each method. However, it points out that prediction methods are not exact, and even with the rigid pier design some movement could occur.

**Table 8-2 Summary of Required Pier Lengths for the Current and Proposed Design Methodologies**

| Case No. | Pier Type                                   | Design Method | Required Pier Length Using the Current Design Methodology (m) | Required Pier Length Using the Proposed Design Methodology (m) |
|----------|---------------------------------------------|---------------|---------------------------------------------------------------|----------------------------------------------------------------|
| 1        | Straight Shaft Pier with No Movement        | Rigid Pier    | 27.8                                                          | N/A                                                            |
| 2        | Straight Shaft Pier with 2.5 cm of Movement | Elastic Pier  | 31.0                                                          | 5.8                                                            |
| 3        | Belled Pier with 2.5 cm of Movement         | Elastic Pier  | 26.1                                                          | 2.1                                                            |
| 4        | Helical Pier with 2.5 cm of Movement        | --            | 10.7                                                          | 1.8                                                            |

Table 8-2 indicates that the pier length was reduced up to approximately 64% by using a belled pier instead of a straight shaft pier, if a tolerable movement of 2.5 cm is acceptable for the structure. Comparison of the required pier lengths computed using the current and proposed design methods indicates that by considering rate of heave for the site at the end of design life of the structure, the required pier length can be reduced by 81 to 92%. Among the cases analyzed, the helical pier is the most effective technique in terms of the required pier length for the structure on expansive soils.

## **8.5 Factors Affecting Design of Foundations on Expansive Soils**

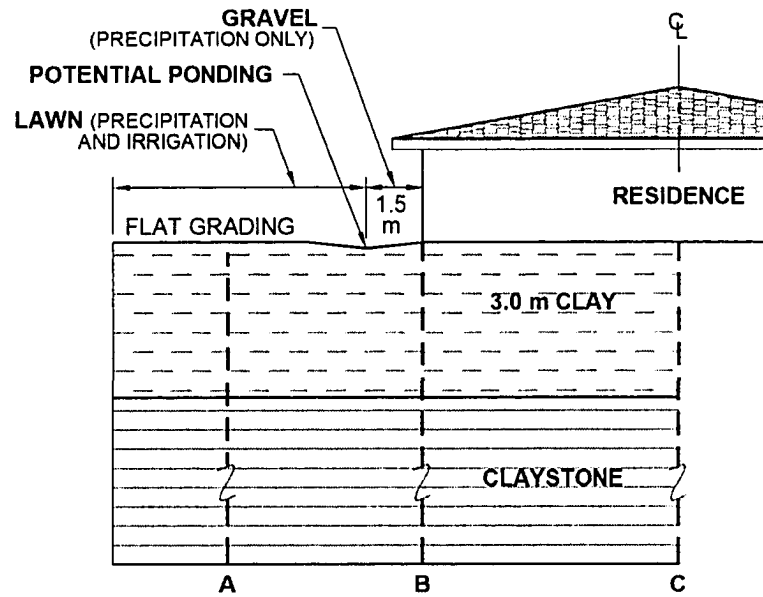
The design example discussed in the previous section was conducted assuming the site has good drainage conditions. Chao, et al. (2006) concluded that significant variation exists in the pattern of wetting and the consequential rate of heave if the effects of irrigation practices, poor drainage conditions, deep wetting from underground sources,

and other factors are considered. Factors affecting the design of foundations on expansive soils are discussed in the following sections.

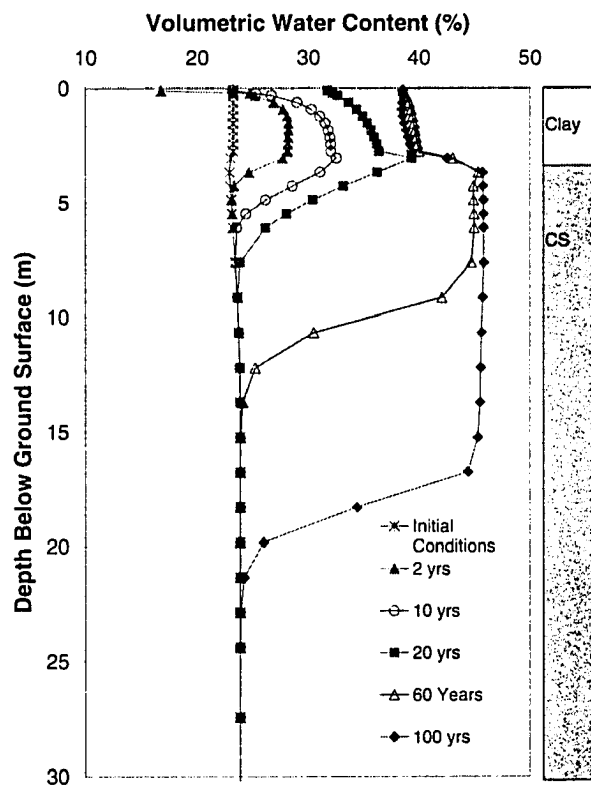
### **8.5.1 Site Grading**

The required pier lengths obtained using the proposed design methodology shown in Table 8-2 were calculated for a site with good drainage conditions. Chao, et al. (2006) conducted water migration analyses for the same site, but with poor drainage consisting of flat drainage, non-irrigated gravel within 1.5 meters of the building, and ponding at 1.5 meter away from the building, as shown in Figure 8-6. The long-term water content profiles for this poor drainage case at the edge of the building are shown in Figure 8-7. Comparison of Figure 8-7 with Figure 8-4 indicates that the flat drainage and ponding conditions create a significant increase of water content in soils. The water content profiles at 100 years result in nearly saturated soils from depths of 4 to 17 meters.

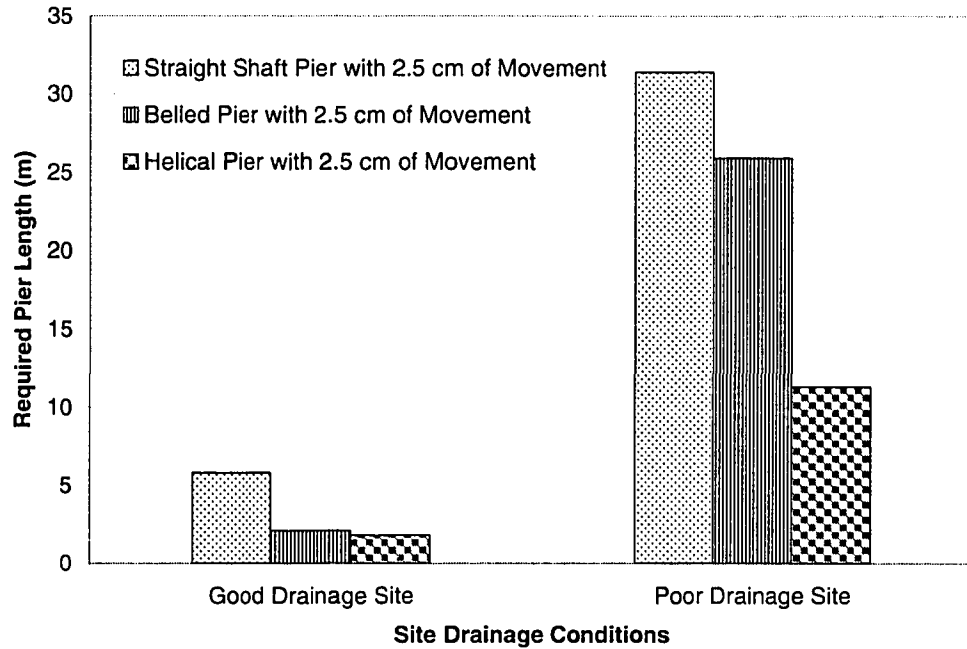
The required pier lengths for this condition were computed using the proposed pier design methodology. The same soil properties shown in Table 8-1 were used for the pier design. Figure 8-8 shows the results of the required pier lengths for this case. The required pier lengths computed for the example case with good drainage conditions are also shown in Figure 8-8 for comparison purposes. This figure indicates that the required pier length needs to be increased by up to 92% if the poor drainage conditions exist at the site.



**Figure 8-6** Typical Cross Section Used for Modeling Poor Drainage Conditions in VADOSE/W analyses



**Figure 8-7** Predicted Long-Term Water Content Profiles at the Edge of the Building – Poor Drainage Conditions



**Figure 8-8 Required Pier Lengths for the Site with Good or Poor Drainage Conditions**

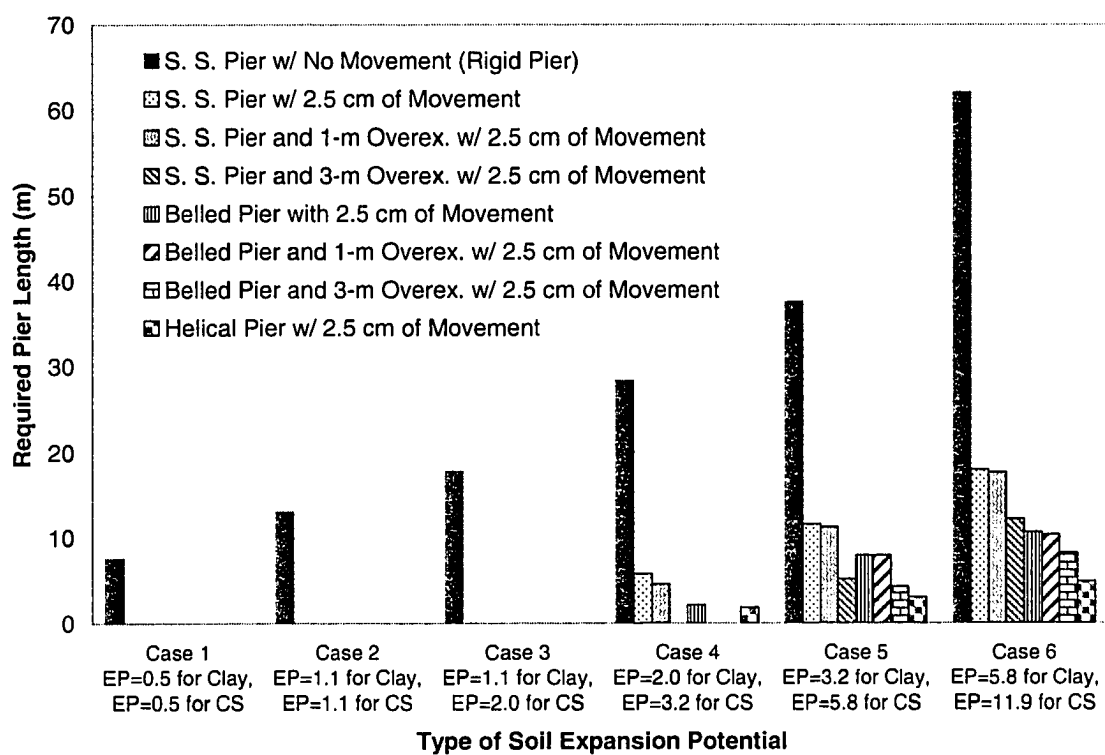
### 8.5.2 Soil Expansion Potential

Effect of soil expansion potential to the design of foundations was evaluated in this section. Values of soil expansion potential ranging from 0.5 to 11.9 shown in Table 8-3 were selected for the evaluation. The same soil profile as the example case was used in the calculations. The water content profiles for the site with good drainage conditions shown in Figure 8-4 were used. The results of the required pier lengths using the proposed design methodology are shown in Figure 8-9.

**Table 8-3 Summary of EP Values Used in the Heave Calculations**

| Case No. | Native Clay Consolidation Swell Test <sup>(1)</sup> |                         |                                    | Claystone Consolidation Swell Test <sup>(1)</sup> |                         |                                    |
|----------|-----------------------------------------------------|-------------------------|------------------------------------|---------------------------------------------------|-------------------------|------------------------------------|
|          | Percent Swell (%)                                   | Swelling Pressure (kPa) | Expansion Potential <sup>(2)</sup> | Percent Swell (%)                                 | Swelling Pressure (kPa) | Expansion Potential <sup>(2)</sup> |
| 1        | 1.5                                                 | 140                     | 0.5                                | 1.5                                               | 140                     | 0.5                                |
| 2        | 2.0                                                 | 240                     | 1.1                                | 2.0                                               | 240                     | 1.1                                |
| 3        | 2.0                                                 | 240                     | 1.1                                | 3.0                                               | 335                     | 2.0                                |
| 4        | 3.0                                                 | 335                     | 2.0                                | 4.0                                               | 480                     | 3.2                                |
| 5        | 4.0                                                 | 480                     | 3.2                                | 6.0                                               | 720                     | 5.8                                |
| 6        | 6.0                                                 | 720                     | 5.8                                | 10.0                                              | 1,200                   | 11.9                               |

Notes: (1) Inundation Pressure = 48 kPa  
(2) Refer to Nelson, et al. (2007a)



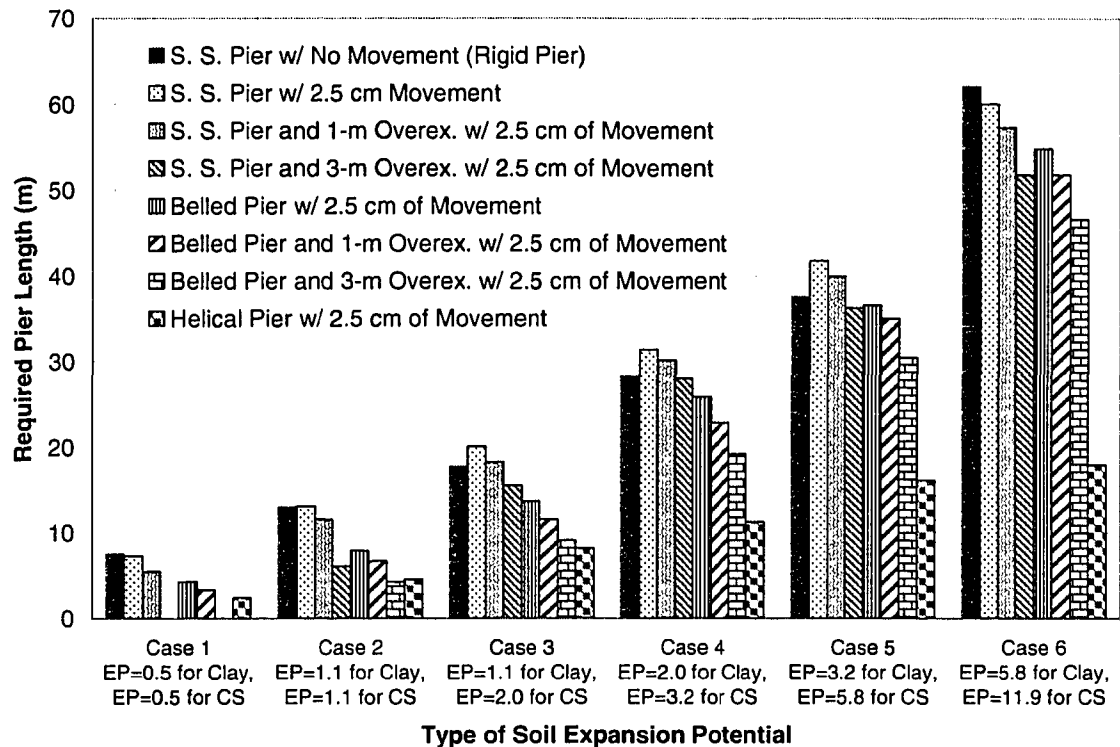
**Figure 8-9 Comparison of Required Pier Lengths for Sites with Various EP Values – Good Drainage Conditions**

The required pier lengths using the elastic pier method shown in Figure 8-9 were computed considering the amount of predicted heave at the end of design life of the structure. Compared to the required pier lengths assuming the entire depth of potential heave will be wetted (rigid pier) shown in Figure 8-9, the required pier lengths considering the predicted heave at the end of design life of the structure are reduced significantly. Thus, design of foundations on expansive soils for sites with high to very high expansion potential becomes practical and economical in engineering practice.

It is interesting to note in Figure 8-9 that by considering rate of heave for the site at the end of design life of the structure and assuming the maximum tolerable movement of 2.5 cm, no pier is needed for Cases 1, 2, and 3. For these cases, a spread footing foundation could be used for the structure.

For comparison purposes, the water content profiles for the site with poor drainage conditions shown in Figure 8-7 were used for the evaluation. The results of the required pier lengths for the poor drainage site are shown in Figure 8-10. This figure indicates that design of straight shaft and belled pier foundations for Cases 4, 5, and 6 is not practical and economical, even the rate of heave within the design life of the structure is considered.

Among the cases analyzed in Figure 8-10, the helical pier is the most effective technique in terms of the required pier length for the structure on expansive soils.



**Figure 8-10 Comparison of Required Pier Lengths for Sites with Various EP Values – Poor Drainage Conditions**

### 8.5.3 Overexcavation and Replacement Method

Overexcavation and replacement method is often used in areas of highly swelling soils and bedrock to reduce the amount of total heave and associated differential heave. However, experience indicates that sometimes the use of overexcavation and replacement method by itself can not ensure a structurally sound foundation. Therefore, it is necessary to form a system combining the overexcavation and replacement method and a pier and grade beam foundation for sites with highly expansive soils. The effect of overexcavation and replacement method in combination with a pier and grade beam foundation was evaluated. Both 1 meter and 3 meters of overexcavation are commonly recommended by practicing engineers in the Front Range area of Colorado. Therefore,

both depths of overexcavation were evaluated. The results of the evaluation are shown in Figures 8-9 and 8-10 for the site with good or poor drainage conditions, respectively.

Case 4 shown in Figure 8-9 and Case 1 shown in Figure 8-10 indicates that if the 3 meters of overexcavation and replacement method is adopted, no pier is needed within the design life of the structure if 2.5 cm of movement can be tolerated. For these cases, a spread footing foundation could be used for the structure.

Figures 8-9 and 8-10 indicate that by combining the 1 meter of overexcavation and replacement method and a pier and grade beam foundation, the required pier length can be reduced by 0 to 25%. If the 3 meters of the overexcavation and replacement method in combination with a pier and grade beam foundation is adopted, the required pier length can be further reduced by 11 to 53%. As expected, the effect of the overexcavation and replacement method decreases as the soil expansion potential increases.

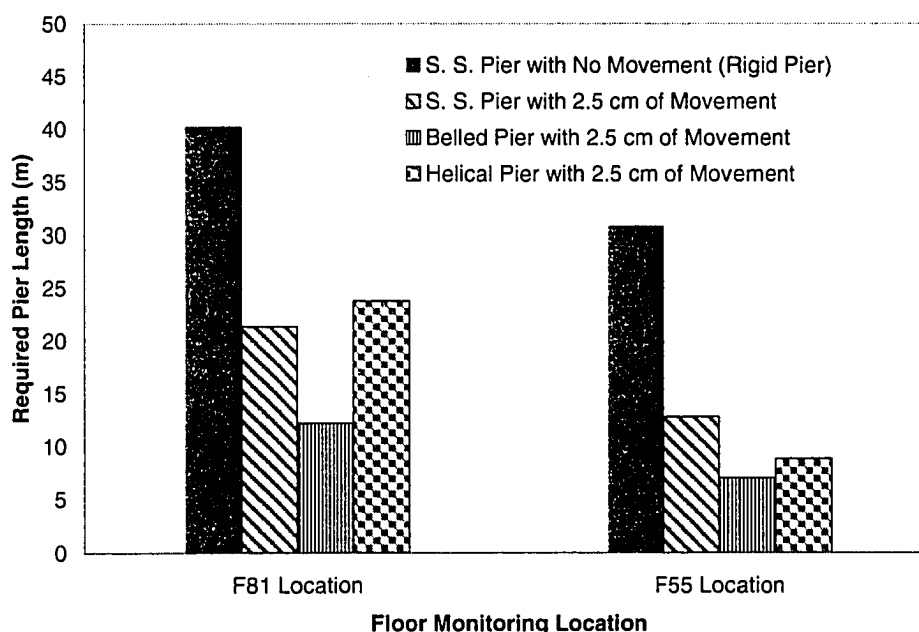
#### **8.5.4 Subsurface Water Sources**

Review of the results of the water migration analyses performed for the TRACON site indicates that the presence of a deep water bearing stratum is significant in the migration of the subsurface water and the associated rate of heave. Therefore, it is necessary to identify any deep water bearing stratum during the design process.

The presence of a deep water bearing stratum affecting the design of foundations was evaluated using the results of the water migration analyses in Chapter 6. The evaluation was performed at two locations of Flooring Monitoring Points F81 and F55. The locations of Points F81 and F55 are adjacent to the locations of subsurface nuclear

gauge tubes SMI-MA2A and MA3, respectively. A pier diameter of 61 cm and a design dead load of 1,400 kPa were used in the calculations.

The required pier length was calculated using the proposed design methodology. Figure 8-11 shows the results of the calculations. The constructed lengths of the straight shaft piers at the TRACON building range from 7.6 to 8.5 meters. Figure 8-11 indicates that the straight shaft pier should have been designed to be 30.8 to 40.2 meters, if no foundation movement is allowed. If a 2.5 cm of foundation movement is tolerable, the required straight shaft pier length can be reduced to be 12.8 to 21.3 meters. If a belled pier foundation with a tolerable movement of 2.5 cm had been selected, the required pier length could be further reduced to be 7.0 to 12.2 meters. The length of 7.0 meters is close to the constructed length at the site. However, the constructed piers are straight shaft piers, not belled piers.



**Figure 8-11 Required Pier Lengths at Locations of Floor Monitoring Points F81 and F55**

It is interesting to note that among the cases analyzed in the previous sections, the helical pier is the most effective technique in terms of the required pier length. However, that is not the case for the TRACON building. Figure 8-11 shows that the required lengths for the helical pier are longer than those for the belled pier at the both locations analyzed. The required length for the helical pier is even longer than that for the straight shaft pier at the location of Point F81. For the case of wetting occurs outward from a water bearing stratum within subsurface soils, the anchorage zone and the active zone shown in Figure 2-24 are reversed, compared to the case of wetting from the ground surface. As discussed in Section 8.2.2.2, the skin friction along the shaft is neglected in predicting heave of a helical pier. Therefore, the resistance in the anchorage zone is neglected in the helical pier design, whereas the resistance is still considered in the straight shaft or belled pier design. This provides a need for a longer helical pier than a straight shaft or belled pier for the case of water migrating from the subsurface.

## **8.6 Discussion**

The proposed design methodology provides a practical and economical approach to design foundations on expansive soils. This methodology considers the migration of the subsurface water that will occur and the associated heave that such wetting will produce during the design life of the structure. By considering this factor, the required pier length can be reduced significantly, compared to the current design methodology. It is also shown that the use of belled piers may be very effective in some cases.

Another means of mitigating the effects of heave is to overexcavate and replace the upper few meters of expansive soil with non-expansive soil. For sites with low to

moderate EP the overexcavation and replacement procedure may even negate the need for piers and spread footings may be used. It is essential that heave calculations be performed to verify if that can be done. For sites with highly expansive soils, the use of overexcavation and replacement method in combination with piers can be very effective in mitigation effects of expansive soils.

For sites with water migrates only from the ground surface, it was found that helical piers might be the most effective foundation system in terms of the required pier length for structures on expansive soils. However, if any subsurface water sources exist at a site, the use of helical piers may become less effective compared to the use of straight shaft or belled piers.

Significant variation exists in the pattern of wetting and the consequential change of heave with time if the effect of irrigation practices, poor drainage conditions, and deep wetting from underground sources are considered. Conditions such as poor drainage and/or excessive lawn irrigation can result in a condition where the entire depth of potential heave is wetted during the life of the structure. This results in the required pier length much longer than that for a site with good drainage conditions. A prudent design engineer should assume that the entire depth of potential heave is wetted during the design life of the structure, unless site specific analyses have been performed to accurately determine the water migration pattern and the resulting change of heave with time. Site specific analyses should consider an appropriate factor of safety, other water sources, and the potential that the owners will use the property in a manner that is not in accordance with good drainage criteria.

## **CHAPTER 9**

### **CONCLUSIONS AND RECOMMENDATIONS**

#### **9.1 Conclusions**

The conclusions and accomplishments resulting from this research are categorized in four areas as follows.

##### **9.1.1 Survey Benchmark**

- 9.1.1.1 For benchmarks installed at areas with expansive soils, swelling of the soils is the most significant factor that influences the instability of the benchmarks.
- 9.1.1.2 The design and installation procedure for the deep benchmark developed in this research provides a means of constructing stable benchmarks.

##### **9.1.2 Water Migration in the Vadose Zone**

- 9.1.2.1 The results of the VADOSE/W analyses demonstrated that rigorous water migration analyses can be performed to analyze the actual depth of wetting in subsoils.
- 9.1.2.2 The Standard Method of Test ASTM D5298-94 recommends a minimum equilibration time of 7 days when measuring suction using the filter paper method. The results of the equilibration time evaluation indicate that the time required for equilibration increases as

the water content of the sample increases. The required equilibration time can be longer than 7 days if the volumetric water content of the sample is greater than 20%.

- 9.1.2.3 A bilinear form of relationship between equilibration time and water content gives the best fit to the measured laboratory data. It was found that the intersection of the two points of the bilinear curve correspond to the ASTM recommendation of 7 days.
- 9.1.2.4 For the close form equation of the SWCC, the equation proposed by Fredlund and Xing (1994) provided the best fit when compared to 5 other previously proposed mathematical equations. However, the bilinear form of the SWCC for the expansive bedrock gives the best fit to the measured experimental data overall.
- 9.1.2.5 The results of the water migration study show that water will continue to migrate within the soils and bedrock through the entire design life period. Climate conditions will influence primarily the top 6 meters below the ground surface. Lawn irrigation can increase the values of water content in the subsoils by a factor of 2 or more.
- 9.1.2.6 The water content of the subsoils for a site with poor drainage conditions increases significantly compared to that for a site with good drainage conditions. Drainage conditions of the ground surface within the first 3 meters away from foundation walls are a primary factor influencing the rate of water migration.

### **9.1.3 Prediction of Change of Heave with Time**

- 9.1.3.1 Fitting observed data to a hyperbolic equation provided a means of extending observed heave into the future using monthly survey data. In conducting the curve fitting it was necessary to include the predicted maximum heave in the analysis. Using observed data collected over a period of even less than one year provided reasonable

accuracy over the maximum period of observation to date (6 years). The accuracy of this method increased if a longer period of observed data was used.

- 9.1.3.2 The relationship between water content and percent swell obtained using the Fredlund SWCC device is non-linear. The rate of swell decreases as the water content of the soil sample increases. A general form of the relationship between the normalized percent swell and water content was developed. This general form can be used to predict heave as a function of time.
- 9.1.3.3 Predicting heave as a function of time using the computer modeling of water migration is more accurate than curve fitting of observed data. This method allows for variations in soil profiles, different sources of water, and climate conditions to be taken into account. The predicted heave rates were influenced by fluctuations of climate conditions, redistribution of soil water within soil layers, and non-uniform heave resulting from the wetting of soil layers.

#### **9.1.4 Foundation Design Principles**

- 9.1.4.1 The maximum amount of predicted heave that will ultimately occur can be very large at highly expansive soil sites. Such large amounts of heave are associated with large depths of potential heave. Over the design life of a structure, those large depths may not become fully wetted so as to realize the full amount of the expansion potential.
- 9.1.4.2 The predicted heave at the end of design life of the TRACON building was calculated to be up to 60% of the predicted maximum heave. If site conditions were to change, such as the application of lawn irrigation, the predicted heave at the end of design life could reach the predicted maximum heave.

- 9.1.4.3 The proposed design methodology presented in this research provides a practical and economical approach to design foundations on expansive soils. This methodology considers the timewise pattern of migration of water within subsoils and the associated heave that such wetting will produce during the design life of the structure. Application of the design methodology indicates that the required pier length can be reduced significantly, as compared to the currently used design methodology.
- 9.1.4.4 The actual depth and pattern of wetting of subsoils that must be considered for design purposes will depend upon subsurface soil and geologic profiles, water sources, irrigation practices, surface grading, and other factors. Deep water bearing strata can have a significant influence on the water migration of the subsoils and must be considered in the design process.
- 9.1.4.5 Irrigation practices, poor drainage conditions, and deep wetting from underground sources influence significantly the pattern of subsurface water and the consequential change of heave with time. The entire depth of potential heave can become wetted if any of these factors exist. A prudent design concept will assume that the entire depth of potential heave can become wetted during the design life of the structure, unless site specific analyses have been performed to accurately determine the water migration pattern and the resulting change of heave with time. Site specific analyses should consider an appropriate factor of safety, other water sources, and the potential that the owners will use the property in a manner that is not in accordance with the design assumptions.
- 9.1.4.6 Overexcavating and replacing the upper few meters of expansive soil with non-expansive soil can be effective if properly designed. The use of the overexcavation and replacement method in combination with a

pier and grade beam foundation can be very effective even at sites with highly expansive soils.

## **9.2 Recommendations for Additional Research**

Other topics recommended to be further explored include the following:

- 9.2.1 It was shown that a bilinear form of the relationship between equilibration time and water content of the soil gives the best fit to the measured laboratory data. Also, the intersection of the two points of the bilinear curve corresponds to the ASTM D5298-94 recommendation of 7 days. It is recommended that this bilinear relationship be further investigated.
- 9.2.2 The bilinear relationship of the SWCC for expansive soils has been attributed to a transition between macropore (capillary) spaces and micropore (diffuse double layer) spaces (Miller, 1996). The physical significance of this apparent transition point may be attributed to the bi-level pore size distribution researched by Al-Mukhtar (1995), Alonso, et al. (1995); and Wan, et al. (1995). The significance of the approximately bilinear shape of the SWCC and the magnitude of the suction at the transition is an important area for future research.
- 9.2.3 The soil suction at zero water content is used as a boundary parameter in heave prediction using the soil suction method proposed by McKeen (1992). The soil suction at zero water content is reported by McKeen (1992) to be near 174,385 kPa (6.25 pF). Fredlund and Xing (1994) introduced a correction function,  $C(\psi)$ , in their SWCC fitting equation to force the SWCC to pass through a soil suction of  $10^6$  kPa (7.0 pF) at zero water content. However, it is not clear in either of these papers whether they are actually referring to oven-dry water content or actual “zero” water content. Because it is virtually impossible to reach actual “zero” water content without changing the mineralogy of the soil, it is assumed that their use of the term “zero” water content actually refers to oven-dry water content.

In the research reported herein, the average measured soil suction value for the claystone samples at oven-dry water content is approximately 245,000 kPa (6.40 pF). It is recommended that this limit of soil suction at oven-dry water content be further evaluated.

- 9.2.4 A second order polynomial equation was found to provide a very reasonable fit to the observed experimental data for the relationship between water content and swell potential. The remolded claystone of the Denver and Pierre Shale Formations were used in the experiment. More study is needed for this relationship for different kinds of expansive soils/bedrock.
- 9.2.5 The water migration analyses were conducted using the measured SWCCs and unsaturated permeability functions estimated from the SWCCs. The claystone samples were remolded for the experiment. It is important to test the samples in the laboratory simulating the stress state and physical state conditions of the field. Therefore, it is recommended that additional research be conducted to determine the influence of stress state conditions on the SWCC and the unsaturated permeability function for expansive soils. Furthermore, additional study is necessary to understand the SWCC of fissured and cracked expansive bedrock.
- 9.2.6 The pier heave calculations were conducted considering each pier acting independently as a single pier. Vesic (1967) indicated that the efficiency of a full pile group in homogeneous sand increased with pile spacing to a maximum at a spacing of three pile diameters and then decreased with a further increase in pile spacing. At the TRACON building, there are six groups of four piers each under the trusses. These truss piers are 76 cm in diameter and were constructed at 2.4-m on-center. The ratio of pier spacing to pier diameter was computed to be 3.2. Elevation surveying performed by ZBSI for these truss piers indicated that these truss piers are heaving less than those piers inside the TRACON building (ZBSI, 2006). It is recommended that additional research be performed on the heave of pier groups in expansive soils.

## CHAPTER 10

### REFERENCES

- Aitchison, G. D. (1961). "Relationships of Moisture Stress and Effective Stress Functions in Unsaturated Soils." *Pore Pressure and Suction in Soils*. Butterworths, London, 47 – 52.
- Aitchison, G. D. (1965). "Soil Properties, Shear Strength, and Consolidation." *Proceedings, 6<sup>th</sup> Int. Conf. Soil Mech. Found. Eng.*, Montreal, Canada, 3, 318 – 321.
- Aitchison, G. D. (1973). "The Quantitative Description of the Stress-Deformation Behavior of Expansive Soils – Preface to Set of Papers." *Proc. 3<sup>rd</sup> Int. Conf. Expansive Soils*, 2, 79 – 82.
- Al-Khafaf, S. and Hanks, R. J. (1974). "Evaluation of the Filter Paper Method for Estimating Soil Water Potential." *Soil Science*, 117(4), 194 – 199.
- Al-Mukhtar, M. (1995). "Macroscopic Behavior and Microstructural Properties of a Kaolinite Clay Under Controlled Mechanical and Hydraulic State." *Proceedings, 1<sup>st</sup> International Conference Unsaturated Soils*, Paris, I, 3 – 9.
- Alonso, E. E., Lloret, A., Gens, A., and Yang, D. Q. (1995). "Experimental Behaviour of Highly Expansive Double-Structure Clay." *Proceedings, 1<sup>st</sup> International Conference Unsaturated Soils*, Paris, I, 11 – 16.
- ASTM D2435-96. (1996). "Standard Test Method for One-Dimensional Consolidation Properties of Soils." *1996 Annual Book of ASTM Standards, Vol. 04.08, Soil and Rock, American Society for Testing and Materials*, West Conshohocken, PA.
- ASTM D4546-03. (2003). "Standard Test Methods for One-Dimensional Swell or Settlement Potential of Cohesive Soils." *2003 Annual Book of ASTM Standards, Vol. 04.08, Soil and Rock, American Society for Testing and Materials*, West Conshohocken, PA.
- ASTM D5298-94. (1994). "Standard Test Method for Measurement of Soil Potential (Suction) Using Filter Paper." *1996 Annual Book of ASTM Standards, Vol. 04.09, Soil and Rock, American Society for Testing and Materials*, West Conshohocken, PA.
- ASTM D6836-02. (2003). "Determination of the Soil Water Characteristic Curve." *2003 Annual Book of ASTM Standards, Vol. 04.09, Soil and Rock, American Society for Testing and Materials*, West Conshohocken, PA.
- Barbour, S. L. (1999). "The Nature and Role of the Soil-Water Characteristic Curve." *Short Course, Session 2, From Theory to the Practice of Unsaturated Soil Mechanics, Canadian Geotechnical Conference*, Regina, Saka., Canada: 2.1 – 2.42.

- Barden, L., Madedor, A. O., and Sides, G. R. (1969). "Volume Change Characteristics of Unsaturated Clay." *Soil Mech. Found. Div. ASCE* 95 (No. SM1, Proc. Paper 6338, Jan.), 33 – 52.
- Benvenega, M. M. (2005). "Pier-Soil Adhesion Factor for Drilled Shaft Piers in Expansive Soil." *Master of Science Thesis, Colorado State University*, Fort Collins, Colorado.
- Bishop, A. W. (1959). "The Principle of Effective Stress." *Technisk Ukeflad*, No. 39.
- Bishop, A. W. and Blight, G. E. (1963). "Some Aspects of Effective Stress in Saturated and Unsaturated Soils." *Geotechnique*, 13, 177 – 197.
- Blight, G. E. (1965). "A Study of Effective Stresses for Volume Change." *Moisture Equilibria and Moisture Changes in Soils Beneath Covered Areas*, edited by G. D. Aitchison, Butterworth & Co. Ltd., Sydney, Australia. 259 – 269.
- Bolt, G. H. (1976). "Soil Physics Terminology." *Bull. Int. Soc. Soil Sci.*, 49, 26 – 36.
- Bonner, J. P. (1998). "Comparison of Predicted Heave Using Oedometer Test Data to Actual Heave." *Master's Thesis, Colorado State University*, Fort Collins, Colorado.
- Brooks, R. H., and Corey, A. T. (1964). "Hydraulic Properties of Porous Media." *Hydrology Paper No. 3, Colorado State University*, Fort Collins, Colorado.
- Buckingham, E. (1907). "Studies of the Movement of Soil Moisture." *U.S.D.A. Bur. of Soils, Bulletin No. 38*.
- Bulut, R. and Wray, W. K. (2005). "Free Energy of Water-Suction-in Filter Papers." *Geotechnical Testing Journal*, 28(4), 1 – 10.
- Bulut, R., Lytton, R. L., and Wray, W. K. (2001). "Soil Suction Measurements by Filter Paper." *Proceedings of Geo-Institutes Shallow Foundation and Soil Properties Committee Sessions at the ASCE 2001 Civil Engineering Conference*, Houston, Texas. October 10-31, 243 – 261.
- Burdine, N. T. (1953). "Relative Permeability Calculations from Pore Size Distribution Data." *Journal of Petroleum Technology*, 5, 71 – 78.
- Burger, C. A. and Shackelford, C. D. (2001a). "Evaluating Dual Porosity of Pelletized Diatomaceous Earth Using Bimodal Soil-Water Characteristic Curve Functions." *Canadian Geotechnical Journal*, 38, 53 – 66.
- Burger, C. A. and Shackelford, C. D. (2001b). "Soil-Water Characteristic Curves and Dual Porosity of Sand-Diatomaceous Earth Mixtures." *Journal of Geotechnical and Geoenvironmental Engineering*, 127(9), 790 – 800.
- Cesare, J. A. (2005). "Geotechnical Investigation, Plock Residence, 7962 Orion Way, Arvada, CO." Centennial, Colorado. March 28.
- Chandler, R. J. and Gutierrez, C. I. (1986). "The Filter Paper Method of Suction Measurements." *Geotechnique*, 36, 265 – 268.
- Chao, K. C. (1995). "Hydraulic Properties and Heave Prediction for Expansive Soil." *Masters Thesis, Colorado State University*, Fort Collins, Colorado.

- Chao, K. C., Durkee, D. B., Miller, D. J., and Nelson, J. D. (1998). "Soil Water Characteristic Curve for Expansive Soil." *Thirteenth Southeast Asian Geotechnical Conference*, Taipei, Taiwan.
- Chao, K. C., Overton, D. D., and Nelson, J. D. (2006). "Design and Installation of Deep Benchmarks in Expansive Soil." *Journal of Surveying Engineering*, 132(3), 124 – 131.
- Chao, K. C., Overton, D. D., and Nelson, J. D. (2006). "The Effects of Site Conditions on the Predicted Time Rate of Heave." *Proceedings of the Fourth International Conference on Unsaturated Soils*. Carefree, Arizona. April, 2086 – 2097.
- Chen, F. H. (1965). "The Use of Piers to Prevent the Uplifting of Lightly Loaded Structures Founded on Expansive Soils." *Engineering Effects of Moisture Changes in Soils, Concluding Proceedings International Research and Engineering Conference on Expansive Clay Soils*, Texas A & M Press.
- Chen, F. H. (1988). "Foundations on Expansive Soils." Elsevier Science Publishing Company Inc., New York, NY.
- Chen-Northern, Inc. (1989). "Geotechnical Engineering Study, Federal Aviation Administration TRACON Facility, Phase I. Denver International Airport, Denver, Colorado." Denver, Colorado. July 26.
- Childs, E. C., and Collis-George, N. (1950). "The Permeability of Porous Materials." *Proc. Royal Soc.*, 201A, 392 – 405.
- Ching, R. K. H. and Fredlund, D. G. (1984). "A Small Saskatchewan Town Copes with Swelling Clay Problems." *Proc. 5<sup>th</sup> Int. Conf. Expansive Soils*, 306 – 310.
- Chu, T. and Mou, C. H. (1973). "Volume Change Characteristics of Expansive Soils Determined by Controlled Suction Tests." *Proceedings of the 3<sup>rd</sup> International Conference on Expansive Soils*, Haifa, Israel.
- Coleman, J. D. (1962). "Stress/Strain Relations for Partly Saturated Soil." *Correspondence. Geotechnique*, 12(4), 348 – 350.
- Colorado Association of Geotechnical Engineers (CAGE) (1996). "Guidelines for Slab Performance Risk Evaluation and Residential Basement Floor System Recommendations." (Denver Metropolitan Area).
- Colorado Division of Water Resources, Department of Natural Resources. (2002). "Ground Water Levels in the Denver Basin Bedrock Aquifers."
- Colorado State University. (2002). "Raw Data of Moisture Content Readings for the TRACON Building from June 2000 through March 2002." Fort Collins, Colorado.
- Colorado State University. (2003). "Moisture Migration in Expansive Soils at the TRACON Building at Denver International Airport, Phase II." Fort Collins, Colorado. February.
- Colorado State University. (2004). "Moisture Migration in Expansive Soils at the TRACON Building at Denver International Airport, Phase II. (January 2003 – June 2004)." Fort Collins, Colorado. July.

- Corey, A. T. (1994). "Mechanics of Immiscible Fluids in Porous Media." *Water Resources Publications*, Highlands Ranch, Colorado.
- Croney, D. and Coleman, J. D. (1948). "Soil Thermodynamics Applied to the Movement of Moisture in Road Foundations." *Proceedings, 7<sup>th</sup> Int. Cong. Appl. Mech.*, 3, 163 – 177.
- Croney, D. and Coleman, J. D. (1961). "Pore Pressure and Suction in Soils." *Proceedings, Conference on Pore Pressure and Suction in Soils*. Butterworths, London. 31 – 37.
- Croney, D., Coleman, J. D., and Black, W. P. W. (1958). "Movement and Distribution of Water in Soil in Relation to Highway Design and Performance." *National Research Council, Highway Research Board, Special Rep. 40*, Washington, D. C., 226 – 252.
- Croney, D., Coleman, J. D., and Lewis, W. A. (1950). "Calculation of the Moisture Distribution Beneath Structures." *Cov. Eng. L.*, 45, 524.
- Darcy, H. (1856). "Les fontaines publiques de la ville de Dijon." *V. Dalmont*, Paris, 647.
- Diewald, G. A. (2003). "A Modified Soil Suction Heave Prediction Protocol: With New Data from Denver Area Expansive Soil Sites." *M.S. Thesis, Univ. of Colorado at Denver*, Denver, Colorado.
- Drumright, E. E. and Nelson, J. D. (1984). "Three-Dimensional Stress Relaxation Behavior of Marine Sediments." *Strength Testing of Marine Sediments: Laboratory and In-Situ Measurements*, ASTM STP 883, R. C. Chaney and K. R. Demars, Eds., American Society for Testing and Materials, Philadelphia. 294 – 305.
- Durkee, D. B. (2000). "Active Zone and Edge Moisture Variation Distance in Expansive Soils." *Dissertation submitted in partial requirement for the Ph.D. Degree, Colorado State University*, Fort Collins, Colorado.
- Edgar, T. V., Nelson, J. D., and McWhorter, D. B. (1989). "Nonisothermal Consolidation in Unsaturated Soil." *The Journal of Geotechnical Engineering*, 115(10), 1351 – 1372.
- Edil, T. B. and Alanazy, A. S. (1992). "Lateral swelling Pressures." *Proceedings, 7<sup>th</sup> International Conference Expansive Soils*, Dallas, Texas, 227 – 232.
- EnecoTech Environmental Consultants. (1994). "Baseline Ground Water Investigation Report, Denver International Airport Ground Water Monitoring Program Contract No. 0-024B." Denver, Colorado. April 26.
- Fawcett, R. G. and Collis-George, N. (1967). "A Filter Paper Method for Determining the Moisture Characteristics of Soil." *Australian Journal of Experimental Agriculture and Animal Husbandry*, 7, 162 – 167.
- Feng, M., Gan, K-M, and Fredlund, D. G. (1998). "A Laboratory Study of Swelling Pressure Using Various Test Methods." *Proc. International Conference on Unsaturated Soils*, Beijing, China, International Academic Publishers, VI: 350-355. August 27-30.

- Fredlund, D. G. (1969). "Consolidometer Test Procedural Factors Affecting Swell Properties." *Proceedings, 2<sup>nd</sup> International Conference on Expansive Clay Soils*, Texas, 435 – 456.
- Fredlund, D. G. (1979a). "Appropriate Concepts and Technology for Unsaturated Soil." *2<sup>nd</sup> Canadian Geotech. Colloquium, Canadian Geotechnical Journal*, 16 (1), 121 – 139.
- Fredlund, D. G. (1979b). "Second Canadian Geotechnical Colloquium: Appropriate Concepts and Technology for Unsaturated Soils." *Canadian Geotechnical Journal*, 16, 121 – 139.
- Fredlund, D. G. (1983). "Prediction of Ground Movements in Swelling Clays." *31<sup>st</sup> Annual Soil Mechanics and Foundation Engineering Conference*. University of Minnesota, Minneapolis, February.
- Fredlund, D. G. (2000). "The 1999 R.M. Hardy Lecture: The Implementation of Unsaturated Soil Mechanics into Geotechnical Engineering." *Canadian Geotechnical Journal*, 37, 963 – 986.
- Fredlund, D. G. (2002). "Use of Soil-Water Characteristic Curves in the Implementation of Unsaturated Soil Mechanics." *Third International Conference on Unsaturated Soils*. Recife, Brazil.
- Fredlund, D. G. and Morgenstern, N. R. (1976). "Constitutive Relations for Volume Change in Unsaturated Soils." *Canadian Geotechnical Journal*, 13, 261 – 276.
- Fredlund, D. G. and Morgenstern, N. R. (1977). "Stress State Variables for Unsaturated Soils." *Journal Geotechnical Engineering Division, ASCE*, 5(103), 447 – 466.
- Fredlund, D. G. and Rahardjo, H. (1988). "State-of-Development in the Measurement of Soil Suction." *Proceeding, Int. Conf. Eng. Problems on Regional Soils*, Beijing, China, 582 – 588.
- Fredlund, D. G. and Rahardjo, H. (1993). "Soil Mechanics for Unsaturated Soil." John Wiley & Son, Inc., New York, NY.
- Fredlund, D. G. and Xing, A. (1994). "Equation for the Soil-Water Characteristic Curve." *Canadian Geotechnical Journal*, 31 (3), 521 – 532.
- Fredlund, D. G., Hasan, J. U., and Filson, H. (1980). "The Prediction of Total Heave." *Proceedings 4th International Conference on Expansive Soils*. Denver, Colorado, 1 – 11.
- Fredlund, D. G., Rahardjo, H., Leong, E. C., and Ng, Charles W.W. (2001). "Suggestions and Recommendations for the Interpretation of Soil-Water Characteristic Curves." *14<sup>th</sup> Southeast Asian Geotechnical Conference*, Hong Kong, China, 503 – 508.
- Fredlund, M. D., Fredlund, D. G., and Wilson, G. W. (2000). "An Equation to Represent Grain-Size Distribution." *Canadian Geotechnical Journal*, 37, 817 – 827.
- Freeze, R. A. and Cherry, J. A. (1979). "Groundwater." Prentice-Hall, Englewood Cliffs, NJ.

- Frost, J. D. and Park, J. Y. (2003). "A Critical Assessment of the Moist Tamping Technique." *ASTM Geotechnical Testing Journal*, 26(1), 57 – 70.
- Gardner, W. and Widtsoe, J. A. (1921). "The Movement of Soil Moisture." *Soil Sci.*, 11, 215 – 232.
- Gardner, W. R. (1958). "Some Steady State Solutions of the Unsaturated Moisture Flow Equation with Application of Evaporation from a Water Table." *Soil Science*, 85(4), 228 – 232.
- GEO-SLOPE International, Ltd. (2006). "GEO-STUDIO VADOSE/W Software Package for Seepage Analysis, Version 6.20." Calgary, Alberta, Canada.
- Geotechnical Consulting and Testing Systems, Inc. (GCTS). (2004). "Fredlund SWCC Device Operating Instructions." Tempe, Arizona.
- Greacen, E. L., Walker, G. R., and Cook, P. G. (1987). "Evaluation of the Filter Paper Method for Measuring Soil Water Suction." *International Conference on Measurement of Soil and Plant Water Status*. Logan, UT, 137 – 143.
- Hamblin, A. P. (1981). "Filter Paper Method for Routine Measurement of Field Water Potential." *Journal of Hydrology*, 53(3/4), 355 – 360.
- Hamer, W. J. and Wu, Yung-Chi. (1972). "Osmotic Coefficients Mean Activity Coefficients of Uni-Univalent Electrolytes in Water at 25°C." *Journal of Physics and Chemistry Reference Data*, 4(4), 1047 – 1099.
- Hilf, J. W. (1956). "An Investigation of Pore-Water Pressure in Compacted Cohesive Soils." *Ph.D. Dissertation, Tech. Memo No. 654, U. S. Department of the Interior, Bureau of Reclamation, Design and Construction Div.*, Denver, Colorado, 654.
- Houston, S. L., Houston, W. R., and Wagner, A. M. (1994). "Laboratory Filter Paper Measurements." *Geotechnical Testing Journal*, 17(2), 185 – 194.
- Jefferson County GIS Department. (1997). "Designated Dipping Bedrock Area. 1:62,500 scale." Jefferson County, Colorado.
- Jennings, J. E. B. (1961). "A Revised Effective Stress Law for Use in the Prediction of the Behavior of Unsaturated Soils." *Pore Pressure and Suction in Soils*. Butterworths, London, 26 – 30.
- Jennings, J. E. B. and Knight, K. (1957). "The Prediction of Total Heave From the Double Oedometer Test." *Proc. Symposium on Expansive Clays, S. African Inst. Civil Eng.*, Johannesburg. 7(9), 13 – 19.
- Jennings, J. E. B., Firtu, R. A., Ralph, T. K., and Nagar, N. (1973). "An Improved Method for Predicting Heave Using the Oedometer Test." *Proc. 3<sup>rd</sup> Int. Conf. Expansive Soils*, Haifa, Israel, 2, 149 – 154.
- Jury, W. A., Gardner, W. R., and Gardner, W. H. (1991). "Soil Physics, Fifth Edition." John Wiley & Sons, Inc., New York, NY.
- Kirkham, R. M. and Ladwig, L. R. (1979). "Coal Resources of the Denver and Cheyenne Basins, Colorado." *Colorado Geological Survey, Department of Natural Resources*, Denver, Colorado.

- Krahn, J. and Fredlund, D. G. (1972). "On Total Matric and Osmotic Suction." *J. Soil Sci.*, 114 (5), 339 – 348.
- Ladd, R. S. (1978). "Preparing Test Specimens Using Undercompaction." *ASTM Geotechnical Testing Journal*, 1(1), 16 – 23.
- Lambe, T. W. (1960). "A Mechanistic Picture of Shear Strength in Clay." *Proc. ASCE Res. Conf. Shear Strength of Cohesive Soils, University of Colorado, Boulder, Colorado*, 555 – 580.
- Lame, T. W., and Whitman, R. V. (1969). "Soil Mechanics." John Wiley and Sons, Inc., New York, NY.
- Leong, E. C. and Rahardjo, H. (1997). "Review of Soil-Water Characteristic Curve Equations." *Journal of Geotechnical and Geoenvironmental Engineering*, 123(12), 1106 – 1117.
- Leong, E. C., He, L., and Rahardjo, H. (2002). "Factors Affecting the Filter Paper Method for Total and Matric Suction Measurements." *Geotechnical Testing Journal*, 25(3), 322 – 333.
- Marinho, F. A. M. (1994). "Shrinkage Behavior of Some Plastic Soils." *Ph.D. Dissertation, University of London, Imperial College of Science, Technology and Medicine*.
- Matyas, E. L., and Radhakrishna, H. S. (1968). "Volume Change Characteristics of Partially Saturated Soils." *Geotechnique*, 18 (4), 432 – 448.
- McKee, C. R. and Bumb, A. C. (1984). "The Importance of Unsaturated Flow Parameters in Designing a Monitoring System for Hazardous Wastes and Environmental Emergencies." *Proc., Haz. Mat. Control Res. Inst. Nat. Conf.*, 55 – 58.
- McKeen, R. G. (1981). "Design of Airport Pavements for Expansive Soils." U.S. Dept. of Transportation, Federal Aviation Administration, Report No. DOT/FAA/RD-81/25.
- McKeen, R. G. (1985). "Validation of Procedures for Pavement Design on Expansive Soils." U.S. Dept. of Transportation, Federal Aviation Administration, Final Report.
- McKeen, R. G. (1992). "A Model for Predicting Expansive Soil Behavior." *Proceedings of 7<sup>th</sup> International Conference on Expansive Soils*, Dallas, Texas. 1, 1 – 6.
- McKeen, R. G. and Hamberg, D. J. (1981). "Characterization of Expansive Soils." *Trans. Res. Rec. 790, Trans. Res. Board*, 73 – 78.
- McKeen, R. G. and Nielson, J. P. (1978). "Characterization of Expansive Soils for Airport Pavement Design." U.S. Dept. of Transportation, Federal Aviation Administration, Report No. FAA-120-78-59.
- McQueen, I. S. and Miller, R. F. (1968). "Calibration and Evaluation of Wide Range Gravimetric Method for Measuring Soil Moisture Stress." *Soil Science*, 10, 521 – 527.
- McWhorter, D. B. and Nelson, J. D. (1979). "Unsaturated Flow Beneath Tailings Impoundments." *ASCE Journal of the Geotechnical Engineering Division*, 105(GT11), 1317 – 1334.

- McWhorter, D. B. and Sunada, D. K. (1977). "Ground-Water Hydrology and Hydraulics." Water Resources Publications, Highlands Ranch, Colorado.
- Mecham, B. (2005). "Beautiful Hardy Lawn." Northern Colorado Water Conservancy District. Berthoud, Colorado.
- MFG, Inc. (2003). "Technical Memorandum – Calibration of Consolidometers." Fort Collins, Colorado. October 29th.
- Miller, D. J. (1996). "Osmotic Suction as a Valid Stress State Variable in Unsaturated Soils." *Ph.D. Dissertation, Colorado State University*, Fort Collins, Colorado.
- Miller, D. J. and Nelson, J. D. (1993). "Osmotic Suction as a Valid Stress State Variable in Unsaturated Soil Mechanics." *Unsaturated Soils, Geotechnical Special Publication No. 39*, ASCE.
- Miller, D. J. and Nelson, J. D. (2006). "Osmotic Suction in Unsaturated Soil Mechanics." *Proceedings of the Fourth International Conference on Unsaturated Soils*. Carefree, Arizona. April, 1382 – 1393.
- Mualem, Y. (1976). "A New Model for Predicting the Hydraulic Conductivity of Unsaturated Porous Media." *Water Resources Research*, 12, 513 – 522.
- Mulilis, J. P., Chan, C. K., and Seed, H. B. (1975). "The Effects of Method of Sample Preparation on the Cyclic Stress Strain Behavior of Sands." EERC Report, 75 – 78.
- National Association of Home Builders (1997). "Housing Facts, Figures, & Trends." Washington, DC.
- Nelson, J. D. (1985). "Constitutive Relationships and Testing of Unsaturated Soils." *Proceedings of the Eleventh International Conference on Soil Mechanics and Foundation Engineering*, San Francisco.
- Nelson, J. D. and Miller, D. J. (1992). "Expansive Soils: Problems and Practice in Foundation and Pavement Engineering." John Wiley & Sons, Inc., New York, NY.
- Nelson, J. D., Chao, K. C., and Overton, D. D. (2007a). "Definition of Expansion Potential for Expansive Soils." *Proceedings of the Third Asian Conference on Unsaturated Soils*. Nanjing, China. April.
- Nelson, J. D., Chao, K. C., and Overton, D. D. (2007b). "Design of Pier Foundation on Expansive Soils." *Proceedings of the Third Asian Conference on Unsaturated Soils*. Nanjing, China. April.
- Nelson, J. D., Durkee, D. B., and Bonner, J. P. (1998). "Prediction of Free-Field Heave Using Oedometer Test Data." *Proceedings of the 46<sup>th</sup> Annual Geotechnical Engineering Conference*, University of Minnesota, St. Paul, Minnesota, February 20.
- Nelson, J. D., Overton, D. D., and Durkee, D. B. (2001). "Depth of Wetting and the Active Zone." *Expansive Clay Soils and Vegetative Influence on Shallow Foundations*, ASCE, Houston, Texas. 95 – 109.
- Nelson, J. D., Overton, D. O., and Chao, K. C. (2003). "Design of Foundations for Light Structures on Expansive Soils." *California Geotechnical Engineers Association Annual Conference*, Carmel, California.

- Nelson, J. D., Reichler, D. K., and Cumbers, J. M. (2006). "Parameters for Heave Prediction by Oedometer Tests." *Proceedings of the Fourth International Conference on Unsaturated Soils*. Carefree, Arizona. April, 951 – 961.
- Ng, Charles W. W. and Pang, Y. W. (2000). "Influence of Stress State on Soil-Water Characteristics and Slope Stability." *Journal of Geotechnical and Environmental Engineering*. 126(2), 157 – 166.
- Noorany, I. (1992). Discussion of "Stress Ratio on Collapse of Compacted Clayey Sand." by Lawton, E. C., Frigaszy, R. J., and Hardcastle, J. H., 1991, *Journal of Geotechnical Engineering*, 117(5), 714 – 730.
- Noorany, I. (2005). E-Mail Letter to Kuo-Chieh Chao Regarding "Moist Tamping Equipment." January 10<sup>th</sup>.
- O'Neil, M. W. (1988). "Special Topics in Foundations." *Proc. Geotech. Eng. Div. ASCE National Convention*, Nashville, TN, B. M. Das, ed. May, 1 – 22.
- Oliveira, O. M. and Fernando, F. A. M. (2006). "Evaluation of Filter Paper Calibration." *Proceedings of the Fourth International Conference on Unsaturated Soils*. Carefree, Arizona. 1845 – 1851.
- Overton, D. D., Chao, K. C., and Nelson, J. D. (2006). "Time Rate of Heave Prediction for Expansive Soils." *GeoCongress 2006*, ASCE, Atlanta. 1 – 6.
- Palit, R. M. (1953). "Determination of Swelling Pressure of Black Cotton Soil." *Proc. 3rd International Conference on Soil Mechanics and Foundation Engineering*. Switzerland, 170.
- Perera, Y. Y., Padilla, J. M., and Fredlund, D. G. (2004). "Determination of Soil-water Characteristic Curves Using the Fredlund SWCC Device." *Tailings and Mine Waste Management Short Course*, Vail, Colorado. October.
- Popescu, M. (1980). "Behavior of Expansive Soils with a Crumb Structure." *Proceedings of the 4<sup>th</sup> International Conference on Expansive Soils*, Denver, Colorado, 158 – 171.
- Porter, A. and Nelson, J. D. (1980). "Strain Controlled Testing of Expansive Soils." *Proc. of 4th Int'l Conference on Expansive Soils, ASCE and Int'l. Soc. Soil Mech. & Found. Engrg.*, June. 34 – 44.
- Poulos, H. G., and Davis, E. H. (1980). "Pile Foundation Analysis and Design." John Wiley & Sons, Inc., New York, NY.
- Reed, R. F. (1985). "Foundation Performance in an Expansive Clay." *Theory and Practice in Foundation Engineering, 38th Canadian Geotechnical Conference*, Edmonton, Alberta. September.
- Reichler, D. K. (1997). "Investigation of Variation in Swelling Pressure Values for an Expansive Soil." *Master of Science Thesis, Colorado State University*, Fort Collins, Colorado.
- Richards, B. G. (1965). "Measurement of the Free Energy of Soil Moisture by the Psychrometric Technique Using Thermistors." *Moisture Equilibria and Moisture*

- Changes in Soils Beneath Covered Areas, edited by G. D. Aitchison, Butterworth & Co. Ltd., Sydney, Australia. 39 – 46.
- Richards, B. G. (1966). “The Significance of Moisture Flow and Equilibria in Unsaturated Soils in Relation to the Design of Engineering Structures Built on Shallow Foundations in Australia.” *Symp. Permeability Capillarity, ASTM*, Atlantic City, NJ.
- Richards, L. A. (1928). “The Usefulness of Capillary Potential to Soil Moisture and Plant Investigators.” *J. Agric. Res.*, 37, 719 – 742.
- Richards, L. A. (1931). “Capillary Conduction of Liquids through Porous Media.” *Physics*, 1, 318 – 333.
- Salas, J. A. J. and Serratos, J. M. (1957). “Foundations on Swelling Clays.” *Proc. 4th International Conference on Soil Mechanics and Foundation Engineering*. London, England, 1:424-428.
- Schmalz, T. C. and Stierner, S. F. (1995). “Consideration of Design Life of Structures.” *J. of Performance of Constructed Facilities, ASCE*, 9(3). 206 – 219.
- Shepherd Miller, Inc. (1999). “Deep Benchmark Installation, TRACON and TOC Facilities, Denver International Airport, Denver, Colorado” Fort Collins, Colorado, November.
- Shepherd Miller, Inc. (2000). “Geotechnical Investigation, FAA TRACON Facility, Denver International Airport, Denver, Colorado.” Fort Collins, Colorado. January.
- Shepherd Miller, Inc. (2001). “Prediction of Time Rate of Heave at TRACON and TOC Buildings, Denver International Airport, Denver, Colorado” Fort Collins, Colorado. September.
- SoilVision Systems Ltd. (2006). “SoilVision Software, Version 4.0.” Saskatoon, Saskatchewan, Canada.
- Taylor, D. W. (1948). “Fundamentals of Soil Mechanics.” John Wiley & Sons. New York, NY.
- Thompson, R. W. (1990). “Westgold Meadows, Jefferson County, Colorado.” July 20. Denver, Colorado.
- Trimble, D. E., and Machette, M. N. (1979). “Geologic Map of the Greater Denver Area, Front Range Urban Corridor, Colorado.” *U.S. Geological Survey Miscellaneous Investigations Series*, Map 1-856-H, 1:100,000-scale. Reston, VA.
- Tweto, O. (1980). “Summary of Laramide Orogeny in Colorado.” Colorado Geology, Rocky Mountain Association of Geologists, Denver, Colorado.
- U.S. Army Corps of Engineers. (1990). “Engineering and Design – Settlement Analysis.” EM 1110-1-1904. Washington, DC.
- U.S. Department of Commerce, National Oceanic and Atmospheric Administration. (1978). Geodetic Bench Marks. NOAA Manual NOS NGS 1, September.
- U.S. Department of Housing and Urban Development (2002). “Durability by Design, A Guide for Residential Builders and Designers.” Washington, DC. May.

- University of Colorado at Boulder (CU) (2000). "As-Built Dead Loads for the TRACON Building." Boulder, Colorado.
- US Geological Survey Professional Paper 1257. (1987). "Bedrock Aquifers in the Denver Basin, Colorado – A Quantitative Water-Resources Appraisal." Reston, VA.
- van der Raadt, P., Fredlund, D. G., Clifton, A. W., Klassen, M. J., and Jubien (1987). "Soil Suction Measurement at Several Sites in Western Canada." *Transportation Res. Rec. 1137, Soil Mechanics Considerations in Arid and Semi-Arid Areas, Transportation Research Board*, Washington, D.C., 24 – 35.
- van Genuchten, M. T. (1980). "A Closed-Form Equation for Prediction the Hydraulic Conductivity of Unsaturated Soils." *Soil Sci. Soc. Am. J.* 44, 892 – 898.
- Vanapalli, S. K., Pufahl, D. E., and Fredlund, D. G. (1998). "The Effect of Stress State on the Soil-Water Characteristic Behavior of a Compacted Sandy-Clay Till." *Proc., 51<sup>st</sup> Can. Geotech. Conf.*, 81 – 86.
- Vesic, A. S. (1967). "A Study of Bearing Capacity of Deep Foundations." Georgia Inst. of Technology, Atlanta.
- Walker, S. C., Gallipoli, D., and Toll, D. G. (2005). "The Effect of Structure on the Water Retention of Soil Tested Using Different Methods of Suction Measurement." *Advanced Experimental Unsaturated Soil Mechanics*, 33 – 39.
- Wan, A. W. L., Gray, M. N., and Graham, J. (1995). "On the Relations of Suction Moisture Content and Soil Structure in Compacted Clays." *Proc. 1<sup>st</sup> Intern. Conf. Unsaturated Soils*, Paris, I, 215 – 222.
- West, M. W. (2000). "Deposition of Michael W. West, Village v. Beacon Hill, 99-CV-71, Jefferson County District Court, Colorado." November 8, 48.
- White, N. F., Duke, H. R., Sunada, D. K., and Corey, A. T. (1970). "Physics of Desaturation in Porous Materials." *Journal of the Irrigation and Drainage Division, ASCE*, 96, 165 – 191.
- Whitney, J. M. (2003). "Water Migration in Expansive Soils at the FAA TRACON Building at Denver International Airport." *Master of Science Thesis, Colorado State University*, Fort Collins, Colorado.
- Williams, J., Prebble, R. E., Williams, W. T., and Hignett, C. T. (1983). "The Influence of Texture, Structure, and Clay Mineralogy on the Soil Moisture Characteristics." *Australian J. of Soil Res.*, 21, 15 – 32.
- Zylstra Baker Surveying, Inc. (2001). "Total and Projected Heave, DIA TRACON and TOC Buildings, 5 Years, 10 Years, and 15 Years." Englewood, Colorado, April.
- Zylstra Baker Surveying, Inc. (2006). "Denver International Airport, Digital Level Monitoring, TRACON and Technical Operations Center Facilities." Englewood, Colorado. June.

**APPENDIX A**  
**SPECIMEN PREPARATION**  
**DATA WORKSHEETS**

**APPENDIX A.1**

**SPECIMENS FOR**  
**FILTER PAPER EQUILIBRATION TIME TEST**

## REMOLED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Kim Project  
**Soil Type:** Claystone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 12/28/2005

**Sample I.D.:** W10-1  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:**

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2"R2  |
| Wt. of Ring (g)                     | 40.51 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.376 |
| Volume of Sample (in <sup>3</sup> ) | 9.449 |

| <b>Existing Soil Water Content (from Microwave)</b> |        |
|-----------------------------------------------------|--------|
| Dish I.D.                                           | T2     |
| Wt. of Dish (g)                                     | 157.66 |
| Wt. of Wet Sample + Dish (g)                        | 214.90 |
| Wt. of Dry Sample + Dish (First Try) (g)            | 211.14 |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 211.12 |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.01   |
| Check of % Diff. of Wt. of Dry Sample + Dish        | O.K.   |
| Existing Gravimetric Water Content (%)              | 7.07   |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W10-1  |
| Wt. of Dish (g)                 | 34.61  |
| Wt. of Wet Sample + Dish (g)    | 382.45 |
| Wt. of Wet Sample (g)           | 347.84 |
| Wt. of Dry Sample (g)           | 324.87 |

| <b>Water Needed to be Added to Soil Sample</b>     |             |
|----------------------------------------------------|-------------|
| Max. Dry Density (from Proctor) (pcf)              | 100.5       |
| Opt. Water Content (from Proctor) (%)              | 22.30       |
| Target % of Max. Dry Density (%)                   | 100         |
| Target Dry Density (pcf)                           | 100.5       |
| Target Gravimetric Water Content (%)               | 9.60        |
| Change in Gravimetric Water Content (%)            | 2.53        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>8.22</b> |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T5          |
| Wt. of Dish (g)                                       | 161.31      |
| Wt. of Wet Sample + Dish (g)                          | 197.53      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 194.37      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 194.33      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.02        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | O.K.        |
| Target Gravimetric Water Content (%)                  | 9.69        |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 110.24        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>273.42</b> |

## REMOLED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Kim Project  
**Soil Type:** Claystone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 10/18/2005

**Sample I.D.:** W12-1  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2"R2  |
| Wt. of Ring (g)                     | 40.51 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.376 |
| Volume of Sample (in <sup>3</sup> ) | 9.449 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 100.5        |
| Opt. Water Content (from Proctor) (%)              | 22.30        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 100.5        |
| Target Gravimetric Water Content (%)               | 12.40        |
| Change in Gravimetric Water Content (%)            | 3.51         |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>10.18</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T3          |
| Wt. of Dish (g)                                     | 156.04      |
| Wt. of Wet Sample + Dish (g)                        | 190.33      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 187.55      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 187.53      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 8.89        |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T2          |
| Wt. of Dish (g)                                       | 157.69      |
| Wt. of Wet Sample + Dish (g)                          | 181.42      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 178.70      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 178.69      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  | 13.00       |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W12-1  |
| Wt. of Dish (g)                 | 34.66  |
| Wt. of Wet Sample + Dish (g)    | 350.52 |
| Wt. of Wet Sample (g)           | 315.86 |
| Wt. of Dry Sample (g)           | 290.07 |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 113.57        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>281.66</b> |

## REMOLED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Kim Project  
**Soil Type:** Claystone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 12/28/2005

**Sample I.D.:** W15-1  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2"R2  |
| Wt. of Ring (g)                     | 40.51 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.376 |
| Volume of Sample (in <sup>3</sup> ) | 9.449 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 100.5        |
| Opt. Water Content (from Proctor) (%)              | 22.30        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 100.5        |
| Target Gravimetric Water Content (%)               | 14.50        |
| Change in Gravimetric Water Content (%)            | 7.43         |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>24.23</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T2          |
| Wt. of Dish (g)                                     | 157.66      |
| Wt. of Wet Sample + Dish (g)                        | 214.90      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 211.14      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 211.12      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 7.07        |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T5          |
| Wt. of Dish (g)                                       | 161.32      |
| Wt. of Wet Sample + Dish (g)                          | 205.46      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 200.20      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 200.15      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.02        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  | 13.67       |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W15-1  |
| Wt. of Dish (g)                 | 33.81  |
| Wt. of Wet Sample + Dish (g)    | 382.97 |
| Wt. of Wet Sample (g)           | 349.16 |
| Wt. of Dry Sample (g)           | 326.10 |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 114.24        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>283.35</b> |

## REMOLED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Kim Project  
**Soil Type:** Claystone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 12/28/2005

**Sample I.D.:** W19-8  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2*R2  |
| Wt. of Ring (g)                     | 40.51 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.376 |
| Volume of Sample (in <sup>3</sup> ) | 9.449 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 100.5        |
| Opt. Water Content (from Proctor) (%)              | 22.30        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 100.5        |
| Target Gravimetric Water Content (%)               | 19.30        |
| Change in Gravimetric Water Content (%)            | 12.45        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>41.21</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T2          |
| Wt. of Dish (g)                                     | 157.68      |
| Wt. of Wet Sample + Dish (g)                        | 218.80      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 214.99      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 214.88      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.05        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 6.85        |

| <b>Check of Target Water Content (from Microwave)</b> |                    |
|-------------------------------------------------------|--------------------|
| Dish I.D.                                             | T5                 |
| Wt. of Dish (g)                                       | 161.31             |
| Wt. of Wet Sample + Dish (g)                          | 203.42             |
| Wt. of Dry Sample + Dish (First Try) (g)              | 197.03             |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 196.91             |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.06               |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b>        |
| Target Gravimetric Water Content (%)                  | 18.29              |
| Check of Target Gravi. Water Content                  | <b>Check Again</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W19-8  |
| Wt. of Dish (g)                 | 34.76  |
| Wt. of Wet Sample + Dish (g)    | 388.54 |
| Wt. of Wet Sample (g)           | 353.78 |
| Wt. of Dry Sample (g)           | 331.09 |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 118.88        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>294.84</b> |

## REMOLED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Kim Project  
**Soil Type:** Claystone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 12/28/2005

**Sample I.D.:** W25-8  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2"R2  |
| Wt. of Ring (g)                     | 40.51 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.376 |
| Volume of Sample (in <sup>3</sup> ) | 9.449 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 100.5        |
| Opt. Water Content (from Proctor) (%)              | 22.30        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 100.5        |
| Target Gravimetric Water Content (%)               | 24.80        |
| Change in Gravimetric Water Content (%)            | 17.73        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>58.56</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T2          |
| Wt. of Dish (g)                                     | 157.66      |
| Wt. of Wet Sample + Dish (g)                        | 214.90      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 211.14      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 211.12      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 7.07        |

| <b>Check of Target Water Content (from Microwave)</b> |                    |
|-------------------------------------------------------|--------------------|
| Dish I.D.                                             | T5                 |
| Wt. of Dish (g)                                       | 161.31             |
| Wt. of Wet Sample + Dish (g)                          | 196.75             |
| Wt. of Dry Sample + Dish (First Try) (g)              | 190.23             |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 190.12             |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.06               |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b>        |
| Target Gravimetric Water Content (%)                  | 23.01              |
| Check of Target Gravi. Water Content                  | <b>Check Again</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W25-8  |
| Wt. of Dish (g)                 | 33.73  |
| Wt. of Wet Sample + Dish (g)    | 387.41 |
| Wt. of Wet Sample (g)           | 353.68 |
| Wt. of Dry Sample (g)           | 330.32 |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 123.63        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>306.62</b> |

## REMOLED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Kim Project  
**Soil Type:** Claystone  
**Solution Type:** DI Water  
**Date of Preparation:** 5/27/2006

**Sample I.D.:** W28-1  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2"R2  |
| Wt. of Ring (g)                     | 40.51 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.376 |
| Volume of Sample (in <sup>3</sup> ) | 9.449 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 100.5        |
| Opt. Water Content (from Proctor) (%)              | 22.30        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 100.5        |
| Target Gravimetric Water Content (%)               | 28.00        |
| Change in Gravimetric Water Content (%)            | 22.67        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>78.55</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | F1          |
| Wt. of Dish (g)                                     | 156.03      |
| Wt. of Wet Sample + Dish (g)                        | 205.87      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 203.41      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 203.35      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.03        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 5.33        |

| <b>Check of Target Water Content (from Microwave)</b> |                    |
|-------------------------------------------------------|--------------------|
| Dish I.D.                                             | F1                 |
| Wt. of Dish (g)                                       | 156.06             |
| Wt. of Wet Sample + Dish (g)                          | 196.54             |
| Wt. of Dry Sample + Dish (First Try) (g)              | 187.05             |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 187.04             |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.01               |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b>        |
| Target Gravimetric Water Content (%)                  | 30.66              |
| Check of Target Gravi. Water Content                  | <b>Check Again</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W28-1  |
| Wt. of Dish (g)                 | 33.93  |
| Wt. of Wet Sample + Dish (g)    | 398.81 |
| Wt. of Wet Sample (g)           | 364.88 |
| Wt. of Dry Sample (g)           | 346.43 |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 131.32        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>325.69</b> |

**APPENDIX A.2**

**SPECIMENS FOR  
FILTER PAPER SWCC TEST**

**APPENDIX A.2.1**

**SPECIMENS FOR  
FILTER PAPER SWCC TEST  
DENVER FORMATION**

## REMOLDED SAMPLE PREPARATION DATA SHEET FOR FILTER PAPER TEST

**Sample Source:** Russell Project  
**Soil Type:** Claytone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 10/29/2005 16:54

**Sample I.D.:** D-1 and D-2  
**Geological Formation:** Denver Formation  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2" R2 |
| Wt. of Ring (g)                     | 40.11 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.406 |
| Volume of Sample (in <sup>3</sup> ) | 9.309 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 96.8         |
| Opt. Water Content (from Proctor) (%)              | 24.20        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 96.8         |
| Target Gravimetric Water Content (%)               | 21.20        |
| Change in Gravimetric Water Content (%)            | 11.49        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>37.34</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T2          |
| Wt. of Dish (g)                                     | 157.66      |
| Wt. of Wet Sample + Dish (g)                        | 205.45      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 201.23      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 201.22      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.00        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 9.71        |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T1          |
| Wt. of Dish (g)                                       | 188.91      |
| Wt. of Wet Sample + Dish (g)                          | 224.45      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 218.39      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 218.38      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.00        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  | 20.60       |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W19-1  |
| Wt. of Dish (g)                 | 34.49  |
| Wt. of Wet Sample + Dish (g)    | 391.04 |
| Wt. of Wet Sample (g)           | 356.55 |
| Wt. of Dry Sample (g)           | 324.99 |

| <b>Sample Needed to be Compacted into Ring</b>          |              |
|---------------------------------------------------------|--------------|
| Target Total Density (pcf)                              | 116.74       |
| Wt. of Sample Needed to be Compacted Into Two Rings (g) | 285.24       |
| Wt. of Sample Needed to be Compacted Into a Ring (g)    | 142.62       |
| Wt. of Sample Needed to be Compacted Into a Layer (g)   | <b>35.66</b> |

## REMOLDED SAMPLE PREPARATION DATA SHEET FOR FILTER PAPER TEST

**Sample Source:** Russell Project  
**Soil Type:** Claytone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 10/29/2005 17:15

**Sample I.D.:** D-3 and D-4  
**Geological Formation:** Denver Formation  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b> |                    |       |
|-----------------------------------|--------------------|-------|
| Ring I.D.                         |                    | 2"R2  |
| Wt. of Ring                       | (g)                | 40.11 |
| Ring Diameter                     | (in)               | 2.438 |
| Ring Height                       | (in)               | 2.400 |
| Spacer Height                     | (in)               | 0.406 |
| Volume of Sample                  | (in <sup>3</sup> ) | 9.309 |

| <b>Water Needed to be Added to Soil Sample</b>    |       |              |
|---------------------------------------------------|-------|--------------|
| Max. Dry Density<br>(from Proctor)                | (pcf) | 96.8         |
| Opt. Water Content<br>(from Proctor)              | (%)   | 24.20        |
| Target % of Max. Dry Density                      | (%)   | 100          |
| Target Dry Density                                | (pcf) | 96.8         |
| Target Gravimetric<br>Water Content               | (%)   | 21.20        |
| Change in Gravimetric<br>Water Content            | (%)   | 11.43        |
| Wt. of Water Needed to<br>be Added to Soil Sample | (g)   | <b>37.33</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |     |             |
|-----------------------------------------------------|-----|-------------|
| Dish I.D.                                           |     | T2          |
| Wt. of Dish                                         | (g) | 157.66      |
| Wt. of Wet Sample<br>+ Dish                         | (g) | 215.64      |
| Wt. of Dry Sample<br>+ Dish (First Try)             | (g) | 210.52      |
| Wt. of Dry Sample<br>+ Dish (Second Try)            | (g) | 210.48      |
| % Diff. of Wt. of Dry<br>Sample + Dish              | (%) | 0.02        |
| Check of % Diff. of Wt.<br>of Dry Sample + Dish     |     | <b>O.K.</b> |
| Existing Gravimetric<br>Water Content               | (%) | 9.77        |

| <b>Check of Target Water Content (from Microwave)</b> |     |                    |
|-------------------------------------------------------|-----|--------------------|
| Dish I.D.                                             |     | T1                 |
| Wt. of Dish                                           | (g) | 188.92             |
| Wt. of Wet Sample<br>+ Dish                           | (g) | 222.55             |
| Wt. of Dry Sample<br>+ Dish (First Try)               | (g) | 217.04             |
| Wt. of Dry Sample<br>+ Dish (Second Try)              | (g) | 216.98             |
| % Diff. of Wt. of Dry<br>Sample + Dish                | (%) | 0.03               |
| Check of % Diff. of Wt.<br>of Dry Sample + Dish       |     | <b>O.K.</b>        |
| Target Gravimetric<br>Water Content                   | (%) | 19.85              |
| Check of Target Gravi.<br>Water Content               |     | <b>Check Again</b> |

| <b>Existing Soil Conditions</b> |     |        |
|---------------------------------|-----|--------|
| Dish I.D.                       |     | W19-2  |
| Wt. of Dish                     | (g) | 34.75  |
| Wt. of Wet Sample<br>+ Dish     | (g) | 393.25 |
| Wt. of Wet Sample               | (g) | 358.50 |
| Wt. of Dry Sample               | (g) | 326.59 |

| <b>Sample Needed to be Compacted into Ring</b>         |       |              |
|--------------------------------------------------------|-------|--------------|
| Target Total Density                                   | (pcf) | 116.02       |
| Wt. of Sample Needed to be<br>Compacted Into Two Rings | (g)   | 283.48       |
| Wt. of Sample Needed to be<br>Compacted Into a Ring    | (g)   | 141.74       |
| Wt. of Sample Needed to be<br>Compacted Into a Layer   | (g)   | <b>35.43</b> |

## REMOLEDDED SAMPLE PREPARATION DATA SHEET FOR FILTER PAPER TEST

**Sample Source:** Russell Project  
**Soil Type:** Claytone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 10/29/2005 17:15

**Sample I.D.:** D-5 and D-6  
**Geological Formation:** Denver Formation  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2"R2  |
| Wt. of Ring (g)                     | 40.11 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.406 |
| Volume of Sample (in <sup>3</sup> ) | 9.309 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 96.8         |
| Opt. Water Content (from Proctor) (%)              | 24.20        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 96.8         |
| Target Gravimetric Water Content (%)               | 21.20        |
| Change in Gravimetric Water Content (%)            | 11.93        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>39.44</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T2          |
| Wt. of Dish (g)                                     | 157.67      |
| Wt. of Wet Sample + Dish (g)                        | 214.13      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 209.42      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 209.34      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.04        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 9.27        |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T1          |
| Wt. of Dish (g)                                       | 188.93      |
| Wt. of Wet Sample + Dish (g)                          | 235.61      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 227.57      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 227.42      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.07        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  | 21.28       |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W19-3  |
| Wt. of Dish (g)                 | 34.59  |
| Wt. of Wet Sample + Dish (g)    | 395.80 |
| Wt. of Wet Sample (g)           | 361.21 |
| Wt. of Dry Sample (g)           | 330.57 |

| <b>Sample Needed to be Compacted into Ring</b>          |              |
|---------------------------------------------------------|--------------|
| Target Total Density (pcf)                              | 117.40       |
| Wt. of Sample Needed to be Compacted Into Two Rings (g) | 286.85       |
| Wt. of Sample Needed to be Compacted Into a Ring (g)    | 143.43       |
| Wt. of Sample Needed to be Compacted Into a Layer (g)   | <b>35.86</b> |

**APPENDIX A.2.2**

**SPECIMENS FOR  
FILTER PAPER SWCC TEST  
DENVER FORMATION**

## REMOLDED SAMPLE PREPARATION DATA SHEET FOR FILTER PAPER TEST

**Sample Source:** Kim Project  
**Soil Type:** Claytone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 10/29/2005 15:33

**Sample I.D.:** PS-1 and PS-2  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2"R2  |
| Wt. of Ring (g)                     | 40.11 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.406 |
| Volume of Sample (in <sup>3</sup> ) | 9.309 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 100.5        |
| Opt. Water Content (from Proctor) (%)              | 22.30        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 100.5        |
| Target Gravimetric Water Content (%)               | 19.30        |
| Change in Gravimetric Water Content (%)            | 9.19         |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>29.95</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T2          |
| Wt. of Dish (g)                                     | 157.67      |
| Wt. of Wet Sample + Dish (g)                        | 204.29      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 200.07      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 200.01      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.03        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 10.11       |

| <b>Check of Target Water Content (from Microwave)</b> |                    |
|-------------------------------------------------------|--------------------|
| Dish I.D.                                             | T1                 |
| Wt. of Dish (g)                                       | 188.88             |
| Wt. of Wet Sample + Dish (g)                          | 230.50             |
| Wt. of Dry Sample + Dish (First Try) (g)              | 224.79             |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 224.78             |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.00               |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b>        |
| Target Gravimetric Water Content (%)                  | 15.93              |
| Check of Target Gravi. Water Content                  | <b>Check Again</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W19-6  |
| Wt. of Dish (g)                 | 33.75  |
| Wt. of Wet Sample + Dish (g)    | 392.58 |
| Wt. of Wet Sample (g)           | 358.83 |
| Wt. of Dry Sample (g)           | 325.89 |

| <b>Sample Needed to be Compacted into Ring</b>          |              |
|---------------------------------------------------------|--------------|
| Target Total Density (pcf)                              | 116.51       |
| Wt. of Sample Needed to be Compacted Into Two Rings (g) | 284.69       |
| Wt. of Sample Needed to be Compacted Into a Ring (g)    | 142.35       |
| Wt. of Sample Needed to be Compacted Into a Layer (g)   | <b>35.59</b> |

## REMOLDED SAMPLE PREPARATION DATA SHEET FOR FILTER PAPER TEST

**Sample Source:** Kim Project  
**Soil Type:** Claytone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 10/29/2005 15:57

**Sample I.D.:** PS-3 and PS-4  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:**

| <b>Retaining Ring Information</b> |                    |       |
|-----------------------------------|--------------------|-------|
| Ring I.D.                         |                    | 2"R2  |
| Wt. of Ring                       | (g)                | 40.11 |
| Ring Diameter                     | (in)               | 2.438 |
| Ring Height                       | (in)               | 2.400 |
| Spacer Height                     | (in)               | 0.406 |
| Volume of Sample                  | (in <sup>3</sup> ) | 9.309 |

| <b>Water Needed to be Added to Soil Sample</b> |       |              |
|------------------------------------------------|-------|--------------|
| Max. Dry Density                               | (pcf) | 100.5        |
| Opt. Water Content                             | (%)   | 22.30        |
| Target % of Max. Dry Density                   | (%)   | 100          |
| Target Dry Density                             | (pcf) | 100.5        |
| Target Gravimetric Water Content               | (%)   | 19.30        |
| Change in Gravimetric Water Content            | (%)   | 12.20        |
| Wt. of Water Needed to be Added to Soil Sample | (g)   | <b>40.50</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |     |             |
|-----------------------------------------------------|-----|-------------|
| Dish I.D.                                           |     | T2          |
| Wt. of Dish                                         | (g) | 157.67      |
| Wt. of Wet Sample + Dish                            | (g) | 210.14      |
| Wt. of Dry Sample + Dish (First Try)                | (g) | 206.74      |
| Wt. of Dry Sample + Dish (Second Try)               | (g) | 206.66      |
| % Diff. of Wt. of Dry Sample + Dish                 | (%) | 0.04        |
| Check of % Diff. of Wt. of Dry Sample + Dish        |     | <b>O.K.</b> |
| Existing Gravimetric Water Content                  | (%) | 7.10        |

| <b>Check of Target Water Content (from Microwave)</b> |     |             |
|-------------------------------------------------------|-----|-------------|
| Dish I.D.                                             |     | T1          |
| Wt. of Dish                                           | (g) | 188.90      |
| Wt. of Wet Sample + Dish                              | (g) | 236.15      |
| Wt. of Dry Sample + Dish (First Try)                  | (g) | 228.79      |
| Wt. of Dry Sample + Dish (Second Try)                 | (g) | 228.74      |
| % Diff. of Wt. of Dry Sample + Dish                   | (%) | 0.02        |
| Check of % Diff. of Wt. of Dry Sample + Dish          |     | <b>O.K.</b> |
| Target Gravimetric Water Content                      | (%) | 18.60       |
| Check of Target Gravi. Water Content                  |     | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |     |        |
|---------------------------------|-----|--------|
| Dish I.D.                       |     | W19-4  |
| Wt. of Dish                     | (g) | 34.89  |
| Wt. of Wet Sample + Dish        | (g) | 390.54 |
| Wt. of Wet Sample               | (g) | 355.65 |
| Wt. of Dry Sample               | (g) | 332.06 |

| <b>Sample Needed to be Compacted into Ring</b>      |       |              |
|-----------------------------------------------------|-------|--------------|
| Target Total Density                                | (pcf) | 119.19       |
| Wt. of Sample Needed to be Compacted Into Two Rings | (g)   | 291.24       |
| Wt. of Sample Needed to be Compacted Into a Ring    | (g)   | 145.62       |
| Wt. of Sample Needed to be Compacted Into a Layer   | (g)   | <b>36.40</b> |

## REMOLEDDED SAMPLE PREPARATION DATA SHEET FOR FILTER PAPER TEST

**Sample Source:** Kim Project  
**Soil Type:** Claytone  
**Solution Type:** DI, D-Aired Water  
**Date of Preparation:** 10/29/2005 16:15

**Sample I.D.:** PS-5 and PS-6  
**Geological Formation:** Pierre Shale  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |       |
|-------------------------------------|-------|
| Ring I.D.                           | 2" R2 |
| Wt. of Ring (g)                     | 40.11 |
| Ring Diameter (in)                  | 2.438 |
| Ring Height (in)                    | 2.400 |
| Spacer Height (in)                  | 0.406 |
| Volume of Sample (in <sup>3</sup> ) | 9.309 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 100.5        |
| Opt. Water Content (from Proctor) (%)              | 22.30        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 100.5        |
| Target Gravimetric Water Content (%)               | 19.30        |
| Change in Gravimetric Water Content (%)            | 10.15        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>32.52</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T2          |
| Wt. of Dish (g)                                     | 157.62      |
| Wt. of Wet Sample + Dish (g)                        | 207.60      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 203.43      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 203.41      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 9.15        |

| <b>Check of Target Water Content (from Microwave)</b> |                    |
|-------------------------------------------------------|--------------------|
| Dish I.D.                                             | T1                 |
| Wt. of Dish (g)                                       | 188.91             |
| Wt. of Wet Sample + Dish (g)                          | 214.31             |
| Wt. of Dry Sample + Dish (First Try) (g)              | 210.55             |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 210.51             |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.02               |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b>        |
| Target Gravimetric Water Content (%)                  | 17.59              |
| Check of Target Gravi. Water Content                  | <b>Check Again</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W19-5  |
| Wt. of Dish (g)                 | 35.20  |
| Wt. of Wet Sample + Dish (g)    | 384.91 |
| Wt. of Wet Sample (g)           | 349.71 |
| Wt. of Dry Sample (g)           | 320.39 |

| <b>Sample Needed to be Compacted into Ring</b>          |              |
|---------------------------------------------------------|--------------|
| Target Total Density (pcf)                              | 118.18       |
| Wt. of Sample Needed to be Compacted Into Two Rings (g) | 288.77       |
| Wt. of Sample Needed to be Compacted Into a Ring (g)    | 144.38       |
| Wt. of Sample Needed to be Compacted Into a Layer (g)   | <b>36.10</b> |

**APPENDIX A.3**

**SPECIMENS FOR  
FREDLUND SWCC TEST**

## REMOLDED SAMPLE PREPARATION DATA SHEET FOR FREDLUND SWCC TEST

**Sample Source:** Russell Project  
**Soil Type:** Claytone  
**Solution Type:** DI Water  
**Date of Preparation:** 6/30/2006

**Sample I.D.:** D-21  
**Geological Formation:** Denver Formation  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |        |
|-------------------------------------|--------|
| Ring I.D.                           | R2     |
| Wt. of Ring (g)                     | 264.57 |
| Ring Diameter (in)                  | 2.498  |
| Ring Height (in)                    | 1.200  |
| Spacer Height (in)                  | 0.207  |
| Volume of Sample (in <sup>3</sup> ) | 4.867  |

| <b>Water Needed to be Added to Soil Sample</b>     |               |
|----------------------------------------------------|---------------|
| Max. Dry Density (from Proctor) (pcf)              | 96.8          |
| Opt. Water Content (from Proctor) (%)              | 24.20         |
| Target % of Max. Dry Density (%)                   | 100           |
| Target Dry Density (pcf)                           | 96.8          |
| Target Gravimetric Water Content (%)               | 21.20         |
| Change in Gravimetric Water Content (%)            | 12.90         |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>121.56</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T5          |
| Wt. of Dish (g)                                     | 161.35      |
| Wt. of Wet Sample + Dish (g)                        | 212.08      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 208.21      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 208.19      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 8.30        |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T5          |
| Wt. of Dish (g)                                       | 161.28      |
| Wt. of Wet Sample + Dish (g)                          | 201.81      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 194.73      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 194.72      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  | 21.20       |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |         |
|---------------------------------|---------|
| Dish I.D.                       | W-1     |
| Wt. of Dish (g)                 | 161.72  |
| Wt. of Wet Sample + Dish (g)    | 1182.72 |
| Wt. of Wet Sample (g)           | 1021.00 |
| Wt. of Dry Sample (g)           | 942.71  |

| <b>Sample Needed to be Compacted into Ring</b>          |              |
|---------------------------------------------------------|--------------|
| Target Total Density (pcf)                              | 117.32       |
| Wt. of Sample Needed to be Compacted Into Two Rings (g) | 149.87       |
| Wt. of Sample Needed to be Compacted Into a Ring (g)    | 149.87       |
| Wt. of Sample Needed to be Compacted Into a Layer (g)   | <b>37.47</b> |

## REMOLED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Russell Project  
**Soil Type:** Claytone  
**Solution Type:** DI Water  
**Date of Preparation:** 5/1/2006

**Sample I.D.:** D-7B and D-8B  
**Geological Formation:** Denver Formation  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |  |        |
|-------------------------------------|--|--------|
| Ring I.D.                           |  | 2*R2   |
| Wt. of Ring (g)                     |  | 264.56 |
| Ring Diameter (in)                  |  | 2.498  |
| Ring Height (in)                    |  | 2.430  |
| Spacer Height (in)                  |  | 0.394  |
| Volume of Sample (in <sup>3</sup> ) |  | 9.978  |

| <b>Water Needed to be Added to Soil Sample</b>     |  |              |
|----------------------------------------------------|--|--------------|
| Max. Dry Density (from Proctor) (pcf)              |  | 96.8         |
| Opt. Water Content (from Proctor) (%)              |  | 24.20        |
| Target % of Max. Dry Density (%)                   |  | 100          |
| Target Dry Density (pcf)                           |  | 96.8         |
| Target Gravimetric Water Content (%)               |  | 12.00        |
| Change in Gravimetric Water Content (%)            |  | 4.43         |
| Wt. of Water Needed to be Added to Soil Sample (g) |  | <b>16.48</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |  |             |
|-----------------------------------------------------|--|-------------|
| Dish I.D.                                           |  | F1          |
| Wt. of Dish (g)                                     |  | 155.98      |
| Wt. of Wet Sample + Dish (g)                        |  | 208.69      |
| Wt. of Dry Sample + Dish (First Try) (g)            |  | 205.01      |
| Wt. of Dry Sample + Dish (Second Try) (g)           |  | 204.98      |
| % Diff. of Wt. of Dry Sample + Dish (%)             |  | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish        |  | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              |  | 7.57        |

| <b>Check of Target Water Content (from Microwave)</b> |  |             |
|-------------------------------------------------------|--|-------------|
| Dish I.D.                                             |  | F1          |
| Wt. of Dish (g)                                       |  | 156.01      |
| Wt. of Wet Sample + Dish (g)                          |  | 190.32      |
| Wt. of Dry Sample + Dish (First Try) (g)              |  | 186.63      |
| Wt. of Dry Sample + Dish (Second Try) (g)             |  | 186.62      |
| % Diff. of Wt. of Dry Sample + Dish (%)               |  | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish          |  | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  |  | 12.09       |
| Check of Target Gravi. Water Content                  |  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |  |        |
|---------------------------------|--|--------|
| Dish I.D.                       |  | W12-1  |
| Wt. of Dish (g)                 |  | 34.52  |
| Wt. of Wet Sample + Dish (g)    |  | 434.76 |
| Wt. of Wet Sample (g)           |  | 400.24 |
| Wt. of Dry Sample (g)           |  | 372.07 |

| <b>Sample Needed to be Compacted into Ring</b>          |  |               |
|---------------------------------------------------------|--|---------------|
| Target Total Density (pcf)                              |  | 108.50        |
| Wt. of Soil Sample Needed to be Compacted into Ring (g) |  | <b>284.19</b> |

## REMOLED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Russell Project  
**Soil Type:** Claytone  
**Solution Type:** DI Water  
**Date of Preparation:** 6/4/2006

**Sample I.D.:** D-9A, D-9B, and D-10  
**Geological Formation:** Denver Formation  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |        |
|-------------------------------------|--------|
| Ring I.D.                           | 3"R2   |
| Wt. of Ring (g)                     | 264.56 |
| Ring Diameter (in)                  | 2.498  |
| Ring Height (in)                    | 3.645  |
| Spacer Height (in)                  | 0.591  |
| Volume of Sample (in <sup>3</sup> ) | 14.967 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 96.8         |
| Opt. Water Content (from Proctor) (%)              | 24.20        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 96.8         |
| Target Gravimetric Water Content (%)               | 15.00        |
| Change in Gravimetric Water Content (%)            | 6.64         |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>38.11</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T5          |
| Wt. of Dish (g)                                     | 161.32      |
| Wt. of Wet Sample + Dish (g)                        | 220.69      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 216.12      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 216.11      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.00        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 8.36        |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T1          |
| Wt. of Dish (g)                                       | 188.92      |
| Wt. of Wet Sample + Dish (g)                          | 234.15      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 227.96      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 227.93      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.01        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  | 15.94       |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W15-1  |
| Wt. of Dish (g)                 | 64.63  |
| Wt. of Wet Sample + Dish (g)    | 686.49 |
| Wt. of Wet Sample (g)           | 621.86 |
| Wt. of Dry Sample (g)           | 573.89 |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 112.23        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>440.95</b> |

## REMODELED SAMPLE PREPARATION DATA SHEET

**Sample Source:** Russell Project  
**Soil Type:** Claytone  
**Solution Type:** DI Water  
**Date of Preparation:** 5/18/2006

**Sample I.D.:** D-11B and D-12B  
**Geological Formation:** Denver Formation  
**Room Temperature:** 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |        |
|-------------------------------------|--------|
| Ring I.D.                           | 2"R2   |
| Wt. of Ring (g)                     | 264.56 |
| Ring Diameter (in)                  | 2.498  |
| Ring Height (in)                    | 2.430  |
| Spacer Height (in)                  | 0.394  |
| Volume of Sample (in <sup>3</sup> ) | 9.978  |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 96.8         |
| Opt. Water Content (from Proctor) (%)              | 24.20        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 96.8         |
| Target Gravimetric Water Content (%)               | 20.00        |
| Change in Gravimetric Water Content (%)            | 11.96        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>43.06</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T5          |
| Wt. of Dish (g)                                     | 161.31      |
| Wt. of Wet Sample + Dish (g)                        | 210.61      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 207.02      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 206.94      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.04        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 8.04        |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T5          |
| Wt. of Dish (g)                                       | 161.31      |
| Wt. of Wet Sample + Dish (g)                          | 193.32      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 187.97      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 187.94      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.02        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  | 20.20       |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W20-1  |
| Wt. of Dish (g)                 | 33.99  |
| Wt. of Wet Sample + Dish (g)    | 423.07 |
| Wt. of Wet Sample (g)           | 389.08 |
| Wt. of Dry Sample (g)           | 360.12 |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 116.36        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>304.76</b> |

## REMOLDED SAMPLE PREPARATION DATA SHEET

**Sample Source:** \_\_\_\_\_ Russell Project  
**Soil Type:** \_\_\_\_\_ Claytone  
**Solution Type:** \_\_\_\_\_ DI Water  
**Date of Preparation:** \_\_\_\_\_

**Sample I.D.:** \_\_\_\_\_ D-13A, D-13B, and D-14  
**Geological Formation:** \_\_\_\_\_ Denver Formation  
**Room Temperature:** \_\_\_\_\_ 74 Degrees F.  
**Notes:** \_\_\_\_\_

| <b>Retaining Ring Information</b>   |        |
|-------------------------------------|--------|
| Ring I.D.                           | 3"R2   |
| Wt. of Ring (g)                     | 264.56 |
| Ring Diameter (in)                  | 2.498  |
| Ring Height (in)                    | 3.645  |
| Spacer Height (in)                  | 0.591  |
| Volume of Sample (in <sup>3</sup> ) | 14.967 |

| <b>Water Needed to be Added to Soil Sample</b>     |              |
|----------------------------------------------------|--------------|
| Max. Dry Density (from Proctor) (pcf)              | 96.8         |
| Opt. Water Content (from Proctor) (%)              | 24.20        |
| Target % of Max. Dry Density (%)                   | 100          |
| Target Dry Density (pcf)                           | 96.8         |
| Target Gravimetric Water Content (%)               | 25.00        |
| Change in Gravimetric Water Content (%)            | 16.94        |
| Wt. of Water Needed to be Added to Soil Sample (g) | <b>97.55</b> |

| <b>Existing Soil Water Content (from Microwave)</b> |             |
|-----------------------------------------------------|-------------|
| Dish I.D.                                           | T2          |
| Wt. of Dish (g)                                     | 157.64      |
| Wt. of Wet Sample + Dish (g)                        | 217.43      |
| Wt. of Dry Sample + Dish (First Try) (g)            | 213.02      |
| Wt. of Dry Sample + Dish (Second Try) (g)           | 212.97      |
| % Diff. of Wt. of Dry Sample + Dish (%)             | 0.02        |
| Check of % Diff. of Wt. of Dry Sample + Dish        | <b>O.K.</b> |
| Existing Gravimetric Water Content (%)              | 8.06        |

| <b>Check of Target Water Content (from Microwave)</b> |             |
|-------------------------------------------------------|-------------|
| Dish I.D.                                             | T2          |
| Wt. of Dish (g)                                       | 157.67      |
| Wt. of Wet Sample + Dish (g)                          | 192.94      |
| Wt. of Dry Sample + Dish (First Try) (g)              | 185.76      |
| Wt. of Dry Sample + Dish (Second Try) (g)             | 185.73      |
| % Diff. of Wt. of Dry Sample + Dish (%)               | 0.02        |
| Check of % Diff. of Wt. of Dry Sample + Dish          | <b>O.K.</b> |
| Target Gravimetric Water Content (%)                  | 25.69       |
| Check of Target Gravi. Water Content                  | <b>O.K.</b> |

| <b>Existing Soil Conditions</b> |        |
|---------------------------------|--------|
| Dish I.D.                       | W25-1  |
| Wt. of Dish (g)                 | 35.04  |
| Wt. of Wet Sample + Dish (g)    | 657.33 |
| Wt. of Wet Sample (g)           | 622.29 |
| Wt. of Dry Sample (g)           | 575.87 |

| <b>Sample Needed to be Compacted into Ring</b>          |               |
|---------------------------------------------------------|---------------|
| Target Total Density (pcf)                              | 121.67        |
| Wt. of Soil Sample Needed to be Compacted Into Ring (g) | <b>478.03</b> |

**APPENDIX B**

**FILTER PAPER CALIBRATION CURVE**  
**DATA WORKSHEETS**

| Table B-1 Osmotic Suction of Sodium Chloride Solution at 23 Degrees Celsius (3 Week Testing Period)       |                |                         |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
|-----------------------------------------------------------------------------------------------------------|----------------|-------------------------|------------------------------------------------|-----------------------------------------------|------------------------------------|-----------------------|-------------------------------|-------------------|--------------------------------------|---------------------------------------------------------|--------------------------------------------------------|--------------------|
| Date of Testing Began =                                                                                   |                | 6/2/2006                |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Date of Testing Completed =                                                                               |                | 6/24/2006               |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Salt Solution =                                                                                           |                | NaCl                    |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Mol. Weight of NaCl =                                                                                     |                | 58 g/mole               |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Total volume of the container =                                                                           |                | 400 ml                  |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Volume of distilled water in the container =                                                              |                | 250 ml                  |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Number of ions from one molecule of salt, v =                                                             |                | 2 for NaCl              |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Temperature, t =                                                                                          |                | 74.0 Degrees Fahrenheit |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
|                                                                                                           |                | 23.3 Degrees Celsius    |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Absolute temperature, T =                                                                                 |                | 296.5 K                 |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Universal gas constant, R =                                                                               |                | 8.31443 kPa/(mol.K)     |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| Osmotic Suction Calculations                                                                              |                |                         |                                                |                                               |                                    |                       | Top Filter Paper              |                   |                                      |                                                         |                                                        |                    |
| Sample I.D.                                                                                               | Container I.D. | Molality <sup>(1)</sup> | Weight of NaCl needed for 1000 ml of water (g) | Weight of NaCl needed for 250 ml of water (g) | Osmotic Coefficient <sup>(2)</sup> | Osmotic Suction (kPa) | Log Osmotic Suction (Log kPa) | Moisture Tin I.D. | Wt. of Cold Tare, T <sub>c</sub> (g) | Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g) | Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g) | Wt. T <sub>2</sub> |
| FPC-1                                                                                                     | C15            | 0.001                   | 0.058                                          | 0.015                                         | 0.988                              | 5                     | 0.69                          | D5                | 15.3079                              | 15.7822                                                 | 15.6579                                                |                    |
| FPC-2                                                                                                     | C16            | 0.002                   | 0.116                                          | 0.029                                         | 0.984                              | 10                    | 0.99                          | D4                | 15.4031                              | 15.8657                                                 | 15.7472                                                |                    |
| FPC-3                                                                                                     | C17            | 0.004                   | 0.232                                          | 0.058                                         | 0.979                              | 19                    | 1.29                          | D7                | 15.4488                              | 15.9144                                                 | 15.7937                                                |                    |
| FPC-4                                                                                                     | C19            | 0.006                   | 0.348                                          | 0.087                                         | 0.974                              | 29                    | 1.46                          | D16               | 15.2784                              | 15.7424                                                 | 15.6207                                                |                    |
| FPC-5                                                                                                     | C20            | 0.008                   | 0.464                                          | 0.116                                         | 0.971                              | 38                    | 1.58                          | D2                | 15.3337                              | 15.8021                                                 | 15.6818                                                |                    |
| FPC-6                                                                                                     | C21            | 0.010                   | 0.580                                          | 0.145                                         | 0.968                              | 48                    | 1.68                          | D8                | 15.4150                              | 15.8886                                                 | 15.7675                                                |                    |
| FPC-7                                                                                                     | C22            | 0.015                   | 0.870                                          | 0.218                                         | 0.962                              | 71                    | 1.85                          | D1                | 15.3395                              | 15.8151                                                 | 15.6944                                                |                    |
| FPC-8                                                                                                     | C23            | 0.020                   | 1.160                                          | 0.290                                         | 0.959                              | 95                    | 1.98                          | D18               | 15.4310                              | 15.9061                                                 | 15.7869                                                |                    |
| FPC-9                                                                                                     | C24            | 0.050                   | 2.900                                          | 0.725                                         | 0.944                              | 233                   | 2.37                          | D22               | 15.2674                              | 15.7243                                                 | 15.6104                                                |                    |
| FPC-10                                                                                                    | C25            | 0.100                   | 5.800                                          | 1.450                                         | 0.933                              | 460                   | 2.66                          | D12               | 15.1729                              | 15.6340                                                 | 15.5260                                                |                    |
| FPC-11                                                                                                    | C26            | 0.200                   | 11.600                                         | 2.900                                         | 0.924                              | 911                   | 2.96                          | D20               | 15.3630                              | 15.8155                                                 | 15.7167                                                |                    |
| FPC-12                                                                                                    | C27            | 0.300                   | 17.400                                         | 4.350                                         | 0.921                              | 1362                  | 3.13                          | D6                | 15.4260                              | 15.8628                                                 | 15.7713                                                |                    |
| FPC-13                                                                                                    | C28            | 0.500                   | 29.000                                         | 7.250                                         | 0.921                              | 2270                  | 3.36                          | D19               | 15.4373                              | 15.8744                                                 | 15.7907                                                |                    |
| FPC-14                                                                                                    | C29            | 0.700                   | 40.600                                         | 10.150                                        | 0.926                              | 3196                  | 3.50                          | D24               | 15.3156                              | 15.7573                                                 | 15.6761                                                |                    |
| FPC-15                                                                                                    | C30            | 1.000                   | 58.000                                         | 14.500                                        | 0.936                              | 4615                  | 3.66                          | D13               | 15.4458                              | 15.8637                                                 | 15.7934                                                |                    |
| FPC-16                                                                                                    | C31            | 1.400                   | 81.200                                         | 20.300                                        | 0.953                              | 6578                  | 3.82                          | D10               | 15.4618                              | 15.8852                                                 | 15.8175                                                |                    |
| FPC-17                                                                                                    | C32            | 2.000                   | 116.000                                        | 29.000                                        | 0.984                              | 9703                  | 3.99                          | D11               | 15.4313                              | 15.8456                                                 | 15.7909                                                |                    |
| FPC-18                                                                                                    | C33            | 2.700                   | 156.600                                        | 39.150                                        | 1.026                              | 13658                 | 4.14                          | D25               | 15.4140                              | 15.8132                                                 | 15.7622                                                |                    |
| Notes: (1) Molality = mole / 1000 ml of water. Thus, 1 molality of NaCl = 58 g of NaCl / 1000 ml of water |                |                         |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |
| (2) from Hamer and Wu (1972)                                                                              |                |                         |                                                |                                               |                                    |                       |                               |                   |                                      |                                                         |                                                        |                    |







| Table B-2 Osmotic Suction of Sodium Chloride Solution at 23 Degrees Celsius (2 Week Testing Period)       |                |                         |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
|-----------------------------------------------------------------------------------------------------------|----------------|-------------------------|--------------------------------------------|-------------------------------------------|------------------------------------|-----------------|---------------------|-------------------|----------------------------------|-----------------------------------------------------|----------------------------------------------------|---------------------------------|-----------------------------------------------------------------------------|----------------|
| Date of Testing Began =                                                                                   |                | 5/19/2006               |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Date of Testing Completed =                                                                               |                | 6/2/2006                |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Salt Solution =                                                                                           |                | NaCl                    |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Mol. Weight of NaCl =                                                                                     |                | 58 g/mole               |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Total volume of the container =                                                                           |                | 400 ml                  |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Volume of distilled water in the container =                                                              |                | 250 ml                  |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Number of ions from one molecule of salt, v =                                                             |                | 2 for NaCl              |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Temperature, t =                                                                                          |                | 74.0 Degrees Fahrenheit |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
|                                                                                                           |                | 23.3 Degrees Celsius    |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Absolute temperature, T =                                                                                 |                | 296.5 K                 |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Universal gas constant, R =                                                                               |                | 8.31443 kPa/(mol.K)     |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Osmotic Suction Calculations                                                                              |                |                         |                                            |                                           |                                    |                 |                     | Top Filter Paper  |                                  |                                                     |                                                    |                                 |                                                                             |                |
| Sample I.D.                                                                                               | Container I.D. | Molality <sup>(1)</sup> | Weight of NaCl needed for 1000 ml of water | Weight of NaCl needed for 250 ml of water | Osmotic Coefficient <sup>(2)</sup> | Osmotic Suction | Log Osmotic Suction | Moisture Tin I.D. | Wt. of Cold Tare, T <sub>c</sub> | Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> | Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> | Wt. of Hot Tare, T <sub>h</sub> | Wt. of Dry Filter Paper, M <sub>f</sub> =(M <sub>2</sub> - T <sub>h</sub> ) | W <sub>w</sub> |
|                                                                                                           |                | (m)                     | (g)                                        | (g)                                       |                                    | (kPa)           | (Log kPa)           |                   | (g)                              | (g)                                                 | (g)                                                | (g)                             | (g)                                                                         |                |
| FPC-3                                                                                                     | C17            | 0.004                   | 0.232                                      | 0.058                                     | 0.979                              | 19              | 1.29                | D5                | 15.3084                          | 15.7878                                             | 15.6622                                            | 15.3037                         | 0.3585                                                                      |                |
| FPC-4                                                                                                     | C19            | 0.006                   | 0.348                                      | 0.087                                     | 0.974                              | 29              | 1.46                | D7                | 15.4489                          | 15.9369                                             | 15.8085                                            | 15.4453                         | 0.3632                                                                      |                |
| FPC-5                                                                                                     | C20            | 0.008                   | 0.464                                      | 0.116                                     | 0.971                              | 38              | 1.58                | D9                | 15.4236                          | 15.9025                                             | 15.7796                                            | 15.4202                         | 0.3594                                                                      |                |
| FPC-6                                                                                                     | C21            | 0.010                   | 0.580                                      | 0.145                                     | 0.968                              | 48              | 1.68                | D11               | 15.4321                          | 15.8923                                             | 15.7734                                            | 15.4288                         | 0.3446                                                                      |                |
| FPC-13                                                                                                    | C28            | 0.500                   | 29.000                                     | 7.250                                     | 0.921                              | 2270            | 3.36                | D25               | 15.4142                          | 15.8592                                             | 15.7696                                            | 15.4101                         | 0.3595                                                                      |                |
| FPC-17                                                                                                    | C32            | 2.000                   | 116.000                                    | 29.000                                    | 0.984                              | 9703            | 3.99                | D33               | 15.3599                          | 15.7916                                             | 15.7216                                            | 15.3568                         | 0.3648                                                                      |                |
| FPC-18                                                                                                    | C33            | 2.700                   | 156.600                                    | 39.150                                    | 1.026                              | 13658           | 4.14                | D35               | 15.3595                          | 15.7499                                             | 15.6993                                            | 15.3569                         | 0.3424                                                                      |                |
| Notes: (1) Molality = mole / 1000 ml of water. Thus, 1 molality of NaCl = 58 g of NaCl / 1000 ml of water |                |                         |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |
| (2) from Hamer and Wu (1972)                                                                              |                |                         |                                            |                                           |                                    |                 |                     |                   |                                  |                                                     |                                                    |                                 |                                                                             |                |







**APPENDIX C**

**FILTER PAPER EQUILIBRATION TIME TEST**  
**DATA WORKSHEETS**

| Table C-1 Summary of Equilibration Time for Filter Paper Test |                    |                    |             |                               |                              |                     |                         |                    |
|---------------------------------------------------------------|--------------------|--------------------|-------------|-------------------------------|------------------------------|---------------------|-------------------------|--------------------|
| Sample ID                                                     | Time of Test Began | Time of Test Ended | Time (days) | Gravimetric Water Content (%) | Volumetric Water Content (%) | Total Suction (kPa) | Total Suction (Log kPa) | Total Suction (pF) |
| W28-1                                                         | 5/28/06 6:40 PM    | 5/28/06 6:40 PM    | 0.00        | 26.87                         | 44.35                        | 221,640             | 5.35                    | 6.35               |
|                                                               |                    | 5/29/06 8:52 AM    | 0.59        |                               |                              | 2,923               | 3.47                    | 4.47               |
|                                                               |                    | 5/30/06 8:57 AM    | 1.60        |                               |                              | 1,030               | 3.01                    | 4.02               |
|                                                               |                    | 5/31/06 5:43 PM    | 2.96        |                               |                              | 451                 | 2.65                    | 3.66               |
|                                                               |                    | 6/2/06 5:05 PM     | 4.93        |                               |                              | 314                 | 2.50                    | 3.51               |
|                                                               |                    | 6/4/06 5:18 PM     | 6.94        |                               |                              | 209                 | 2.32                    | 3.33               |
|                                                               |                    | 6/7/06 5:38 PM     | 9.96        |                               |                              | 182                 | 2.26                    | 3.27               |
|                                                               |                    | 6/11/06 5:40 PM    | 13.96       |                               |                              | 184                 | 2.26                    | 3.27               |
|                                                               |                    | 6/18/06 9:02 PM    | 21.10       |                               |                              | 195                 | 2.29                    | 3.30               |
| W25-8                                                         | 1/1/06 6:56 PM     | 1/1/06 6:56 PM     | 0.00        | 21.94                         | 35.76                        | 221,640             | 5.35                    | 6.35               |
|                                                               |                    | 1/2/06 11:42 AM    | 0.70        |                               |                              | 3,819               | 3.58                    | 4.59               |
|                                                               |                    | 1/3/06 9:13 AM     | 1.60        |                               |                              | 1,438               | 3.16                    | 4.17               |
|                                                               |                    | 1/4/06 4:40 PM     | 2.91        |                               |                              | 1,128               | 3.05                    | 4.06               |
|                                                               |                    | 1/6/06 9:22 PM     | 5.10        |                               |                              | 848                 | 2.93                    | 3.94               |
|                                                               |                    | 1/8/06 5:54 PM     | 6.96        |                               |                              | 784                 | 2.89                    | 3.90               |
|                                                               |                    | 1/11/06 8:24 PM    | 10.06       |                               |                              | 705                 | 2.85                    | 3.86               |
|                                                               |                    | 1/15/06 11:23 AM   | 13.69       |                               |                              | 643                 | 2.81                    | 3.82               |
|                                                               |                    | 1/22/06 2:05 PM    | 20.80       |                               |                              | 614                 | 2.79                    | 3.80               |
| W19-8                                                         | 1/1/06 7:25 PM     | 1/1/06 7:25 PM     | 0.00        | 16.98                         | 27.77                        | 221,640             | 5.35                    | 6.35               |
|                                                               |                    | 1/2/06 11:45 AM    | 0.68        |                               |                              | 4,022               | 3.60                    | 4.61               |
|                                                               |                    | 1/3/06 9:15 AM     | 1.58        |                               |                              | 1,763               | 3.25                    | 4.25               |
|                                                               |                    | 1/4/06 4:43 PM     | 2.89        |                               |                              | 1,472               | 3.17                    | 4.18               |
|                                                               |                    | 1/6/06 9:25 PM     | 5.08        |                               |                              | 1,276               | 3.11                    | 4.11               |
|                                                               |                    | 1/8/06 5:58 PM     | 6.94        |                               |                              | 1,124               | 3.05                    | 4.06               |
|                                                               |                    | 1/11/06 8:27 PM    | 10.04       |                               |                              | 1,244               | 3.09                    | 4.10               |
|                                                               |                    | 1/15/06 11:32 AM   | 13.67       |                               |                              | 1,059               | 3.02                    | 4.03               |
|                                                               |                    | 1/22/06 2:15 PM    | 20.78       |                               |                              | 1,053               | 3.02                    | 4.03               |
| W15-1                                                         | 1/1/06 7:45 PM     | 1/1/06 7:45 PM     | 0.00        | 12.42                         | 20.31                        | 221,640             | 5.35                    | 6.35               |
|                                                               |                    | 1/2/06 11:47 AM    | 0.67        |                               |                              | 7,788               | 3.89                    | 4.90               |
|                                                               |                    | 1/3/06 9:17 AM     | 1.56        |                               |                              | 7,759               | 3.89                    | 4.90               |
|                                                               |                    | 1/4/06 4:45 PM     | 2.88        |                               |                              | 6,826               | 3.83                    | 4.84               |
|                                                               |                    | 1/6/06 9:26 PM     | 5.07        |                               |                              | 5,134               | 3.71                    | 4.72               |
|                                                               |                    | 1/8/06 6:00 PM     | 6.93        |                               |                              | 4,602               | 3.66                    | 4.67               |
|                                                               |                    | 1/11/06 8:29 PM    | 10.03       |                               |                              | 4,182               | 3.62                    | 4.63               |
|                                                               |                    | 1/15/06 11:36 AM   | 13.66       |                               |                              | 4,331               | 3.64                    | 4.65               |
|                                                               |                    | 1/22/06 1:52 PM    | 20.75       |                               |                              | 4,131               | 3.62                    | 4.62               |
| W12-1                                                         | 1/1/06 8:07 PM     | 1/1/06 8:07 PM     | 0.00        | 10.49                         | 17.25                        | 221,640             | 5.35                    | 6.35               |
|                                                               |                    | 1/2/06 11:51 AM    | 0.66        |                               |                              | 19,416              | 4.29                    | 5.30               |
|                                                               |                    | 1/3/06 9:19 AM     | 1.55        |                               |                              | 16,829              | 4.23                    | 5.23               |
|                                                               |                    | 1/4/06 4:46 PM     | 2.86        |                               |                              | 16,314              | 4.21                    | 5.22               |
|                                                               |                    | 1/6/06 9:28 PM     | 5.06        |                               |                              | 15,216              | 4.18                    | 5.19               |
|                                                               |                    | 1/8/06 6:02 PM     | 6.91        |                               |                              | 13,139              | 4.12                    | 5.13               |
|                                                               |                    | 1/11/06 8:31 PM    | 10.02       |                               |                              | 13,806              | 4.14                    | 5.15               |
|                                                               |                    | 1/15/06 11:40 AM   | 13.65       |                               |                              | 15,511              | 4.19                    | 5.20               |
|                                                               |                    | 1/22/06 2:09 PM    | 20.75       |                               |                              | 15,133              | 4.18                    | 5.19               |
| W10-1                                                         | 1/1/06 8:16 PM     | 1/1/06 8:16 PM     | 0.00        | 8.19                          | 13.58                        | 221,640             | 5.35                    | 6.35               |
|                                                               |                    | 1/2/06 11:54 AM    | 0.65        |                               |                              | 35,152              | 4.55                    | 5.55               |
|                                                               |                    | 1/3/06 9:21 AM     | 1.55        |                               |                              | 35,918              | 4.56                    | 5.56               |
|                                                               |                    | 1/4/06 4:48 PM     | 2.86        |                               |                              | 33,572              | 4.53                    | 5.53               |
|                                                               |                    | 1/6/06 9:32 PM     | 5.05        |                               |                              | 33,622              | 4.53                    | 5.54               |
|                                                               |                    | 1/8/06 6:05 PM     | 6.91        |                               |                              | 35,362              | 4.55                    | 5.56               |
|                                                               |                    | 1/11/06 8:33 PM    | 10.01       |                               |                              | 34,578              | 4.54                    | 5.55               |
|                                                               |                    | 1/15/06 11:42 AM   | 13.64       |                               |                              | 35,430              | 4.55                    | 5.56               |
|                                                               |                    | 1/22/06 2:00 PM    | 20.74       |                               |                              | 33,533              | 4.53                    | 5.53               |

## FILTER PAPER TEST DATA SHEET

|                     |                   |                       |                         |
|---------------------|-------------------|-----------------------|-------------------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W-Dry                   |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale            |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 72 Degrees F.           |
| Time of Beginning:  | 11/13/05 4:36 PM  | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 11/14/05 5:00 PM  | Notes:                | Oven-Dried Filter Paper |

Table 1 Measurements of Soil Suction

| Measurements                                                      | Total Suction |                |                |
|-------------------------------------------------------------------|---------------|----------------|----------------|
|                                                                   | Total (Top)   | Total (Middle) | Total (Bottom) |
| Moisture Tin I.D.                                                 | C3            | 4              | C8             |
| Wt. of Cold Tare, $T_c$ (g)                                       | 15.4608       | 15.4256        | 15.4409        |
| Wt. of Wet Filter Paper + Cold Tare, $M_1$ (g)                    | 15.8164       | 15.7716        | 15.7884        |
| Wt. of Dry Filter Paper + Hot Tare, $M_2$ (g)                     | 15.8067       | 15.7624        | 15.7811        |
| Wt. of Hot Tare, $T_h$ (g)                                        | 15.4560       | 15.4208        | 15.4374        |
| Wt. of Dry Filter Paper, $M_1 = (M_2 - T_h)$ (g)                  | 0.3507        | 0.3416         | 0.3437         |
| Wt. of Water in Filter Paper, $M_w = (M_1 - M_2 - T_c + T_h)$ (g) | 0.0049        | 0.0044         | 0.0038         |
| Water Content of Filter Paper, $W_i = (M_w / M_i)$ (%)            | 1.40          | 1.29           | 1.11           |
| Soil Suction (kPa)                                                | 215,298       | 220,406        | 229,217        |
| Soil Suction (pF)                                                 | 6.34          | 6.35           | 6.37           |

Table 2 Calculations of Soil Suction

|                       |               |           |
|-----------------------|---------------|-----------|
| Average Total Suction | 221,640 (kPa) | 6.35 (pF) |
|-----------------------|---------------|-----------|

# **FILTER PAPER TEST DATA SHEET**

|                     |                   |                       |                |
|---------------------|-------------------|-----------------------|----------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W28-1          |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale   |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 73 Degrees F   |
| Time of Beginning:  | 5/28/2006 18 40   | Filter Paper Type:    | Whatman No. 42 |
| Time of Completion: | --                | Notes:                |                |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R27    | Wt. of Top Wet Sample + Ring (g)    | 202.43 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Top Sample (g)       | 40.62  | Height of Top Sample (in)           | 1.00   | Wt. of Pan for Top Sample (g)             | 13.26  |
| Ring Diameter for Top Sample (in)    | 2.438  | Wt. of Bottom Wet Sample + Ring (g) | 201.26 | Wt. of Top Wet Sample + Ring + Pan (g)    | 214.53 |
| Ring I.D. for Bottom Sample          | R32    | Height of Bottom Sample (in)        | 1.00   | Wt. of Top Dry Sample + Ring + Pan (g)    | 181.10 |
| Wt. of Ring for Bottom Sample (g)    | 40.29  | End of the Test:                    |        | Pan I.D. for Bottom Sample                | DC-3   |
| Ring Diameter for Bottom Sample (in) | 2.438  | Wt. of Top Wet Sample + Ring (g)    | 201.27 | Wt. of Pan for Bottom Sample (g)          | 13.26  |
| Sample Container I.D.                | C7     | Height of Top Sample (in)           | 1.00   | Wt. of Bottom Wet Sample + Ring + Pan (g) | 213.83 |
| Wt. of Sample Container (g)          | 114.07 | Wt. of Bottom Wet Sample + Ring (g) | 200.57 | Wt. of Bottom Dry Sample + Ring + Pan (g) | 179.29 |
|                                      |        | Height of Bottom Sample (in)        | 1.00   |                                           |        |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 160.65     | 160.28        | 160.47  |
| Wt. of Dry Sample (g)               | 127.22     | 125.74        | 126.48  |
| Wt. of Water (g)                    | 33.43      | 34.54         | 33.99   |
| Gravimetric Water Content (%)       | 26.28      | 27.47         | 26.87   |
| Volume of Sample (in <sup>3</sup> ) | 4.67       | 4.67          | 4.67    |
| Volumetric Water Content (%)        | 43.63      | 45.08         | 44.35   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total Suction  |                |                 |                |                |                |                 |                 |
|-------------------------------------------------------------------------------------------------------------------------|----------------|----------------|-----------------|----------------|----------------|----------------|-----------------|-----------------|
|                                                                                                                         | 5/29/2006 8:52 | 5/30/2006 8:57 | 5/31/2006 17:43 | 6/2/2006 17:05 | 6/4/2006 17:18 | 6/7/2006 17:38 | 6/11/2006 17:40 | 6/18/2006 21:02 |
| Moisture Tin I.D.                                                                                                       | Total (Middle) | A10            | B8              | A9             | D28            | D7             | D10             | D21             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.2762        | 15.3541        | 15.3057         | 15.4885        | 15.3538        | 15.4463        | 15.4601         | 15.4058         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7049        | 15.8185        | 15.7745         | 15.9399        | 15.8247        | 15.9219        | 15.9325         | 15.8774         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6254        | 15.7184        | 15.6639         | 15.8273        | 15.7077        | 15.8035        | 15.8145         | 15.7611         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.2723        | 15.3506        | 15.3036         | 15.4848        | 15.3520        | 15.4445        | 15.4579         | 15.4050         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3531         | 0.3678         | 0.3603          | 0.3425         | 0.3557         | 0.3590         | 0.3566          | 0.3561          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0756         | 0.0966         | 0.1085          | 0.1089         | 0.1152         | 0.1166         | 0.1158          | 0.1155          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 21.41          | 26.26          | 30.11           | 31.80          | 32.39          | 32.48          | 32.47           | 32.43           |
| Soil Suction (kPa)                                                                                                      | 2.923          | 1.030          | 451             | 314            | 209            | 182            | 184             | 195             |
| Soil Suction (pF)                                                                                                       | 4.47           | 4.02           | 3.66            | 3.51           | 3.33           | 3.27           | 3.27            | 3.30            |

# **FILTER PAPER TEST DATA SHEET**

|                     |                   |                       |                |
|---------------------|-------------------|-----------------------|----------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W25-8          |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale   |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 73 Degrees F   |
| Time of Beginning:  | 1/1/2006 18 56    | Filter Paper Type:    | Whatman No. 42 |
| Time of Completion: | --                | Notes:                |                |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R21    | Wt. of Top Wet Sample + Ring (g)    | 193.13 | Pan I.D. for Top Sample                   | KD19   |
| Wt. of Ring for Top Sample (g)       | 40.27  | Height of Top Sample (in)           | 1.00   | Wt. of Pan for Top Sample (g)             | 16.22  |
| Ring Diameter for Top Sample (in)    | 2.438  | Wt. of Bottom Wet Sample + Ring (g) | 193.26 | Wt. of Top Wet Sample + Ring + Pan (g)    | 208.55 |
| Ring I.D. for Bottom Sample          | R30    | Height of Bottom Sample (in)        | 1.00   | Wt. of Top Dry Sample + Ring + Pan (g)    | 181.20 |
| Wt. of Ring for Bottom Sample (g)    | 40.10  | End of the Test:                    |        | Pan I.D. for Bottom Sample                | KD10   |
| Ring Diameter for Bottom Sample (in) | 2.438  | Wt. of Top Wet Sample + Ring (g)    | 192.33 | Wt. of Pan for Bottom Sample (g)          | 15.65  |
| Sample Container I.D.                | C3     | Height of Top Sample (in)           | 1.00   | Wt. of Bottom Wet Sample + Ring + Pan (g) | 208.33 |
| Wt. of Sample Container (g)          | 114.43 | Wt. of Bottom Wet Sample + Ring (g) | 192.68 | Wt. of Bottom Dry Sample + Ring + Pan (g) | 180.87 |
|                                      |        | Height of Bottom Sample (in)        | 1.00   |                                           |        |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 152.06     | 152.58        | 152.32  |
| Wt. of Dry Sample (g)               | 124.71     | 125.12        | 124.92  |
| Wt. of Water (g)                    | 27.35      | 27.46         | 27.41   |
| Gravimetric Water Content (%)       | 21.93      | 21.95         | 21.94   |
| Volume of Sample (in <sup>3</sup> ) | 4.67       | 4.67          | 4.67    |
| Volumetric Water Content (%)        | 35.69      | 35.64         | 35.76   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total Suction  |               |                |                |                |                 |                 |                 |
|-------------------------------------------------------------------------------------------------------------------------|----------------|---------------|----------------|----------------|----------------|-----------------|-----------------|-----------------|
|                                                                                                                         | 1/2/2006 11:42 | 1/3/2006 9:13 | 1/4/2006 16:40 | 1/6/2006 21:22 | 1/8/2006 17:54 | 1/11/2006 20:24 | 1/15/2006 11:23 | 1/22/2006 14:05 |
| Moisture Tin I.D.                                                                                                       | C1             | C2            | C2             | B1             | C1             | C3              | C1              | A12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4905        | 15.4118       | 15.4115        | 15.3689        | 15.4263        | 15.4611         | 15.4260         | 15.3969         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.9100        | 15.8544       | 15.8478        | 15.8117        | 15.8640        | 15.9156         | 15.8675         | 15.8470         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.8374        | 15.7646       | 15.7570        | 15.7129        | 15.7653        | 15.8135         | 15.7667         | 15.7403         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4883        | 15.4097       | 15.4103        | 15.3647        | 15.4221        | 15.4585         | 15.4230         | 15.3905         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3491         | 0.3549        | 0.3467         | 0.3482         | 0.3432         | 0.3550          | 0.3437          | 0.3498          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0704         | 0.0877        | 0.0896         | 0.0946         | 0.0945         | 0.0995          | 0.0978          | 0.1003          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 20.17          | 24.71         | 25.84          | 27.17          | 27.53          | 28.03           | 28.46           | 28.67           |
| Soil Suction (kPa)                                                                                                      | 3.819          | 1.438         | 1.128          | 848            | 784            | 705             | 643             | 614             |
| Soil Suction (pF)                                                                                                       | 4.59           | 4.17          | 4.06           | 3.94           | 3.90           | 3.86            | 3.82            | 3.80            |

# **FILTER PAPER TEST DATA SHEET**

|                     |                   |                       |                |
|---------------------|-------------------|-----------------------|----------------|
| Sample Source:      | Kum Project       | Sample I.D.:          | W19-B          |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale   |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 73 Degrees F   |
| Time of Beginning:  | 1/1/2006 19 25    | Filter Paper Type:    | Whatman No. 42 |
| Time of Completion: | --                | Notes:                |                |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |                |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|----------------|
| Ring I.D. for Top Sample             | R16    | Wt. of Top Wet Sample + Ring (g)    | 187.56 | Pan I.D. for Top Sample                   | Total (Middle) |
| Wt. of Ring for Top Sample (g)       | 40.31  | Height of Top Sample (in)           | 1.00   | Wt. of Pan for Top Sample (g)             | 15.55          |
| Ring Diameter for Top Sample (in)    | 2.438  | Wt. of Bottom Wet Sample + Ring (g) | 187.50 | Wt. of Top Wet Sample + Ring + Pan (g)    | 202.14         |
| Ring I.D. for Bottom Sample          | R22    | Height of Bottom Sample (in)        | 1.00   | Wt. of Top Dry Sample + Ring + Pan (g)    | 180.69         |
| Wt. of Ring for Bottom Sample (g)    | 40.15  | End of the Test:                    |        | Pan I.D. for Bottom Sample                | Total (Middle) |
| Ring Diameter for Bottom Sample (in) | 2.438  | Wt. of Top Wet Sample + Ring (g)    | 186.59 | Wt. of Pan for Bottom Sample (g)          | 15.29          |
| Sample Container I.D.                | C13    | Height of Top Sample (in)           | 1.00   | Wt. of Bottom Wet Sample + Ring + Pan (g) | 202.40         |
| Wt. of Sample Container (g)          | 113.45 | Wt. of Bottom Wet Sample + Ring (g) | 187.11 | Wt. of Bottom Dry Sample + Ring + Pan (g) | 181.29         |
|                                      |        | Height of Bottom Sample (in)        | 1.00   |                                           |                |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 146.28     | 146.96        | 146.62  |
| Wt. of Dry Sample (g)               | 124.83     | 125.85        | 125.34  |
| Wt. of Water (g)                    | 21.45      | 21.11         | 21.28   |
| Gravimetric Water Content (%)       | 17.18      | 16.77         | 16.98   |
| Volume of Sample (in <sup>3</sup> ) | 4.67       | 4.67          | 4.67    |
| Volumetric Water Content (%)        | 27.99      | 27.55         | 27.77   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total Suction  |               |                |                |                |                 |                 |                 |
|-------------------------------------------------------------------------------------------------------------------------|----------------|---------------|----------------|----------------|----------------|-----------------|-----------------|-----------------|
|                                                                                                                         | 1/2/2006 11 45 | 1/3/2006 9 15 | 1/4/2006 16 43 | 1/6/2006 21 25 | 1/8/2006 17 58 | 1/11/2006 20 27 | 1/15/2006 11 32 | 1/22/2006 14 15 |
| Moisture Tin I.D.                                                                                                       | B4             | 4             | 4              | B2             | A12            | C12             | B3              | A2              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3445        | 15.3216       | 15.3212        | 15.3232        | 15.3976        | 15.4180         | 15.3077         | 15.4166         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7580        | 15.7486       | 15.7461        | 15.7560        | 15.8327        | 15.8561         | 15.7493         | 15.8641         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6861        | 15.6627       | 15.6591        | 15.6657        | 15.7393        | 15.7651         | 15.6552         | 15.7694         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3413        | 15.3193       | 15.3181        | 15.3202        | 15.3936        | 15.4165         | 15.3051         | 15.4147         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3448         | 0.3434        | 0.3410         | 0.3455         | 0.3457         | 0.3486          | 0.3501          | 0.3547          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0687         | 0.0816        | 0.0839         | 0.0873         | 0.0894         | 0.0885          | 0.0915          | 0.0928          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 19.92          | 23.76         | 24.60          | 25.27          | 25.86          | 25.39           | 26.14           | 26.16           |
| Soil Suction (kPa)                                                                                                      | 4.022          | 1.763         | 1.472          | 1.276          | 1.124          | 1.244           | 1.059           | 1.053           |
| Soil Suction (pF)                                                                                                       | 4.61           | 4.25          | 4.18           | 4.11           | 4.06           | 4.10            | 4.03            | 4.03            |

# **FILTER PAPER TEST DATA SHEET**

|                     |                    |                       |                |
|---------------------|--------------------|-----------------------|----------------|
| Sample Source:      | Kim Project        | Sample I.D.:          | W15-1          |
| Soil Type:          | Claystone          | Geological Formation: | Pierre Shale   |
| Solution Type:      | DI, De-aired Water | Room Temperature:     | 73 Degrees F   |
| Time of Beginning:  | 1/1/2006 19 45     | Filter Paper Type:    | Whatman No. 42 |
| Time of Completion: | --                 | Notes:                |                |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Containers:   |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R13    | Wt. of Top Wet Sample + Ring (g)    | 181.94 | Pan I.D. for Top Sample                   | KD25   |
| Wt. of Ring for Top Sample (g)       | 40.30  | Height of Top Sample (in)           | 1.00   | Wt. of Pan for Top Sample (g)             | 15.53  |
| Ring Diameter for Top Sample (in)    | 2.438  | Wt. of Bottom Wet Sample + Ring (g) | 181.72 | Wt. of Top Wet Sample + Ring + Pan (g)    | 196.82 |
| Ring I.D. for Bottom Sample          | R17    | Height of Bottom Sample (in)        | 1.00   | Wt. of Top Dry Sample + Ring + Pan (g)    | 181.36 |
| Wt. of Ring for Bottom Sample (g)    | 40.36  | End of the Test:                    |        | Pan I.D. for Bottom Sample                | MG3    |
| Ring Diameter for Bottom Sample (in) | 2.438  | Wt. of Top Wet Sample + Ring (g)    | 181.29 | Wt. of Pan for Bottom Sample (g)          | 13.56  |
| Sample Container I.D.                | C12    | Height of Top Sample (in)           | 1.00   | Wt. of Bottom Wet Sample + Ring + Pan (g) | 194.80 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Bottom Wet Sample + Ring (g) | 181.24 | Wt. of Bottom Dry Sample + Ring + Pan (g) | 179.13 |
|                                      |        | Height of Bottom Sample (in)        | 1.00   |                                           |        |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 140.99     | 140.88        | 140.94  |
| Wt. of Dry Sample (g)               | 125.53     | 125.21        | 125.37  |
| Wt. of Water (g)                    | 15.46      | 15.67         | 15.57   |
| Gravimetric Water Content (%)       | 12.32      | 12.51         | 12.42   |
| Volume of Sample (in <sup>3</sup> ) | 4.67       | 4.67          | 4.67    |
| Volumetric Water Content (%)        | 20.18      | 20.45         | 20.31   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total Suction  |               |                |                |                |                 |                 |                 |
|-------------------------------------------------------------------------------------------------------------------------|----------------|---------------|----------------|----------------|----------------|-----------------|-----------------|-----------------|
|                                                                                                                         | 1/2/2006 11 47 | 1/3/2006 9 17 | 1/4/2006 16 45 | 1/6/2006 21 26 | 1/8/2006 18 00 | 1/11/2006 20 29 | 1/15/2006 11 36 | 1/22/2006 13 52 |
| Moisture Tin I.D.                                                                                                       | C8             | C6            | C6             | B9             | C4             | C1              | C4              | B4              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.2803        | 15.3826       | 15.3824        | 15.3014        | 15.2150        | 15.4262         | 15.2148         | 15.3052         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.6867        | 15.8025       | 15.7981        | 15.7130        | 15.6329        | 15.8356         | 15.6301         | 15.7130         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6254        | 15.7395       | 15.7339        | 15.6457        | 15.5628        | 15.7645         | 15.5613         | 15.6415         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.2776        | 15.3802       | 15.3800        | 15.2992        | 15.2125        | 15.4226         | 15.2140         | 15.3011         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3478         | 0.3593        | 0.3539         | 0.3465         | 0.3503         | 0.3419          | 0.3473          | 0.3404          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0586         | 0.0606        | 0.0618         | 0.0651         | 0.0676         | 0.0675          | 0.0680          | 0.0674          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 16.85          | 16.87         | 17.46          | 18.79          | 19.30          | 19.74           | 19.58           | 19.80           |
| Soil Suction (kPa)                                                                                                      | 7.788          | 7.759         | 6.826          | 5.134          | 4.602          | 4.182           | 4.331           | 4.131           |
| Soil Suction (pF)                                                                                                       | 4.90           | 4.90          | 4.84           | 4.72           | 4.67           | 4.63            | 4.65            | 4.62            |

# **FILTER PAPER TEST DATA SHEET**

|                     |                   |                       |                |
|---------------------|-------------------|-----------------------|----------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W12-8          |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale   |
| Solution Type:      | D1: D-Aired Water | Room Temperature:     | 73 Degrees F   |
| Time of Beginning:  | 1/1/2006 20 07    | Filter Paper Type:    | Whatman No. 42 |
| Time of Completion: | --                | Notes:                |                |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |                |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|----------------|
| Ring I.D. for Top Sample             | R10    | Wt. of Top Wet Sample + Ring (g)    | 179.75 | Pan I.D. for Top Sample                   | Total (Middle) |
| Wt. of Ring for Top Sample (g)       | 40.15  | Height of Top Sample (in)           | 1.00   | Wt. of Pan for Top Sample (g)             | 15.27          |
| Ring Diameter for Top Sample (in)    | 2.438  | Wt. of Bottom Wet Sample + Ring (g) | 180.15 | Wt. of Top Wet Sample + Ring + Pan (g)    | 194.45         |
| Ring I.D. for Bottom Sample          | R28    | Height of Bottom Sample (in)        | 1.00   | Wt. of Top Dry Sample + Ring + Pan (g)    | 181.31         |
| Wt. of Ring for Bottom Sample (g)    | 40.16  | End of the Test:                    |        | Pan I.D. for Bottom Sample                | KD12           |
| Ring Diameter for Bottom Sample (in) | 2.438  | Wt. of Top Wet Sample + Ring (g)    | 179.18 | Wt. of Pan for Bottom Sample (g)          | 15.46          |
| Sample Container I.D.                | C14    | Height of Top Sample (in)           | 1.00   | Wt. of Bottom Wet Sample + Ring + Pan (g) | 195.15         |
| Wt. of Sample Container (g)          | 112.92 | Wt. of Bottom Wet Sample + Ring (g) | 179.69 | Wt. of Bottom Dry Sample + Ring + Pan (g) | 181.85         |
|                                      |        | Height of Bottom Sample (in)        | 1.00   |                                           |                |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 139.03     | 139.53        | 139.28  |
| Wt. of Dry Sample (g)               | 125.89     | 126.23        | 126.06  |
| Wt. of Water (g)                    | 13.14      | 13.30         | 13.22   |
| Gravimetric Water Conte. (%)        | 10.44      | 10.54         | 10.49   |
| Volume of Sample (in <sup>3</sup> ) | 4.67       | 4.67          | 4.67    |
| Volumetric Water Content (%)        | 17.15      | 17.36         | 17.25   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total Suction  |               |                |                |                |                 |                 |                 |
|-------------------------------------------------------------------------------------------------------------------------|----------------|---------------|----------------|----------------|----------------|-----------------|-----------------|-----------------|
|                                                                                                                         | 1/2/2006 11:51 | 1/3/2006 9:19 | 1/4/2006 16:46 | 1/6/2006 21:28 | 1/8/2006 18:02 | 1/11/2006 20:31 | 1/15/2006 11:40 | 1/22/2006 14:09 |
| Moisture Tin I.D.                                                                                                       | C4             | B8            | B8             | C10            | C9             | C8              | C9              | B10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3800        | 15.2652       | 15.2651        | 15.4245        | 15.3675        | 15.3675         | 15.3673         | 15.3352         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7742        | 15.6572       | 15.6669        | 15.8229        | 15.7747        | 15.7668         | 15.7680         | 15.7189         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7287        | 15.6077       | 15.6163        | 15.7730        | 15.7216        | 15.7151         | 15.7181         | 15.6703         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3786        | 15.2616       | 15.2620        | 15.4227        | 15.3657        | 15.3654         | 15.3655         | 15.3330         |
| Wt. of Dry Filter Paper, M <sub>2</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3501         | 0.3461        | 0.3543         | 0.3503         | 0.3559         | 0.3497          | 0.3526          | 0.3373          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0441         | 0.0459        | 0.0475         | 0.0481         | 0.0513         | 0.0496          | 0.0481          | 0.0464          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>2</sub> ) (%)                                  | 12.60          | 13.26         | 13.41          | 13.73          | 14.41          | 14.18           | 13.64           | 13.76           |
| Soil Suction (kPa)                                                                                                      | 19.416         | 16.829        | 16.314         | 15.216         | 13.139         | 13.806          | 15.511          | 15.133          |
| Soil Suction (pF)                                                                                                       | 5.30           | 5.23          | 5.22           | 5.19           | 5.13           | 5.15            | 5.20            | 5.19            |

# **FILTER PAPER TEST DATA SHEET**

|                     |                   |                       |                |
|---------------------|-------------------|-----------------------|----------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W10-1          |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale   |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 73 Degrees F   |
| Time of Beginning:  | 1/1/2006 20:16    | Filter Paper Type:    | Whatman No. 42 |
| Time of Completion: | --                | Notes:                |                |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R6     | Wt. of Top Wet Sample + Ring (g)    | 177.74 | Pan I.D. for Top Sample                   | KD6    |
| Wt. of Ring for Top Sample (g)       | 40.15  | Height of Top Sample (in)           | 1.00   | Wt. of Pan for Top Sample (g)             | 15.65  |
| Ring Diameter for Top Sample (in)    | 2.438  | Wt. of Bottom Wet Sample + Ring (g) | 178.53 | Wt. of Top Wet Sample + Ring + Pan (g)    | 192.89 |
| Ring I.D. for Bottom Sample          | R19    | Height of Bottom Sample (in)        | 1.00   | Wt. of Top Dry Sample + Ring + Pan (g)    | 182.60 |
| Wt. of Ring for Bottom Sample (g)    | 40.35  | End of the Test:                    |        | Pan I.D. for Bottom Sample                | MG2    |
| Ring Diameter for Bottom Sample (in) | 2.438  | Wt. of Top Wet Sample + Ring (g)    | 177.24 | Wt. of Pan for Bottom Sample (g)          | 13.51  |
| Sample Container I.D.                | C16    | Height of Top Sample (in)           | 1.00   | Wt. of Bottom Wet Sample + Ring + Pan (g) | 191.68 |
| Wt. of Sample Container (g)          | 113.32 | Wt. of Bottom Wet Sample + Ring (g) | 178.17 | Wt. of Bottom Dry Sample + Ring + Pan (g) | 181.16 |
|                                      |        | Height of Bottom Sample (in)        | 1.00   |                                           |        |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 137.09     | 137.82        | 137.46  |
| Wt. of Dry Sample (g)               | 126.80     | 127.30        | 127.05  |
| Wt. of Water (g)                    | 10.29      | 10.52         | 10.41   |
| Gravimetric Water Content (%)       | 8.12       | 8.26          | 8.19    |
| Volume of Sample (in <sup>3</sup> ) | 4.67       | 4.67          | 4.67    |
| Volumetric Water Content (%)        | 13.43      | 13.73         | 13.58   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total Suction  |               |                |                |                |                 |                 |                 |
|-------------------------------------------------------------------------------------------------------------------------|----------------|---------------|----------------|----------------|----------------|-----------------|-----------------|-----------------|
|                                                                                                                         | 1/2/2006 11:54 | 1/3/2006 9:21 | 1/4/2006 16:48 | 1/6/2006 21:32 | 1/8/2006 18:05 | 1/11/2006 20:33 | 1/15/2006 11:42 | 1/22/2006 14:00 |
| Moisture Tin I.D.                                                                                                       | A11            | B10           | B10            | A6             | C12            | B8              | C12             | A9              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3597        | 15.2475       | 15.2476        | 15.3389        | 15.4190        | 15.3080         | 15.4181         | 15.4904         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7484        | 15.6421       | 15.6419        | 15.7192        | 15.7975        | 15.6984         | 15.8070         | 15.8867         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7119        | 15.6041       | 15.6027        | 15.6822        | 15.7602        | 15.6612         | 15.7706         | 15.8462         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3580        | 15.2445       | 15.2444        | 15.3366        | 15.4155        | 15.3060         | 15.4164         | 15.4861         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3539         | 0.3596        | 0.3583         | 0.3456         | 0.3447         | 0.3552          | 0.3542          | 0.3601          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0348         | 0.0350        | 0.0360         | 0.0347         | 0.0338         | 0.0352          | 0.0347          | 0.0362          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 9.83           | 9.73          | 10.05          | 10.04          | 9.81           | 9.91            | 9.80            | 10.05           |
| Soil Suction (kPa)                                                                                                      | 35.152         | 35.918        | 33.572         | 33.622         | 35.362         | 34.578          | 35.430          | 33.533          |
| Soil Suction (pF)                                                                                                       | 5.55           | 5.56          | 5.53           | 5.54           | 5.56           | 5.55            | 5.56            | 5.53            |

**APPENDIX D**

**FILTER PAPER SWCC TEST**  
**DATA WORKSHEETS**

**APPENDIX D.1**  
**DENVER FORMATION**

| Table D-1 Summary of Results of Soil Water Characteristic Curve |                               |                              |                       |                                     |                   |                  |                          |                     |                      |                                   |
|-----------------------------------------------------------------|-------------------------------|------------------------------|-----------------------|-------------------------------------|-------------------|------------------|--------------------------|---------------------|----------------------|-----------------------------------|
| Curve Type: Wetting Curve                                       |                               |                              |                       |                                     |                   |                  |                          |                     |                      |                                   |
| Formation: Denver Formation                                     |                               |                              |                       |                                     |                   |                  |                          |                     |                      |                                   |
| Sample I.D.                                                     | Gravimetric Water Content (%) | Volumetric Water Content (%) | Wt. of Dry Sample (g) | Volume of Sample (in <sup>3</sup> ) | Dry Density (pcf) | Specific Gravity | Degree of Saturation (%) | Total Suction (kPa) | Matric Suction (kPa) | Osmotic Suction (kPa) Notes       |
| D-1&2                                                           | 0.00                          | 0.00                         |                       |                                     |                   | 2.76             | 0.00                     | 244,584             | 175,038              | 69,546 Avg. of Oven Dried Samples |
|                                                                 | 4.33                          | 7.22                         | 117.91                | 4.30                                | 104.37            |                  | 18.36                    | 157,066             | 120,123              | 36,943 Air Dried Sample           |
|                                                                 | 5.80                          | 9.58                         | 117.91                | 4.35                                | 103.31            |                  | 23.98                    | 115,157             | 94,443               | 20,714                            |
|                                                                 | 8.77                          | 14.16                        | 117.91                | 4.45                                | 101.02            |                  | 34.32                    | 54,401              | 44,084               | 10,318                            |
|                                                                 | 11.54                         | 17.60                        | 117.91                | 4.71                                | 95.33             |                  | 39.49                    | 24,384              | 20,426               | 3,959                             |
|                                                                 | 17.54                         | 25.93                        | 117.91                | 4.86                                | 92.48             |                  | 56.12                    | 5,450               | 2,489                | 2,961                             |
|                                                                 | 21.07                         | 30.51                        | 117.91                | 4.96                                | 90.52             |                  | 64.44                    | 1,698               | 749                  | 949                               |
|                                                                 | 25.11                         | 35.63                        | 117.91                | 5.06                                | 88.73             |                  | 73.65                    | 458                 | 60                   | 399                               |
|                                                                 | 33.81                         | 45.15                        | 117.91                | 5.38                                | 83.49             |                  | 87.82                    | 13                  | 2                    | 11                                |
|                                                                 |                               |                              |                       |                                     |                   |                  |                          |                     |                      |                                   |
| D-3&4                                                           | 0.00                          | 0.00                         |                       |                                     |                   |                  | 0.00                     | 244,584             | 175,038              | 69,546 Avg. of Oven Dried Samples |
|                                                                 | 4.18                          | 6.97                         | 117.23                | 4.28                                | 104.40            |                  | 17.74                    | 161,795             | 120,103              | 41,692 Air Dried Sample           |
|                                                                 | 6.35                          | 10.43                        | 117.23                | 4.35                                | 102.74            |                  | 25.90                    | 99,349              | 84,330               | 15,019                            |
|                                                                 | 9.27                          | 14.88                        | 117.23                | 4.45                                | 100.33            |                  | 35.72                    | 44,110              | 40,134               | 3,976                             |
|                                                                 | 12.53                         | 18.88                        | 117.23                | 4.74                                | 94.27             |                  | 41.81                    | 21,195              | 17,561               | 3,634                             |
|                                                                 | 18.57                         | 27.06                        | 117.23                | 4.90                                | 91.11             |                  | 57.57                    | 4,173               | 2,124                | 2,049                             |
|                                                                 | 22.09                         | 31.69                        | 117.23                | 4.98                                | 89.70             |                  | 66.28                    | 1,947               | 876                  | 1,071                             |
|                                                                 | 26.06                         | 36.73                        | 117.23                | 5.07                                | 88.13             |                  | 75.38                    | 639                 | 23                   | 616                               |
|                                                                 | 33.36                         | 44.43                        | 117.23                | 5.36                                | 83.26             |                  | 86.18                    | 100                 | 2                    | 98                                |
|                                                                 |                               |                              |                       |                                     |                   |                  |                          |                     |                      |                                   |
| D-5&6                                                           | 0.00                          | 0.00                         |                       |                                     |                   |                  | 0.00                     | 244,584             | 175,038              | 69,546 Avg. of Oven Dried Samples |
|                                                                 | 4.51                          | 7.61                         | 117.77                | 4.25                                | 105.66            |                  | 19.74                    | 149,951             | 111,126              | 38,825 Air Dried Sample           |
|                                                                 | 6.08                          | 10.17                        | 117.77                | 4.29                                | 104.52            |                  | 25.93                    | 114,534             | 93,651               | 20,882                            |
|                                                                 | 8.75                          | 14.31                        | 117.77                | 4.38                                | 102.32            |                  | 35.34                    | 57,440              | 46,149               | 11,291                            |
|                                                                 | 11.93                         | 18.25                        | 117.77                | 4.69                                | 95.68             |                  | 41.16                    | 28,749              | 25,877               | 2,872                             |
|                                                                 | 17.98                         | 26.64                        | 117.77                | 4.84                                | 92.63             |                  | 57.76                    | 3,178               | 2,714                | 464                               |
|                                                                 | 21.72                         | 31.49                        | 117.77                | 4.95                                | 90.67             |                  | 66.64                    | 1,857               | 773                  | 1,084                             |
|                                                                 | 25.90                         | 37.02                        | 117.77                | 5.02                                | 89.36             |                  | 77.07                    | 386                 | 36                   | 351                               |
|                                                                 | 32.80                         | 44.79                        | 117.77                | 5.25                                | 85.39             |                  | 89.02                    | 14                  | 2                    | 12                                |
|                                                                 |                               |                              |                       |                                     |                   |                  |                          |                     |                      |                                   |
| Average                                                         | 0.00                          | 0.00                         |                       |                                     |                   |                  | 0.00                     | 244,584             | 175,038              | 69,546                            |
|                                                                 | 4.34                          | 7.27                         | 117.64                | 4.28                                | 104.81            |                  | 18.60                    | 156,271             | 117,117              | 39,153                            |
|                                                                 | 6.08                          | 10.06                        | 117.64                | 4.33                                | 103.52            |                  | 25.27                    | 109,680             | 90,808               | 18,872                            |
|                                                                 | 8.93                          | 14.45                        | 117.64                | 4.43                                | 101.22            |                  | 35.13                    | 51,984              | 43,456               | 8,528                             |
|                                                                 | 12.00                         | 18.24                        | 117.64                | 4.71                                | 95.09             |                  | 40.83                    | 24,776              | 21,288               | 3,488                             |
|                                                                 | 18.03                         | 26.54                        | 117.64                | 4.87                                | 92.07             |                  | 57.16                    | 4,267               | 2,442                | 1,825                             |
|                                                                 | 21.63                         | 31.23                        | 117.64                | 4.96                                | 90.30             |                  | 65.79                    | 1,834               | 799                  | 1,035                             |
|                                                                 | 25.69                         | 36.46                        | 117.64                | 5.05                                | 88.74             |                  | 75.36                    | 494                 | 48                   | 447                               |
|                                                                 | 33.33                         | 44.79                        | 117.64                | 5.33                                | 84.04             |                  | 87.65                    | 42                  | 2                    | 40                                |

# **FILTER PAPER TEST DATA SHEET**

|                            |           |                              |                         |
|----------------------------|-----------|------------------------------|-------------------------|
| <b>Sample Source:</b>      | Russell   | <b>Sample I.D.:</b>          | D-1 (Top), D-2 (Bottom) |
| <b>Soil Type:</b>          | Claystone | <b>Geological Formation:</b> | Denver Formation        |
| <b>Solution Type:</b>      | DI Water  | <b>Room Temperature:</b>     | 74 Degrees F.           |
| <b>Time of Beginning:</b>  | 11/9/2005 | <b>Filter Paper Type:</b>    | Whatman No. 42          |
| <b>Time of Completion:</b> | 12/9/2005 | <b>Notes:</b>                | Air Dried Sample        |

**Table 1 Summary of Sample and Equipment Information**

| <b>Steel Rings and Sample Container:</b> |        | <b>Beginning of the Test:</b>       |        | <b>End of the Test (continued):</b>       |        |
|------------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample                 | R33    | Wt. of Top Wet Sample + Ring (g)    | 163.10 | Wt. of Bottom Wet Sample + Ring (g)       | 163.25 |
| Wt. of Ring for Top Sample (g)           | 39.95  | Height of Top Sample (in)           | 0.984  | Height of Bottom Sample (in)              | 0.983  |
| Ring Diameter for Top Sample (in)        | 2.438  | Diameter of Top Sample (in)         | 2.361  | Diameter of Bottom Sample (in)            | 2.360  |
| Ring I.D. for Bottom Sample              | R34    | Wt. of Bottom Wet Sample + Ring (g) | 163.39 | Pan I.D. for Top Sample                   | KD-3   |
| Wt. of Ring for Bottom Sample (g)        | 40.21  | Height of Bottom Sample (in)        | 0.983  | Wt. of Pan for Top Sample (g)             | 15.64  |
| Ring Diameter for Bottom Sample (in)     | 2.438  | Diameter of Bottom Sample (in)      | 2.360  | Wt. of Top Wet Sample + Ring + Pan (g)    | 178.57 |
| Sample Container I.D.                    | C5     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.69 |
| Wt. of Sample Container (g)              | 114.63 | Wt. of Top Wet Sample + Ring (g)    | 162.93 | Pan I.D. for Bottom Sample                | KD-17  |
|                                          |        | Height of Top Sample (in)           | 0.984  | Wt. of Pan for Bottom Sample (g)          | 15.70  |
|                                          |        | Diameter of Top Sample (in)         | 2.361  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 178.95 |
|                                          |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 173.63 |

**Table 2 Calculations of Soil Sample Properties**

| <b>Soil Property</b>                | <b>Top Sample</b> | <b>Bottom Sample</b> | <b>Average</b> |
|-------------------------------------|-------------------|----------------------|----------------|
| Wt. of Wet Sample (g)               | 122.98            | 123.04               | 123.01         |
| Wt. of Dry Sample (g)               | 118.10            | 117.72               | 117.91         |
| Wt. of Water (g)                    | 4.88              | 5.32                 | 5.10           |
| Gravimetric Water Content (%)       | 4.13              | 4.52                 | 4.33           |
| Volume of Sample (in <sup>3</sup> ) | 4.31              | 4.30                 | 4.30           |
| Volumetric Water Content (%)        | 6.90              | 7.54                 | 7.22           |

**Table 3 Measurements of Soil Suction**

| <b>Measurements</b>                                                                                                     | <b>Total or Matric Suction</b> |                       |                       |                     |                        |
|-------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------|-----------------------|---------------------|------------------------|
|                                                                                                                         | <b>Total (Top)</b>             | <b>Total (Middle)</b> | <b>Total (Bottom)</b> | <b>Matric (Top)</b> | <b>Matric (Bottom)</b> |
| Moisture Tin I.D.                                                                                                       | B1                             | B2                    | B3                    | B7                  | B8                     |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3117                        | 15.2054               | 15.1954               | 15.3277             | 15.3934                |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.6522                        | 15.5596               | 15.5524               | 15.5438             | 15.6062                |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6393                        | 15.5447               | 15.5402               | 15.5348             | 15.5956                |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3082                        | 15.2007               | 15.1929               | 15.3253             | 15.3894                |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3311                         | 0.3440                | 0.3473                | 0.2095              | 0.2062                 |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0094                         | 0.0102                | 0.0097                | 0.0066              | 0.0066                 |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 2.84                           | 2.97                  | 2.79                  | 3.15                | 3.20                   |
| Soil Suction (kPa)                                                                                                      | 157,950                        | 153,729               | 159,520               | 120,667             | 119,580                |
| Soil Suction (pF)                                                                                                       | 6.21                           | 6.20                  | 6.21                  | 6.09                | 6.09                   |

**Table 4 Calculations of Soil Suction**

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 157,066 (kPa) | 6.20 (pF) |
| Average Matric Suction | 120,123 (kPa) | 6.09 (pF) |
| Osmotic Suction        | 36,943 (kPa)  | 5.58 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |            |                       |                         |
|---------------------|------------|-----------------------|-------------------------|
| Sample Source:      | Russell    | Sample I.D.:          | D-1 (Top), D-2 (Bottom) |
| Soil Type:          | Claystone  | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water   | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 12/12/2005 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 1/7/2006   | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R33    | Wt. of Top Wet Sample + Ring (g)    | 164.94 | Wt. of Bottom Wet Sample + Ring (g)       | 164.93 |
| Wt. of Ring for Top Sample (g)       | 39.95  | Height of Top Sample (in)           | 0.987  | Height of Bottom Sample (in)              | 0.986  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.369  | Diameter of Bottom Sample (in)            | 2.369  |
| Ring I.D. for Bottom Sample          | R34    | Wt. of Bottom Wet Sample + Ring (g) | 165.31 | Pan I.D. for Top Sample                   | KD-3   |
| Wt. of Ring for Bottom Sample (g)    | 40.21  | Height of Bottom Sample (in)        | 0.986  | Wt. of Pan for Top Sample (g)             | 15.64  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.369  | Wt. of Top Wet Sample + Ring + Pan (g)    | 180.36 |
| Sample Container I.D.                | C5     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.69 |
| Wt. of Sample Container (g)          | 114.63 | Wt. of Top Wet Sample + Ring (g)    | 164.72 | Pan I.D. for Bottom Sample                | KD-17  |
|                                      |        | Height of Top Sample (in)           | 0.987  | Wt. of Pan for Bottom Sample (g)          | 15.70  |
|                                      |        | Diameter of Top Sample (in)         | 2.369  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 180.63 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 173.63 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 124.77     | 124.72        | 124.75  |
| Wt. of Dry Sample (g)               | 118.10     | 117.72        | 117.91  |
| Wt. of Water (g)                    | 6.67       | 7.00          | 6.84    |
| Gravimetric Water Content (%)       | 5.65       | 5.95          | 5.80    |
| Volume of Sample (in <sup>3</sup> ) | 4.35       | 4.35          | 4.35    |
| Volumetric Water Content (%)        | 9.34       | 9.81          | 9.58    |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A1                      | B3             | A8             | A9           | A11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4175                 | 15.3083        | 15.4314        | 15.4904      | 15.3187         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7828                 | 15.6758        | 15.7875        | 15.7265      | 15.5570         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7637                 | 15.6572        | 15.7687        | 15.7120      | 15.5440         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4133                 | 15.3052        | 15.4272        | 15.4861      | 15.3160         |
| Wt. of Dry Filter Paper, M <sub>f</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3504                  | 0.3520         | 0.3415         | 0.2259       | 0.2280          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0149                  | 0.0155         | 0.0146         | 0.0102       | 0.0103          |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>f</sub> ) (%)                                  | 4.25                    | 4.40           | 4.28           | 4.52         | 4.52            |
| Soil Suction (kPa)                                                                                                      | 116,590                 | 112,866        | 116,016        | 94,463       | 94,424          |
| Soil Suction (pF)                                                                                                       | 6.08                    | 6.06           | 6.07           | 5.98         | 5.98            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 115,157 (kPa) | 6.07 (pF) |
| Average Matric Suction | 94,443 (kPa)  | 5.98 (pF) |
| Osmotic Suction        | 20,714 (kPa)  | 5.32 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                            |           |                              |                         |
|----------------------------|-----------|------------------------------|-------------------------|
| <b>Sample Source:</b>      | Russell   | <b>Sample I.D.:</b>          | D-1 (Top), D-2 (Bottom) |
| <b>Soil Type:</b>          | Claystone | <b>Geological Formation:</b> | Denver Formation        |
| <b>Solution Type:</b>      | DI Water  | <b>Room Temperature:</b>     | 74 Degrees F.           |
| <b>Time of Beginning:</b>  | 1/8/2006  | <b>Filter Paper Type:</b>    | Whatman No. 42          |
| <b>Time of Completion:</b> | 1/22/2006 | <b>Notes:</b>                |                         |

**Table 1 Summary of Sample and Equipment Information**

| <b>Steel Rings and Sample Container:</b> |        | <b>Beginning of the Test:</b>       |        | <b>End of the Test (continued):</b>       |        |
|------------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample                 | R33    | Wt. of Top Wet Sample + Ring (g)    | 168.25 | Wt. of Bottom Wet Sample + Ring (g)       | 168.42 |
| Wt. of Ring for Top Sample (g)           | 39.95  | Height of Top Sample (in)           | 1.008  | Height of Bottom Sample (in)              | 1.008  |
| Ring Diameter for Top Sample (in)        | 2.438  | Diameter of Top Sample (in)         | 2.370  | Diameter of Bottom Sample (in)            | 2.370  |
| Ring I.D. for Bottom Sample              | R34    | Wt. of Bottom Wet Sample + Ring (g) | 169.25 | Pan I.D. for Top Sample                   | KD-3   |
| Wt. of Ring for Bottom Sample (g)        | 40.21  | Height of Bottom Sample (in)        | 1.008  | Wt. of Pan for Top Sample (g)             | 15.64  |
| Ring Diameter for Bottom Sample (in)     | 2.438  | Diameter of Bottom Sample (in)      | 2.370  | Wt. of Top Wet Sample + Ring + Pan (g)    | 183.87 |
| Sample Container I.D.                    | C5     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.69 |
| Wt. of Sample Container (g)              | 114.63 | Wt. of Top Wet Sample + Ring (g)    | 168.23 | Pan I.D. for Bottom Sample                | KD-17  |
|                                          |        | Height of Top Sample (in)           | 1.008  | Wt. of Pan for Bottom Sample (g)          | 15.70  |
|                                          |        | Diameter of Top Sample (in)         | 2.370  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 184.12 |
|                                          |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 173.63 |

**Table 2 Calculations of Soil Sample Properties**

| <b>Soil Property</b>                | <b>Top Sample</b> | <b>Bottom Sample</b> | <b>Average</b> |
|-------------------------------------|-------------------|----------------------|----------------|
| Wt. of Wet Sample (g)               | 128.28            | 128.21               | 128.25         |
| Wt. of Dry Sample (g)               | 118.10            | 117.72               | 117.91         |
| Wt. of Water (g)                    | 10.18             | 10.49                | 10.34          |
| Gravimetric Water Content (%)       | 8.62              | 8.91                 | 8.77           |
| Volume of Sample (in <sup>3</sup> ) | 4.45              | 4.45                 | 4.45           |
| Volumetric Water Content (%)        | 13.95             | 14.37                | 14.16          |

**Table 3 Measurements of Soil Suction**

| <b>Measurements</b>                                                                                                     | <b>Total or Matric Suction</b> |                       |                       |                     |                        |
|-------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------|-----------------------|---------------------|------------------------|
|                                                                                                                         | <b>Total (Top)</b>             | <b>Total (Middle)</b> | <b>Total (Bottom)</b> | <b>Matric (Top)</b> | <b>Matric (Bottom)</b> |
| Moisture Tin I.D.                                                                                                       | A3                             | C6                    | C8                    | C10                 | A11                    |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.2488                        | 15.3335               | 15.4414               | 15.4242             | 15.3191                |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.6323                        | 15.7163               | 15.8262               | 15.6754             | 15.5730                |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6000                        | 15.6841               | 15.7945               | 15.6519             | 15.5484                |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.2445                        | 15.3287               | 15.4376               | 15.4210             | 15.3149                |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3555                         | 0.3554                | 0.3569                | 0.2309              | 0.2335                 |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0280                         | 0.0274                | 0.0279                | 0.0203              | 0.0204                 |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 7.88                           | 7.71                  | 7.82                  | 8.79                | 8.74                   |
| Soil Suction (kPa)                                                                                                      | 53,524                         | 55,474                | 54,206                | 43,866              | 44,301                 |
| Soil Suction (pF)                                                                                                       | 5.74                           | 5.75                  | 5.74                  | 5.65                | 5.65                   |

**Table 4 Calculations of Soil Suction**

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 54,401 (kPa) | 5.74 (pF) |
| Average Matric Suction | 44,084 (kPa) | 5.65 (pF) |
| Osmotic Suction        | 10,318 (kPa) | 5.02 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-1 (Top), D-2 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 1/24/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 3/14/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R33    | Wt. of Top Wet Sample + Ring (g)    | 172.15 | Wt. of Bottom Wet Sample + Ring (g)       | 171.52 |
| Wt. of Ring for Top Sample (g)       | 39.95  | Height of Top Sample (in)           | 1.021  | Height of Bottom Sample (in)              | 1.028  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.420  | Diameter of Bottom Sample (in)            | 2.420  |
| Ring I.D. for Bottom Sample          | R34    | Wt. of Bottom Wet Sample + Ring (g) | 172.10 | Pan I.D. for Top Sample                   | KD-3   |
| Wt. of Ring for Bottom Sample (g)    | 40.21  | Height of Bottom Sample (in)        | 1.028  | Wt. of Pan for Top Sample (g)             | 15.64  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.420  | Wt. of Top Wet Sample + Ring + Pan (g)    | 187.32 |
| Sample Container I.D.                | C5     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.69 |
| Wt. of Sample Container (g)          | 114.63 | Wt. of Top Wet Sample + Ring (g)    | 171.68 | Pan I.D. for Bottom Sample                | KD-17  |
|                                      |        | Height of Top Sample (in)           | 1.021  | Wt. of Pan for Bottom Sample (g)          | 15.70  |
|                                      |        | Diameter of Top Sample (in)         | 2.420  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 187.22 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 173.63 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 131.73     | 131.31        | 131.52  |
| Wt. of Dry Sample (g)               | 118.10     | 117.72        | 117.91  |
| Wt. of Water (g)                    | 13.63      | 13.59         | 13.61   |
| Gravimetric Water Content (%)       | 11.54      | 11.54         | 11.54   |
| Volume of Sample (in <sup>3</sup> ) | 4.70       | 4.73          | 4.71    |
| Volumetric Water Content (%)        | 17.68      | 17.51         | 17.60   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B1                      | B2             | A8             | A10          | A12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3676                 | 15.3233        | 15.4322        | 15.3555      | 15.3966         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7694                 | 15.7105        | 15.8317        | 15.5889      | 15.6335         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7231                 | 15.6670        | 15.7865        | 15.5576      | 15.6040         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3640                 | 15.3197        | 15.4274        | 15.3513      | 15.3943         |
| Wt. of Dry Filter Paper, M <sub>i</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3591                  | 0.3473         | 0.3591         | 0.2063       | 0.2097          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0427                  | 0.0399         | 0.0404         | 0.0271       | 0.0272          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 11.89                   | 11.49          | 11.25          | 13.14        | 12.97           |
| Soil Suction (kPa)                                                                                                      | 22.594                  | 24.633         | 25.926         | 20.123       | 20.728          |
| Soil Suction (pF)                                                                                                       | 5.36                    | 5.40           | 5.42           | 5.31         | 5.33            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 24.384 (kPa) | 5.40 (pF) |
| Average Matric Suction | 20.426 (kPa) | 5.32 (pF) |
| Osmotic Suction        | 3.959 (kPa)  | 4.61 (pF) |

## FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-1 (Top), D-2 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 3/15/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 4/9/2006  | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R33    | Wt. of Top Wet Sample + Ring (g)    | 179.23 | Wt. of Bottom Wet Sample + Ring (g)       | 178.95 |
| Wt. of Ring for Top Sample (g)       | 39.95  | Height of Top Sample (in)           | 1.033  | Height of Bottom Sample (in)              | 1.048  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R34    | Wt. of Bottom Wet Sample + Ring (g) | 179.14 | Pan I.D. for Top Sample                   | KD-3   |
| Wt. of Ring for Bottom Sample (g)    | 40.21  | Height of Bottom Sample (in)        | 1.048  | Wt. of Pan for Top Sample (g)             | 15.64  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 194.02 |
| Sample Container I.D.                | C5     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.69 |
| Wt. of Sample Container (g)          | 114.63 | Wt. of Top Wet Sample + Ring (g)    | 178.38 | Pan I.D. for Bottom Sample                | KD-17  |
|                                      |        | Height of Top Sample (in)           | 1.033  | Wt. of Pan for Bottom Sample (g)          | 15.70  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 194.65 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 173.63 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 138.43     | 138.74        | 138.59  |
| Wt. of Dry Sample (g)               | 118.10     | 117.72        | 117.91  |
| Wt. of Water (g)                    | 20.33      | 21.02         | 20.68   |
| Gravimetric Water Content (%)       | 17.21      | 17.86         | 17.54   |
| Volume of Sample (in <sup>3</sup> ) | 4.82       | 4.89          | 4.86    |
| Volumetric Water Content (%)        | 25.68      | 26.18         | 25.93   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A1                      | C2             | B4             | C9           | C11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4172                 | 15.3306        | 15.3052        | 15.3677      | 15.3147         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8283                 | 15.7495        | 15.7214        | 15.6244      | 15.5794         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7565                 | 15.6797        | 15.6542        | 15.5693      | 15.5227         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4113                 | 15.3262        | 15.3015        | 15.3632      | 15.3110         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3452                  | 0.3535         | 0.3527         | 0.2061       | 0.2117          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0659                  | 0.0654         | 0.0635         | 0.0506       | 0.0530          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 19.09                   | 18.50          | 18.00          | 24.55        | 25.04           |
| Soil Suction (kPa)                                                                                                      | 4.811                   | 5.461          | 6.076          | 2.597        | 2.381           |
| Soil Suction (pF)                                                                                                       | 4.69                    | 4.75           | 4.79           | 4.42         | 4.39            |

Table 4 Calculations of Soil Suction

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 5.450 (kPa) | 4.74 (pF) |
| Average Matric Suction | 2.489 (kPa) | 4.40 (pF) |
| Osmotic Suction        | 2.961 (kPa) | 4.48 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                            |           |                              |                         |
|----------------------------|-----------|------------------------------|-------------------------|
| <b>Sample Source:</b>      | Russell   | <b>Sample I.D.:</b>          | D-1 (Top), D-2 (Bottom) |
| <b>Soil Type:</b>          | Claystone | <b>Geological Formation:</b> | Denver Formation        |
| <b>Solution Type:</b>      | DI Water  | <b>Room Temperature:</b>     | 74 Degrees F.           |
| <b>Time of Beginning:</b>  | 4/9/2006  | <b>Filter Paper Type:</b>    | Whatman No. 42          |
| <b>Time of Completion:</b> | 5/4/2006  | <b>Notes:</b>                |                         |

**Table 1 Summary of Sample and Equipment Information**

| <b>Steel Rings and Sample Container:</b> |        | <b>Beginning of the Test:</b>       |        | <b>End of the Test (continued):</b>       |        |
|------------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample                 | R33    | Wt. of Top Wet Sample + Ring (g)    | 183.20 | Wt. of Bottom Wet Sample + Ring (g)       | 182.33 |
| Wt. of Ring for Top Sample (g)           | 39.95  | Height of Top Sample (in)           | 1.058  | Height of Bottom Sample (in)              | 1.068  |
| Ring Diameter for Top Sample (in)        | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample              | R34    | Wt. of Bottom Wet Sample + Ring (g) | 183.70 | Pan I.D. for Top Sample                   | KD-3   |
| Wt. of Ring for Bottom Sample (g)        | 40.21  | Height of Bottom Sample (in)        | 1.068  | Wt. of Pan for Top Sample (g)             | 15.64  |
| Ring Diameter for Bottom Sample (in)     | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 198.99 |
| Sample Container I.D.                    | C5     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.69 |
| Wt. of Sample Container (g)              | 114.63 | Wt. of Top Wet Sample + Ring (g)    | 183.35 | Pan I.D. for Bottom Sample                | KD-17  |
|                                          |        | Height of Top Sample (in)           | 1.058  | Wt. of Pan for Bottom Sample (g)          | 15.70  |
|                                          |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 198.03 |
|                                          |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 173.63 |

**Table 2 Calculations of Soil Sample Properties**

| <b>Soil Property</b>                | <b>Top Sample</b> | <b>Bottom Sample</b> | <b>Average</b> |
|-------------------------------------|-------------------|----------------------|----------------|
| Wt. of Wet Sample (g)               | 143.40            | 142.12               | 142.76         |
| Wt. of Dry Sample (g)               | 118.10            | 117.72               | 117.91         |
| Wt. of Water (g)                    | 25.30             | 24.40                | 24.85          |
| Gravimetric Water Content (%)       | 21.42             | 20.73                | 21.07          |
| Volume of Sample (in <sup>3</sup> ) | 4.94              | 4.99                 | 4.96           |
| Volumetric Water Content (%)        | 31.21             | 29.82                | 30.51          |

**Table 3 Measurements of Soil Suction**

| <b>Measurements</b>                                                                                                     | <b>Total or Matric Suction</b> |                       |                       |                     |                        |
|-------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------|-----------------------|---------------------|------------------------|
|                                                                                                                         | <b>Total (Top)</b>             | <b>Total (Middle)</b> | <b>Total (Bottom)</b> | <b>Matric (Top)</b> | <b>Matric (Bottom)</b> |
| Moisture Tin I.D.                                                                                                       | B1                             | B5                    | A6                    | A8                  | A9                     |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3671                        | 15.2763               | 15.3387               | 15.4309             | 15.4889                |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7914                        | 15.7047               | 15.7662               | 15.7226             | 15.7963                |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7055                        | 15.6185               | 15.6791               | 15.6497             | 15.7169                |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3630                        | 15.2716               | 15.3357               | 15.4266             | 15.4846                |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3425                         | 0.3469                | 0.3434                | 0.2231              | 0.2323                 |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0818                         | 0.0815                | 0.0841                | 0.0686              | 0.0751                 |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 23.88                          | 23.49                 | 24.49                 | 30.75               | 32.33                  |
| Soil Suction (kPa)                                                                                                      | 1,718                          | 1,868                 | 1,508                 | 854                 | 644                    |
| Soil Suction (pF)                                                                                                       | 4.24                           | 4.28                  | 4.19                  | 3.94                | 3.82                   |

**Table 4 Calculations of Soil Suction**

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 1,698 (kPa) | 4.24 (pF) |
| Average Matric Suction | 749 (kPa)   | 3.88 (pF) |
| Osmotic Suction        | 949 (kPa)   | 3.99 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-1 (Top), D-2 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 72 Degrees F.           |
| Time of Beginning:  | 5/6/2006  | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 5/27/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R33    | Wt. of Top Wet Sample + Ring (g)    | 188.70 | Wt. of Bottom Wet Sample + Ring (g)       | 187.40 |
| Wt. of Ring for Top Sample (g)       | 39.95  | Height of Top Sample (in)           | 1.086  | Height of Bottom Sample (in)              | 1.083  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R34    | Wt. of Bottom Wet Sample + Ring (g) | 188.16 | Pan I.D. for Top Sample                   | KD-3   |
| Wt. of Ring for Bottom Sample (g)    | 40.21  | Height of Bottom Sample (in)        | 1.083  | Wt. of Pan for Top Sample (g)             | 15.64  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 203.44 |
| Sample Container I.D.                | C5     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.69 |
| Wt. of Sample Container (g)          | 114.63 | Wt. of Top Wet Sample + Ring (g)    | 187.80 | Pan I.D. for Bottom Sample                | KD-17  |
|                                      |        | Height of Top Sample (in)           | 1.086  | Wt. of Pan for Bottom Sample (g)          | 15.70  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 203.10 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 173.63 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 147.85     | 147.19        | 147.52  |
| Wt. of Dry Sample (g)               | 118.10     | 117.72        | 117.91  |
| Wt. of Water (g)                    | 29.75      | 29.47         | 29.61   |
| Gravimetric Water Content (%)       | 25.19      | 25.03         | 25.11   |
| Volume of Sample (in <sup>3</sup> ) | 5.07       | 5.06          | 5.06    |
| Volumetric Water Content (%)        | 35.75      | 35.51         | 35.63   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B2                      | B3             | C4             | C7           | B8              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3228                 | 15.3076        | 15.2144        | 15.5137      | 15.3054         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7933                 | 15.7732        | 15.6607        | 15.8458      | 15.6245         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6808                 | 15.6615        | 15.5563        | 15.7351      | 15.5224         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3199                 | 15.3035        | 15.2122        | 15.5115      | 15.3036         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3609                  | 0.3580         | 0.3441         | 0.2236       | 0.2188          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1096                  | 0.1076         | 0.1022         | 0.1085       | 0.1003          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 30.37                   | 30.06          | 29.70          | 48.52        | 45.84           |
| Soil Suction (kPa)                                                                                                      | 427                     | 456            | 492            | 57           | 62              |
| Soil Suction (pF)                                                                                                       | 3.64                    | 3.67           | 3.70           | 2.77         | 2.80            |

Table 4 Calculations of Soil Suction

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 458 (kPa) | 3.67 (pF) |
| Average Matric Suction | 60 (kPa)  | 2.78 (pF) |
| Osmotic Suction        | 399 (kPa) | 3.61 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-1 (Top), D-2 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 72 Degrees F.           |
| Time of Beginning:  | 5/29/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 6/17/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R33    | Wt. of Top Wet Sample + Ring (g)    | 199.02 | Wt. of Bottom Wet Sample + Ring (g)       | 197.95 |
| Wt. of Ring for Top Sample (g)       | 39.95  | Height of Top Sample (in)           | 1.152  | Height of Bottom Sample (in)              | 1.153  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R34    | Wt. of Bottom Wet Sample + Ring (g) | 199.75 | Pan I.D. for Top Sample                   | KD-3   |
| Wt. of Ring for Bottom Sample (g)    | 40.21  | Height of Bottom Sample (in)        | 1.153  | Wt. of Pan for Top Sample (g)             | 15.64  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 213.41 |
| Sample Container I.D.                | C5     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.69 |
| Wt. of Sample Container (g)          | 114.63 | Wt. of Top Wet Sample + Ring (g)    | 197.77 | Pan I.D. for Bottom Sample                | KD-17  |
|                                      |        | Height of Top Sample (in)           | 1.152  | Wt. of Pan for Bottom Sample (g)          | 15.70  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 213.65 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 173.63 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 157.82     | 157.74        | 157.78  |
| Wt. of Dry Sample (g)               | 118.10     | 117.72        | 117.91  |
| Wt. of Water (g)                    | 39.72      | 40.02         | 39.87   |
| Gravimetric Water Content (%)       | 33.63      | 34.00         | 33.81   |
| Volume of Sample (in <sup>3</sup> ) | 5.38       | 5.38          | 5.38    |
| Volumetric Water Content (%)        | 45.00      | 45.30         | 45.15   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | D3                      | D11            | D15            | D19          | D32             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4043                 | 15.4312        | 15.4257        | 15.4378      | 15.3344         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8793                 | 15.9223        | 15.9166        | 15.9805      | 15.9093         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7566                 | 15.7965        | 15.7881        | 15.6447      | 15.5580         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4026                 | 15.4306        | 15.4233        | 15.4353      | 15.3327         |
| Wt. of Dry Filter Paper, M <sub>i</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3540                  | 0.3659         | 0.3648         | 0.2094       | 0.2253          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1210                  | 0.1252         | 0.1261         | 0.3333       | 0.3496          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 34.18                   | 34.22          | 34.57          | 159.17       | 155.17          |
| Soil Suction (kPa)                                                                                                      | 15                      | 14             | 8              | 2            | 2               |
| Soil Suction (pF)                                                                                                       | 2.18                    | 2.16           | 1.94           | 1.27         | 1.33            |

Table 4 Calculations of Soil Suction

|                        |          |           |
|------------------------|----------|-----------|
| Average Total Suction  | 13 (kPa) | 2.11 (pF) |
| Average Matric Suction | 2 (kPa)  | 1.30 (pF) |
| Osmotic Suction        | 11 (kPa) | 2.03 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-3 (Top), D-4 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 11/9/2005 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 12/9/2005 | Notes:                | Air Dried Sample        |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R35    | Wt. of Top Wet Sample + Ring (g)    | 162.19 | Wt. of Bottom Wet Sample + Ring (g)       | 162.41 |
| Wt. of Ring for Top Sample (g)       | 40.05  | Height of Top Sample (in)           | 0.980  | Height of Bottom Sample (in)              | 0.980  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.358  | Diameter of Bottom Sample (in)            | 2.357  |
| Ring I.D. for Bottom Sample          | R37    | Wt. of Bottom Wet Sample + Ring (g) | 162.55 | Pan I.D. for Top Sample                   | KD-10  |
| Wt. of Ring for Bottom Sample (g)    | 40.13  | Height of Bottom Sample (in)        | 0.980  | Wt. of Pan for Top Sample (g)             | 15.68  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.357  | Wt. of Top Wet Sample + Ring + Pan (g)    | 177.70 |
| Sample Container I.D.                | C6     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 172.82 |
| Wt. of Sample Container (g)          | 114.17 | Wt. of Top Wet Sample + Ring (g)    | 162.02 | Pan I.D. for Bottom Sample                | KD-4   |
|                                      |        | Height of Top Sample (in)           | 0.980  | Wt. of Pan for Bottom Sample (g)          | 15.40  |
|                                      |        | Diameter of Top Sample (in)         | 2.358  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 177.81 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 172.90 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 121.97     | 122.28        | 122.13  |
| Wt. of Dry Sample (g)               | 117.09     | 117.37        | 117.23  |
| Wt. of Water (g)                    | 4.88       | 4.91          | 4.90    |
| Gravimetric Water Content (%)       | 4.17       | 4.18          | 4.18    |
| Volume of Sample (in <sup>3</sup> ) | 4.28       | 4.28          | 4.28    |
| Volumetric Water Content (%)        | 6.95       | 7.00          | 6.97    |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | 5                       | 6              | 7              | 9            | C11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3922                 | 15.4094        | 15.3824        | 15.2398      | 15.3879         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7430                 | 15.7623        | 15.7372        | 15.4551      | 15.5979         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7302                 | 15.7491        | 15.7236        | 15.4442      | 15.5886         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3887                 | 15.4057        | 15.3781        | 15.2357      | 15.3849         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3415                  | 0.3434         | 0.3455         | 0.2085       | 0.2037          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0093                  | 0.0095         | 0.0093         | 0.0068       | 0.0063          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 2.72                    | 2.77           | 2.69           | 3.26         | 3.09            |
| Soil Suction (kPa)                                                                                                      | 161,927                 | 160,432        | 163,027        | 118,287      | 121,919         |
| Soil Suction (pF)                                                                                                       | 6.22                    | 6.21           | 6.22           | 6.08         | 6.09            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 161,795 (kPa) | 6.22 (pF) |
| Average Matric Suction | 120,103 (kPa) | 6.09 (pF) |
| Osmotic Suction        | 41,692 (kPa)  | 5.63 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                     |            |                       |                         |
|---------------------|------------|-----------------------|-------------------------|
| Sample Source:      | Russell    | Sample I.D.:          | D-3 (Top), D-4 (Bottom) |
| Soil Type:          | Claystone  | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water   | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 12/12/2005 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 1/7/2006   | Notes:                |                         |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R35    | Wt. of Top Wet Sample + Ring (g)    | 164.82 | Wt. of Bottom Wet Sample + Ring (g)       | 164.97 |
| Wt. of Ring for Top Sample (g)       | 40.05  | Height of Top Sample (in)           | 0.985  | Height of Bottom Sample (in)              | 0.985  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.371  | Diameter of Bottom Sample (in)            | 2.370  |
| Ring I.D. for Bottom Sample          | R37    | Wt. of Bottom Wet Sample + Ring (g) | 165.26 | Pan I.D. for Top Sample                   | KD-10  |
| Wt. of Ring for Bottom Sample (g)    | 40.13  | Height of Bottom Sample (in)        | 0.985  | Wt. of Pan for Top Sample (g)             | 15.68  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.370  | Wt. of Top Wet Sample + Ring + Pan (g)    | 180.23 |
| Sample Container I.D.                | C6     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 172.82 |
| Wt. of Sample Container (g)          | 114.17 | Wt. of Top Wet Sample + Ring (g)    | 164.55 | Pan I.D. for Bottom Sample                | KD-4   |
|                                      |        | Height of Top Sample (in)           | 0.985  | Wt. of Pan for Bottom Sample (g)          | 15.40  |
|                                      |        | Diameter of Top Sample (in)         | 2.371  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 180.37 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 172.90 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 124.50     | 124.84        | 124.67  |
| Wt. of Dry Sample (g)               | 117.09     | 117.37        | 117.23  |
| Wt. of Water (g)                    | 7.41       | 7.47          | 7.44    |
| Gravimetric Water Content (%)       | 6.33       | 6.36          | 6.35    |
| Volume of Sample (in <sup>3</sup> ) | 4.35       | 4.35          | 4.35    |
| Volumetric Water Content (%)        | 10.38      | 10.47         | 10.43   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A5                      | A6             | A7             | C8           | C10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.1991                 | 15.3396        | 15.4221        | 15.4415      | 15.4247         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.5616                 | 15.7064        | 15.7836        | 15.6765      | 15.6529         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.5393                 | 15.6849        | 15.7648        | 15.6617      | 15.6388         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.1947                 | 15.3369        | 15.4187        | 15.4386      | 15.4214         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3446                  | 0.3480         | 0.3461         | 0.2231       | 0.2174          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> + T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0179                  | 0.0188         | 0.0154         | 0.0119       | 0.0108          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 5.19                    | 5.40           | 4.45           | 5.33         | 4.97            |
| Soil Suction (kPa)                                                                                                      | 95,227                  | 91,068         | 111,752        | 81,562       | 87,098          |
| Soil Suction (pF)                                                                                                       | 5.99                    | 5.97           | 6.06           | 5.92         | 5.95            |

**Table 4 Calculations of Soil Suction**

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 99,349 (kPa) | 6.01 (pF) |
| Average Matric Suction | 84,330 (kPa) | 5.93 (pF) |
| Osmotic Suction        | 15,019 (kPa) | 5.19 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                            |           |                              |                         |
|----------------------------|-----------|------------------------------|-------------------------|
| <b>Sample Source:</b>      | Russell   | <b>Sample I.D.:</b>          | D-3 (Top), D-4 (Bottom) |
| <b>Soil Type:</b>          | Claystone | <b>Geological Formation:</b> | Denver Formation        |
| <b>Solution Type:</b>      | DI Water  | <b>Room Temperature:</b>     | 74 Degrees F.           |
| <b>Time of Beginning:</b>  | 1/8/2005  | <b>Filter Paper Type:</b>    | Whatman No. 42          |
| <b>Time of Completion:</b> | 1/22/2006 | <b>Notes:</b>                |                         |

**Table 1 Summary of Sample and Equipment Information**

| <b>Steel Rings and Sample Container:</b> |        | <b>Beginning of the Test:</b>       |        | <b>End of the Test (continued):</b>       |        |
|------------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample                 | R35    | Wt. of Top Wet Sample + Ring (g)    | 168.25 | Wt. of Bottom Wet Sample + Ring (g)       | 168.55 |
| Wt. of Ring for Top Sample (g)           | 40.05  | Height of Top Sample (in)           | 1.009  | Height of Bottom Sample (in)              | 1.009  |
| Ring Diameter for Top Sample (in)        | 2.438  | Diameter of Top Sample (in)         | 2.370  | Diameter of Bottom Sample (in)            | 2.370  |
| Ring I.D. for Bottom Sample              | R37    | Wt. of Bottom Wet Sample + Ring (g) | 169.04 | Pan I.D. for Top Sample                   | KD-10  |
| Wt. of Ring for Bottom Sample (g)        | 40.13  | Height of Bottom Sample (in)        | 1.009  | Wt. of Pan for Top Sample (g)             | 15.68  |
| Ring Diameter for Bottom Sample (in)     | 2.438  | Diameter of Bottom Sample (in)      | 2.370  | Wt. of Top Wet Sample + Ring + Pan (g)    | 183.51 |
| Sample Container I.D.                    | C6     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 172.82 |
| Wt. of Sample Container (g)              | 114.17 | Wt. of Top Wet Sample + Ring (g)    | 167.83 | Pan I.D. for Bottom Sample                | KD-4   |
|                                          |        | Height of Top Sample (in)           | 1.009  | Wt. of Pan for Bottom Sample (g)          | 15.40  |
|                                          |        | Diameter of Top Sample (in)         | 2.370  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 183.95 |
|                                          |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 172.90 |

**Table 2 Calculations of Soil Sample Properties**

| <b>Soil Property</b>                | <b>Top Sample</b> | <b>Bottom Sample</b> | <b>Average</b> |
|-------------------------------------|-------------------|----------------------|----------------|
| Wt. of Wet Sample (g)               | 127.78            | 128.42               | 128.10         |
| Wt. of Dry Sample (g)               | 117.09            | 117.37               | 117.23         |
| Wt. of Water (g)                    | 10.69             | 11.05                | 10.87          |
| Gravimetric Water Content (%)       | 9.13              | 9.41                 | 9.27           |
| Volume of Sample (in <sup>3</sup> ) | 4.45              | 4.45                 | 4.45           |
| Volumetric Water Content (%)        | 14.63             | 15.12                | 14.88          |

**Table 3 Measurements of Soil Suction**

| <b>Measurements</b>                                                                                                     | <b>Total or Matric Suction</b> |                       |                       |                     |                        |
|-------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------|-----------------------|---------------------|------------------------|
|                                                                                                                         | <b>Total (Top)</b>             | <b>Total (Middle)</b> | <b>Total (Bottom)</b> | <b>Matric (Top)</b> | <b>Matric (Bottom)</b> |
| Moisture Tin I.D.                                                                                                       | B2                             | B5                    | A7                    | B9                  | C12                    |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3227                        | 15.2775               | 15.4215               | 15.3003             | 15.4173                |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7110                        | 15.6679               | 15.8089               | 15.5392             | 15.6665                |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6747                        | 15.6312               | 15.7741               | 15.5147             | 15.6415                |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3183                        | 15.2733               | 15.4165               | 15.2958             | 15.4138                |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3564                         | 0.3579                | 0.3576                | 0.2189              | 0.2277                 |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0319                         | 0.0325                | 0.0298                | 0.0200              | 0.0215                 |
| Water Content of Filter Paper, W <sub>r</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 8.95                           | 9.08                  | 8.33                  | 9.14                | 9.44                   |
| Soil Suction (kPa)                                                                                                      | 42,492                         | 41,321                | 48,518                | 41,234              | 39,035                 |
| Soil Suction (pF)                                                                                                       | 5.64                           | 5.62                  | 5.69                  | 5.62                | 5.60                   |

**Table 4 Calculations of Soil Suction**

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 44,110 (kPa) | 5.65 (pF) |
| Average Matric Suction | 40,134 (kPa) | 5.61 (pF) |
| Osmotic Suction        | 3,976 (kPa)  | 4.61 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-3 (Top), D-4 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | D1 Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 1/24/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 3/14/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R35    | Wt. of Top Wet Sample + Ring (g)    | 172.54 | Wt. of Bottom Wet Sample + Ring (g)       | 172.20 |
| Wt. of Ring for Top Sample (g)       | 40.05  | Height of Top Sample (in)           | 1.031  | Height of Bottom Sample (in)              | 1.029  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.420  | Diameter of Bottom Sample (in)            | 2.420  |
| Ring I.D. for Bottom Sample          | R37    | Wt. of Bottom Wet Sample + Ring (g) | 172.49 | Pan I.D. for Top Sample                   | KD-10  |
| Wt. of Ring for Bottom Sample (g)    | 40.13  | Height of Bottom Sample (in)        | 1.029  | Wt. of Pan for Top Sample (g)             | 15.68  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.420  | Wt. of Top Wet Sample + Ring + Pan (g)    | 187.49 |
| Sample Container I.D.                | C6     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 172.82 |
| Wt. of Sample Container (g)          | 114.17 | Wt. of Top Wet Sample + Ring (g)    | 171.81 | Pan I.D. for Bottom Sample                | KD-4   |
|                                      |        | Height of Top Sample (in)           | 1.031  | Wt. of Pan for Bottom Sample (g)          | 15.40  |
|                                      |        | Diameter of Top Sample (in)         | 2.420  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 187.60 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 172.90 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 131.76     | 132.07        | 131.92  |
| Wt. of Dry Sample (g)               | 117.09     | 117.37        | 117.23  |
| Wt. of Water (g)                    | 14.67      | 14.70         | 14.69   |
| Gravimetric Water Content (%)       | 12.53      | 12.52         | 12.53   |
| Volume of Sample (in <sup>3</sup> ) | 4.74       | 4.73          | 4.74    |
| Volumetric Water Content (%)        | 18.85      | 18.92         | 18.88   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C3                      | A4             | C7             | C8           | B12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4613                 | 15.4260        | 15.5126        | 15.4412      | 15.2811         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8601                 | 15.8101        | 15.9162        | 15.6913      | 15.5300         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.8120                 | 15.7635        | 15.8681        | 15.6572      | 15.4964         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4560                 | 15.4219        | 15.5081        | 15.4381      | 15.2774         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3560                  | 0.3416         | 0.3600         | 0.2191       | 0.2190          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0428                  | 0.0425         | 0.0436         | 0.0310       | 0.0299          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 12.02                   | 12.44          | 12.11          | 14.15        | 13.65           |
| Soil Suction (kPa)                                                                                                      | 21,964                  | 20,073         | 21,549         | 16,781       | 18,341          |
| Soil Suction (pF)                                                                                                       | 5.35                    | 5.31           | 5.34           | 5.23         | 5.27            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 21,195 (kPa) | 5.33 (pF) |
| Average Matric Suction | 17,561 (kPa) | 5.25 (pF) |
| Osmotic Suction        | 3,634 (kPa)  | 4.57 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-3 (Top), D-4 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 3/15/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 4/9/2006  | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R35    | Wt. of Top Wet Sample + Ring (g)    | 179.38 | Wt. of Bottom Wet Sample + Ring (g)       | 179.56 |
| Wt. of Ring for Top Sample (g)       | 40.05  | Height of Top Sample (in)           | 1.052  | Height of Bottom Sample (in)              | 1.048  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R37    | Wt. of Bottom Wet Sample + Ring (g) | 179.72 | Pan I.D. for Top Sample                   | KD-10  |
| Wt. of Ring for Bottom Sample (g)    | 40.13  | Height of Bottom Sample (in)        | 1.048  | Wt. of Pan for Top Sample (g)             | 15.68  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 194.30 |
| Sample Container I.D.                | C6     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 172.82 |
| Wt. of Sample Container (g)          | 114.17 | Wt. of Top Wet Sample + Ring (g)    | 178.62 | Pan I.D. for Bottom Sample                | KD-4   |
|                                      |        | Height of Top Sample (in)           | 1.052  | Wt. of Pan for Bottom Sample (g)          | 15.40  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 194.96 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 172.90 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 138.57     | 139.43        | 139.00  |
| Wt. of Dry Sample (g)               | 117.09     | 117.37        | 117.23  |
| Wt. of Water (g)                    | 21.48      | 22.06         | 21.77   |
| Gravimetric Water Content (%)       | 18.34      | 18.80         | 18.57   |
| Volume of Sample (in <sup>3</sup> ) | 4.91       | 4.89          | 4.90    |
| Volumetric Water Content (%)        | 26.65      | 27.47         | 27.06   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C1                      | B5             | C4             | B8           | C10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4267                 | 15.2772        | 15.2149        | 15.3066      | 15.4250         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8364                 | 15.6969        | 15.6184        | 15.5833      | 15.7280         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7637                 | 15.6240        | 15.5473        | 15.5261      | 15.6568         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4225                 | 15.2729        | 15.2101        | 15.3024      | 15.4215         |
| Wt. of Dry Filter Paper, M <sub>f</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3412                  | 0.3511         | 0.3372         | 0.2237       | 0.2353          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0685                  | 0.0686         | 0.0663         | 0.0530       | 0.0677          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>f</sub> ) (%)                                  | 20.08                   | 19.54          | 19.66          | 23.69        | 28.77           |
| Soil Suction (kPa)                                                                                                      | 3.893                   | 4.370          | 4.255          | 3.029        | 1.218           |
| Soil Suction (pF)                                                                                                       | 4.60                    | 4.65           | 4.64           | 4.49         | 4.09            |

Table 4 Calculations of Soil Suction

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 4.173 (kPa) | 4.63 (pF) |
| Average Matric Suction | 2.124 (kPa) | 4.34 (pF) |
| Osmotic Suction        | 2.049 (kPa) | 4.32 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-3 (Top), D-4 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 4/9/2006  | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 5/4/2006  | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R35    | Wt. of Top Wet Sample + Ring (g)    | 183.40 | Wt. of Bottom Wet Sample + Ring (g)       | 183.97 |
| Wt. of Ring for Top Sample (g)       | 40.05  | Height of Top Sample (in)           | 1.067  | Height of Bottom Sample (in)              | 1.066  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R37    | Wt. of Bottom Wet Sample + Ring (g) | 184.80 | Pan I.D. for Top Sample                   | KD-10  |
| Wt. of Ring for Bottom Sample (g)    | 40.13  | Height of Bottom Sample (in)        | 1.066  | Wt. of Pan for Top Sample (g)             | 15.68  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 198.15 |
| Sample Container I.D.                | C6     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 172.82 |
| Wt. of Sample Container (g)          | 114.17 | Wt. of Top Wet Sample + Ring (g)    | 182.47 | Pan I.D. for Bottom Sample                | KD-4   |
|                                      |        | Height of Top Sample (in)           | 1.067  | Wt. of Pan for Bottom Sample (g)          | 15.40  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 199.37 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 172.90 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 142.42     | 143.84        | 143.13  |
| Wt. of Dry Sample (g)               | 117.09     | 117.37        | 117.23  |
| Wt. of Water (g)                    | 25.33      | 26.47         | 25.90   |
| Gravimetric Water Content (%)       | 21.63      | 22.55         | 22.09   |
| Volume of Sample (in <sup>3</sup> ) | 4.98       | 4.98          | 4.98    |
| Volumetric Water Content (%)        | 30.98      | 32.41         | 31.69   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B2                      | C3             | B4             | B10          | B11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3232                 | 15.4611        | 15.3044        | 15.3342      | 15.2601         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7447                 | 15.8973        | 15.7248        | 15.6182      | 15.5478         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6612                 | 15.8143        | 15.6419        | 15.5478      | 15.4761         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3191                 | 15.4580        | 15.3012        | 15.3300      | 15.2562         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3421                  | 0.3563         | 0.3407         | 0.2178       | 0.2199          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0794                  | 0.0799         | 0.0797         | 0.0662       | 0.0678          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 23.21                   | 22.42          | 23.39          | 30.39        | 30.83           |
| Soil Suction (kPa)                                                                                                      | 1,986                   | 2,350          | 1,909          | 910          | 842             |
| Soil Suction (pF)                                                                                                       | 4.31                    | 4.38           | 4.29           | 3.97         | 3.93            |

Table 4 Calculations of Soil Suction

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 1,947 (kPa) | 4.30 (pF) |
| Average Matric Suction | 876 (kPa)   | 3.95 (pF) |
| Osmotic Suction        | 1,071 (kPa) | 4.04 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-3 (Top), D-4 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | D1 Water  | Room Temperature:     | 72 Degrees F.           |
| Time of Beginning:  | 5/6/2006  | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 5/27/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R35    | Wt. of Top Wet Sample + Ring (g)    | 188.42 | Wt. of Bottom Wet Sample + Ring (g)       | 188.20 |
| Wt. of Ring for Top Sample (g)       | 40.05  | Height of Top Sample (in)           | 1.088  | Height of Bottom Sample (in)              | 1.083  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R37    | Wt. of Bottom Wet Sample + Ring (g) | 189.28 | Pan I.D. for Top Sample                   | KD-10  |
| Wt. of Ring for Bottom Sample (g)    | 40.13  | Height of Bottom Sample (in)        | 1.083  | Wt. of Pan for Top Sample (g)             | 15.68  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 203.22 |
| Sample Container I.D.                | C6     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 172.82 |
| Wt. of Sample Container (g)          | 114.17 | Wt. of Top Wet Sample + Ring (g)    | 187.54 | Pan I.D. for Bottom Sample                | KD-4   |
|                                      |        | Height of Top Sample (in)           | 1.088  | Wt. of Pan for Bottom Sample (g)          | 15.40  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 203.60 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 172.90 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 147.49     | 148.07        | 147.78  |
| Wt. of Dry Sample (g)               | 117.09     | 117.37        | 117.23  |
| Wt. of Water (g)                    | 30.40      | 30.70         | 30.55   |
| Gravimetric Water Content (%)       | 25.96      | 26.16         | 26.06   |
| Volume of Sample (in <sup>3</sup> ) | 5.08       | 5.06          | 5.07    |
| Volumetric Water Content (%)        | 36.46      | 36.99         | 36.73   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Metric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Metric (Top) | Metric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C1                      | C5             | A8             | A10          | C12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4255                 | 15.3596        | 15.4306        | 15.3546      | 15.4162         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8758                 | 15.7997        | 15.8860        | 15.7654      | 15.8032         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7702                 | 15.6991        | 15.7820        | 15.5768      | 15.6353         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4209                 | 15.3558        | 15.4273        | 15.3513      | 15.4136         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3493                  | 0.3433         | 0.3547         | 0.2255       | 0.2217          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1010                  | 0.0968         | 0.1007         | 0.1853       | 0.1653          |
| Water Content of Filter Paper, W <sub>r</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 28.91                   | 28.20          | 28.39          | 82.17        | 74.56           |
| Soil Suction (kPa)                                                                                                      | 583                     | 680            | 653            | 20           | 25              |
| Soil Suction (pF)                                                                                                       | 3.77                    | 3.84           | 3.82           | 2.31         | 2.41            |

Table 4 Calculations of Soil Suction

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 639 (kPa) | 3.81 (pF) |
| Average Metric Suction | 23 (kPa)  | 2.37 (pF) |
| Osmotic Suction        | 616 (kPa) | 3.80 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-3 (Top), D-4 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | D1 Water  | Room Temperature:     | 72 Degrees F.           |
| Time of Beginning:  | 5/29/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 6/17/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R35    | Wt. of Top Wet Sample + Ring (g)    | 197.53 | Wt. of Bottom Wet Sample + Ring (g)       | 196.36 |
| Wt. of Ring for Top Sample (g)       | 40.05  | Height of Top Sample (in)           | 1.138  | Height of Bottom Sample (in)              | 1.160  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R37    | Wt. of Bottom Wet Sample + Ring (g) | 198.03 | Pan I.D. for Top Sample                   | KD-10  |
| Wt. of Ring for Bottom Sample (g)    | 40.13  | Height of Bottom Sample (in)        | 1.160  | Wt. of Pan for Top Sample (g)             | 15.68  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 212.18 |
| Sample Container I.D.                | C6     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 172.82 |
| Wt. of Sample Container (g)          | 114.17 | Wt. of Top Wet Sample + Ring (g)    | 196.50 | Pan I.D. for Bottom Sample                | KD-4   |
|                                      |        | Height of Top Sample (in)           | 1.138  | Wt. of Pan for Bottom Sample (g)          | 15.40  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 211.76 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 172.90 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 156.45     | 156.23        | 156.34  |
| Wt. of Dry Sample (g)               | 117.09     | 117.37        | 117.23  |
| Wt. of Water (g)                    | 39.36      | 38.86         | 39.11   |
| Gravimetric Water Content (%)       | 33.62      | 33.11         | 33.36   |
| Volume of Sample (in <sup>3</sup> ) | 5.31       | 5.42          | 5.36    |
| Volumetric Water Content (%)        | 45.14      | 43.72         | 44.43   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Metric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | D14                     | D20            | D25            | D26          | D36             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.1963                 | 15.3632        | 15.4140        | 15.3849      | 15.4025         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.6523                 | 15.8455        | 15.8693        | 15.9455      | 15.9659         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.5391                 | 15.7231        | 15.7541        | 15.6026      | 15.6191         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.1951                 | 15.3608        | 15.4122        | 15.3829      | 15.3998         |
| Wt. of Dry Filter Paper, M <sub>i</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3440                  | 0.3623         | 0.3419         | 0.2197       | 0.2193          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1120                  | 0.1200         | 0.1134         | 0.3409       | 0.3441          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 32.56                   | 33.12          | 33.17          | 155.17       | 156.91          |
| Soil Suction (kPa)                                                                                                      | 162                     | 71             | 66             | 2            | 2               |
| Soil Suction (pF)                                                                                                       | 3.22                    | 2.86           | 2.83           | 1.33         | 1.30            |

Table 4 Calculations of Soil Suction

|                        |     |       |      |      |
|------------------------|-----|-------|------|------|
| Average Total Suction  | 100 | (kPa) | 3.01 | (pF) |
| Average Matric Suction | 2   | (kPa) | 1.31 | (pF) |
| Osmotic Suction        | 98  | (kPa) | 3.00 | (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-5 (Top), D-6 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 11/9/2005 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 12/9/2005 | Notes:                | Air Dried Sample        |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R3     | Wt. of Top Wet Sample + Ring (g)    | 163.82 | Wt. of Bottom Wet Sample + Ring (g)       | 163.35 |
| Wt. of Ring for Top Sample (g)       | 40.61  | Height of Top Sample (in)           | 0.979  | Height of Bottom Sample (in)              | 0.974  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.354  | Diameter of Bottom Sample (in)            | 2.352  |
| Ring I.D. for Bottom Sample          | R7     | Wt. of Bottom Wet Sample + Ring (g) | 163.52 | Pan I.D. for Top Sample                   | KD-25  |
| Wt. of Ring for Bottom Sample (g)    | 40.26  | Height of Bottom Sample (in)        | 0.974  | Wt. of Pan for Top Sample (g)             | 15.57  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.352  | Wt. of Top Wet Sample + Ring + Pan (g)    | 179.23 |
| Sample Container I.D.                | C1     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.72 |
| Wt. of Sample Container (g)          | 113.68 | Wt. of Top Wet Sample + Ring (g)    | 163.66 | Pan I.D. for Bottom Sample                | KD-28  |
|                                      |        | Height of Top Sample (in)           | 0.979  | Wt. of Pan for Bottom Sample (g)          | 16.24  |
|                                      |        | Diameter of Top Sample (in)         | 2.354  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 179.59 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 174.49 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 123.05     | 123.09        | 123.07  |
| Wt. of Dry Sample (g)               | 117.54     | 117.99        | 117.77  |
| Wt. of Water (g)                    | 5.51       | 5.10          | 5.30    |
| Gravimetric Water Content (%)       | 4.69       | 4.32          | 4.51    |
| Volume of Sample (in <sup>3</sup> ) | 4.26       | 4.23          | 4.25    |
| Volumetric Water Content (%)        | 7.88       | 7.34          | 7.61    |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C5                      | C7             | C9             | B9           | B11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4158                 | 15.3523        | 15.3360        | 15.1969      | 15.2449         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7711                 | 15.7095        | 15.6985        | 15.4161      | 15.4588         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7564                 | 15.6958        | 15.6831        | 15.4047      | 15.4465         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4123                 | 15.3481        | 15.3321        | 15.1934      | 15.2398         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3441                  | 0.3477         | 0.3510         | 0.2113       | 0.2067          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0112                  | 0.0095         | 0.0115         | 0.0079       | 0.0072          |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 3.25                    | 2.73           | 3.28           | 3.74         | 3.48            |
| Soil Suction (kPa)                                                                                                      | 144,451                 | 161,615        | 143,786        | 108,580      | 113,671         |
| Soil Suction (pF)                                                                                                       | 6.17                    | 6.22           | 6.17           | 6.04         | 6.06            |

**Table 4 Calculations of Soil Suction**

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 149,951 (kPa) | 6.18 (pF) |
| Average Matric Suction | 111,126 (kPa) | 6.05 (pF) |
| Osmotic Suction        | 38,825 (kPa)  | 5.60 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                     |            |                       |                         |
|---------------------|------------|-----------------------|-------------------------|
| Sample Source:      | Russell    | Sample I.D.:          | D-5 (Top), D-6 (Bottom) |
| Soil Type:          | Claystone  | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water   | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 12/12/2005 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 1/7/2006   | Notes:                |                         |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R3     | Wt. of Top Wet Sample + Ring (g)    | 165.74 | Wt. of Bottom Wet Sample + Ring (g)       | 165.22 |
| Wt. of Ring for Top Sample (g)       | 40.61  | Height of Top Sample (in)           | 0.982  | Height of Bottom Sample (in)              | 0.978  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.362  | Diameter of Bottom Sample (in)            | 2.361  |
| Ring I.D. for Bottom Sample          | R7     | Wt. of Bottom Wet Sample + Ring (g) | 165.38 | Pan I.D. for Top Sample                   | KD-25  |
| Wt. of Ring for Bottom Sample (g)    | 40.26  | Height of Bottom Sample (in)        | 0.978  | Wt. of Pan for Top Sample (g)             | 15.57  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.361  | Wt. of Top Wet Sample + Ring + Pan (g)    | 181.08 |
| Sample Container I.D.                | C1     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.72 |
| Wt. of Sample Container (g)          | 113.68 | Wt. of Top Wet Sample + Ring (g)    | 165.51 | Pan I.D. for Bottom Sample                | KD-28  |
|                                      |        | Height of Top Sample (in)           | 0.982  | Wt. of Pan for Bottom Sample (g)          | 16.24  |
|                                      |        | Diameter of Top Sample (in)         | 2.362  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 181.46 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 174.49 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 124.90     | 124.96        | 124.93  |
| Wt. of Dry Sample (g)               | 117.54     | 117.99        | 117.77  |
| Wt. of Water (g)                    | 7.36       | 6.97          | 7.16    |
| Gravimetric Water Content (%)       | 6.26       | 5.91          | 6.08    |
| Volume of Sample (in <sup>3</sup> ) | 4.30       | 4.28          | 4.29    |
| Volumetric Water Content (%)        | 10.42      | 9.92          | 10.17   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B4                      | B7             | B10            | C11          | B12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3065                 | 15.3279        | 15.3368        | 15.3151      | 15.2822         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.6726                 | 15.6850        | 15.6965        | 15.5531      | 15.5029         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6524                 | 15.6666        | 15.6779        | 15.5397      | 15.4885         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3016                 | 15.3246        | 15.3328        | 15.3116      | 15.2779         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3508                  | 0.3420         | 0.3451         | 0.2281       | 0.2106          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0153                  | 0.0151         | 0.0146         | 0.0099       | 0.0101          |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 4.36                    | 4.42           | 4.23           | 4.34         | 4.80            |
| Soil Suction (kPa)                                                                                                      | 113,887                 | 112,580        | 117,133        | 97,476       | 89,827          |
| Soil Suction (pF)                                                                                                       | 6.06                    | 6.06           | 6.08           | 6.00         | 5.96            |

**Table 4 Calculations of Soil Suction**

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 114,534 (kPa) | 6.07 (pF) |
| Average Matric Suction | 93,651 (kPa)  | 5.98 (pF) |
| Osmotic Suction        | 20,882 (kPa)  | 5.33 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-5 (Top), D-6 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 1/8/2006  | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 1/22/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R3     | Wt. of Top Wet Sample + Ring (g)    | 169.11 | Wt. of Bottom Wet Sample + Ring (g)       | 168.32 |
| Wt. of Ring for Top Sample (g)       | 40.61  | Height of Top Sample (in)           | 1.003  | Height of Bottom Sample (in)              | 1.000  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.359  | Diameter of Bottom Sample (in)            | 2.363  |
| Ring I.D. for Bottom Sample          | R7     | Wt. of Bottom Wet Sample + Ring (g) | 168.63 | Pan I.D. for Top Sample                   | KD-25  |
| Wt. of Ring for Bottom Sample (g)    | 40.26  | Height of Bottom Sample (in)        | 1.000  | Wt. of Pan for Top Sample (g)             | 15.57  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.363  | Wt. of Top Wet Sample + Ring + Pan (g)    | 184.25 |
| Sample Container I.D.                | C1     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.72 |
| Wt. of Sample Container (g)          | 113.68 | Wt. of Top Wet Sample + Ring (g)    | 168.68 | Pan I.D. for Bottom Sample                | KD-28  |
|                                      |        | Height of Top Sample (in)           | 1.003  | Wt. of Pan for Bottom Sample (g)          | 16.24  |
|                                      |        | Diameter of Top Sample (in)         | 2.359  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 184.56 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 174.49 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 128.07     | 128.06        | 128.07  |
| Wt. of Dry Sample (g)               | 117.54     | 117.99        | 117.77  |
| Wt. of Water (g)                    | 10.53      | 10.07         | 10.30   |
| Gravimetric Water Content (%)       | 8.96       | 8.53          | 8.75    |
| Volume of Sample (in <sup>3</sup> ) | 4.38       | 4.39          | 4.38    |
| Volumetric Water Content (%)        | 14.63      | 13.99         | 14.31   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A1                      | B3             | A10            | B11          | B12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4176                 | 15.3074        | 15.3557        | 15.2602      | 15.2811         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8060                 | 15.6877        | 15.7417        | 15.4907      | 15.5212         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7733                 | 15.6567        | 15.7097        | 15.4687      | 15.4985         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4127                 | 15.3021        | 15.3513        | 15.2567      | 15.2768         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3606                  | 0.3546         | 0.3584         | 0.2120       | 0.2217          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0278                  | 0.0257         | 0.0276         | 0.0185       | 0.0184          |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 7.71                    | 7.25           | 7.70           | 8.73         | 8.30            |
| Soil Suction (kPa)                                                                                                      | 55,477                  | 61,263         | 55,578         | 44,383       | 47,915          |
| Soil Suction (pF)                                                                                                       | 5.75                    | 5.80           | 5.75           | 5.66         | 5.69            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 57,440 (kPa) | 5.77 (pF) |
| Average Matric Suction | 46,149 (kPa) | 5.67 (pF) |
| Osmotic Suction        | 11,291 (kPa) | 5.06 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-5 (Top), D-6 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 1/24/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 3/14/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R3     | Wt. of Top Wet Sample + Ring (g)    | 172.86 | Wt. of Bottom Wet Sample + Ring (g)       | 172.13 |
| Wt. of Ring for Top Sample (g)       | 40.61  | Height of Top Sample (in)           | 1.019  | Height of Bottom Sample (in)              | 1.020  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.420  | Diameter of Bottom Sample (in)            | 2.420  |
| Ring I.D. for Bottom Sample          | R7     | Wt. of Bottom Wet Sample + Ring (g) | 172.54 | Pan I.D. for Top Sample                   | KD-25  |
| Wt. of Ring for Bottom Sample (g)    | 40.26  | Height of Bottom Sample (in)        | 1.020  | Wt. of Pan for Top Sample (g)             | 15.57  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.420  | Wt. of Top Wet Sample + Ring + Pan (g)    | 187.94 |
| Sample Container I.D.                | C1     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.72 |
| Wt. of Sample Container (g)          | 113.68 | Wt. of Top Wet Sample + Ring (g)    | 172.37 | Pan I.D. for Bottom Sample                | KD-28  |
|                                      |        | Height of Top Sample (in)           | 1.019  | Wt. of Pan for Bottom Sample (g)          | 16.24  |
|                                      |        | Diameter of Top Sample (in)         | 2.420  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 188.37 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 174.49 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 131.76     | 131.87        | 131.82  |
| Wt. of Dry Sample (g)               | 117.54     | 117.99        | 117.77  |
| Wt. of Water (g)                    | 14.22      | 13.88         | 14.05   |
| Gravimetric Water Content (%)       | 12.10      | 11.76         | 11.93   |
| Volume of Sample (in <sup>3</sup> ) | 4.69       | 4.69          | 4.69    |
| Volumetric Water Content (%)        | 18.48      | 18.02         | 18.25   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B3                      | C4             | C5             | A6           | B9              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3089                 | 15.2147        | 15.3601        | 15.3397      | 15.2991         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7049                 | 15.6040        | 15.7553        | 15.5749      | 15.5362         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6623                 | 15.5625        | 15.7141        | 15.5464      | 15.5083         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3045                 | 15.2120        | 15.3567        | 15.3359      | 15.2961         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3578                  | 0.3505         | 0.3574         | 0.2105       | 0.2122          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0382                  | 0.0388         | 0.0378         | 0.0247       | 0.0249          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 10.68                   | 11.07          | 10.58          | 11.73        | 11.73           |
| Soil Suction (kPa)                                                                                                      | 29.329                  | 26.951         | 29.966         | 25.878       | 25.876          |
| Soil Suction (pF)                                                                                                       | 5.48                    | 5.44           | 5.49           | 5.42         | 5.42            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 28.749 (kPa) | 5.47 (pF) |
| Average Matric Suction | 25.877 (kPa) | 5.42 (pF) |
| Osmotic Suction        | 2.872 (kPa)  | 4.47 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-5 (Top), D-6 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 3/15/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 4/9/2006  | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R3     | Wt. of Top Wet Sample + Ring (g)    | 179.91 | Wt. of Bottom Wet Sample + Ring (g)       | 179.48 |
| Wt. of Ring for Top Sample (g)       | 40.61  | Height of Top Sample (in)           | 1.035  | Height of Bottom Sample (in)              | 1.040  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R7     | Wt. of Bottom Wet Sample + Ring (g) | 179.72 | Pan I.D. for Top Sample                   | KD-25  |
| Wt. of Ring for Bottom Sample (g)    | 40.26  | Height of Bottom Sample (in)        | 1.040  | Wt. of Pan for Top Sample (g)             | 15.57  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 194.84 |
| Sample Container I.D.                | C1     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.72 |
| Wt. of Sample Container (g)          | 113.68 | Wt. of Top Wet Sample + Ring (g)    | 179.27 | Pan I.D. for Bottom Sample                | KD-28  |
|                                      |        | Height of Top Sample (in)           | 1.035  | Wt. of Pan for Bottom Sample (g)          | 16.24  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 195.72 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 174.49 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 138.66     | 139.22        | 138.94  |
| Wt. of Dry Sample (g)               | 117.54     | 117.99        | 117.77  |
| Wt. of Water (g)                    | 21.12      | 21.23         | 21.18   |
| Gravimetric Water Content (%)       | 17.97      | 17.99         | 17.98   |
| Volume of Sample (in <sup>3</sup> ) | 4.83       | 4.86          | 4.84    |
| Volumetric Water Content (%)        | 26.63      | 26.64         | 26.64   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A4                      | C5             | C8             | A11          | C12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4266                 | 15.3597        | 15.3332        | 15.3181      | 15.4169         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8460                 | 15.7854        | 15.7535        | 15.5905      | 15.6912         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7670                 | 15.7068        | 15.6766        | 15.5325      | 15.6347         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4208                 | 15.3546        | 15.3294        | 15.3135      | 15.4139         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3462                  | 0.3522         | 0.3472         | 0.2190       | 0.2208          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0732                  | 0.0735         | 0.0731         | 0.0534       | 0.0535          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 21.14                   | 20.87          | 21.05          | 24.38        | 24.23           |
| Soil Suction (kPa)                                                                                                      | 3.095                   | 3.284          | 3.155          | 2.676        | 2.751           |
| Soil Suction (pF)                                                                                                       | 4.50                    | 4.52           | 4.51           | 4.44         | 4.45            |

Table 4 Calculations of Soil Suction

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 3.178 (kPa) | 4.51 (pF) |
| Average Matric Suction | 2.714 (kPa) | 4.44 (pF) |
| Osmotic Suction        | 464 (kPa)   | 3.68 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-5 (Top), D-6 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 74 Degrees F.           |
| Time of Beginning:  | 4/9/2006  | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 5/4/2006  | Notes:                |                         |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R3     | Wt. of Top Wet Sample + Ring (g)    | 184.40 | Wt. of Bottom Wet Sample + Ring (g)       | 184.07 |
| Wt. of Ring for Top Sample (g)       | 40.61  | Height of Top Sample (in)           | 1.055  | Height of Bottom Sample (in)              | 1.065  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R7     | Wt. of Bottom Wet Sample + Ring (g) | 184.60 | Pan I.D. for Top Sample                   | KD-25  |
| Wt. of Ring for Bottom Sample (g)    | 40.26  | Height of Bottom Sample (in)        | 1.065  | Wt. of Pan for Top Sample (g)             | 15.57  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 199.06 |
| Sample Container I.D.                | C1     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.72 |
| Wt. of Sample Container (g)          | 113.68 | Wt. of Top Wet Sample + Ring (g)    | 183.49 | Pan I.D. for Bottom Sample                | KD-28  |
|                                      |        | Height of Top Sample (in)           | 1.055  | Wt. of Pan for Bottom Sample (g)          | 16.24  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 200.31 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 174.49 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 142.88     | 143.81        | 143.35  |
| Wt. of Dry Sample (g)               | 117.54     | 117.99        | 117.77  |
| Wt. of Water (g)                    | 25.34      | 25.82         | 25.58   |
| Gravimetric Water Content (%)       | 21.56      | 21.88         | 21.72   |
| Volume of Sample (in <sup>3</sup> ) | 4.93       | 4.97          | 4.95    |
| Volumetric Water Content (%)        | 31.35      | 31.64         | 31.49   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B3                      | C4             | B8             | B9           | A11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3069                 | 15.2139        | 15.3056        | 15.2979      | 15.3170         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7419                 | 15.6524        | 15.7360        | 15.5894      | 15.6197         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6545                 | 15.5673        | 15.6515        | 15.5185      | 15.5430         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3044                 | 15.2114        | 15.3021        | 15.2956      | 15.3135         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3501                  | 0.3559         | 0.3494         | 0.2229       | 0.2295          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0849                  | 0.0826         | 0.0810         | 0.0686       | 0.0732          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 24.25                   | 23.21          | 23.18          | 30.78        | 31.90           |
| Soil Suction (kPa)                                                                                                      | 1.588                   | 1.986          | 1.997          | 850          | 696             |
| Soil Suction (pF)                                                                                                       | 4.21                    | 4.31           | 4.31           | 3.94         | 3.85            |

**Table 4 Calculations of Soil Suction**

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 1.857 (kPa) | 4.28 (pF) |
| Average Matric Suction | 773 (kPa)   | 3.90 (pF) |
| Osmotic Suction        | 1.084 (kPa) | 4.04 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-5 (Top), D-6 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 72 Degrees F.           |
| Time of Beginning:  | 5/6/2006  | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 5/27/2006 | Notes:                |                         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R3     | Wt. of Top Wet Sample + Ring (g)    | 189.45 | Wt. of Bottom Wet Sample + Ring (g)       | 188.59 |
| Wt. of Ring for Top Sample (g)       | 40.61  | Height of Top Sample (in)           | 1.059  | Height of Bottom Sample (in)              | 1.092  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R7     | Wt. of Bottom Wet Sample + Ring (g) | 189.55 | Pan I.D. for Top Sample                   | KD-25  |
| Wt. of Ring for Bottom Sample (g)    | 40.26  | Height of Bottom Sample (in)        | 1.092  | Wt. of Pan for Top Sample (g)             | 15.57  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 204.37 |
| Sample Container I.D.                | C1     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.72 |
| Wt. of Sample Container (g)          | 113.68 | Wt. of Top Wet Sample + Ring (g)    | 188.80 | Pan I.D. for Bottom Sample                | KD-28  |
|                                      |        | Height of Top Sample (in)           | 1.059  | Wt. of Pan for Bottom Sample (g)          | 16.24  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 204.83 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 174.49 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 148.19     | 148.33        | 148.26  |
| Wt. of Dry Sample (g)               | 117.54     | 117.99        | 117.77  |
| Wt. of Water (g)                    | 30.65      | 30.34         | 30.50   |
| Gravimetric Water Content (%)       | 26.08      | 25.71         | 25.90   |
| Volume of Sample (in <sup>3</sup> ) | 4.94       | 5.10          | 5.02    |
| Volumetric Water Content (%)        | 37.77      | 36.26         | 37.02   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A2                      | A5             | B5             | B9           | B12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4159                 | 15.1982        | 15.2761        | 15.2982      | 15.2803         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.9015                 | 15.6585        | 15.7392        | 15.6554      | 15.6237         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7733                 | 15.5462        | 15.6267        | 15.5140      | 15.4871         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4121                 | 15.1946        | 15.2725        | 15.2963      | 15.2768         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3612                  | 0.3516         | 0.3542         | 0.2177       | 0.2103          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1244                  | 0.1087         | 0.1089         | 0.1395       | 0.1331          |
| Water Content of Filter Paper, W <sub>r</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 34.44                   | 30.92          | 30.75          | 64.08        | 63.29           |
| Soil Suction (kPa)                                                                                                      | 10                      | 379            | 393            | 35           | 36              |
| Soil Suction (pF)                                                                                                       | 2.02                    | 3.59           | 3.60           | 2.56         | 2.57            |

Table 4 Calculations of Soil Suction

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 386 (kPa) | 3.60 (pF) |
| Average Matric Suction | 36 (kPa)  | 2.56 (pF) |
| Osmotic Suction        | 351 (kPa) | 3.55 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |           |                       |                         |
|---------------------|-----------|-----------------------|-------------------------|
| Sample Source:      | Russell   | Sample I.D.:          | D-5 (Top), D-6 (Bottom) |
| Soil Type:          | Claystone | Geological Formation: | Denver Formation        |
| Solution Type:      | DI Water  | Room Temperature:     | 72 Degrees F.           |
| Time of Beginning:  | 5/29/2006 | Filter Paper Type:    | Whatman No. 42          |
| Time of Completion: | 6/17/2006 | Notes:                |                         |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R3     | Wt. of Top Wet Sample + Ring (g)    | 198.34 | Wt. of Bottom Wet Sample + Ring (g)       | 196.63 |
| Wt. of Ring for Top Sample (g)       | 40.61  | Height of Top Sample (in)           | 1.120  | Height of Bottom Sample (in)              | 1.131  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R7     | Wt. of Bottom Wet Sample + Ring (g) | 198.07 | Pan I.D. for Top Sample                   | KD-25  |
| Wt. of Ring for Bottom Sample (g)    | 40.26  | Height of Bottom Sample (in)        | 1.131  | Wt. of Pan for Top Sample (g)             | 15.57  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 212.59 |
| Sample Container I.D.                | C1     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 173.72 |
| Wt. of Sample Container (g)          | 113.68 | Wt. of Top Wet Sample + Ring (g)    | 197.02 | Pan I.D. for Bottom Sample                | KD-28  |
|                                      |        | Height of Top Sample (in)           | 1.120  | Wt. of Pan for Bottom Sample (g)          | 16.24  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 212.87 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 174.49 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 156.41     | 156.37        | 156.39  |
| Wt. of Dry Sample (g)               | 117.54     | 117.99        | 117.77  |
| Wt. of Water (g)                    | 38.87      | 38.38         | 38.63   |
| Gravimetric Water Content (%)       | 33.07      | 32.53         | 32.80   |
| Volume of Sample (in <sup>3</sup> ) | 5.23       | 5.28          | 5.25    |
| Volumetric Water Content (%)        | 45.29      | 44.29         | 44.79   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | D17                     | D18            | D31            | D33          | D35             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4529                 | 15.4364        | 15.3210        | 15.3603      | 15.3590         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.9451                 | 15.8949        | 15.8090        | 15.9007      | 15.9226         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.8177                 | 15.7708        | 15.6777        | 15.5711      | 15.5775         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4511                 | 15.4291        | 15.3142        | 15.3585      | 15.3582         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3666                  | 0.3417         | 0.3635         | 0.2126       | 0.2193          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1256                  | 0.1168         | 0.1245         | 0.3278       | 0.3443          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 34.26                   | 34.18          | 34.25          | 154.19       | 157.00          |
| Soil Suction (kPa)                                                                                                      | 13                      | 15             | 13             | 2            | 2               |
| Soil Suction (pF)                                                                                                       | 2.13                    | 2.18           | 2.14           | 1.34         | 1.30            |

**Table 4 Calculations of Soil Suction**

|                        |          |           |
|------------------------|----------|-----------|
| Average Total Suction  | 14 (kPa) | 2.16 (pF) |
| Average Matric Suction | 2 (kPa)  | 1.32 (pF) |
| Osmotic Suction        | 12 (kPa) | 2.09 (pF) |

**APPENDIX D.2**  
**PIERRE SHALE FORMATION**

| Table D-2 Summary of Results of Soil Water Characteristic Curve |                               |                              |                       |                        |                   |                  |                          |                     |                      |                       |                            |
|-----------------------------------------------------------------|-------------------------------|------------------------------|-----------------------|------------------------|-------------------|------------------|--------------------------|---------------------|----------------------|-----------------------|----------------------------|
| Curve Type:                                                     | Wetting Curve                 |                              |                       |                        |                   |                  |                          |                     |                      |                       |                            |
| Formation:                                                      | Pierre Shale Formation        |                              |                       |                        |                   |                  |                          |                     |                      |                       |                            |
| Sample I.D.                                                     | Gravimetric Water Content (%) | Volumetric Water Content (%) | Wt. of Dry Sample (g) | Volume of Sample (in³) | Dry Density (pcf) | Specific Gravity | Degree of Saturation (%) | Total Suction (kPa) | Matric Suction (kPa) | Osmotic Suction (kPa) | Notes                      |
| PS-1&2                                                          | 0.00                          | 0.00                         |                       |                        |                   | 2.76             | 0.00                     | 244,584             | 175,038              | 69,546                | Avg. of Oven Dried Samples |
|                                                                 | 3.25                          | 5.45                         | 122.45                | 4.45                   | 104.90            |                  | 13.98                    | 144,883             | 113,801              | 31,083                | Air Dried Sample           |
|                                                                 | 5.70                          | 9.44                         | 122.45                | 4.51                   | 103.53            |                  | 23.71                    | 78,657              | 69,643               | 9,014                 |                            |
|                                                                 | 8.41                          | 13.62                        | 122.45                | 4.61                   | 101.24            |                  | 33.11                    | 35,711              | 33,650               | 2,061                 |                            |
|                                                                 | 11.57                         | 18.24                        | 122.45                | 4.73                   | 98.61             |                  | 42.77                    | 15,678              | 13,102               | 2,576                 |                            |
|                                                                 | 16.95                         | 25.99                        | 122.45                | 4.86                   | 95.90             |                  | 58.77                    | 1,993               | 1,659                | 334                   |                            |
|                                                                 | 19.93                         | 29.96                        | 122.45                | 4.96                   | 94.00             |                  | 66.11                    | 916                 | 382                  | 534                   |                            |
|                                                                 | 23.73                         | 35.30                        | 122.45                | 5.02                   | 93.00             |                  | 76.89                    | 105                 | 32                   | 74                    |                            |
|                                                                 | 29.63                         | 42.59                        | 122.45                | 5.19                   | 89.90             |                  | 89.31                    | 20                  | 2                    | 18                    |                            |
|                                                                 | PS-3&4                        | 0.00                         | 0.00                  |                        |                   |                  |                          | 0.00                | 244,584              | 175,038               | 69,546                     |
| 3.27                                                            |                               | 5.64                         | 122.47                | 4.32                   | 107.90            |                  | 15.14                    | 150,688             | 120,232              | 30,456                | Air Dried Sample           |
| 5.63                                                            |                               | 9.56                         | 122.47                | 4.40                   | 106.09            |                  | 24.95                    | 77,090              | 68,473               | 8,617                 |                            |
| 8.16                                                            |                               | 13.64                        | 122.47                | 4.46                   | 104.53            |                  | 34.77                    | 38,311              | 33,028               | 5,282                 |                            |
| 11.44                                                           |                               | 18.15                        | 106.68                | 4.10                   | 99.22             |                  | 42.91                    | 16,391              | 13,473               | 2,917                 |                            |
| 17.37                                                           |                               | 26.63                        | 106.68                | 4.24                   | 95.93             |                  | 60.29                    | 2,010               | 1,227                | 783                   |                            |
| 20.69                                                           |                               | 30.73                        | 106.68                | 4.37                   | 93.06             |                  | 67.13                    | 884                 | 219                  | 664                   |                            |
| 23.95                                                           |                               | 34.97                        | 106.68                | 4.44                   | 91.55             |                  | 74.99                    | 154                 | 24                   | 130                   |                            |
| 29.30                                                           |                               | 41.08                        | 106.68                | 4.62                   | 87.94             |                  | 84.38                    | 140                 | 2                    | 138                   |                            |
| PS-5&6                                                          |                               | 0.00                         | 0.00                  |                        |                   |                  |                          | 0.00                | 244,584              | 175,038               | 69,546                     |
|                                                                 | 3.53                          | 5.98                         | 121.92                | 4.38                   | 105.97            |                  | 15.57                    | 152,764             | 121,124              | 31,640                | Air Dried Sample           |
|                                                                 | 6.09                          | 10.15                        | 121.92                | 4.45                   | 104.31            |                  | 25.80                    | 77,770              | 68,257               | 9,513                 |                            |
|                                                                 | 8.64                          | 14.21                        | 121.92                | 4.51                   | 102.87            |                  | 35.36                    | 34,149              | 30,866               | 3,284                 |                            |
|                                                                 | 11.61                         | 18.21                        | 121.92                | 4.74                   | 98.04             |                  | 42.36                    | 15,632              | 9,649                | 5,983                 |                            |
|                                                                 | 17.24                         | 26.24                        | 121.92                | 4.88                   | 95.16             |                  | 58.75                    | 2,049               | 1,233                | 816                   |                            |
|                                                                 | 20.23                         | 30.19                        | 121.92                | 4.98                   | 93.29             |                  | 65.99                    | 1,680               | 410                  | 1,270                 |                            |
|                                                                 | 24.02                         | 35.29                        | 121.92                | 5.06                   | 91.87             |                  | 75.80                    | 126                 | 25                   | 101                   |                            |
|                                                                 | 29.82                         | 42.20                        | 121.92                | 5.25                   | 88.48             |                  | 86.97                    | 42                  | 2                    | 41                    |                            |
|                                                                 | Average                       | 0.00                         | 0.00                  |                        |                   |                  |                          | 0.00                | 244,584              | 175,038               | 69,546                     |
| 3.35                                                            |                               | 5.69                         | 122.28                | 4.38                   | 106.24            |                  | 14.89                    | 149,445             | 118,386              | 31,059                |                            |
| 5.81                                                            |                               | 9.72                         | 122.28                | 4.45                   | 104.63            |                  | 24.81                    | 77,839              | 68,791               | 9,048                 |                            |
| 8.40                                                            |                               | 13.82                        | 122.28                | 4.53                   | 102.86            |                  | 34.39                    | 36,057              | 32,515               | 3,542                 |                            |
| 11.54                                                           |                               | 18.20                        | 117.01                | 4.52                   | 98.59             |                  | 42.65                    | 15,900              | 12,075               | 3,825                 |                            |
| 17.18                                                           |                               | 26.28                        | 117.01                | 4.66                   | 95.65             |                  | 59.25                    | 2,017               | 1,373                | 644                   |                            |
| 20.28                                                           |                               | 30.29                        | 117.01                | 4.77                   | 93.47             |                  | 66.44                    | 900                 | 337                  | 563                   |                            |
| 23.90                                                           |                               | 35.19                        | 117.01                | 4.84                   | 92.16             |                  | 75.93                    | 128                 | 27                   | 101                   |                            |
| 29.59                                                           |                               | 41.96                        | 117.01                | 5.02                   | 88.80             |                  | 86.92                    | 31                  | 2                    | 29                    |                            |

# FILTER PAPER TEST DATA SHEET

|                     |                   |                       |                   |
|---------------------|-------------------|-----------------------|-------------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W10-1             |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale      |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 73 Degrees F.     |
| Time of Beginning:  | 1/23/2006         | Filter Paper Type:    | Whatman No. 42    |
| Time of Completion: | 3/4/2006          | Notes:                | Oven-Dried Sample |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R6     | Wt. of Top Wet Sample + Ring (g)    | 166.95 | Wt. of Bottom Wet Sample + Ring (g)       | 167.64 |
| Wt. of Ring for Top Sample (g)       | 40.15  | Height of Top Sample (in)           |        | Height of Bottom Sample (in)              |        |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         |        | Diameter of Bottom Sample (in)            |        |
| Ring I.D. for Bottom Sample          | R19    | Wt. of Bottom Wet Sample + Ring (g) | 167.65 | Pan I.D. for Top Sample                   |        |
| Wt. of Ring for Bottom Sample (g)    | 40.35  | Height of Bottom Sample (in)        |        | Wt. of Pan for Top Sample (g)             |        |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      |        | Wt. of Top Wet Sample + Ring + Pan (g)    |        |
| Sample Container I.D.                | C16    | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    |        |
| Wt. of Sample Container (g)          | 113.32 | Wt. of Top Wet Sample + Ring (g)    | 166.90 | Pan I.D. for Bottom Sample                |        |
|                                      |        | Height of Top Sample (in)           |        | Wt. of Pan for Bottom Sample (g)          |        |
|                                      |        | Diameter of Top Sample (in)         |        | Wt. of Bottom Wet Sample + Ring + Pan (g) |        |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) |        |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               |            |               |         |
| Wt. of Dry Sample (g)               |            |               |         |
| Wt. of Water (g)                    |            |               |         |
| Gravimetric Water Content (%)       |            |               |         |
| Volume of Sample (in <sup>3</sup> ) |            |               |         |
| Volumetric Water Content (%)        |            |               |         |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C1                      | B2             | C3             | A8           | A10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4268                 | 15.3233        | 15.4607        | 15.4320      | 15.3544         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7901                 | 15.6787        | 15.8253        | 15.6521      | 15.5844         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7841                 | 15.6727        | 15.8181        | 15.6462      | 15.5780         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4236                 | 15.3201        | 15.4569        | 15.4287      | 15.3535         |
| Wt. of Dry Filter Paper, M <sub>i</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3605                  | 0.3526         | 0.3612         | 0.2175       | 0.2245          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0028                  | 0.0028         | 0.0034         | 0.0026       | 0.0055          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 0.78                    | 0.79           | 0.94           | 1.20         | 2.45            |
| Soil Suction (kPa)                                                                                                      | 245,999                 | 245,081        | 237,452        | 171,348      | 136,821         |
| Soil Suction (pF)                                                                                                       | 6.40                    | 6.40           | 6.38           | 6.24         | 6.14            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 242,844 (kPa) | 6.39 (pF) |
| Average Matric Suction | 154,084 (kPa) | 6.20 (pF) |
| Osmotic Suction        | 88,760 (kPa)  | 5.96 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |                   |                       |                   |
|---------------------|-------------------|-----------------------|-------------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W12-8             |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale      |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 73 Degrees F.     |
| Time of Beginning:  | 1/23/2006         | Filter Paper Type:    | Whatman No. 42    |
| Time of Completion: | 3/4/2006          | Notes:                | Oven-Dried Sample |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R10    | Wt. of Top Wet Sample + Ring (g)    | 166.04 | Wt. of Bottom Wet Sample + Ring (g)       | 166.32 |
| Wt. of Ring for Top Sample (g)       | 40.15  | Height of Top Sample (in)           |        | Height of Bottom Sample (in)              |        |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         |        | Diameter of Bottom Sample (in)            |        |
| Ring I.D. for Bottom Sample          | R28    | Wt. of Bottom Wet Sample + Ring (g) | 166.39 | Pan I.D. for Top Sample                   |        |
| Wt. of Ring for Bottom Sample (g)    | 40.16  | Height of Bottom Sample (in)        |        | Wt. of Pan for Top Sample (g)             |        |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      |        | Wt. of Top Wet Sample + Ring + Pan (g)    |        |
| Sample Container I.D.                | C14    | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    |        |
| Wt. of Sample Container (g)          | 112.92 | Wt. of Top Wet Sample + Ring (g)    | 166.06 | Pan I.D. for Bottom Sample                |        |
|                                      |        | Height of Top Sample (in)           |        | Wt. of Pan for Bottom Sample (g)          |        |
|                                      |        | Diameter of Top Sample (in)         |        | Wt. of Bottom Wet Sample + Ring + Pan (g) |        |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) |        |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               |            |               |         |
| Wt. of Dry Sample (g)               |            |               |         |
| Wt. of Water (g)                    |            |               |         |
| Gravimetric Water Content (%)       |            |               |         |
| Volume of Sample (in <sup>3</sup> ) |            |               |         |
| Volumetric Water Content (%)        |            |               |         |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A1                      | C7             | C8             | C9           | A11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4165                 | 15.5120        | 15.4412        | 15.3675      | 15.3180         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7730                 | 15.8802        | 15.8036        | 15.5875      | 15.5407         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7669                 | 15.8742        | 15.7982        | 15.5827      | 15.5357         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4141                 | 15.5088        | 15.4382        | 15.3640      | 15.3148         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3528                  | 0.3654         | 0.3600         | 0.2187       | 0.2209          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0037                  | 0.0028         | 0.0024         | 0.0013       | 0.0018          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 1.05                    | 0.77           | 0.67           | 0.59         | 0.81            |
| Soil Suction (kPa)                                                                                                      | 232.034                 | 246.550        | 251.884        | 190.851      | 183.452         |
| Soil Suction (pF)                                                                                                       | 6.37                    | 6.40           | 6.41           | 6.29         | 6.27            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 243.489 (kPa) | 6.39 (pF) |
| Average Matric Suction | 187.152 (kPa) | 6.28 (pF) |
| Osmotic Suction        | 56.338 (kPa)  | 5.76 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                            |                   |                              |                   |
|----------------------------|-------------------|------------------------------|-------------------|
| <b>Sample Source:</b>      | Kim Project       | <b>Sample I.D.:</b>          | W15-1             |
| <b>Soil Type:</b>          | Claystone         | <b>Geological Formation:</b> | Pierre Shale      |
| <b>Solution Type:</b>      | DI, D-Aired Water | <b>Room Temperature:</b>     | 73 Degrees F.     |
| <b>Time of Beginning:</b>  | 1/23/2006         | <b>Filter Paper Type:</b>    | Whatman No. 42    |
| <b>Time of Completion:</b> | 3/4/2006          | <b>Notes:</b>                | Oven-Dried Sample |

**Table 1 Summary of Sample and Equipment Information**

| <b>Steel Rings and Sample Container:</b> |        | <b>Beginning of the Test:</b>       |        | <b>End of the Test (continued):</b>       |        |
|------------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample                 | R13    | Wt. of Top Wet Sample + Ring (g)    | 165.83 | Wt. of Bottom Wet Sample + Ring (g)       | 165.50 |
| Wt. of Ring for Top Sample (g)           | 40.30  | Height of Top Sample (in)           |        | Height of Bottom Sample (in)              |        |
| Ring Diameter for Top Sample (in)        | 2.438  | Diameter of Top Sample (in)         |        | Diameter of Bottom Sample (in)            |        |
| Ring I.D. for Bottom Sample              | R17    | Wt. of Bottom Wet Sample + Ring (g) | 165.57 | Pan I.D. for Top Sample                   |        |
| Wt. of Ring for Bottom Sample (g)        | 40.36  | Height of Bottom Sample (in)        |        | Wt. of Pan for Top Sample (g)             |        |
| Ring Diameter for Bottom Sample (in)     | 2.438  | Diameter of Bottom Sample (in)      |        | Wt. of Top Wet Sample + Ring + Pan (g)    |        |
| Sample Container I.D.                    | C12    | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    |        |
| Wt. of Sample Container (g)              | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 165.80 | Pan I.D. for Bottom Sample                |        |
|                                          |        | Height of Top Sample (in)           |        | Wt. of Pan for Bottom Sample (g)          |        |
|                                          |        | Diameter of Top Sample (in)         |        | Wt. of Bottom Wet Sample + Ring + Pan (g) |        |
|                                          |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) |        |

**Table 2 Calculations of Soil Sample Properties**

| <b>Soil Property</b>                | <b>Top Sample</b> | <b>Bottom Sample</b> | <b>Average</b> |
|-------------------------------------|-------------------|----------------------|----------------|
| Wt. of Wet Sample (g)               |                   |                      |                |
| Wt. of Dry Sample (g)               |                   |                      |                |
| Wt. of Water (g)                    |                   |                      |                |
| Gravimetric Water Content (%)       |                   |                      |                |
| Volume of Sample (in <sup>3</sup> ) |                   |                      |                |
| Volumetric Water Content (%)        |                   |                      |                |

**Table 3 Measurements of Soil Suction**

| <b>Measurements</b>                                                                                                     | <b>Total or Matric Suction</b> |                       |                       |                     |                        |
|-------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------|-----------------------|---------------------|------------------------|
|                                                                                                                         | <b>Total (Top)</b>             | <b>Total (Middle)</b> | <b>Total (Bottom)</b> | <b>Matric (Top)</b> | <b>Matric (Bottom)</b> |
| Moisture Tin I.D.                                                                                                       | A7                             | B8                    | B9                    | Total (Middle)      | C12                    |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4208                        | 15.3059               | 15.2987               | 15.3140             | 15.4158                |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7894                        | 15.6611               | 15.6590               | 15.5321             | 15.6360                |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7820                        | 15.6564               | 15.6530               | 15.5281             | 15.6319                |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4166                        | 15.3038               | 15.2956               | 15.3124             | 15.4135                |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3654                         | 0.3526                | 0.3574                | 0.2157              | 0.2184                 |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0032                         | 0.0026                | 0.0029                | 0.0024              | 0.0018                 |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 0.88                           | 0.74                  | 0.81                  | 1.11                | 0.82                   |
| Soil Suction (kPa)                                                                                                      | 240,820                        | 248,086               | 244,171               | 173,910             | 183,146                |
| Soil Suction (pF)                                                                                                       | 6.39                           | 6.40                  | 6.40                  | 6.25                | 6.27                   |

**Table 4 Calculations of Soil Suction**

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 244,359 (kPa) | 6.40 (pF) |
| Average Matric Suction | 178,528 (kPa) | 6.26 (pF) |
| Osmotic Suction        | 65,831 (kPa)  | 5.83 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |                   |                       |                   |
|---------------------|-------------------|-----------------------|-------------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W19-8             |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale      |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 73 Degrees F.     |
| Time of Beginning:  | 1/23/2006         | Filter Paper Type:    | Whatman No. 42    |
| Time of Completion: | 3/4/2006          | Notes:                | Oven-Dried Sample |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R16    | Wt. of Top Wet Sample + Ring (g)    | 165.14 | Wt. of Bottom Wet Sample + Ring (g)       | 165.96 |
| Wt. of Ring for Top Sample (g)       | 40.31  | Height of Top Sample (in)           |        | Height of Bottom Sample (in)              |        |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         |        | Diameter of Bottom Sample (in)            |        |
| Ring I.D. for Bottom Sample          | R22    | Wt. of Bottom Wet Sample + Ring (g) | 166.00 | Pan I.D. for Top Sample                   |        |
| Wt. of Ring for Bottom Sample (g)    | 40.15  | Height of Bottom Sample (in)        |        | Wt. of Pan for Top Sample (g)             |        |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      |        | Wt. of Top Wet Sample + Ring + Pan (g)    |        |
| Sample Container I.D.                | C13    | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    |        |
| Wt. of Sample Container (g)          | 113.45 | Wt. of Top Wet Sample + Ring (g)    | 165.12 | Pan I.D. for Bottom Sample                |        |
|                                      |        | Height of Top Sample (in)           |        | Wt. of Pan for Bottom Sample (g)          |        |
|                                      |        | Diameter of Top Sample (in)         |        | Wt. of Bottom Wet Sample + Ring + Pan (g) |        |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) |        |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               |            |               |         |
| Wt. of Dry Sample (g)               |            |               |         |
| Wt. of Water (g)                    |            |               |         |
| Gravimetric Water Content (%)       |            |               |         |
| Volume of Sample (in <sup>3</sup> ) |            |               |         |
| Volumetric Water Content (%)        |            |               |         |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |                |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|----------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top)   | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B1                      | C2             | C4             | Total (Middle) | B11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3674                 | 15.3314        | 15.2144        | 15.3272        | 15.2631         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7254                 | 15.6943        | 15.5709        | 15.5458        | 15.4684         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7201                 | 15.6886        | 15.5647        | 15.5414        | 15.4631         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3646                 | 15.3282        | 15.2112        | 15.3246        | 15.2595         |
| Wt. of Dry Filter Paper, M <sub>i</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3555                  | 0.3604         | 0.3535         | 0.2168         | 0.2036          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0025                  | 0.0025         | 0.0030         | 0.0018         | 0.0017          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 0.70                    | 0.69           | 0.85           | 0.83           | 0.83            |
| Soil Suction (kPa)                                                                                                      | 249,913                 | 250,426        | 242,226        | 182,946        | 182,791         |
| Soil Suction (pF)                                                                                                       | 6.41                    | 6.41           | 6.39           | 6.27           | 6.27            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 247,522 (kPa) | 6.40 (pF) |
| Average Matric Suction | 182,869 (kPa) | 6.27 (pF) |
| Osmotic Suction        | 64,653 (kPa)  | 5.82 (pF) |

## FILTER PAPER TEST DATA SHEET

|                     |                   |                       |                   |
|---------------------|-------------------|-----------------------|-------------------|
| Sample Source:      | Kim Project       | Sample I.D.:          | W25-8             |
| Soil Type:          | Claystone         | Geological Formation: | Pierre Shale      |
| Solution Type:      | DI, D-Aired Water | Room Temperature:     | 73 Degrees F.     |
| Time of Beginning:  | 1/23/2006         | Filter Paper Type:    | Whatman No. 42    |
| Time of Completion: | 3/4/2006          | Notes:                | Oven-Dried Sample |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R21    | Wt. of Top Wet Sample + Ring (g)    | 164.98 | Wt. of Bottom Wet Sample + Ring (g)       | 165.18 |
| Wt. of Ring for Top Sample (g)       | 40.27  | Height of Top Sample (in)           |        | Height of Bottom Sample (in)              |        |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         |        | Diameter of Bottom Sample (in)            |        |
| Ring I.D. for Bottom Sample          | R30    | Wt. of Bottom Wet Sample + Ring (g) | 165.22 | Pan I.D. for Top Sample                   |        |
| Wt. of Ring for Bottom Sample (g)    | 40.10  | Height of Bottom Sample (in)        |        | Wt. of Pan for Top Sample (g)             |        |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      |        | Wt. of Top Wet Sample + Ring + Pan (g)    |        |
| Sample Container I.D.                | C3     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    |        |
| Wt. of Sample Container (g)          | 114.43 | Wt. of Top Wet Sample + Ring (g)    | 164.95 | Pan I.D. for Bottom Sample                |        |
|                                      |        | Height of Top Sample (in)           |        | Wt. of Pan for Bottom Sample (g)          |        |
|                                      |        | Diameter of Top Sample (in)         |        | Wt. of Bottom Wet Sample + Ring + Pan (g) |        |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) |        |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               |            |               |         |
| Wt. of Dry Sample (g)               |            |               |         |
| Wt. of Water (g)                    |            |               |         |
| Gravimetric Water Content (%)       |            |               |         |
| Volume of Sample (in <sup>3</sup> ) |            |               |         |
| Volumetric Water Content (%)        |            |               |         |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B3                      | B4             | A9             | C10          | B12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3073                 | 15.3050        | 15.4892        | 15.4248      | 15.2811         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.6655                 | 15.6651        | 15.8400        | 15.6450      | 15.4984         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6592                 | 15.6578        | 15.8333        | 15.6401      | 15.4931         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3037                 | 15.3007        | 15.4853        | 15.4223      | 15.2784         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3555                  | 0.3571         | 0.3480         | 0.2178       | 0.2147          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0027                  | 0.0030         | 0.0028         | 0.0024       | 0.0026          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 0.76                    | 0.84           | 0.80           | 1.10         | 1.21            |
| Soil Suction (kPa)                                                                                                      | 246,910                 | 242,671        | 244,529        | 174,245      | 170,869         |
| Soil Suction (pF)                                                                                                       | 6.40                    | 6.39           | 6.40           | 6.25         | 6.24            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 244,704 (kPa) | 6.40 (pF) |
| Average Matric Suction | 172,557 (kPa) | 6.25 (pF) |
| Osmotic Suction        | 72,147 (kPa)  | 5.87 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-1 (Top), PS-2 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 11/9/2005   | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 12/9/2005   | Notes:                | Air Dried Sample          |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R11    | Wt. of Top Wet Sample + Ring (g)    | 167.38 | Wt. of Bottom Wet Sample + Ring (g)       | 166.49 |
| Wt. of Ring for Top Sample (g)       | 40.50  | Height of Top Sample (in)           | 0.995  | Height of Bottom Sample (in)              | 0.995  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.387  | Diameter of Bottom Sample (in)            | 2.384  |
| Ring I.D. for Bottom Sample          | R15    | Wt. of Bottom Wet Sample + Ring (g) | 166.87 | Pan I.D. for Top Sample                   | KD5    |
| Wt. of Ring for Bottom Sample (g)    | 40.30  | Height of Bottom Sample (in)        | 0.995  | Wt. of Pan for Top Sample (g)             | 15.58  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.384  | Wt. of Top Wet Sample + Ring + Pan (g)    | 182.74 |
| Sample Container I.D.                | C4     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 178.68 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 167.16 | Pan I.D. for Bottom Sample                | DC12   |
|                                      |        | Height of Top Sample (in)           | 0.995  | Wt. of Pan for Bottom Sample (g)          | 13.34  |
|                                      |        | Diameter of Top Sample (in)         | 2.387  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 179.83 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.93 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 126.66     | 126.19        | 126.43  |
| Wt. of Dry Sample (g)               | 122.60     | 122.29        | 122.45  |
| Wt. of Water (g)                    | 4.06       | 3.90          | 3.98    |
| Gravimetric Water Content (%)       | 3.31       | 3.19          | 3.25    |
| Volume of Sample (in <sup>3</sup> ) | 4.45       | 4.44          | 4.45    |
| Volumetric Water Content (%)        | 5.56       | 5.35          | 5.45    |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B4                      | B5             | B10            | A11          | B12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3048                 | 15.2776        | 15.3350        | 15.3185      | 15.2811         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.6596                 | 15.6345        | 15.6987        | 15.5306      | 15.4973         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6455                 | 15.6200        | 15.6849        | 15.5205      | 15.4878         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3030                 | 15.2737        | 15.3321        | 15.3160      | 15.2784         |
| Wt. of Dry Filter Paper, M <sub>i</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3425                  | 0.3463         | 0.3528         | 0.2045       | 0.2094          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0123                  | 0.0106         | 0.0109         | 0.0076       | 0.0068          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 3.59                    | 3.06           | 3.09           | 3.72         | 3.25            |
| Soil Suction (kPa)                                                                                                      | 134,381                 | 150,597        | 149,673        | 109,017      | 118,585         |
| Soil Suction (pF)                                                                                                       | 6.14                    | 6.19           | 6.18           | 6.05         | 6.08            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 144,883 (kPa) | 6.17 (pF) |
| Average Matric Suction | 113,801 (kPa) | 6.06 (pF) |
| Osmotic Suction        | 31,083 (kPa)  | 5.50 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-1 (Top), PS-2 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 12/12/2005  | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 1/7/2006    | Notes:                |                           |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R11    | Wt. of Top Wet Sample + Ring (g)    | 170.55 | Wt. of Bottom Wet Sample + Ring (g)       | 169.45 |
| Wt. of Ring for Top Sample (g)       | 40.50  | Height of Top Sample (in)           | 0.998  | Height of Bottom Sample (in)              | 0.999  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.398  | Diameter of Bottom Sample (in)            | 2.396  |
| Ring I.D. for Bottom Sample          | R15    | Wt. of Bottom Wet Sample + Ring (g) | 169.86 | Pan I.D. for Top Sample                   | KD5    |
| Wt. of Ring for Bottom Sample (g)    | 40.30  | Height of Bottom Sample (in)        | 0.999  | Wt. of Pan for Top Sample (g)             | 15.58  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.396  | Wt. of Top Wet Sample + Ring + Pan (g)    | 185.78 |
| Sample Container I.D.                | C4     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 178.68 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 170.20 | Pan I.D. for Bottom Sample                | DC12   |
|                                      |        | Height of Top Sample (in)           | 0.998  | Wt. of Pan for Bottom Sample (g)          | 13.34  |
|                                      |        | Diameter of Top Sample (in)         | 2.398  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 182.79 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.93 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 129.70     | 129.15        | 129.43  |
| Wt. of Dry Sample (g)               | 122.60     | 122.29        | 122.45  |
| Wt. of Water (g)                    | 7.10       | 6.86          | 6.98    |
| Gravimetric Water Content (%)       | 5.79       | 5.61          | 5.70    |
| Volume of Sample (in <sup>3</sup> ) | 4.51       | 4.50          | 4.51    |
| Volumetric Water Content (%)        | 9.60       | 9.28          | 9.44    |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C1                      | A3             | C4             | B9           | A12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4263                 | 15.2503        | 15.2151        | 15.3014      | 15.3974         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7967                 | 15.6150        | 15.5797        | 15.5300      | 15.6320         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7712                 | 15.5917        | 15.5558        | 15.5135      | 15.6142         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4223                 | 15.2472        | 15.2126        | 15.2984      | 15.3932         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3489                  | 0.3445         | 0.3432         | 0.2151       | 0.2210          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0215                  | 0.0202         | 0.0214         | 0.0135       | 0.0136          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 6.16                    | 5.86           | 6.24           | 6.28         | 6.15            |
| Soil Suction (kPa)                                                                                                      | 77,351                  | 82,476         | 76,144         | 68,879       | 70,407          |
| Soil Suction (pF)                                                                                                       | 5.90                    | 5.92           | 5.89           | 5.85         | 5.86            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 78,657 (kPa) | 5.90 (pF) |
| Average Matric Suction | 69,643 (kPa) | 5.85 (pF) |
| Osmotic Suction        | 9,014 (kPa)  | 4.96 (pF) |

## FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-1 (Top), PS-2 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 1/8/2006    | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 1/22/2006   | Notes:                |                           |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R11    | Wt. of Top Wet Sample + Ring (g)    | 173.75 | Wt. of Bottom Wet Sample + Ring (g)       | 173.35 |
| Wt. of Ring for Top Sample (g)       | 40.50  | Height of Top Sample (in)           | 1.016  | Height of Bottom Sample (in)              | 1.016  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.402  | Diameter of Bottom Sample (in)            | 2.404  |
| Ring I.D. for Bottom Sample          | R15    | Wt. of Bottom Wet Sample + Ring (g) | 173.52 | Pan I.D. for Top Sample                   | KD5    |
| Wt. of Ring for Bottom Sample (g)    | 40.30  | Height of Bottom Sample (in)        | 1.016  | Wt. of Pan for Top Sample (g)             | 15.58  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.404  | Wt. of Top Wet Sample + Ring + Pan (g)    | 188.52 |
| Sample Container I.D.                | C4     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 178.68 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 172.94 | Pan I.D. for Bottom Sample                | DC12   |
|                                      |        | Height of Top Sample (in)           | 1.016  | Wt. of Pan for Bottom Sample (g)          | 13.34  |
|                                      |        | Diameter of Top Sample (in)         | 2.402  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 186.69 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.93 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 132.44     | 133.05        | 132.75  |
| Wt. of Dry Sample (g)               | 122.60     | 122.29        | 122.45  |
| Wt. of Water (g)                    | 9.84       | 10.76         | 10.30   |
| Gravimetric Water Content (%)       | 8.03       | 8.80          | 8.41    |
| Volume of Sample (in <sup>3</sup> ) | 4.60       | 4.61          | 4.61    |
| Volumetric Water Content (%)        | 13.02      | 14.21         | 13.62   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C5                      | A4             | B7             | C7           | C11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3605                 | 15.4252        | 15.3279        | 15.5134      | 15.3149         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7450                 | 15.8154        | 15.7149        | 15.7565      | 15.5479         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7062                 | 15.7772        | 15.6771        | 15.7287      | 15.5245         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3561                 | 15.4217        | 15.3243        | 15.5089      | 15.3126         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3501                  | 0.3555         | 0.3528         | 0.2198       | 0.2119          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0344                  | 0.0347         | 0.0342         | 0.0233       | 0.0211          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 9.83                    | 9.76           | 9.69           | 10.60        | 9.96            |
| Soil Suction (kPa)                                                                                                      | 35,209                  | 35,703         | 36,221         | 31,712       | 35,588          |
| Soil Suction (pF)                                                                                                       | 5.56                    | 5.56           | 5.57           | 5.51         | 5.56            |

**Table 4 Calculations of Soil Suction**

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 35,711 (kPa) | 5.56 (pF) |
| Average Matric Suction | 33,650 (kPa) | 5.54 (pF) |
| Osmotic Suction        | 2,061 (kPa)  | 4.32 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-1 (Top), PS-2 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 1/24/2006   | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 3/14/2006   | Notes:                |                           |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R11    | Wt. of Top Wet Sample + Ring (g)    | 177.60 | Wt. of Bottom Wet Sample + Ring (g)       | 176.86 |
| Wt. of Ring for Top Sample (g)       | 40.50  | Height of Top Sample (in)           | 1.021  | Height of Bottom Sample (in)              | 1.036  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.420  | Diameter of Bottom Sample (in)            | 2.420  |
| Ring I.D. for Bottom Sample          | R15    | Wt. of Bottom Wet Sample + Ring (g) | 177.54 | Pan I.D. for Top Sample                   | KD5    |
| Wt. of Ring for Bottom Sample (g)    | 40.30  | Height of Bottom Sample (in)        | 1.036  | Wt. of Pan for Top Sample (g)             | 15.58  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.420  | Wt. of Top Wet Sample + Ring + Pan (g)    | 192.74 |
| Sample Container I.D.                | C4     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 178.68 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 177.16 | Pan I.D. for Bottom Sample                | DC12   |
|                                      |        | Height of Top Sample (in)           | 1.021  | Wt. of Pan for Bottom Sample (g)          | 13.34  |
|                                      |        | Diameter of Top Sample (in)         | 2.420  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 190.20 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.93 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 136.66     | 136.56        | 136.61  |
| Wt. of Dry Sample (g)               | 122.60     | 122.29        | 122.45  |
| Wt. of Water (g)                    | 14.06      | 14.27         | 14.17   |
| Gravimetric Water Content (%)       | 11.47      | 11.67         | 11.57   |
| Volume of Sample (in <sup>3</sup> ) | 4.70       | 4.77          | 4.73    |
| Volumetric Water Content (%)        | 18.24      | 18.24         | 18.24   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C2                      | A9             | B10            | B11          | C12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3312                 | 15.4900        | 15.3351        | 15.2614      | 15.4163         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7283                 | 15.8902        | 15.7362        | 15.5143      | 15.6581         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6767                 | 15.8386        | 15.6849        | 15.4769      | 15.6230         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3275                 | 15.4863        | 15.3314        | 15.2581      | 15.4136         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3492                  | 0.3523         | 0.3535         | 0.2188       | 0.2094          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0479                  | 0.0479         | 0.0476         | 0.0341       | 0.0324          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 13.72                   | 13.60          | 13.47          | 15.59        | 15.47           |
| Soil Suction (kPa)                                                                                                      | 15,262                  | 15,662         | 16,110         | 12,970       | 13,233          |
| Soil Suction (pF)                                                                                                       | 5.19                    | 5.20           | 5.22           | 5.12         | 5.13            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 15,678 (kPa) | 5.20 (pF) |
| Average Matric Suction | 13,102 (kPa) | 5.13 (pF) |
| Osmotic Suction        | 2,576 (kPa)  | 4.42 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-1 (Top), PS-2 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | D1 Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 3/15/2006   | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 4/9/2006    | Notes:                |                           |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R11    | Wt. of Top Wet Sample + Ring (g)    | 184.22 | Wt. of Bottom Wet Sample + Ring (g)       | 183.74 |
| Wt. of Ring for Top Sample (g)       | 40.50  | Height of Top Sample (in)           | 1.026  | Height of Bottom Sample (in)              | 1.058  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R15    | Wt. of Bottom Wet Sample + Ring (g) | 184.29 | Pan I.D. for Top Sample                   | KD5    |
| Wt. of Ring for Bottom Sample (g)    | 40.30  | Height of Bottom Sample (in)        | 1.058  | Wt. of Pan for Top Sample (g)             | 15.58  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 199.03 |
| Sample Container I.D.                | C4     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 178.68 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 183.45 | Pan I.D. for Bottom Sample                | DC12   |
|                                      |        | Height of Top Sample (in)           | 1.026  | Wt. of Pan for Bottom Sample (g)          | 13.34  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 197.08 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.93 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 142.95     | 143.44        | 143.20  |
| Wt. of Dry Sample (g)               | 122.60     | 122.29        | 122.45  |
| Wt. of Water (g)                    | 20.35      | 21.15         | 20.75   |
| Gravimetric Water Content (%)       | 16.60      | 17.29         | 16.95   |
| Volume of Sample (in <sup>3</sup> ) | 4.79       | 4.94          | 4.86    |
| Volumetric Water Content (%)        | 25.88      | 26.09         | 25.99   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B2                      | B3             | A7             | A8           | B10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3227                 | 15.3072        | 15.4210        | 15.4315      | 15.3346         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7522                 | 15.7416        | 15.8541        | 15.7131      | 15.6063         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6692                 | 15.6574        | 15.7686        | 15.6487      | 15.5462         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3207                 | 15.3041        | 15.4176        | 15.4266      | 15.3328         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3485                  | 0.3533         | 0.3510         | 0.2221       | 0.2134          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0810                  | 0.0811         | 0.0821         | 0.0595       | 0.0583          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 23.24                   | 22.95          | 23.39          | 26.79        | 27.32           |
| Soil Suction (kPa)                                                                                                      | 1.972                   | 2.097          | 1.910          | 1.738        | 1.581           |
| Soil Suction (pF)                                                                                                       | 4.30                    | 4.33           | 4.29           | 4.25         | 4.21            |

Table 4 Calculations of Soil Suction

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 1.993 (kPa) | 4.31 (pF) |
| Average Matric Suction | 1.659 (kPa) | 4.23 (pF) |
| Osmotic Suction        | 334 (kPa)   | 3.53 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-1 (Top), PS-2 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 4/9/2006    | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 5/4/2006    | Notes:                |                           |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R11    | Wt. of Top Wet Sample + Ring (g)    | 187.80 | Wt. of Bottom Wet Sample + Ring (g)       | 187.54 |
| Wt. of Ring for Top Sample (g)       | 40.50  | Height of Top Sample (in)           | 1.052  | Height of Bottom Sample (in)              | 1.074  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R15    | Wt. of Bottom Wet Sample + Ring (g) | 188.40 | Pan I.D. for Top Sample                   | KD5    |
| Wt. of Ring for Bottom Sample (g)    | 40.30  | Height of Bottom Sample (in)        | 1.074  | Wt. of Pan for Top Sample (g)             | 15.58  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 202.54 |
| Sample Container I.D.                | C4     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 178.68 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 186.96 | Pan I.D. for Bottom Sample                | DC12   |
|                                      |        | Height of Top Sample (in)           | 1.052  | Wt. of Pan for Bottom Sample (g)          | 13.34  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 200.88 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.93 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 146.46     | 147.24        | 146.85  |
| Wt. of Dry Sample (g)               | 122.60     | 122.29        | 122.45  |
| Wt. of Water (g)                    | 23.86      | 24.95         | 24.41   |
| Gravimetric Water Content (%)       | 19.46      | 20.40         | 19.93   |
| Volume of Sample (in <sup>3</sup> ) | 4.91       | 5.01          | 4.96    |
| Volumetric Water Content (%)        | 29.60      | 30.32         | 29.96   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A3                      | C5             | C7             | C11          | C12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.2473                 | 15.3597        | 15.5128        | 15.3148      | 15.4169         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.6915                 | 15.8009        | 15.9555        | 15.6008      | 15.7072         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.5946                 | 15.7048        | 15.8545        | 15.5225      | 15.6303         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.2443                 | 15.3558        | 15.5066        | 15.3127      | 15.4142         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3503                  | 0.3490         | 0.3479         | 0.2098       | 0.2161          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0939                  | 0.0922         | 0.0948         | 0.0762       | 0.0742          |
| Water Content of Filter Paper, W <sub>t</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 26.81                   | 26.42          | 27.25          | 36.32        | 34.34           |
| Soil Suction (kPa)                                                                                                      | 917                     | 997            | 834            | 315          | 449             |
| Soil Suction (pF)                                                                                                       | 3.97                    | 4.01           | 3.93           | 3.51         | 3.66            |

Table 4 Calculations of Soil Suction

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 916 (kPa) | 3.97 (pF) |
| Average Matric Suction | 382 (kPa) | 3.59 (pF) |
| Osmotic Suction        | 534 (kPa) | 3.74 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-1 (Top), PS-2 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 72 Degrees F.             |
| Time of Beginning:  | 5/6/2006    | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 5/27/2006   | Notes:                |                           |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R11    | Wt. of Top Wet Sample + Ring (g)    | 192.64 | Wt. of Bottom Wet Sample + Ring (g)       | 191.60 |
| Wt. of Ring for Top Sample (g)       | 40.50  | Height of Top Sample (in)           | 1.070  | Height of Bottom Sample (in)              | 1.079  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R15    | Wt. of Bottom Wet Sample + Ring (g) | 192.99 | Pan I.D. for Top Sample                   | KD5    |
| Wt. of Ring for Bottom Sample (g)    | 40.30  | Height of Bottom Sample (in)        | 1.079  | Wt. of Pan for Top Sample (g)             | 15.58  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 207.79 |
| Sample Container I.D.                | C4     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 178.68 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 192.21 | Pan I.D. for Bottom Sample                | DC12   |
|                                      |        | Height of Top Sample (in)           | 1.070  | Wt. of Pan for Bottom Sample (g)          | 13.34  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 204.94 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.93 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 151.71     | 151.30        | 151.51  |
| Wt. of Dry Sample (g)               | 122.60     | 122.29        | 122.45  |
| Wt. of Water (g)                    | 29.11      | 29.01         | 29.06   |
| Gravimetric Water Content (%)       | 23.74      | 23.72         | 23.73   |
| Volume of Sample (in <sup>3</sup> ) | 5.00       | 5.04          | 5.02    |
| Volumetric Water Content (%)        | 35.50      | 35.09         | 35.30   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C2                      | C6             | A7             | C9           | C10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3305                 | 15.3324        | 15.4201        | 15.3666      | 15.4250         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7899                 | 15.8151        | 15.8927        | 15.7438      | 15.7679         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6733                 | 15.6917        | 15.7741        | 15.5838      | 15.6334         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3278                 | 15.3288        | 15.4178        | 15.3645      | 15.4238         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3455                  | 0.3629         | 0.3563         | 0.2193       | 0.2096          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1139                  | 0.1198         | 0.1163         | 0.1579       | 0.1333          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 32.97                   | 33.01          | 32.64          | 72.00        | 63.60           |
| Soil Suction (kPa)                                                                                                      | 89                      | 83             | 144            | 28           | 36              |
| Soil Suction (pF)                                                                                                       | 2.96                    | 2.93           | 3.17           | 2.45         | 2.56            |

**Table 4 Calculations of Soil Suction**

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 105 (kPa) | 3.03 (pF) |
| Average Matric Suction | 32 (kPa)  | 2.51 (pF) |
| Osmotic Suction        | 74 (kPa)  | 2.88 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-1 (Top), PS-2 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 72 Degrees F.             |
| Time of Beginning:  | 5/29/2006   | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 6/17/2006   | Notes:                |                           |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R11    | Wt. of Top Wet Sample + Ring (g)    | 199.60 | Wt. of Bottom Wet Sample + Ring (g)       | 199.78 |
| Wt. of Ring for Top Sample (g)       | 40.50  | Height of Top Sample (in)           | 1.097  | Height of Bottom Sample (in)              | 1.126  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R15    | Wt. of Bottom Wet Sample + Ring (g) | 202.27 | Pan I.D. for Top Sample                   | KD5    |
| Wt. of Ring for Bottom Sample (g)    | 40.30  | Height of Bottom Sample (in)        | 1.126  | Wt. of Pan for Top Sample (g)             | 15.58  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 214.05 |
| Sample Container I.D.                | C4     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 178.68 |
| Wt. of Sample Container (g)          | 113.15 | Wt. of Top Wet Sample + Ring (g)    | 198.47 | Pan I.D. for Bottom Sample                | DC12   |
|                                      |        | Height of Top Sample (in)           | 1.097  | Wt. of Pan for Bottom Sample (g)          | 13.34  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 213.12 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.93 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 157.97     | 159.48        | 158.73  |
| Wt. of Dry Sample (g)               | 122.60     | 122.29        | 122.45  |
| Wt. of Water (g)                    | 35.37      | 37.19         | 36.28   |
| Gravimetric Water Content (%)       | 28.85      | 30.41         | 29.63   |
| Volume of Sample (in <sup>3</sup> ) | 5.12       | 5.26          | 5.19    |
| Volumetric Water Content (%)        | 42.08      | 43.10         | 42.59   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | D9                      | D12            | D28            | D30          | D34             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4232                 | 15.1724        | 15.3559        | 15.4390      | 15.3919         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.9153                 | 15.6522        | 15.8039        | 16.0036      | 15.9784         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7888                 | 15.5279        | 15.6866        | 15.6558      | 15.6137         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4212                 | 15.1698        | 15.3527        | 15.4359      | 15.3911         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3676                  | 0.3581         | 0.3339         | 0.2199       | 0.2226          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1245                  | 0.1217         | 0.1141         | 0.3447       | 0.3639          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 33.87                   | 33.98          | 34.17          | 156.75       | 163.48          |
| Soil Suction (kPa)                                                                                                      | 24                      | 20             | 15             | 2            | 2               |
| Soil Suction (pF)                                                                                                       | 2.38                    | 2.31           | 2.19           | 1.30         | 1.21            |

**Table 4 Calculations of Soil Suction**

|                        |          |           |
|------------------------|----------|-----------|
| Average Total Suction  | 20 (kPa) | 2.30 (pF) |
| Average Matric Suction | 2 (kPa)  | 1.26 (pF) |
| Osmotic Suction        | 18 (kPa) | 2.26 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-3 (Top), PS-4 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 11/9/2005   | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 12/9/2005   | Notes:                | Air Dried Sample          |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R20    | Wt. of Top Wet Sample + Ring (g)    | 166.76 | Wt. of Bottom Wet Sample + Ring (g)       | 166.61 |
| Wt. of Ring for Top Sample (g)       | 40.21  | Height of Top Sample (in)           | 0.991  | Height of Bottom Sample (in)              | 0.981  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.367  | Diameter of Bottom Sample (in)            | 2.359  |
| Ring I.D. for Bottom Sample          | R26    | Wt. of Bottom Wet Sample + Ring (g) | 166.82 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Bottom Sample (g)    | 40.02  | Height of Bottom Sample (in)        | 0.981  | Wt. of Pan for Top Sample (g)             | 13.27  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.359  | Wt. of Top Wet Sample + Ring + Pan (g)    | 179.84 |
| Sample Container I.D.                | C18    | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.56 |
| Wt. of Sample Container (g)          | 114.23 | Wt. of Top Wet Sample + Ring (g)    | 166.57 | Pan I.D. for Bottom Sample                | KD-A   |
|                                      |        | Height of Top Sample (in)           | 0.991  | Wt. of Pan for Bottom Sample (g)          | 15.50  |
|                                      |        | Diameter of Top Sample (in)         | 2.367  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 182.11 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 178.38 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 126.36     | 126.59        | 126.48  |
| Wt. of Dry Sample (g)               | 122.08     | 122.86        | 122.47  |
| Wt. of Water (g)                    | 4.28       | 3.73          | 4.00    |
| Gravimetric Water Content (%)       | 3.51       | 3.04          | 3.27    |
| Volume of Sample (in <sup>3</sup> ) | 4.36       | 4.29          | 4.32    |
| Volumetric Water Content (%)        | 5.98       | 5.30          | 5.64    |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matrix Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matrix (Top) | Matrix (Bottom) |
| Moisture Tin I.D.                                                                                                       | C1                      | C2             | C4             | C6           | B8              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4262                 | 15.3306        | 15.2145        | 15.3333      | 15.3072         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7848                 | 15.6880        | 15.5668        | 15.5467      | 15.5167         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7700                 | 15.6740        | 15.5532        | 15.5354      | 15.5069         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4219                 | 15.3273        | 15.2114        | 15.3287      | 15.3037         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3481                  | 0.3467         | 0.3418         | 0.2067       | 0.2032          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0105                  | 0.0107         | 0.0105         | 0.0067       | 0.0063          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 3.02                    | 3.09           | 3.07           | 3.24         | 3.10            |
| Soil Suction (kPa)                                                                                                      | 152,045                 | 149,780        | 150,240        | 118,712      | 121,753         |
| Soil Suction (pF)                                                                                                       | 6.19                    | 6.18           | 6.19           | 6.08         | 6.09            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 150,688 (kPa) | 6.19 (pF) |
| Average Matrix Suction | 120,232 (kPa) | 6.09 (pF) |
| Osmotic Suction        | 30,456 (kPa)  | 5.49 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-3 (Top), PS-4 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 12/12/2005  | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 1/7/2006    | Notes:                |                           |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R20    | Wt. of Top Wet Sample + Ring (g)    | 169.79 | Wt. of Bottom Wet Sample + Ring (g)       | 169.48 |
| Wt. of Ring for Top Sample (g)       | 40.21  | Height of Top Sample (in)           | 0.995  | Height of Bottom Sample (in)              | 0.987  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.380  | Diameter of Bottom Sample (in)            | 2.374  |
| Ring I.D. for Bottom Sample          | R26    | Wt. of Bottom Wet Sample + Ring (g) | 169.88 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Bottom Sample (g)    | 40.02  | Height of Bottom Sample (in)        | 0.987  | Wt. of Pan for Top Sample (g)             | 13.27  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.374  | Wt. of Top Wet Sample + Ring + Pan (g)    | 182.76 |
| Sample Container I.D.                | C18    | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.56 |
| Wt. of Sample Container (g)          | 114.23 | Wt. of Top Wet Sample + Ring (g)    | 169.49 | Pan I.D. for Bottom Sample                | KD-A   |
|                                      |        | Height of Top Sample (in)           | 0.995  | Wt. of Pan for Bottom Sample (g)          | 15.50  |
|                                      |        | Diameter of Top Sample (in)         | 2.380  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 184.98 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 178.38 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 129.28     | 129.46        | 129.37  |
| Wt. of Dry Sample (g)               | 122.08     | 122.86        | 122.47  |
| Wt. of Water (g)                    | 7.20       | 6.60          | 6.90    |
| Gravimetric Water Content (%)       | 5.90       | 5.37          | 5.63    |
| Volume of Sample (in <sup>3</sup> ) | 4.43       | 4.37          | 4.40    |
| Volumetric Water Content (%)        | 9.91       | 9.20          | 9.56    |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C3                      | B5             | C6             | C12          | C9              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4610                 | 15.2774        | 15.3345        | 15.4191      | 15.3673         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8209                 | 15.6340        | 15.6971        | 15.6602      | 15.5916         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7979                 | 15.6096        | 15.6724        | 15.6422      | 15.5764         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4594                 | 15.2734        | 15.3308        | 15.4161      | 15.3648         |
| Wt. of Dry Filter Paper, M <sub>i</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3385                  | 0.3362         | 0.3416         | 0.2261       | 0.2116          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0214                  | 0.0204         | 0.0210         | 0.0150       | 0.0127          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 6.32                    | 6.07           | 6.15           | 6.63         | 6.00            |
| Soil Suction (kPa)                                                                                                      | 74,741                  | 78,936         | 77,595         | 64,594       | 72,352          |
| Soil Suction (pF)                                                                                                       | 5.88                    | 5.91           | 5.90           | 5.82         | 5.87            |

**Table 4 Calculations of Soil Suction**

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 77,090 (kPa) | 5.90 (pF) |
| Average Matric Suction | 68,473 (kPa) | 5.84 (pF) |
| Osmotic Suction        | 8,617 (kPa)  | 4.94 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-3 (Top), PS-4 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.             |
| Time of Beginning:  | 1/8/2006    | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 1/22/2006   | Notes:                |                           |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R20    | Wt. of Top Wet Sample + Ring (g)    | 173.12 | Wt. of Bottom Wet Sample + Ring (g)       | 172.44 |
| Wt. of Ring for Top Sample (g)       | 40.21  | Height of Top Sample (in)           | 1.008  | Height of Bottom Sample (in)              | 1.007  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.374  | Diameter of Bottom Sample (in)            | 2.376  |
| Ring I.D. for Bottom Sample          | R26    | Wt. of Bottom Wet Sample + Ring (g) | 172.93 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Bottom Sample (g)    | 40.02  | Height of Bottom Sample (in)        | 1.007  | Wt. of Pan for Top Sample (g)             | 13.27  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.376  | Wt. of Top Wet Sample + Ring + Pan (g)    | 185.98 |
| Sample Container I.D.                | C18    | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.56 |
| Wt. of Sample Container (g)          | 114.23 | Wt. of Top Wet Sample + Ring (g)    | 172.71 | Pan I.D. for Bottom Sample                | KD-A   |
|                                      |        | Height of Top Sample (in)           | 1.008  | Wt. of Pan for Bottom Sample (g)          | 15.50  |
|                                      |        | Diameter of Top Sample (in)         | 2.374  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 187.94 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 178.38 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 132.50     | 132.42        | 132.46  |
| Wt. of Dry Sample (g)               | 122.08     | 122.86        | 122.47  |
| Wt. of Water (g)                    | 10.42      | 9.56          | 9.99    |
| Gravimetric Water Content (%)       | 8.54       | 7.78          | 8.16    |
| Volume of Sample (in <sup>3</sup> ) | 4.46       | 4.46          | 4.46    |
| Volumetric Water Content (%)        | 14.23      | 13.04         | 13.64   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C1                      | C2             | C3             | C4           | C9              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4260                 | 15.3302        | 15.4606        | 15.2144      | 15.3679         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8138                 | 15.7182        | 15.8528        | 15.4665      | 15.6033         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7764                 | 15.6810        | 15.8148        | 15.4402      | 15.5790         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4221                 | 15.3259        | 15.4569        | 15.2125      | 15.3651         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3543                  | 0.3551         | 0.3579         | 0.2277       | 0.2139          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0335                  | 0.0329         | 0.0343         | 0.0244       | 0.0215          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 9.46                    | 9.26           | 9.58           | 10.72        | 10.05           |
| Soil Suction (kPa)                                                                                                      | 38,126                  | 39,717         | 37,089         | 31,062       | 34,994          |
| Soil Suction (pF)                                                                                                       | 5.59                    | 5.61           | 5.58           | 5.50         | 5.55            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 38,311 (kPa) | 5.59 (pF) |
| Average Matric Suction | 33,028 (kPa) | 5.53 (pF) |
| Osmotic Suction        | 5,282 (kPa)  | 4.73 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                                            |
|---------------------|-------------|-----------------------|--------------------------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-3 (Top), PS-4 (Bottom)                  |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale                               |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.                              |
| Time of Beginning:  | 1/24/2006   | Filter Paper Type:    | Whatman No. 42                             |
| Time of Completion: | 3/14/2006   | Notes:                | Bottom sample is only 3/4 of normal height |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R20    | Wt. of Top Wet Sample + Ring (g)    | 176.89 | Wt. of Bottom Wet Sample + Ring (g)       | 141.63 |
| Wt. of Ring for Top Sample (g)       | 40.21  | Height of Top Sample (in)           | 1.021  | Height of Bottom Sample (in)              | 0.760  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.420  | Diameter of Bottom Sample (in)            | 2.420  |
| Ring I.D. for Bottom Sample          | R26    | Wt. of Bottom Wet Sample + Ring (g) | 142.45 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Bottom Sample (g)    | 40.02  | Height of Bottom Sample (in)        | 0.760  | Wt. of Pan for Top Sample (g)             | 13.27  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.420  | Wt. of Top Wet Sample + Ring + Pan (g)    | 189.67 |
| Sample Container I.D.                | C18    | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.56 |
| Wt. of Sample Container (g)          | 114.23 | Wt. of Top Wet Sample + Ring (g)    | 176.40 | Pan I.D. for Bottom Sample                | DC-3   |
|                                      |        | Height of Top Sample (in)           | 1.021  | Wt. of Pan for Bottom Sample (g)          | 13.25  |
|                                      |        | Diameter of Top Sample (in)         | 2.420  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 154.88 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 144.55 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 135.19     | 101.61        | 118.90  |
| Wt. of Dry Sample (g)               | 122.08     | 91.28         | 106.68  |
| Wt. of Water (g)                    | 14.11      | 10.33         | 12.22   |
| Gravimetric Water Content (%)       | 11.56      | 11.32         | 11.44   |
| Volume of Sample (in <sup>3</sup> ) | 4.70       | 3.50          | 4.10    |
| Volumetric Water Content (%)        | 18.30      | 18.00         | 18.15   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Metric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Metric (Top) | Metric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A1                      | B4             | B5             | C6           | A11             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4177                 | 15.3054        | 15.2774        | 15.3334      | 15.3184         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8223                 | 15.7112        | 15.6765        | 15.5813      | 15.5726         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7698                 | 15.6601        | 15.6257        | 15.5443      | 15.5350         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4136                 | 15.3018        | 15.2735        | 15.3295      | 15.3146         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3562                  | 0.3583         | 0.3522         | 0.2148       | 0.2204          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0484                  | 0.0475         | 0.0469         | 0.0331       | 0.0338          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 13.59                   | 13.26          | 13.32          | 15.41        | 15.34           |
| Soil Suction (kPa)                                                                                                      | 15.691                  | 16.847         | 16.634         | 13.384       | 13.563          |
| Soil Suction (pF)                                                                                                       | 5.20                    | 5.23           | 5.23           | 5.14         | 5.14            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 16.391 (kPa) | 5.22 (pF) |
| Average Metric Suction | 13.473 (kPa) | 5.14 (pF) |
| Osmotic Suction        | 2.917 (kPa)  | 4.47 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                            |             |                              |                                            |
|----------------------------|-------------|------------------------------|--------------------------------------------|
| <b>Sample Source:</b>      | Kim Project | <b>Sample I.D.:</b>          | PS-3 (Top), PS-4 (Bottom)                  |
| <b>Soil Type:</b>          | Claystone   | <b>Geological Formation:</b> | Pierre Shale                               |
| <b>Solution Type:</b>      | DI Water    | <b>Room Temperature:</b>     | 74 Degrees F.                              |
| <b>Time of Beginning:</b>  | 3/15/2006   | <b>Filter Paper Type:</b>    | Whatman No. 42                             |
| <b>Time of Completion:</b> | 4/8/2006    | <b>Notes:</b>                | Bottom sample is only 3/4 of normal height |

**Table 1 Summary of Sample and Equipment Information**

| <b>Steel Rings and Sample Container:</b> |        | <b>Beginning of the Test:</b>       |        | <b>End of the Test (continued):</b>       |        |
|------------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample                 | R20    | Wt. of Top Wet Sample + Ring (g)    | 183.87 | Wt. of Bottom Wet Sample + Ring (g)       | 147.34 |
| Wt. of Ring for Top Sample (g)           | 40.21  | Height of Top Sample (in)           | 1.034  | Height of Bottom Sample (in)              | 0.781  |
| Ring Diameter for Top Sample (in)        | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample              | R26    | Wt. of Bottom Wet Sample + Ring (g) | 148.01 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Bottom Sample (g)        | 40.02  | Height of Bottom Sample (in)        | 0.781  | Wt. of Pan for Top Sample (g)             | 13.27  |
| Ring Diameter for Bottom Sample (in)     | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 196.52 |
| Sample Container I.D.                    | C18    | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.56 |
| Wt. of Sample Container (g)              | 114.23 | Wt. of Top Wet Sample + Ring (g)    | 183.25 | Pan I.D. for Bottom Sample                | DC-3   |
|                                          |        | Height of Top Sample (in)           | 1.034  | Wt. of Pan for Bottom Sample (g)          | 13.25  |
|                                          |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 160.59 |
|                                          |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 144.55 |

**Table 2 Calculations of Soil Sample Properties**

| <b>Soil Property</b>                | <b>Top Sample</b> | <b>Bottom Sample</b> | <b>Average</b> |
|-------------------------------------|-------------------|----------------------|----------------|
| Wt. of Wet Sample (g)               | 143.04            | 107.32               | 125.18         |
| Wt. of Dry Sample (g)               | 122.08            | 91.28                | 106.68         |
| Wt. of Water (g)                    | 20.96             | 16.04                | 18.50          |
| Gravimetric Water Content (%)       | 17.17             | 17.57                | 17.37          |
| Volume of Sample (in <sup>3</sup> ) | 4.83              | 3.65                 | 4.24           |
| Volumetric Water Content (%)        | 26.45             | 26.80                | 26.63          |

**Table 3 Measurements of Soil Suction**

| <b>Measurements</b>                                                                                    | <b>Total or Matric Suction</b> |                       |                       |                     |                        |
|--------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------|-----------------------|---------------------|------------------------|
|                                                                                                        | <b>Total (Top)</b>             | <b>Total (Middle)</b> | <b>Total (Bottom)</b> | <b>Matric (Top)</b> | <b>Matric (Bottom)</b> |
| Moisture Tin I.D.                                                                                      | A3                             | C7                    | C8                    | A9                  | B12                    |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                   | 15.2483                        | 15.5127               | 15.4415               | 15.4894             | 15.2810                |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                | 15.6871                        | 15.9616               | 15.8848               | 15.7810             | 15.5597                |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                 | 15.6005                        | 15.8715               | 15.7992               | 15.7025             | 15.4934                |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                    | 15.2432                        | 15.5075               | 15.4398               | 15.4855             | 15.2769                |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                       | 0.3573                         | 0.3640                | 0.3594                | 0.2170              | 0.2165                 |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0815                         | 0.0849                | 0.0839                | 0.0746              | 0.0622                 |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                 | 22.81                          | 23.32                 | 23.34                 | 34.38               | 28.73                  |
| Soil Suction (kPa)                                                                                     | 2.164                          | 1.938                 | 1.929                 | 446                 | 1.227                  |
| Soil Suction (pF)                                                                                      | 4.34                           | 4.30                  | 4.29                  | 3.66                | 4.10                   |

**Table 4 Calculations of Soil Suction**

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 2.010 (kPa) | 4.31 (pF) |
| Average Matric Suction | 1.227 (kPa) | 4.10 (pF) |
| Osmotic Suction        | 783 (kPa)   | 3.90 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                                            |
|---------------------|-------------|-----------------------|--------------------------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-3 (Top), PS-4 (Bottom)                  |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale                               |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.                              |
| Time of Beginning:  | 4/9/2006    | Filter Paper Type:    | Whatman No. 42                             |
| Time of Completion: | 5/4/2006    | Notes:                | Bottom sample is only 3/4 of normal height |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R20    | Wt. of Top Wet Sample + Ring (g)    | 187.50 | Wt. of Bottom Wet Sample + Ring (g)       | 150.47 |
| Wt. of Ring for Top Sample (g)       | 40.21  | Height of Top Sample (in)           | 1.058  | Height of Bottom Sample (in)              | 0.813  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R26    | Wt. of Bottom Wet Sample + Ring (g) | 151.70 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Bottom Sample (g)    | 40.02  | Height of Bottom Sample (in)        | 0.813  | Wt. of Pan for Top Sample (g)             | 13.27  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 200.44 |
| Sample Container I.D.                | C18    | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.56 |
| Wt. of Sample Container (g)          | 114.23 | Wt. of Top Wet Sample + Ring (g)    | 187.17 | Pan I.D. for Bottom Sample                | DC-3   |
|                                      |        | Height of Top Sample (in)           | 1.058  | Wt. of Pan for Bottom Sample (g)          | 13.25  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 163.72 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 144.55 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 146.96     | 110.45        | 128.71  |
| Wt. of Dry Sample (g)               | 122.08     | 91.28         | 106.68  |
| Wt. of Water (g)                    | 24.88      | 19.17         | 22.03   |
| Gravimetric Water Content (%)       | 20.38      | 21.00         | 20.69   |
| Volume of Sample (in <sup>3</sup> ) | 4.94       | 3.80          | 4.37    |
| Volumetric Water Content (%)        | 30.69      | 30.77         | 30.73   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C1                      | C2             | A7             | A10          | B12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4256                 | 15.3305        | 15.4210        | 15.3545      | 15.2809         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8610                 | 15.7691        | 15.8738        | 15.6533      | 15.6015         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7652                 | 15.6729        | 15.7736        | 15.5687      | 15.5058         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4227                 | 15.3275        | 15.4166        | 15.3508      | 15.2766         |
| Wt. of Dry Filter Paper, M <sub>f</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3425                  | 0.3454         | 0.3570         | 0.2179       | 0.2292          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0929                  | 0.0932         | 0.0958         | 0.0809       | 0.0914          |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>f</sub> ) (%)                                  | 27.12                   | 26.98          | 26.83          | 37.13        | 39.88           |
| Soil Suction (kPa)                                                                                                      | 856                     | 883            | 911            | 272          | 166             |
| Soil Suction (pF)                                                                                                       | 3.94                    | 3.95           | 3.97           | 3.44         | 3.23            |

Table 4 Calculations of Soil Suction

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 884 (kPa) | 3.95 (pF) |
| Average Matric Suction | 219 (kPa) | 3.35 (pF) |
| Osmotic Suction        | 664 (kPa) | 3.83 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                            |             |                              |                                            |
|----------------------------|-------------|------------------------------|--------------------------------------------|
| <b>Sample Source:</b>      | Kim Project | <b>Sample I.D.:</b>          | PS-3 (Top), PS-4 (Bottom)                  |
| <b>Soil Type:</b>          | Claystone   | <b>Geological Formation:</b> | Pierre Shale                               |
| <b>Solution Type:</b>      | DI Water    | <b>Room Temperature:</b>     | 72 Degrees F.                              |
| <b>Time of Beginning:</b>  | 5/6/2006    | <b>Filter Paper Type:</b>    | Whatman No. 42                             |
| <b>Time of Completion:</b> | 5/27/2006   | <b>Notes:</b>                | Bottom sample is only 3/4 of normal height |

**Table 1 Summary of Sample and Equipment Information**

| <b>Steel Rings and Sample Container:</b> |        | <b>Beginning of the Test:</b>       |        | <b>End of the Test (continued):</b>       |        |
|------------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample                 | R20    | Wt. of Top Wet Sample + Ring (g)    | 191.78 | Wt. of Bottom Wet Sample + Ring (g)       | 153.40 |
| Wt. of Ring for Top Sample (g)           | 40.21  | Height of Top Sample (in)           | 1.071  | Height of Bottom Sample (in)              | 0.831  |
| Ring Diameter for Top Sample (in)        | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample              | R26    | Wt. of Bottom Wet Sample + Ring (g) | 154.78 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Bottom Sample (g)        | 40.02  | Height of Bottom Sample (in)        | 0.831  | Wt. of Pan for Top Sample (g)             | 13.27  |
| Ring Diameter for Bottom Sample (in)     | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 204.47 |
| Sample Container I.D.                    | C18    | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.56 |
| Wt. of Sample Container (g)              | 114.23 | Wt. of Top Wet Sample + Ring (g)    | 191.20 | Pan I.D. for Bottom Sample                | DC-3   |
|                                          |        | Height of Top Sample (in)           | 1.071  | Wt. of Pan for Bottom Sample (g)          | 13.25  |
|                                          |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 166.65 |
|                                          |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 144.55 |

**Table 2 Calculations of Soil Sample Properties**

| <b>Soil Property</b>                | <b>Top Sample</b> | <b>Bottom Sample</b> | <b>Average</b> |
|-------------------------------------|-------------------|----------------------|----------------|
| Wt. of Wet Sample (g)               | 150.99            | 113.38               | 132.19         |
| Wt. of Dry Sample (g)               | 122.08            | 91.28                | 106.68         |
| Wt. of Water (g)                    | 28.91             | 22.10                | 25.51          |
| Gravimetric Water Content (%)       | 23.68             | 24.21                | 23.95          |
| Volume of Sample (in <sup>3</sup> ) | 5.00              | 3.88                 | 4.44           |
| Volumetric Water Content (%)        | 35.23             | 34.71                | 34.97          |

**Table 3 Measurements of Soil Suction**

| <b>Measurements</b>                                                                                                     | <b>Total or Matric Suction</b> |                       |                       |                     |                        |
|-------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------|-----------------------|---------------------|------------------------|
|                                                                                                                         | <b>Total (Top)</b>             | <b>Total (Middle)</b> | <b>Total (Bottom)</b> | <b>Matric (Top)</b> | <b>Matric (Bottom)</b> |
| Moisture Tin I.D.                                                                                                       | B1                             | A3                    | C8                    | A9                  | C11                    |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3673                        | 15.2479               | 15.4413               | 15.4894             | 15.3143                |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8132                        | 15.7305               | 15.9195               | 15.8713             | 15.6992                |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7029                        | 15.6071               | 15.7968               | 15.7022             | 15.5297                |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3650                        | 15.2440               | 15.4378               | 15.4845             | 15.3125                |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3379                         | 0.3631                | 0.3590                | 0.2177              | 0.2172                 |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1080                         | 0.1195                | 0.1192                | 0.1642              | 0.1677                 |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>i</sub> ) (%)                                  | 31.96                          | 32.91                 | 33.20                 | 75.42               | 77.21                  |
| Soil Suction (kPa)                                                                                                      | 303                            | 97                    | 63                    | 25                  | 23                     |
| Soil Suction (pF)                                                                                                       | 3.49                           | 2.99                  | 2.81                  | 2.40                | 2.38                   |

**Table 4 Calculations of Soil Suction**

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 154 (kPa) | 3.20 (pF) |
| Average Matric Suction | 24 (kPa)  | 2.39 (pF) |
| Osmotic Suction        | 130 (kPa) | 3.12 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                                            |
|---------------------|-------------|-----------------------|--------------------------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-3 (Top), PS-4 (Bottom)                  |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale                               |
| Solution Type:      | DI Water    | Room Temperature:     | 72 Degrees F.                              |
| Time of Beginning:  | 5/29/2006   | Filter Paper Type:    | Whatman No. 42                             |
| Time of Completion: | 6/17/2006   | Notes:                | Bottom sample is only 3/4 of normal height |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R20    | Wt. of Top Wet Sample + Ring (g)    | 199.80 | Wt. of Bottom Wet Sample + Ring (g)       | 158.11 |
| Wt. of Ring for Top Sample (g)       | 40.21  | Height of Top Sample (in)           | 1.107  | Height of Bottom Sample (in)              | 0.873  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R26    | Wt. of Bottom Wet Sample + Ring (g) | 159.49 | Pan I.D. for Top Sample                   | DC-8   |
| Wt. of Ring for Bottom Sample (g)    | 40.02  | Height of Bottom Sample (in)        | 0.873  | Wt. of Pan for Top Sample (g)             | 13.27  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 211.25 |
| Sample Container I.D.                | C18    | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.56 |
| Wt. of Sample Container (g)          | 114.23 | Wt. of Top Wet Sample + Ring (g)    | 197.98 | Pan I.D. for Bottom Sample                | DC-3   |
|                                      |        | Height of Top Sample (in)           | 1.107  | Wt. of Pan for Bottom Sample (g)          | 13.25  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 171.36 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 144.55 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 157.77     | 118.09        | 137.93  |
| Wt. of Dry Sample (g)               | 122.08     | 91.28         | 106.68  |
| Wt. of Water (g)                    | 35.69      | 26.81         | 31.25   |
| Gravimetric Water Content (%)       | 29.23      | 29.37         | 29.30   |
| Volume of Sample (in <sup>3</sup> ) | 5.17       | 4.08          | 4.62    |
| Volumetric Water Content (%)        | 42.08      | 40.08         | 41.08   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | D1                      | D4             | D6             | D7           | D10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3403                 | 15.4035        | 15.4265        | 15.4487      | 15.4622         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8094                 | 15.8826        | 15.9001        | 16.0122      | 16.0387         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6902                 | 15.7627        | 15.7817        | 15.6622      | 15.6811         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3368                 | 15.4007        | 15.4245        | 15.4460      | 15.4597         |
| Wt. of Dry Filter Paper, M <sub>f</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3534                  | 0.3620         | 0.3572         | 0.2162       | 0.2214          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1157                  | 0.1171         | 0.1164         | 0.3473       | 0.3551          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>f</sub> ) (%)                                  | 32.74                   | 32.35          | 32.59          | 160.64       | 160.39          |
| Soil Suction (kPa)                                                                                                      | 124                     | 221            | 156            | 2            | 2               |
| Soil Suction (pF)                                                                                                       | 3.10                    | 3.35           | 3.20           | 1.25         | 1.26            |

**Table 4 Calculations of Soil Suction**

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 140 (kPa) | 3.15 (pF) |
| Average Matric Suction | 2 (kPa)   | 1.25 (pF) |
| Osmotic Suction        | 138 (kPa) | 3.15 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                          |
|---------------------|-------------|-----------------------|--------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-5 (Top), PS-6(Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale             |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.            |
| Time of Beginning:  | 11/9/2005   | Filter Paper Type:    | Whatman No. 42           |
| Time of Completion: | 12/9/2005   | Notes:                | Air Dried Sample         |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R25    | Wt. of Top Wet Sample + Ring (g)    | 166.51 | Wt. of Bottom Wet Sample + Ring (g)       | 166.56 |
| Wt. of Ring for Top Sample (g)       | 40.26  | Height of Top Sample (in)           | 0.993  | Height of Bottom Sample (in)              | 0.989  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.370  | Diameter of Bottom Sample (in)            | 2.376  |
| Ring I.D. for Bottom Sample          | R29    | Wt. of Bottom Wet Sample + Ring (g) | 166.77 | Pan I.D. for Top Sample                   | DC-21  |
| Wt. of Ring for Bottom Sample (g)    | 40.19  | Height of Bottom Sample (in)        | 0.989  | Wt. of Pan for Top Sample (g)             | 13.34  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.376  | Wt. of Top Wet Sample + Ring + Pan (g)    | 179.66 |
| Sample Container I.D.                | C9     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.10 |
| Wt. of Sample Container (g)          | 113.72 | Wt. of Top Wet Sample + Ring (g)    | 166.32 | Pan I.D. for Bottom Sample                | DC-22  |
|                                      |        | Height of Top Sample (in)           | 0.993  | Wt. of Pan for Bottom Sample (g)          | 13.35  |
|                                      |        | Diameter of Top Sample (in)         | 2.370  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 179.91 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.87 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 126.06     | 126.37        | 126.22  |
| Wt. of Dry Sample (g)               | 121.50     | 122.33        | 121.92  |
| Wt. of Water (g)                    | 4.56       | 4.04          | 4.30    |
| Gravimetric Water Content (%)       | 3.75       | 3.30          | 3.53    |
| Volume of Sample (in <sup>3</sup> ) | 4.38       | 4.39          | 4.38    |
| Volumetric Water Content (%)        | 6.34       | 5.61          | 5.98    |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C3                      | 4              | B6             | C8           | C12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4613                 | 15.1709        | 15.3366        | 15.4412      | 15.5343         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8081                 | 15.5240        | 15.6914        | 15.6570      | 15.7468         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7952                 | 15.5105        | 15.6769        | 15.6477      | 15.7360         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4578                 | 15.1680        | 15.3328        | 15.4386      | 15.5298         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3374                  | 0.3425         | 0.3441         | 0.2091       | 0.2062          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0094                  | 0.0106         | 0.0107         | 0.0067       | 0.0063          |
| Water Content of Filter Paper, W <sub>1</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 2.79                    | 3.09           | 3.11           | 3.20         | 3.06            |
| Soil Suction (kPa)                                                                                                      | 159,759                 | 149,502        | 149,031        | 119,507      | 122,742         |
| Soil Suction (pF)                                                                                                       | 6.21                    | 6.18           | 6.18           | 6.09         | 6.10            |

Table 4 Calculations of Soil Suction

|                        |               |           |
|------------------------|---------------|-----------|
| Average Total Suction  | 152,764 (kPa) | 6.19 (pF) |
| Average Matric Suction | 121,124 (kPa) | 6.09 (pF) |
| Osmotic Suction        | 31,640 (kPa)  | 5.51 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                          |
|---------------------|-------------|-----------------------|--------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-5 (Top), PS-6(Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale             |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.            |
| Time of Beginning:  | 12/12/2005  | Filter Paper Type:    | Whatman No. 42           |
| Time of Completion: | 1/7/2006    | Notes:                |                          |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R25    | Wt. of Top Wet Sample + Ring (g)    | 169.84 | Wt. of Bottom Wet Sample + Ring (g)       | 169.70 |
| Wt. of Ring for Top Sample (g)       | 40.26  | Height of Top Sample (in)           | 0.997  | Height of Bottom Sample (in)              | 0.993  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.385  | Diameter of Bottom Sample (in)            | 2.389  |
| Ring I.D. for Bottom Sample          | R29    | Wt. of Bottom Wet Sample + Ring (g) | 170.00 | Pan I.D. for Top Sample                   | DC-21  |
| Wt. of Ring for Bottom Sample (g)    | 40.19  | Height of Bottom Sample (in)        | 0.993  | Wt. of Pan for Top Sample (g)             | 13.34  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.389  | Wt. of Top Wet Sample + Ring + Pan (g)    | 182.76 |
| Sample Container I.D.                | C9     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.10 |
| Wt. of Sample Container (g)          | 113.72 | Wt. of Top Wet Sample + Ring (g)    | 169.42 | Pan I.D. for Bottom Sample                | DC-22  |
|                                      |        | Height of Top Sample (in)           | 0.997  | Wt. of Pan for Bottom Sample (g)          | 13.35  |
|                                      |        | Diameter of Top Sample (in)         | 2.385  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 183.05 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.87 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 129.16     | 129.51        | 129.34  |
| Wt. of Dry Sample (g)               | 121.50     | 122.33        | 121.92  |
| Wt. of Water (g)                    | 7.66       | 7.18          | 7.42    |
| Gravimetric Water Content (%)       | 6.30       | 5.87          | 6.09    |
| Volume of Sample (in <sup>3</sup> ) | 4.45       | 4.45          | 4.45    |
| Volumetric Water Content (%)        | 10.48      | 9.83          | 10.15   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B1                      | B2             | A4             | B8           | A10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3686                 | 15.3229        | 15.4325        | 15.3069      | 15.3573         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7398                 | 15.6867        | 15.7970        | 15.5482      | 15.6032         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7136                 | 15.6628        | 15.7715        | 15.5311      | 15.5830         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3639                 | 15.3200        | 15.4232        | 15.3039      | 15.3520         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3497                  | 0.3428         | 0.3483         | 0.2272       | 0.2310          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0215                  | 0.0210         | 0.0162         | 0.0141       | 0.0149          |
| Water Content of Filter Paper, W <sub>t</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 6.15                    | 6.13           | 4.65           | 6.21         | 6.45            |
| Soil Suction (kPa)                                                                                                      | 77.585                  | 77.955         | 107.015        | 69.752       | 66.762          |
| Soil Suction (pF)                                                                                                       | 5.90                    | 5.90           | 6.04           | 5.85         | 5.83            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 77.770 (kPa) | 5.90 (pF) |
| Average Matric Suction | 68.257 (kPa) | 5.84 (pF) |
| Osmotic Suction        | 9.513 (kPa)  | 4.99 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                          |
|---------------------|-------------|-----------------------|--------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-5 (Top), PS-6(Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale             |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.            |
| Time of Beginning:  | 1/8/2006    | Filter Paper Type:    | Whatman No. 42           |
| Time of Completion: | 1/22/2006   | Notes:                |                          |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R25    | Wt. of Top Wet Sample + Ring (g)    | 173.07 | Wt. of Bottom Wet Sample + Ring (g)       | 172.91 |
| Wt. of Ring for Top Sample (g)       | 40.26  | Height of Top Sample (in)           | 1.014  | Height of Bottom Sample (in)              | 1.014  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.381  | Diameter of Bottom Sample (in)            | 2.381  |
| Ring I.D. for Bottom Sample          | R29    | Wt. of Bottom Wet Sample + Ring (g) | 173.20 | Pan I.D. for Top Sample                   | DC-21  |
| Wt. of Ring for Bottom Sample (g)    | 40.19  | Height of Bottom Sample (in)        | 1.014  | Wt. of Pan for Top Sample (g)             | 13.34  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.381  | Wt. of Top Wet Sample + Ring + Pan (g)    | 185.77 |
| Sample Container I.D.                | C9     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.10 |
| Wt. of Sample Container (g)          | 113.72 | Wt. of Top Wet Sample + Ring (g)    | 172.43 | Pan I.D. for Bottom Sample                | DC-22  |
|                                      |        | Height of Top Sample (in)           | 1.014  | Wt. of Pan for Bottom Sample (g)          | 13.35  |
|                                      |        | Diameter of Top Sample (in)         | 2.381  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 186.26 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.87 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 132.17     | 132.72        | 132.45  |
| Wt. of Dry Sample (g)               | 121.50     | 122.33        | 121.92  |
| Wt. of Water (g)                    | 10.67      | 10.39         | 10.53   |
| Gravimetric Water Content (%)       | 8.78       | 8.49          | 8.64    |
| Volume of Sample (in <sup>3</sup> ) | 4.51       | 4.51          | 4.51    |
| Volumetric Water Content (%)        | 14.40      | 14.02         | 14.21   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B1                      | A5             | A6             | B8           | A8              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3661                 | 15.1980        | 15.3397        | 15.3078      | 15.4337         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7565                 | 15.5846        | 15.7275        | 15.5486      | 15.6708         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7165                 | 15.5467        | 15.6884        | 15.5215      | 15.6436         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3620                 | 15.1948        | 15.3356        | 15.3043      | 15.4293         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3545                  | 0.3519         | 0.3528         | 0.2172       | 0.2143          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0359                  | 0.0347         | 0.0350         | 0.0236       | 0.0228          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 10.13                   | 9.86           | 9.92           | 10.87        | 10.64           |
| Soil Suction (kPa)                                                                                                      | 33,003                  | 34,946         | 34,499         | 30,239       | 31,492          |
| Soil Suction (pF)                                                                                                       | 5.53                    | 5.55           | 5.55           | 5.49         | 5.51            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 34,149 (kPa) | 5.54 (pF) |
| Average Matric Suction | 30,866 (kPa) | 5.50 (pF) |
| Osmotic Suction        | 3,284 (kPa)  | 4.52 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                          |
|---------------------|-------------|-----------------------|--------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-5 (Top), PS-6(Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale             |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.            |
| Time of Beginning:  | 1/24/2006   | Filter Paper Type:    | Whatman No. 42           |
| Time of Completion: | 3/14/2006   | Notes:                |                          |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R25    | Wt. of Top Wet Sample + Ring (g)    | 176.78 | Wt. of Bottom Wet Sample + Ring (g)       | 176.61 |
| Wt. of Ring for Top Sample (g)       | 40.26  | Height of Top Sample (in)           | 1.034  | Height of Bottom Sample (in)              | 1.026  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.420  | Diameter of Bottom Sample (in)            | 2.420  |
| Ring I.D. for Bottom Sample          | R29    | Wt. of Bottom Wet Sample + Ring (g) | 176.96 | Pan I.D. for Top Sample                   | DC-21  |
| Wt. of Ring for Bottom Sample (g)    | 40.19  | Height of Bottom Sample (in)        | 1.026  | Wt. of Pan for Top Sample (g)             | 13.34  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.420  | Wt. of Top Wet Sample + Ring + Pan (g)    | 189.33 |
| Sample Container I.D.                | C9     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.10 |
| Wt. of Sample Container (g)          | 113.72 | Wt. of Top Wet Sample + Ring (g)    | 175.99 | Pan I.D. for Bottom Sample                | DC-22  |
|                                      |        | Height of Top Sample (in)           | 1.034  | Wt. of Pan for Bottom Sample (g)          | 13.35  |
|                                      |        | Diameter of Top Sample (in)         | 2.420  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 189.96 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.87 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 135.73     | 136.42        | 136.08  |
| Wt. of Dry Sample (g)               | 121.50     | 122.33        | 121.92  |
| Wt. of Water (g)                    | 14.23      | 14.09         | 14.16   |
| Gravimetric Water Content (%)       | 11.71      | 11.52         | 11.61   |
| Volume of Sample (in <sup>3</sup> ) | 4.76       | 4.72          | 4.74    |
| Volumetric Water Content (%)        | 18.23      | 18.19         | 18.21   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | C1                      | A5             | B7             | C9           | C10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4268                 | 15.1995        | 15.3275        | 15.3678      | 15.4247         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8335                 | 15.6097        | 15.7322        | 15.6317      | 15.6879         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7800                 | 15.5566        | 15.6810        | 15.5887      | 15.6468         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4222                 | 15.1954        | 15.3247        | 15.3640      | 15.4219         |
| Wt. of Dry Filter Paper, M <sub>f</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3578                  | 0.3612         | 0.3563         | 0.2247       | 0.2249          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0489                  | 0.0490         | 0.0484         | 0.0392       | 0.0383          |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>f</sub> ) (%)                                  | 13.67                   | 13.57          | 13.58          | 17.45        | 17.03           |
| Soil Suction (kPa)                                                                                                      | 15,427                  | 15,765         | 15,704         | 9,290        | 10,009          |
| Soil Suction (pF)                                                                                                       | 5.20                    | 5.21           | 5.20           | 4.98         | 5.01            |

Table 4 Calculations of Soil Suction

|                        |              |           |
|------------------------|--------------|-----------|
| Average Total Suction  | 15,632 (kPa) | 5.20 (pF) |
| Average Matric Suction | 9,649 (kPa)  | 4.99 (pF) |
| Osmotic Suction        | 5,983 (kPa)  | 4.79 (pF) |

## FILTER PAPER TEST DATA SHEET

|                     |             |                       |                          |
|---------------------|-------------|-----------------------|--------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-5 (Top), PS-6(Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale             |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.            |
| Time of Beginning:  | 3/15/2006   | Filter Paper Type:    | Whatman No. 42           |
| Time of Completion: | 4/9/2006    | Notes:                |                          |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R25    | Wt. of Top Wet Sample + Ring (g)    | 183.39 | Wt. of Bottom Wet Sample + Ring (g)       | 183.88 |
| Wt. of Ring for Top Sample (g)       | 40.26  | Height of Top Sample (in)           | 1.054  | Height of Bottom Sample (in)              | 1.037  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R29    | Wt. of Bottom Wet Sample + Ring (g) | 184.09 | Pan I.D. for Top Sample                   | DC-21  |
| Wt. of Ring for Bottom Sample (g)    | 40.19  | Height of Bottom Sample (in)        | 1.037  | Wt. of Pan for Top Sample (g)             | 13.34  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 195.77 |
| Sample Container I.D.                | C9     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.10 |
| Wt. of Sample Container (g)          | 113.72 | Wt. of Top Wet Sample + Ring (g)    | 182.43 | Pan I.D. for Bottom Sample                | DC-22  |
|                                      |        | Height of Top Sample (in)           | 1.054  | Wt. of Pan for Bottom Sample (g)          | 13.35  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 197.23 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.87 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 142.17     | 143.69        | 142.93  |
| Wt. of Dry Sample (g)               | 121.50     | 122.33        | 121.92  |
| Wt. of Water (g)                    | 20.67      | 21.36         | 21.02   |
| Gravimetric Water Content (%)       | 17.01      | 17.46         | 17.24   |
| Volume of Sample (in <sup>3</sup> ) | 4.92       | 4.84          | 4.88    |
| Volumetric Water Content (%)        | 25.59      | 26.88         | 26.24   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | B1                      | C3             | A6             | B9           | A10             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3676                 | 15.4609        | 15.3406        | 15.3000      | 15.3556         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.7972                 | 15.8983        | 15.7738        | 15.6022      | 15.6421         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7104                 | 15.8105        | 15.6865        | 15.5223      | 15.5729         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3613                 | 15.4556        | 15.3340        | 15.2949      | 15.3503         |
| Wt. of Dry Filter Paper, M <sub>t</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3491                  | 0.3549         | 0.3525         | 0.2274       | 0.2226          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0805                  | 0.0825         | 0.0807         | 0.0748       | 0.0639          |
| Water Content of Filter Paper, W <sub>t</sub> = (M <sub>w</sub> / M <sub>t</sub> ) (%)                                  | 23.06                   | 23.25          | 22.89          | 32.89        | 28.71           |
| Soil Suction (kPa)                                                                                                      | 2,051                   | 1,970          | 2,125          | 582          | 1,233           |
| Soil Suction (pF)                                                                                                       | 4.32                    | 4.30           | 4.34           | 3.77         | 4.10            |

Table 4 Calculations of Soil Suction

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 2,049 (kPa) | 4.32 (pF) |
| Average Matric Suction | 1,233 (kPa) | 4.10 (pF) |
| Osmotic Suction        | 816 (kPa)   | 3.92 (pF) |

# **FILTER PAPER TEST DATA SHEET**

|                     |             |                       |                          |
|---------------------|-------------|-----------------------|--------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-5 (Top), PS-6(Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale             |
| Solution Type:      | DI Water    | Room Temperature:     | 74 Degrees F.            |
| Time of Beginning:  | 4/9/2006    | Filter Paper Type:    | Whatman No. 42           |
| Time of Completion: | 5/4/2006    | Notes:                |                          |

**Table 1 Summary of Sample and Equipment Information**

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R25    | Wt. of Top Wet Sample + Ring (g)    | 187.50 | Wt. of Bottom Wet Sample + Ring (g)       | 187.38 |
| Wt. of Ring for Top Sample (g)       | 40.26  | Height of Top Sample (in)           | 1.079  | Height of Bottom Sample (in)              | 1.054  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R29    | Wt. of Bottom Wet Sample + Ring (g) | 187.70 | Pan I.D. for Top Sample                   | DC-21  |
| Wt. of Ring for Bottom Sample (g)    | 40.19  | Height of Bottom Sample (in)        | 1.054  | Wt. of Pan for Top Sample (g)             | 13.34  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 199.57 |
| Sample Container I.D.                | C9     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.10 |
| Wt. of Sample Container (g)          | 113.72 | Wt. of Top Wet Sample + Ring (g)    | 186.23 | Pan I.D. for Bottom Sample                | DC-22  |
|                                      |        | Height of Top Sample (in)           | 1.079  | Wt. of Pan for Bottom Sample (g)          | 13.35  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 200.73 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.87 |

**Table 2 Calculations of Soil Sample Properties**

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 145.97     | 147.19        | 146.58  |
| Wt. of Dry Sample (g)               | 121.50     | 122.33        | 121.92  |
| Wt. of Water (g)                    | 24.47      | 24.86         | 24.67   |
| Gravimetric Water Content (%)       | 20.14      | 20.32         | 20.23   |
| Volume of Sample (in <sup>3</sup> ) | 5.04       | 4.92          | 4.98    |
| Volumetric Water Content (%)        | 29.60      | 30.78         | 30.19   |

**Table 3 Measurements of Soil Suction**

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A1                      | A4             | C6             | C8           | C9              |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4162                 | 15.4253        | 15.3327        | 15.4405      | 15.3665         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8452                 | 15.8562        | 15.7717        | 15.7349      | 15.6677         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7607                 | 15.7690        | 15.6821        | 15.6570      | 15.5866         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4139                 | 15.4216        | 15.3289        | 15.4378      | 15.3642         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3468                  | 0.3474         | 0.3532         | 0.2192       | 0.2224          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.0822                  | 0.0835         | 0.0858         | 0.0752       | 0.0788          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 23.70                   | 24.04          | 24.29          | 34.31        | 35.43           |
| Soil Suction (kPa)                                                                                                      | 1,786                   | 1,663          | 1,574          | 451          | 369             |
| Soil Suction (pF)                                                                                                       | 4.26                    | 4.23           | 4.21           | 3.66         | 3.58            |

**Table 4 Calculations of Soil Suction**

|                        |             |           |
|------------------------|-------------|-----------|
| Average Total Suction  | 1,680 (kPa) | 4.23 (pF) |
| Average Matric Suction | 410 (kPa)   | 3.62 (pF) |
| Osmotic Suction        | 1,270 (kPa) | 4.11 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                          |
|---------------------|-------------|-----------------------|--------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-5 (Top), PS-6(Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale             |
| Solution Type:      | DI Water    | Room Temperature:     | 72 Degrees F.            |
| Time of Beginning:  | 5/6/2006    | Filter Paper Type:    | Whatman No. 42           |
| Time of Completion: | 5/27/2006   | Notes:                |                          |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R25    | Wt. of Top Wet Sample + Ring (g)    | 192.19 | Wt. of Bottom Wet Sample + Ring (g)       | 191.75 |
| Wt. of Ring for Top Sample (g)       | 40.26  | Height of Top Sample (in)           | 1.096  | Height of Bottom Sample (in)              | 1.070  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R29    | Wt. of Bottom Wet Sample + Ring (g) | 192.65 | Pan I.D. for Top Sample                   | DC-21  |
| Wt. of Ring for Bottom Sample (g)    | 40.19  | Height of Bottom Sample (in)        | 1.070  | Wt. of Pan for Top Sample (g)             | 13.34  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 204.44 |
| Sample Container I.D.                | C9     | <b>End of the Test:</b>             |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.10 |
| Wt. of Sample Container (g)          | 113.72 | Wt. of Top Wet Sample + Ring (g)    | 191.10 | Pan I.D. for Bottom Sample                | DC-22  |
|                                      |        | Height of Top Sample (in)           | 1.096  | Wt. of Pan for Bottom Sample (g)          | 13.35  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 205.10 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.87 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 150.84     | 151.56        | 151.20  |
| Wt. of Dry Sample (g)               | 121.50     | 122.33        | 121.92  |
| Wt. of Water (g)                    | 29.34      | 29.23         | 29.29   |
| Gravimetric Water Content (%)       | 24.15      | 23.89         | 24.02   |
| Volume of Sample (in <sup>3</sup> ) | 5.12       | 5.00          | 5.06    |
| Volumetric Water Content (%)        | 34.94      | 35.65         | 35.29   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | A1                      | C3             | A4             | A11          | A12             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.4166                 | 15.4605        | 15.4255        | 15.3176      | 15.3970         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8670                 | 15.9348        | 15.9072        | 15.6979      | 15.7798         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.7540                 | 15.8109        | 15.7793        | 15.5326      | 15.6136         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.4113                 | 15.4564        | 15.4208        | 15.3144      | 15.3953         |
| Wt. of Dry Filter Paper, M <sub>1</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3427                  | 0.3545         | 0.3585         | 0.2182       | 0.2183          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1077                  | 0.1198         | 0.1232         | 0.1621       | 0.1645          |
| Water Content of Filter Paper, W <sub>f</sub> = (M <sub>w</sub> / M <sub>1</sub> ) (%)                                  | 31.43                   | 33.79          | 34.37          | 74.29        | 75.36           |
| Soil Suction (kPa)                                                                                                      | 340                     | 26             | 11             | 26           | 25              |
| Soil Suction (pF)                                                                                                       | 3.54                    | 2.43           | 2.06           | 2.42         | 2.40            |

Table 4 Calculations of Soil Suction

|                        |           |           |
|------------------------|-----------|-----------|
| Average Total Suction  | 126 (kPa) | 3.11 (pF) |
| Average Matric Suction | 25 (kPa)  | 2.41 (pF) |
| Osmotic Suction        | 101 (kPa) | 3.01 (pF) |

# FILTER PAPER TEST DATA SHEET

|                     |             |                       |                           |
|---------------------|-------------|-----------------------|---------------------------|
| Sample Source:      | Kim Project | Sample I.D.:          | PS-5 (Top), PS-6 (Bottom) |
| Soil Type:          | Claystone   | Geological Formation: | Pierre Shale              |
| Solution Type:      | DI Water    | Room Temperature:     | 72 Degrees F.             |
| Time of Beginning:  | 5/29/2006   | Filter Paper Type:    | Whatman No. 42            |
| Time of Completion: | 6/17/2006   | Notes:                |                           |

Table 1 Summary of Sample and Equipment Information

| Steel Rings and Sample Container:    |        | Beginning of the Test:              |        | End of the Test (continued):              |        |
|--------------------------------------|--------|-------------------------------------|--------|-------------------------------------------|--------|
| Ring I.D. for Top Sample             | R25    | Wt. of Top Wet Sample + Ring (g)    | 199.85 | Wt. of Bottom Wet Sample + Ring (g)       | 198.77 |
| Wt. of Ring for Top Sample (g)       | 40.26  | Height of Top Sample (in)           | 1.126  | Height of Bottom Sample (in)              | 1.123  |
| Ring Diameter for Top Sample (in)    | 2.438  | Diameter of Top Sample (in)         | 2.438  | Diameter of Bottom Sample (in)            | 2.438  |
| Ring I.D. for Bottom Sample          | R29    | Wt. of Bottom Wet Sample + Ring (g) | 200.25 | Pan I.D. for Top Sample                   | DC-21  |
| Wt. of Ring for Bottom Sample (g)    | 40.19  | Height of Bottom Sample (in)        | 1.123  | Wt. of Pan for Top Sample (g)             | 13.34  |
| Ring Diameter for Bottom Sample (in) | 2.438  | Diameter of Bottom Sample (in)      | 2.438  | Wt. of Top Wet Sample + Ring + Pan (g)    | 211.57 |
| Sample Container I.D.                | C9     | End of the Test:                    |        | Wt. of Top Dry Sample + Ring + Pan (g)    | 175.10 |
| Wt. of Sample Container (g)          | 113.72 | Wt. of Top Wet Sample + Ring (g)    | 198.23 | Pan I.D. for Bottom Sample                | DC-22  |
|                                      |        | Height of Top Sample (in)           | 1.126  | Wt. of Pan for Bottom Sample (g)          | 13.35  |
|                                      |        | Diameter of Top Sample (in)         | 2.438  | Wt. of Bottom Wet Sample + Ring + Pan (g) | 212.12 |
|                                      |        |                                     |        | Wt. of Bottom Dry Sample + Ring + Pan (g) | 175.87 |

Table 2 Calculations of Soil Sample Properties

| Soil Property                       | Top Sample | Bottom Sample | Average |
|-------------------------------------|------------|---------------|---------|
| Wt. of Wet Sample (g)               | 157.97     | 158.58        | 158.28  |
| Wt. of Dry Sample (g)               | 121.50     | 122.33        | 121.92  |
| Wt. of Water (g)                    | 36.47      | 36.25         | 36.36   |
| Gravimetric Water Content (%)       | 30.02      | 29.63         | 29.82   |
| Volume of Sample (in <sup>3</sup> ) | 5.26       | 5.24          | 5.25    |
| Volumetric Water Content (%)        | 42.27      | 42.13         | 42.20   |

Table 3 Measurements of Soil Suction

| Measurements                                                                                                            | Total or Matric Suction |                |                |              |                 |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------|----------------|--------------|-----------------|
|                                                                                                                         | Total (Top)             | Total (Middle) | Total (Bottom) | Matric (Top) | Matric (Bottom) |
| Moisture Tin I.D.                                                                                                       | D2                      | D5             | D8             | D16          | D29             |
| Wt. of Cold Tare, T <sub>c</sub> (g)                                                                                    | 15.3341                 | 15.3079        | 15.4149        | 15.2789      | 15.4337         |
| Wt. of Wet Filter Paper + Cold Tare, M <sub>1</sub> (g)                                                                 | 15.8155                 | 15.7817        | 15.8905        | 15.8380      | 16.0243         |
| Wt. of Dry Filter Paper + Hot Tare, M <sub>2</sub> (g)                                                                  | 15.6930                 | 15.6604        | 15.7675        | 15.4951      | 15.6559         |
| Wt. of Hot Tare, T <sub>h</sub> (g)                                                                                     | 15.3328                 | 15.3045        | 15.4122        | 15.2771      | 15.4317         |
| Wt. of Dry Filter Paper, M <sub>d</sub> = (M <sub>2</sub> - T <sub>h</sub> ) (g)                                        | 0.3602                  | 0.3559         | 0.3553         | 0.2180       | 0.2242          |
| Wt. of Water in Filter Paper, M <sub>w</sub> = (M <sub>1</sub> - M <sub>2</sub> - T <sub>c</sub> + T <sub>h</sub> ) (g) | 0.1212                  | 0.1179         | 0.1203         | 0.3411       | 0.3664          |
| Water Content of Filter Paper, W <sub>i</sub> = (M <sub>w</sub> / M <sub>d</sub> ) (%)                                  | 33.65                   | 33.13          | 33.86          | 156.47       | 163.43          |
| Soil Suction (kPa)                                                                                                      | 33                      | 70             | 24             | 2            | 2               |
| Soil Suction (pF)                                                                                                       | 2.52                    | 2.86           | 2.39           | 1.31         | 1.21            |

Table 4 Calculations of Soil Suction

|                        |          |           |
|------------------------|----------|-----------|
| Average Total Suction  | 42 (kPa) | 2.64 (pF) |
| Average Matric Suction | 2 (kPa)  | 1.26 (pF) |
| Osmotic Suction        | 41 (kPa) | 2.62 (pF) |

**APPENDIX E**  
**FREDLUND SWCC TEST**  
**DATA WORKSHEETS**

**APPENDIX E.1**  
**SOIL WATER CHARACTERISTIC CURVE**

## SWCC TEST DATA SHEET

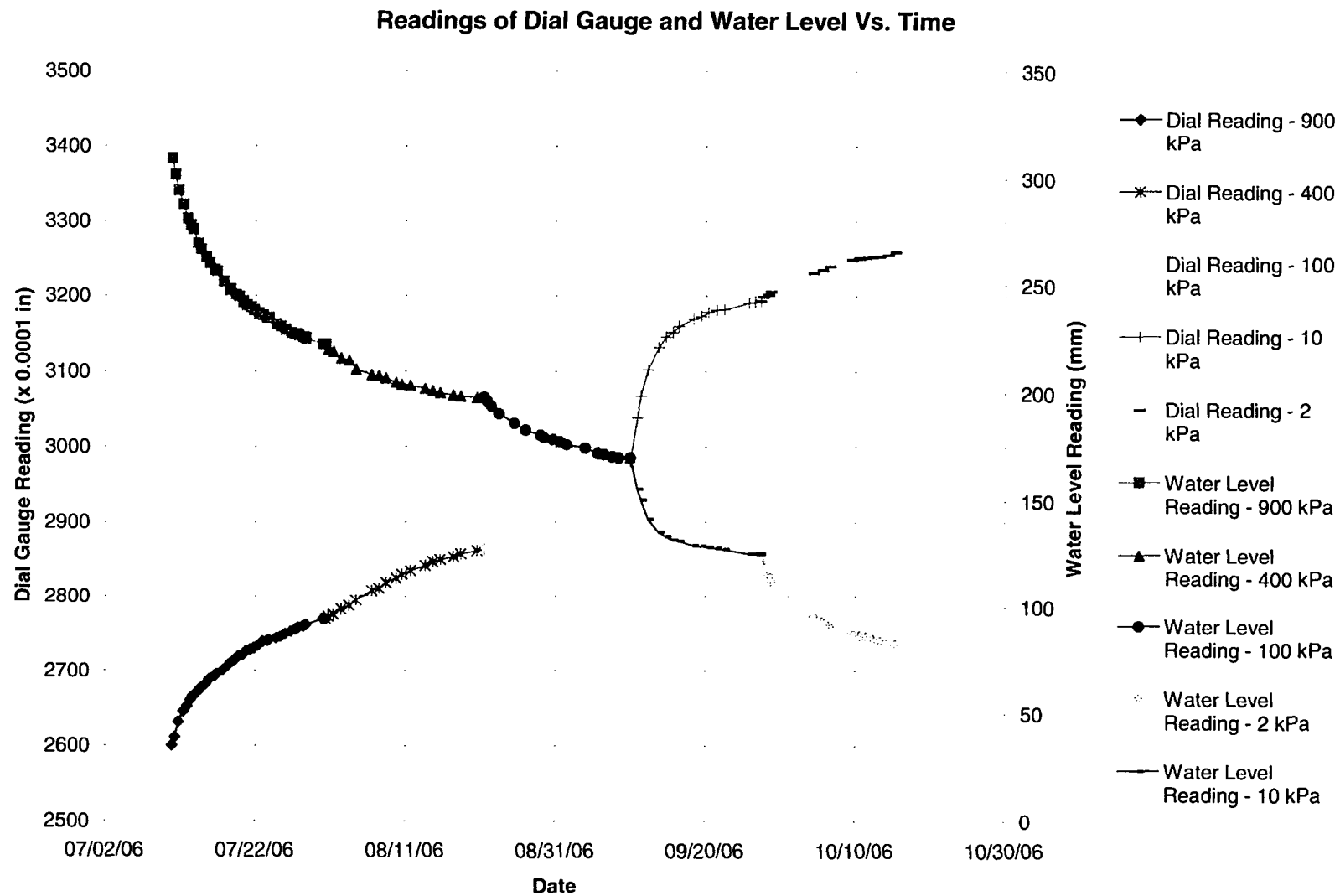
|                  |                 |                       |                                  |
|------------------|-----------------|-----------------------|----------------------------------|
| Sample Source:   | Russell Project | Sample I.D.:          | D-21                             |
| Soil Type:       | Claystone       | Geological Formation: | Denver Formation                 |
| Solution Type:   | DI Water        | Room Temperature:     | 74 Degrees F.                    |
| SWCC Curve Type: | Wetting Curve   | Target Suction:       | 900, 400, 100, 10, 2 kPa         |
| Date of Testing: | 7/10/2006       | Notes:                | Air-Dried Condition at Beginning |

| Steel Ring:               |        | Beginning of the Test:            |        | End of the Test:                  |        |
|---------------------------|--------|-----------------------------------|--------|-----------------------------------|--------|
| Ring I.D.                 | R2     | Wt. of Wet Sample + Ring (g)      | 397.37 | Wt. of Wet Sample + Ring + CS (g) | 568.60 |
| Wt. of Ring (g)           | 264.57 | Height of Sample (in)             | 0.995  | Pan I.D.                          | DC-2   |
| Ring Diameter (in)        | 2.498  | Diameter of Sample (in)           | 2.419  | Wt. of Pan (g)                    | 13.28  |
|                           |        |                                   |        | Wt. of Wet Sample + Pan (g)       | 175.02 |
|                           |        |                                   |        | Wt. of Dry Sample + Pan (g)       | 135.85 |
| Ceramic Stone (CS):       |        | Overburden:                       |        | Notes:                            |        |
| I.D. / Rating             | 15 bar | Applied Overburden Pressure (kPa) | -      |                                   |        |
| Initial Wt. of SSD CS (g) | 144.72 | Diameter of Metal Plate (in)      | -      |                                   |        |
| Final Wt. of SSD CS (g)   | 144.70 | Friction Compensat. (lbf)         | -      |                                   |        |

| Applied Suction<br>(kPa) | Date/Clock Time | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|-----------------|-------------------------------------|---------------------|----------------------|
|                          |                 |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 900                      | 7/10/2006 22:08 | 2600                                | 197                 | 197                  |
|                          | 7/11/2006 7:29  | 2611                                | 189.5               | 189.5                |
|                          | 7/11/2006 18:50 | 2631                                | 182                 | 182                  |
|                          | 7/12/2006 10:07 | 2645                                | 175.5               | 175.5                |
|                          | 7/12/2006 23:54 | 2652                                | 169                 | 169                  |
|                          | 7/13/2006 9:56  | 2660                                | 166                 | 166                  |
|                          | 7/13/2006 17:07 | 2665                                | 164                 | 164                  |
|                          | 7/14/2006 8:57  | 2671                                | 157.5               | 157.5                |
|                          | 7/14/2006 18:24 | 2676                                | 154.5               | 154.5                |
|                          | 7/15/2006 11:12 | 2682                                | 151                 | 151                  |
|                          | 7/15/2006 22:05 | 2688                                | 148                 | 148                  |
|                          | 7/16/2006 12:38 | 2692                                | 145                 | 145                  |
|                          | 7/16/2006 19:55 | 2695                                | 144.5               | 144.5                |
|                          | 7/17/2006 17:41 | 2701                                | 139.5               | 139.5                |
|                          | 7/18/2006 14:57 | 2709                                | 136                 | 136                  |
|                          | 7/19/2006 8:25  | 2715                                | 133.5               | 133.5                |
|                          | 7/19/2006 18:42 | 2719                                | 132.5               | 132.5                |
|                          | 7/20/2006 7:51  | 2721                                | 130                 | 130                  |
|                          | 7/20/2006 19:31 | 2726                                | 128.5               | 128.5                |
|                          | 7/21/2006 9:27  | 2728                                | 127.5               | 127.5                |
|                          | 7/21/2006 18:55 | 2730                                | 126                 | 126                  |
|                          | 7/22/2006 10:17 | 2734                                | 124.5               | 124.5                |

| Applied Suction<br><br>(kPa) | Date/Clock Time | Dial Gauge Reading<br><br>(x 0.0001 in) | Water Level Reading |                      |
|------------------------------|-----------------|-----------------------------------------|---------------------|----------------------|
|                              |                 |                                         | Left Column<br>(mm) | Right Column<br>(mm) |
| 900                          | 7/22/2006 23:30 | 2738                                    | 123.5               | 123.5                |
|                              | 7/23/2006 13:04 | 2739                                    | 122.5               | 122.5                |
|                              | 7/23/2006 19:08 | 2740                                    | 122.5               | 122.5                |
|                              | 7/24/2006 18:58 | 2743                                    | 119.5               | 119.5                |
|                              | 7/25/2006 7:58  | 2745                                    | 118.5               | 118.5                |
|                              | 7/25/2006 23:32 | 2749                                    | 117                 | 117                  |
|                              | 7/26/2006 17:52 | 2752                                    | 115.5               | 115.5                |
|                              | 7/27/2006 9:21  | 2755                                    | 115                 | 115                  |
|                              | 7/27/2006 16:57 | 2757                                    | 114.5               | 114.5                |
|                              | 7/28/2006 8:53  | 2759                                    | 113.5               | 113.5                |
|                              | 7/28/2006 17:04 | 2761                                    | 113                 | 113                  |
|                              | 7/30/2006 23:58 | 2769                                    | 110.5               | 110.5                |
|                              | 7/31/2006 9:12  | 2769                                    | 110.5               | 110.5                |
| 400                          | 7/31/2006 9:25  | 2769                                    | 185                 | 185                  |
|                              | 7/31/2006 17:13 | 2772                                    | 182.5               | 182.5                |
|                              | 8/1/2006 8:56   | 2775                                    | 181.5               | 181.5                |
|                              | 8/2/2006 9:34   | 2782                                    | 178.5               | 178.5                |
|                              | 8/3/2006 11:13  | 2787                                    | 177.5               | 177.5                |
|                              | 8/4/2006 9:28   | 2794                                    | 173.5               | 173.5                |
|                              | 8/6/2006 11:41  | 2808                                    | 171                 | 171                  |
|                              | 8/7/2006 10:37  | 2811                                    | 170.5               | 170.5                |
|                              | 8/8/2006 9:23   | 2819                                    | 169.5               | 169.5                |
|                              | 8/9/2006 17:17  | 2825                                    | 167.5               | 167.5                |
|                              | 8/10/2006 12:03 | 2830                                    | 166.5               | 166.5                |
|                              | 8/11/2006 16:04 | 2835                                    | 166                 | 166                  |
|                              | 8/13/2006 14:00 | 2842                                    | 164.5               | 164.5                |
|                              | 8/14/2006 14:43 | 2847                                    | 163.5               | 163.5                |
|                              | 8/15/2006 14:12 | 2850                                    | 162.5               | 162.5                |
|                              | 8/17/2006 9:00  | 2854                                    | 161.5               | 161.5                |
|                              | 8/18/2006 8:17  | 2858                                    | 161                 | 161                  |
|                              | 8/20/2006 13:06 | 2862                                    | 160.5               | 160.5                |
|                              | 8/21/2006 11:34 | 2864                                    | 160.5               | 160.5                |
| 100                          | 8/21/2006 11:37 | 2864                                    | 198                 | 198                  |
|                              | 8/21/2006 20:08 | 2872                                    | 196.5               | 196.5                |
|                              | 8/22/2006 10:12 | 2880                                    | 194                 | 194                  |
|                              | 8/23/2006 9:42  | 2889                                    | 190.5               | 190.5                |
|                              | 8/25/2006 10:45 | 2908                                    | 186                 | 186                  |
|                              | 8/26/2006 22:30 | 2920                                    | 183                 | 183                  |
|                              | 8/28/2006 22:30 | 2930                                    | 180.5               | 180.5                |
|                              | 8/29/2006 9:34  | 2938                                    | 179.5               | 179.5                |
|                              | 8/30/2006 13:50 | 2942                                    | 178.5               | 178.5                |
|                              | 8/31/2006 12:25 | 2949                                    | 177.5               | 177.5                |
|                              | 9/1/2006 9:29   | 2952                                    | 176                 | 176                  |

| Applied Suction<br>(kPa) | Date/Clock Time  | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|------------------|-------------------------------------|---------------------|----------------------|
|                          |                  |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 100                      | 9/3/2006 21:35   | 2965                                | 174.5               | 174.5                |
|                          | 9/5/2006 14:05   | 2971                                | 172                 | 172                  |
|                          | 9/6/2006 9:45    | 2972                                | 171.5               | 171.5                |
|                          | 9/7/2006 12:47   | 2975                                | 170.5               | 170.5                |
|                          | 9/8/2006 8:39    | 2978                                | 170                 | 170                  |
|                          | 9/9/2006 21:43   | 2980                                | 170                 | 170                  |
|                          | 9/9/2006 21:45   | 2980                                | 170                 | 170                  |
| 10                       | 9/10/2006 20:49  | 3039                                | 155.5               | 155.5                |
|                          | 9/11/2006 8:36   | 3068                                | 150.5               | 150.5                |
|                          | 9/12/2006 7:46   | 3103                                | 141.5               | 141.5                |
|                          | 9/13/2006 18:16  | 3132                                | 135.5               | 135.5                |
|                          | 9/14/2006 17:15  | 3146                                | 133.5               | 133.5                |
|                          | 9/15/2006 14:47  | 3152                                | 132                 | 132                  |
|                          | 9/16/2006 9:57   | 3160                                | 131.5               | 131.5                |
|                          | 9/18/2006 8:42   | 3170                                | 129.5               | 129.5                |
|                          | 9/19/2006 9:55   | 3173                                | 129                 | 129                  |
|                          | 9/20/2006 8:55   | 3178                                | 128.5               | 128.5                |
|                          | 9/21/2006 10:50  | 3181                                | 128                 | 128                  |
|                          | 9/22/2006 11:15  | 3182                                | 127.5               | 127.5                |
|                          | 9/25/2006 17:58  | 3191                                | 125.5               | 125.5                |
|                          | 9/26/2006 13:35  | 3192                                | 125.5               | 125.5                |
|                          | 9/27/2006 8:43   | 3193                                | 125.5               | 125.5                |
|                          | 9/27/2006 8:45   | 3193                                | 125.5               | 125.5                |
|                          | 9/27/2006 16:41  | 3199                                | 121                 | 121                  |
| 2                        | 9/28/2006 8:38   | 3202                                | 115.5               | 115.5                |
|                          | 9/28/2006 16:26  | 3206                                | 112.5               | 112.5                |
|                          | 10/4/2006 8:56   | 3231                                | 96                  | 96                   |
|                          | 10/5/2006 14:12  | 3235                                | 93.5                | 93.5                 |
|                          | 10/6/2006 12:45  | 3240                                | 91.5                | 91.5                 |
|                          | 10/9/2006 13:00  | 3248                                | 87.5                | 87.5                 |
|                          | 10/10/2006 13:16 | 3250                                | 86.5                | 86.5                 |
|                          | 10/11/2006 8:47  | 3251                                | 86                  | 86                   |
|                          | 10/12/2006 8:41  | 3252                                | 85                  | 85                   |
|                          | 10/13/2006 8:40  | 3253                                | 84                  | 84                   |
|                          | 10/14/2006 10:45 | 3255                                | 83                  | 83                   |
|                          | 10/15/2006 10:16 | 3259                                | 82.5                | 82.5                 |



# CALCULATIONS OF WETTING CURVE DATA

Project Name: Russell Project      Project No.: D-21  
Sample I.D.: Claystone      Station I.D.: Denver Formation  
SWCC Curve Type: Wetting Curve      Target Suction: 900, 400, 100, 10, 2 kPa

## (I) Initial Soil Conditions:

| Wt. of Wet Sample + Ring (g) | Wt. of Ring (g) | Wt. of Wet Sample (g) | Wt. of Dry Sample + Pan (g) | Wt. of Pan (g) | Wt. of Dry Sample (g) | Initial Wt. of Water (g) | Initial Gravimetric Water Content (%) | Initial Sample Diameter (in) | Initial Height of Sample (in) | Initial Volume of Sample (in <sup>3</sup> ) | Initial Volumetric Water Content (%) | Initial Wet Density (pcf) | Initial Dry Density (pcf) | Specific Gravity | Initial Degree of Saturation (%) |
|------------------------------|-----------------|-----------------------|-----------------------------|----------------|-----------------------|--------------------------|---------------------------------------|------------------------------|-------------------------------|---------------------------------------------|--------------------------------------|---------------------------|---------------------------|------------------|----------------------------------|
| 397.37                       | 264.57          | 132.8                 | 135.85                      | 13.28          | 122.57                | 10.23                    | 8.35                                  | 2.419                        | 0.995                         | 4.573                                       | 13.63                                | 110.4                     | 101.9                     | 2.76             | 33.38                            |

## (II) Soil Conditions at Equilibrium:

| Applied Suction (kPa) | Applied Suction (psf) | Initial Water Level Reading |                   |              | Final Water Level Reading |                   |              | Water Absorbed at Each Tube (mm) | Total Water Absorbed at Each Pressure (mm) | Total Water Absorbed (1) (g) | Initial Wt. of SSD CS (g) | Final Wt. of SSD CS (g) | Water Adsorbed by / Released from CS (2) (g) | Wt. of Water at Equilibrium (g) | Gravimetric Water Content at Equilibrium (%) |
|-----------------------|-----------------------|-----------------------------|-------------------|--------------|---------------------------|-------------------|--------------|----------------------------------|--------------------------------------------|------------------------------|---------------------------|-------------------------|----------------------------------------------|---------------------------------|----------------------------------------------|
|                       |                       | Left Column (mm)            | Right Column (mm) | Average (mm) | Left Column (mm)          | Right Column (mm) | Average (mm) |                                  |                                            |                              |                           |                         |                                              |                                 |                                              |
| 900                   | 18,797                | 197                         | 197               | 197          | 110.5                     | 110.5             | 110.5        | 86.5                             | 173                                        | 11.1                         | 144.72                    | 144.70                  | 0.02                                         | 21.3                            | 17.38                                        |
| 400                   | 8,354                 | 185                         | 185               | 185          | 160.5                     | 160.5             | 160.5        | 24.5                             | 49                                         | 14.2                         |                           |                         |                                              | 24.4                            | 19.93                                        |
| 100                   | 2,089                 | 198                         | 198               | 198          | 170                       | 170               | 170          | 28                               | 56                                         | 17.8                         |                           |                         |                                              | 28.0                            | 22.85                                        |
| 10                    | 209                   | 170                         | 170               | 170          | 125.5                     | 125.5             | 125.5        | 44.5                             | 89                                         | 23.4                         |                           |                         |                                              | 33.7                            | 27.49                                        |
| 2                     | 42                    | 125.5                       | 125.5             | 125.5        | 82.5                      | 82.5              | 82.5         | 43                               | 86                                         | 28.9                         |                           |                         |                                              | 39.2                            | 31.97                                        |

| Applied Suction (kPa) | Applied Suction (psf) | Initial Dial Reading (x 0.0001 in) | Dial Reading at Equilibrium (x 0.0001 in) | Change of Sample Height (3) (in) | Height of Sample at Equilibrium (in) | Estimated Diameter of Sample (in) | Volume of Sample at Equilibrium (in <sup>3</sup> ) | Volumetric Water Content at Equilibrium (%) | Dry Density at Equilibrium (pcf) | Degree of Saturation at Equilibrium (%) |
|-----------------------|-----------------------|------------------------------------|-------------------------------------------|----------------------------------|--------------------------------------|-----------------------------------|----------------------------------------------------|---------------------------------------------|----------------------------------|-----------------------------------------|
| 900                   | 18,797                | 2600                               | 2769                                      | 0.017                            | 1.012                                | 2.420                             | 4.654                                              | 27.88                                       | 100.1                            | 66.60                                   |
| 400                   | 8,354                 |                                    | 2864                                      | 0.026                            | 1.021                                | 2.440                             | 4.776                                              | 31.17                                       | 97.6                             | 71.89                                   |
| 100                   | 2,089                 |                                    | 2980                                      | 0.038                            | 1.033                                | 2.470                             | 4.950                                              | 34.48                                       | 94.1                             | 76.04                                   |
| 10                    | 209                   |                                    | 3193                                      | 0.059                            | 1.054                                | 2.498                             | 5.167                                              | 39.73                                       | 90.2                             | 83.40                                   |
| 2                     | 42                    |                                    | 3259                                      | 0.066                            | 1.061                                | 2.498                             | 5.199                                              | 45.92                                       | 89.6                             | 95.74                                   |

## (III) Final Soil Conditions:

| Wt. of Wet Sample + Pan (g) | Wt. of Pan (g) | Wt. of Wet Sample (g) | Final Wt. of Water (g) | Final Gravimetric Water Content (%) |
|-----------------------------|----------------|-----------------------|------------------------|-------------------------------------|
| 175.0                       | 13.3           | 161.7                 | 39.2                   | 31.96                               |

Notes: (1) Calibrated factor of water in the volume tubes: 1 grams of water = 15.653 mm of water in the volume tube  
(2) Negative value means water adsorbed by SSD CS, positive value means water released from SSD CS  
(3) Negative value means sample height decreases, positive value means sample height increases

**APPENDIX E.2**  
**WATER CONTENT AND SWELL POTENTIAL RELATIONSHIP**

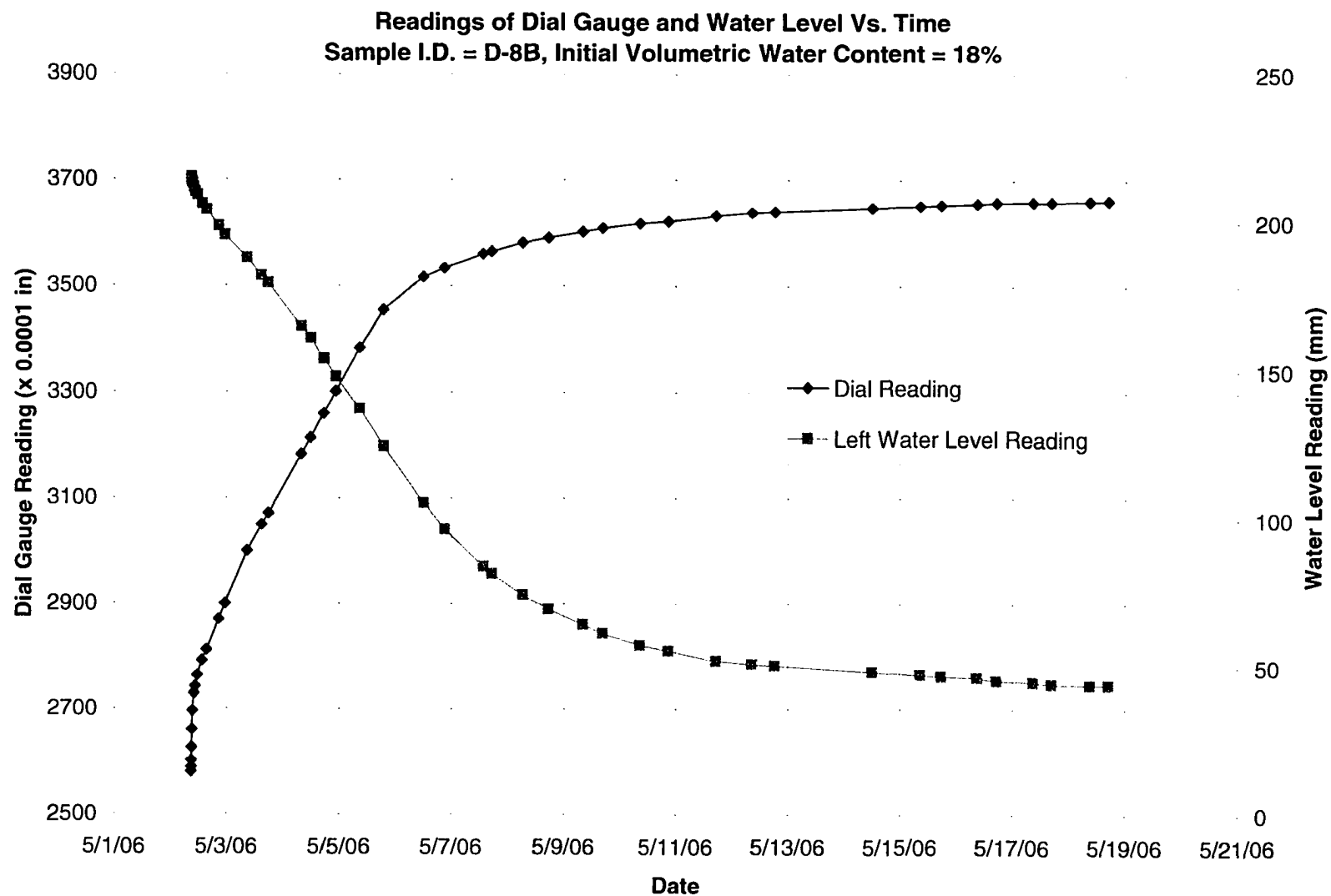
# **SWELL POTENTIAL VS. WATER CONTENT TEST DATA SHEET**

|                           |                        |                              |                         |
|---------------------------|------------------------|------------------------------|-------------------------|
| <b>Sample Source:</b>     | <u>Russell Project</u> | <b>Sample I.D.:</b>          | <u>D-8B</u>             |
| <b>Soil Type:</b>         | <u>Claystone</u>       | <b>Geological Formation:</b> | <u>Denver Formation</u> |
| <b>Solution Type:</b>     | <u>DI Water</u>        | <b>Room Temperature:</b>     | <u>74 Degrees F.</u>    |
| <b>Time of Beginning:</b> | <u>5/2/2006</u>        | <b>Time of Completion:</b>   | <u>5/18/2006</u>        |

| Steel Ring:               |        | Overburden:                          |        | End of the Test:                   |        |
|---------------------------|--------|--------------------------------------|--------|------------------------------------|--------|
| Ring I.D.                 | R1     | Applied Overburden Pressure (kPa)    | 0      | Wt. of Sat. Sample + Ring + CS (g) | 567.64 |
| Wt. of Ring (g)           | 253.04 | Pressure from Equipment Weight (kPa) | 6.30   | Pan I.D.                           | DC-24  |
| Ring Diameter (in)        | 2.498  | Friction from Equipment (kPa)        | 2.8    | Wt. of Pan (g)                     | 13.26  |
| Ring Height (in)          | 1.215  | Total Overburden Pressure (kPa)      | 9.10   | Wt. of Sat. Sample + Pan (g)       | 182.74 |
| Spacer Height (in)        | 0.197  |                                      |        | Wt. of Dry Sample + Pan (g)        | 140.15 |
| Ceramic Stone (CS):       |        | Beginning of the Test:               |        | Notes:                             |        |
| I.D. / Rating             | 15 bar | Wt. of Wet Sample + Ring (g)         | 395.61 |                                    |        |
| Initial Wt. of SSD CS (g) | 144.68 | Height of Sample (in)                | 1.018  |                                    |        |
| Final Wt. of SSD CS (g)   | 144.66 |                                      |        |                                    |        |

| Applied Suction<br>(kPa) | Date/Clock Time | Elapsed Time<br>(min) | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|-----------------|-----------------------|-------------------------------------|---------------------|----------------------|
|                          |                 |                       |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 4                        | 5/2/2006 8:47   | $\sigma'_{taken}$     | 2580                                |                     |                      |
|                          | 5/2/2006 8:48   | $\sigma'_v$           | 2580                                |                     |                      |
|                          | 5/2/2006 8:55   | 0                     | 2580                                | 215                 | 215                  |
|                          | 5/2/2006 8:56   | 1                     | 2589                                | 214.5               | 214.5                |
|                          | 5/2/2006 8:59   | 2                     | 2602                                | 214                 | 214                  |
|                          | 5/2/2006 9:03   | 4                     | 2627                                | 214                 | 214                  |
|                          | 5/2/2006 9:12   | 8                     | 2661                                | 213.5               | 213.5                |
|                          | 5/2/2006 9:23   | 15                    | 2695                                | 213                 | 213                  |
|                          | 5/2/2006 9:58   | 30                    | 2729                                | 211.5               | 211.5                |
|                          | 5/2/2006 10:30  | 60                    | 2742                                | 210                 | 210                  |
|                          | 5/2/2006 11:36  | 120                   | 2763                                | 209                 | 209                  |
|                          | 5/2/2006 13:35  | 240                   | 2791                                | 206                 | 206                  |
|                          | 5/2/2006 15:32  | 480                   | 2812                                | 204                 | 204                  |
|                          | 5/2/2006 20:39  | 960                   | 2870                                | 198.5               | 198.5                |
|                          | 5/2/2006 23:18  |                       | 2900                                | 195.5               | 195.5                |
|                          | 5/3/2006 8:40   |                       | 2999                                | 188                 | 188                  |
|                          | 5/3/2006 14:54  |                       | 3049                                | 182                 | 182                  |
|                          | 5/3/2006 17:45  |                       | 3071                                | 179.5               | 179.5                |
|                          | 5/4/2006 7:59   |                       | 3183                                | 165                 | 165                  |
|                          | 5/4/2006 11:53  |                       | 3213                                | 161                 | 161                  |
|                          | 5/4/2006 17:28  |                       | 3259                                | 154                 | 154                  |
|                          | 5/4/2006 22:26  |                       | 3302                                | 148                 | 148                  |

| Applied Suction<br>(kPa) | Date/Clock Time | Elapsed Time<br>(min) | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|-----------------|-----------------------|-------------------------------------|---------------------|----------------------|
|                          |                 |                       |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 4                        | 5/5/2006 8:41   |                       | 3383                                | 137                 | 137                  |
|                          | 5/5/2006 18:59  |                       | 3455                                | 124.5               | 124.5                |
|                          | 5/6/2006 12:08  |                       | 3517                                | 105.5               | 105.5                |
|                          | 5/6/2006 21:04  |                       | 3534                                | 96.5                | 95.5                 |
|                          | 5/7/2006 13:41  |                       | 3561                                | 84                  | 84                   |
|                          | 5/7/2006 17:16  |                       | 3566                                | 81.5                | 81.5                 |
|                          | 5/8/2006 6:35   |                       | 3582                                | 74.5                | 74.5                 |
|                          | 5/8/2006 17:30  |                       | 3591                                | 69.5                | 69.5                 |
|                          | 5/9/2006 8:08   |                       | 3602                                | 64.5                | 64.5                 |
|                          | 5/9/2006 16:46  |                       | 3609                                | 61.5                | 61.5                 |
|                          | 5/10/2006 8:40  |                       | 3618                                | 57.5                | 57.5                 |
|                          | 5/10/2006 20:53 |                       | 3622                                | 55.5                | 55.5                 |
|                          | 5/11/2006 17:19 |                       | 3632                                | 52                  | 52                   |
|                          | 5/12/2006 8:40  |                       | 3638                                | 51                  | 51                   |
|                          | 5/12/2006 18:22 |                       | 3640                                | 50.5                | 50.5                 |
|                          | 5/14/2006 12:07 |                       | 3648                                | 48.5                | 48.5                 |
|                          | 5/15/2006 8:42  |                       | 3651                                | 47.5                | 47.5                 |
|                          | 5/15/2006 17:34 |                       | 3653                                | 47                  | 47                   |
|                          | 5/16/2006 8:46  |                       | 3656                                | 46.5                | 46.5                 |
|                          | 5/16/2006 17:06 |                       | 3658                                | 45.5                | 45.5                 |
|                          | 5/17/2006 8:41  |                       | 3659                                | 45                  | 45                   |
|                          | 5/17/2006 16:34 |                       | 3660                                | 44.5                | 44.5                 |
|                          | 5/18/2006 8:53  |                       | 3661                                | 44                  | 44                   |
|                          | 5/18/2006 16:49 |                       | 3662                                | 44                  | 44                   |



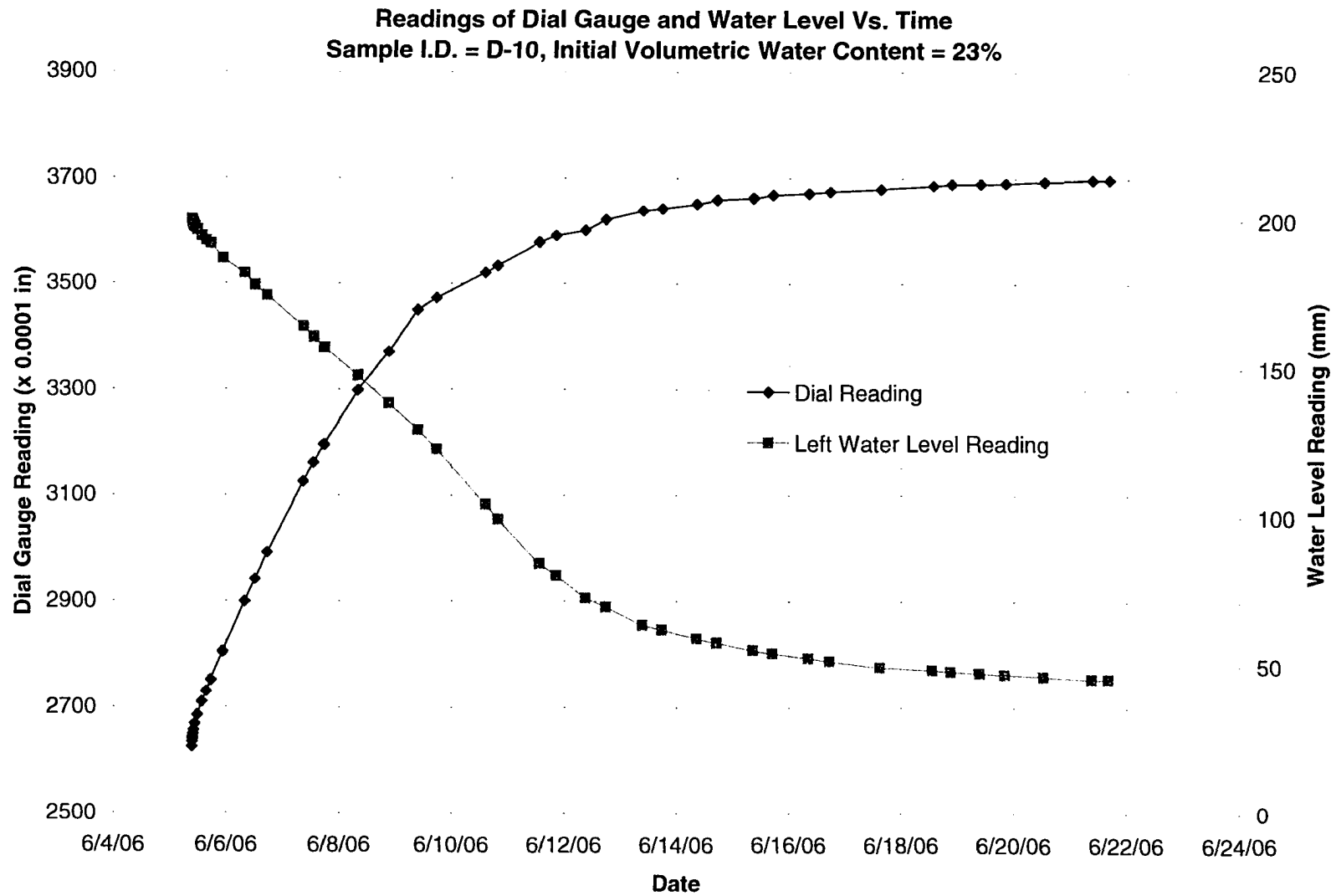
# **SWELL POTENTIAL VS. WATER CONTENT TEST DATA SHEET**

|                           |                 |                              |                  |
|---------------------------|-----------------|------------------------------|------------------|
| <b>Sample Source:</b>     | Russell Project | <b>Sample I.D.:</b>          | D-10             |
| <b>Soil Type:</b>         | Claystone       | <b>Geological Formation:</b> | Denver Formation |
| <b>Solution Type:</b>     | DI Water        | <b>Room Temperature:</b>     | 74 Degrees F.    |
| <b>Time of Beginning:</b> | 6/5/2006        | <b>Time of Completion:</b>   | 6/21/2006        |

| Steel Ring:               |        | Overburden:                          |        | End of the Test:                   |        |
|---------------------------|--------|--------------------------------------|--------|------------------------------------|--------|
| Ring I.D.                 | R1     | Applied Overburden Pressure (kPa)    | 0      | Wt. of Sat. Sample + Ring + CS (g) | 565.35 |
| Wt. of Ring (g)           | 253.04 | Pressure from Equipment Weight (kPa) | 6.30   | Pan I.D.                           | KD-4   |
| Ring Diameter (in)        | 2.498  | Friction from Equipment (kPa)        | 2.80   | Wt. of Pan (g)                     | 15.38  |
| Ring Height (in)          | 1.215  | Total Overburden Pressure (kPa)      | 9.10   | Wt. of Sat. Sample + Pan (g)       | 182.72 |
| Spacer Height (in)        | 0.197  |                                      |        | Wt. of Dry Sample + Pan (g)        | 143.36 |
| Ceramic Stone (CS):       |        | Beginning of the Test:               |        | Notes:                             |        |
| I.D. / Rating             | 15 bar | Wt. of Wet Sample + Ring (g)         | 399.91 |                                    |        |
| Initial Wt. of SSD CS (g) | 144.65 | Height of Sample (in)                | 1.018  |                                    |        |
| Final Wt. of SSD CS (g)   | 144.58 |                                      |        |                                    |        |

| Applied Suction<br>(kPa) | Date/Clock Time | Elapsed Time<br>(min)    | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|-----------------|--------------------------|-------------------------------------|---------------------|----------------------|
|                          |                 |                          |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 4                        | 6/5/2006 9:15   | $\sigma'_{\text{token}}$ | 2625                                |                     |                      |
|                          | 6/5/2006 9:20   | $\sigma'_1$              | 2625                                |                     |                      |
|                          | 6/5/2006 9:21   | 0                        | 2625                                | 200                 | 200                  |
|                          | 6/5/2006 9:25   | 1                        | 2635                                | 199.5               | 199.5                |
|                          | 6/5/2006 9:32   | 2                        | 2641                                | 199                 | 199                  |
|                          | 6/5/2006 9:36   | 4                        | 2643                                | 199                 | 199                  |
|                          | 6/5/2006 9:50   | 8                        | 2649                                | 198.5               | 198.5                |
|                          | 6/5/2006 10:03  | 15                       | 2656                                | 198                 | 198                  |
|                          | 6/5/2006 10:35  | 30                       | 2668                                | 197.5               | 197.5                |
|                          | 6/5/2006 11:37  | 60                       | 2685                                | 196.5               | 196.5                |
|                          | 6/5/2006 13:34  | 120                      | 2710                                | 194.5               | 194.5                |
|                          | 6/5/2006 15:26  | 240                      | 2730                                | 193                 | 193                  |
|                          | 6/5/2006 17:26  | 480                      | 2751                                | 192                 | 192                  |
|                          | 6/5/2006 22:37  | 960                      | 2805                                | 187                 | 187                  |
|                          | 6/6/2006 7:53   |                          | 2899                                | 182                 | 182                  |
|                          | 6/6/2006 12:17  |                          | 2941                                | 178                 | 178                  |
|                          | 6/6/2006 17:26  |                          | 2991                                | 174.5               | 174.5                |
|                          | 6/7/2006 8:55   |                          | 3125                                | 164                 | 164                  |
|                          | 6/7/2006 13:14  |                          | 3161                                | 160.5               | 160.5                |
|                          | 6/7/2006 17:38  |                          | 3195                                | 157                 | 157                  |
|                          | 6/8/2006 7:55   |                          | 3298                                | 147.5               | 147.5                |
|                          | 6/8/2006 21:02  |                          | 3371                                | 138                 | 138                  |
|                          | 6/9/2006 9:34   |                          | 3450                                | 129                 | 129                  |
|                          | 6/9/2006 17:35  |                          | 3473                                | 122.5               | 122.5                |
|                          | 6/10/2006 14:30 |                          | 3521                                | 104                 | 104                  |

| Applied Suction<br>(kPa) | Date/Clock Time | Elapsed<br>Time<br>(min) | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|-----------------|--------------------------|-------------------------------------|---------------------|----------------------|
|                          |                 |                          |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 4                        | 6/10/2006 19:50 |                          | 3535                                | 99                  | 99                   |
|                          | 6/11/2006 13:27 |                          | 3578                                | 84                  | 84                   |
|                          | 6/11/2006 20:28 |                          | 3591                                | 80                  | 80                   |
|                          | 6/12/2006 8:59  |                          | 3601                                | 72.5                | 72.5                 |
|                          | 6/12/2006 17:47 |                          | 3621                                | 69.5                | 69.5                 |
|                          | 6/13/2006 9:31  |                          | 3638                                | 63.5                | 63.5                 |
|                          | 6/13/2006 17:49 |                          | 3642                                | 62                  | 62                   |
|                          | 6/14/2006 8:41  |                          | 3651                                | 59                  | 59                   |
|                          | 6/14/2006 17:20 |                          | 3658                                | 57.5                | 57.5                 |
|                          | 6/15/2006 8:50  |                          | 3662                                | 55                  | 55                   |
|                          | 6/15/2006 16:57 |                          | 3668                                | 54                  | 54                   |
|                          | 6/16/2006 8:17  |                          | 3671                                | 52.5                | 52.5                 |
|                          | 6/16/2006 17:23 |                          | 3675                                | 51.5                | 51.5                 |
|                          | 6/17/2006 14:54 |                          | 3680                                | 49.5                | 49.5                 |
|                          | 6/18/2006 13:21 |                          | 3686                                | 48.5                | 48.5                 |
|                          | 6/18/2006 21:14 |                          | 3689                                | 48                  | 48                   |
|                          | 6/19/2006 9:23  |                          | 3690                                | 47.5                | 47.5                 |
|                          | 6/19/2006 19:58 |                          | 3691                                | 47                  | 47                   |
|                          | 6/20/2006 12:27 |                          | 3695                                | 46.5                | 46.5                 |
|                          | 6/21/2006 9:00  |                          | 3698                                | 45.5                | 45.5                 |
|                          | 6/21/2006 16:14 |                          | 3698                                | 45.5                | 45.5                 |



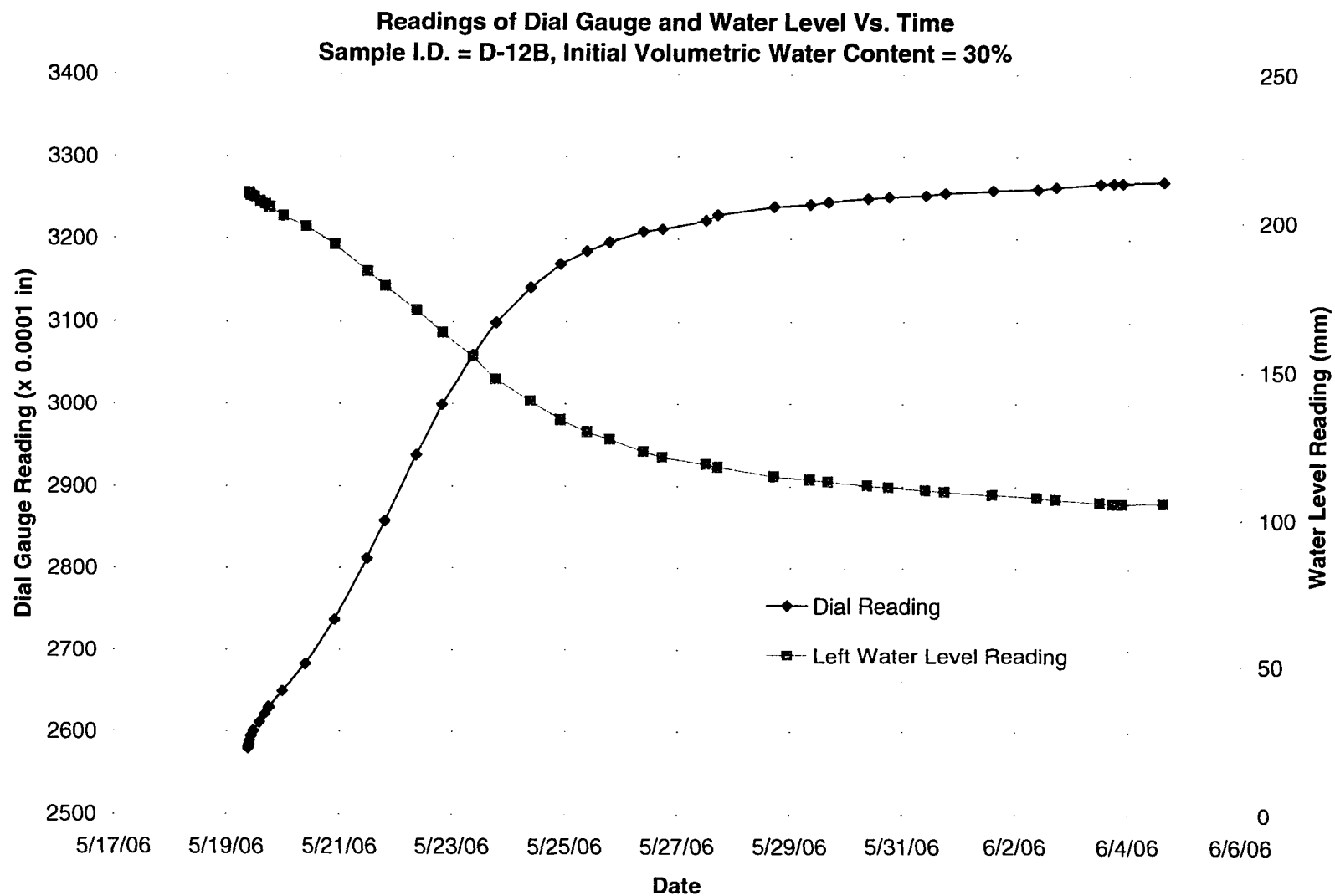
# SWELL POTENTIAL VS. WATER CONTENT TEST DATA SHEET

|                    |                 |                       |                  |
|--------------------|-----------------|-----------------------|------------------|
| Sample Source:     | Russell Project | Sample I.D.:          | D-12B            |
| Soil Type:         | Claystone       | Geological Formation: | Denver Formation |
| Solution Type:     | DI Water        | Room Temperature:     | 74 Degrees F.    |
| Time of Beginning: | 5/19/2006       | Time of Completion:   | 6/4/2006         |

| Steel Ring:               |        | Overburden:                          |        | End of the Test:                   |        |
|---------------------------|--------|--------------------------------------|--------|------------------------------------|--------|
| Ring I.D.                 | R1     | Applied Overburden Pressure (kPa)    | 0      | Wt. of Sat. Sample + Ring + CS (g) | 563.15 |
| Wt. of Ring (g)           | 253.04 | Pressure from Equipment Weight (kPa) | 6.30   | Pan I.D.                           | KD29   |
| Ring Diameter (in)        | 2.498  | Friction from Equipment (kPa)        | 2.8    | Wt. of Pan (g)                     | 15.81  |
| Ring Height (in)          | 1.215  | Total Overburden Pressure (kPa)      | 9.10   | Wt. of Sat. Sample + Pan (g)       | 181.23 |
| Spacer Height (in)        | 0.197  |                                      |        | Wt. of Dry Sample + Pan (g)        | 143.76 |
| Ceramic Stone (CS):       |        | Beginning of the Test:               |        | Notes:                             |        |
| I.D. / Rating             | 15 bar | Wt. of Wet Sample + Ring (g)         | 405.42 |                                    |        |
| Initial Wt. of SSD CS (g) | 144.68 | Height of Sample (in)                | 1.018  |                                    |        |
| Final Wt. of SSD CS (g)   | 144.55 |                                      |        |                                    |        |

| Applied Suction (kPa) | Date/Clock Time | Elapsed Time (min) | Dial Gauge Reading (x 0.0001 in) | Water Level Reading |                   |
|-----------------------|-----------------|--------------------|----------------------------------|---------------------|-------------------|
|                       |                 |                    |                                  | Left Column (mm)    | Right Column (mm) |
| 4                     | 5/19/2006 9:11  | $\sigma'_{token}$  | 2576                             |                     |                   |
|                       | 5/19/2006 9:12  | $\sigma'_i$        | 2576                             |                     |                   |
|                       | 5/19/2006 9:14  | 0                  | 2580                             | 210                 | 210               |
|                       | 5/19/2006 9:15  | 1                  | 2581                             | 210                 | 210               |
|                       | 5/19/2006 9:17  | 2                  | 2582                             | 210                 | 210               |
|                       | 5/19/2006 9:25  | 4                  | 2583                             | 209.5               | 209.5             |
|                       | 5/19/2006 9:33  | 8                  | 2585                             | 209.5               | 209.5             |
|                       | 5/19/2006 9:52  | 15                 | 2589                             | 209                 | 209               |
|                       | 5/19/2006 10:25 | 30                 | 2595                             | 209                 | 209               |
|                       | 5/19/2006 11:30 | 60                 | 2601                             | 208.5               | 208.5             |
|                       | 5/19/2006 14:00 | 120                | 2611                             | 207                 | 207               |
|                       | 5/19/2006 16:04 | 240                | 2621                             | 206                 | 206               |
|                       | 5/19/2006 17:54 | 480                | 2629                             | 205                 | 205               |
|                       | 5/19/2006 23:42 | 960                | 2649                             | 202                 | 202               |
|                       | 5/20/2006 9:23  |                    | 2681                             | 198.5               | 198.5             |
|                       | 5/20/2006 21:49 |                    | 2737                             | 192.5               | 192.5             |
|                       | 5/21/2006 11:38 |                    | 2812                             | 183.5               | 183.5             |
|                       | 5/21/2006 19:13 |                    | 2858                             | 178.5               | 178.5             |
|                       | 5/22/2006 8:35  |                    | 2938                             | 170.5               | 170.5             |
|                       | 5/22/2006 19:33 |                    | 2999                             | 163                 | 163               |
|                       | 5/23/2006 8:35  |                    | 3060                             | 155                 | 155               |
|                       | 5/23/2006 18:19 |                    | 3099                             | 147.5               | 147.5             |
|                       | 5/24/2006 9:04  |                    | 3141                             | 140                 | 140               |
|                       | 5/24/2006 21:42 |                    | 3169                             | 133.5               | 133.5             |
|                       | 5/25/2006 9:12  |                    | 3185                             | 129.5               | 129.5             |

| Applied Suction<br>(kPa) | Date/Clock Time | Elapsed<br>Time<br>(min) | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|-----------------|--------------------------|-------------------------------------|---------------------|----------------------|
|                          |                 |                          |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 4                        | 5/25/2006 18:47 |                          | 3196                                | 127                 | 127                  |
|                          | 5/26/2006 9:10  |                          | 3209                                | 123                 | 123                  |
|                          | 5/26/2006 17:19 |                          | 3212                                | 121                 | 121                  |
|                          | 5/27/2006 11:57 |                          | 3222                                | 118.5               | 118.5                |
|                          | 5/27/2006 17:02 |                          | 3229                                | 117.5               | 117.5                |
|                          | 5/28/2006 16:54 |                          | 3239                                | 114.5               | 114.5                |
|                          | 5/29/2006 8:21  |                          | 3242                                | 113.5               | 113.5                |
|                          | 5/29/2006 16:03 |                          | 3245                                | 113                 | 113                  |
|                          | 5/30/2006 8:56  |                          | 3249                                | 111.5               | 111.5                |
|                          | 5/30/2006 17:53 |                          | 3251                                | 111                 | 111                  |
|                          | 5/31/2006 9:39  |                          | 3253                                | 110                 | 110                  |
|                          | 5/31/2006 17:49 |                          | 3256                                | 109.5               | 109.5                |
|                          | 6/1/2006 13:58  |                          | 3259                                | 108.5               | 108.5                |
|                          | 6/2/2006 9:03   |                          | 3261                                | 107.5               | 107.5                |
|                          | 6/2/2006 17:05  |                          | 3264                                | 107                 | 107                  |
|                          | 6/3/2006 11:51  |                          | 3268                                | 106                 | 106                  |
|                          | 6/3/2006 17:28  |                          | 3269                                | 105.5               | 105.5                |
|                          | 6/3/2006 21:20  |                          | 3269                                | 105.5               | 105.5                |
|                          | 6/4/2006 14:50  |                          | 3270                                | 105.5               | 105.5                |



# **SWELL POTENTIAL VS. WATER CONTENT TEST DATA SHEET**

|                           |                 |                              |                  |
|---------------------------|-----------------|------------------------------|------------------|
| <b>Sample Source:</b>     | Russell Project | <b>Sample I.D.:</b>          | D-14             |
| <b>Soil Type:</b>         | Claystone       | <b>Geological Formation:</b> | Denver Formation |
| <b>Solution Type:</b>     | DI Water        | <b>Room Temperature:</b>     | 74 Degrees F.    |
| <b>Time of Beginning:</b> | 6/22/2006       | <b>Time of Completion:</b>   | 7/10/2006        |

| Steel Ring:               |        | Overburden:                          |        | End of the Test:                   |        |
|---------------------------|--------|--------------------------------------|--------|------------------------------------|--------|
| Ring I.D.                 | R1     | Applied Overburden Pressure (kPa)    | 0      | Wt. of Sat. Sample + Ring + CS (g) | 561.63 |
| Wt. of Ring (g)           | 253.04 | Pressure from Equipment Weight (kPa) | 6.30   | Pan I.D.                           | KD-17  |
| Ring Diameter (in)        | 2.498  | Friction from Equipment (kPa)        | 2.80   | Wt. of Pan (g)                     | 15.71  |
| Ring Height (in)          | 1.215  | Total Overburden Pressure (kPa)      | 9.10   | Wt. of Sat. Sample + Pan (g)       | 179.34 |
| Spacer Height (in)        | 0.197  |                                      |        | Wt. of Dry Sample + Pan (g)        | 143.22 |
| Ceramic Stone (CS):       |        | Beginning of the Test:               |        | Notes:                             |        |
| I.D. / Rating             | 15 bar | Wt. of Wet Sample + Ring (g)         | 411.55 |                                    |        |
| Initial Wt. of SSD CS (g) | 144.7  | Height of Sample (in)                | 1.018  |                                    |        |
| Final Wt. of SSD CS (g)   | 144.63 |                                      |        |                                    |        |

| Applied Suction<br>(kPa) | Date/Clock Time | Elapsed Time<br>(min) | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|-----------------|-----------------------|-------------------------------------|---------------------|----------------------|
|                          |                 |                       |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 4                        | 6/22/2006 13:44 | $\sigma'_{token}$     | 2761                                |                     |                      |
|                          | 6/22/2006 13:44 | $\sigma'_i$           | 2761                                |                     |                      |
|                          | 6/22/2006 13:48 | 0                     | 2775                                | 201                 | 201                  |
|                          | 6/22/2006 13:49 | 1                     | 2776                                | 201                 | 201                  |
|                          | 6/22/2006 13:53 | 2                     | 2777                                | 201                 | 201                  |
|                          | 6/22/2006 13:57 | 4                     | 2778                                | 201                 | 201                  |
|                          | 6/22/2006 14:05 | 8                     | 2779                                | 200.5               | 200.5                |
|                          | 6/22/2006 14:22 | 15                    | 2780                                | 200                 | 200                  |
|                          | 6/22/2006 14:50 | 30                    | 2781                                | 199.5               | 199.5                |
|                          | 6/22/2006 15:51 | 60                    | 2783                                | 199                 | 199                  |
|                          | 6/22/2006 17:32 | 120                   | 2788                                | 198.5               | 198.5                |
|                          | 6/22/2006 23:58 | 240                   | 2797                                | 197                 | 197                  |
|                          | 6/23/2006 8:55  | 480                   | 2808                                | 195                 | 195                  |
|                          | 6/23/2006 13:02 | 960                   | 2811                                | 194                 | 194                  |
|                          | 6/23/2006 20:26 |                       | 2821                                | 192                 | 192                  |
|                          | 6/24/2006 10:36 |                       | 2839                                | 188                 | 188                  |
|                          | 6/24/2006 18:39 |                       | 2849                                | 185.5               | 185.5                |
|                          | 6/25/2006 10:13 |                       | 2870                                | 182                 | 182                  |
|                          | 6/25/2006 22:35 |                       | 2888                                | 178.5               | 178.5                |
|                          | 6/26/2006 8:38  |                       | 2901                                | 176.5               | 176.5                |
|                          | 6/26/2006 17:25 |                       | 2911                                | 174.5               | 174.5                |
|                          | 6/27/2006 9:11  |                       | 2930                                | 171.5               | 171.5                |
|                          | 6/27/2006 17:17 |                       | 2938                                | 170                 | 170                  |
|                          | 6/28/2006 10:12 |                       | 2949                                | 167.5               | 167.5                |
|                          | 6/28/2006 17:55 |                       | 2955                                | 166.5               | 166.5                |

| Applied Suction<br>(kPa) | Date/Clock Time | Elapsed Time<br>(min) | Dial Gauge Reading<br>(x 0.0001 in) | Water Level Reading |                      |
|--------------------------|-----------------|-----------------------|-------------------------------------|---------------------|----------------------|
|                          |                 |                       |                                     | Left Column<br>(mm) | Right Column<br>(mm) |
| 4                        | 6/29/2006 8:50  |                       | 2962                                | 164.5               | 164.5                |
|                          | 6/29/2006 18:11 |                       | 2968                                | 163.5               | 163.5                |
|                          | 6/30/2006 8:43  |                       | 2972                                | 162.5               | 162.5                |
|                          | 6/30/2006 15:32 |                       | 2976                                | 162                 | 162                  |
|                          | 7/1/2006 12:03  |                       | 2981                                | 160                 | 160                  |
|                          | 7/1/2006 16:54  |                       | 2982                                | 160                 | 160                  |
|                          | 7/3/2006 10:14  |                       | 2991                                | 157.5               | 157.5                |
|                          | 7/3/2006 22:43  |                       | 2992                                | 157                 | 157                  |
|                          | 7/4/2006 11:02  |                       | 2994                                | 156.5               | 156.5                |
|                          | 7/5/2006 12:04  |                       | 2999                                | 155.5               | 155.5                |
|                          | 7/5/2006 17:40  |                       | 3000                                | 155.5               | 155.5                |
|                          | 7/6/2006 11:08  |                       | 3001                                | 155                 | 155                  |
|                          | 7/6/2006 20:03  |                       | 3002                                | 154.5               | 154.5                |
|                          | 7/7/2006 7:10   |                       | 3003                                | 154.5               | 154.5                |
|                          | 7/9/2006 16:17  |                       | 3009                                | 152.5               | 152.5                |
|                          | 7/10/2006 10:25 |                       | 3010                                | 152.5               | 152.5                |

